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**ANALYSIS OF A NANOPARTICLE'S DYNAMICS  
IN AN OPTICAL TWEEZER**

by

VATSAL ASITKUMAR JOSHI

Presented to the Faculty of the Graduate School of  
The University of Texas at Arlington in Partial Fulfillment  
of the Requirements for the Degree of

DOCTOR OF PHILOSOPHY

in

MECHANICAL ENGINEERING

THE UNIVERSITY OF TEXAS AT ARLINGTON

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## ABSTRACT

### ANALYSIS OF A NANOPARTICLE'S DYNAMICS IN AN OPTICAL TWEEZER

Vatsal Asitkumar Joshi, Ph.D.

The University of Texas at Arlington, 2023

Supervising Professor: Dr. Alan Bowling

This work presents a simulation and experimental analysis of a nanoparticle's motion in an optical tweezer. Specifically, different simulation and analysis techniques are developed to investigate a suspected regime change in the dynamics of the micro/nano particles from overdamped to underdamped motion as the particle size reduces to submicron scale. Moreover, the simulation techniques developed here provide accurate prediction of the particle dynamics along with low computation time. Finally, the experimental setup developed here provides new experimental data that help answer the question of the regime change with increased certainty.

Micro- and nano- scale systems, such as an optical tweezer, require a longer time to simulate because of the disproportionality that exists between fluid and inertia forces. This problem is resolved by the use of a multiscale approach that relies on the method of multiple scales, which scales the forces such that they are similar in proportion.

The scaling approach has been used previously with two- and three- dimensional models, where the laser forces were computed by discretizing the laser beam into a

finite number of rays. However, this method of calculating laser forces starts to fall apart as the particle size becomes smaller than the wavelength of the laser. This work presents a three-dimensional model that relies on the Generalized Lorenz-Mie Theory (GLMT) to calculate laser forces.

An online constraint embedding method has been used in the past to enforce the normality constraint of the Euler parameters in the rotational dynamics of the 3D model. However, this method requires the integrator to be stopped in order to change the dependent Euler parameter. This work provides a novel elimination approach that does not require the definition of the dependent Euler parameter. Moreover, this approach does not require extra equations to be solved during the integration, which results in lower computation time.

The numerical solvers used to integrate the Equations of Motion (EOMs) change both the type of result and the computational requirement. A micro- or nano- particle observes forces due to the Brownian motion in the surrounding fluid. The inclusion of such forces makes the EOMs of the particle stochastic differential equations (SDEs) instead of the ordinary differential equations (ODEs). Moreover, the disproportionality in the inertia and fluid forces makes these equations stiff in nature. Thus, the stiff SDE solvers from the Julia programming language are used here to tackle both of these scenarios. The use of these solvers along with the scaling technique helps achieve further reduction in computation time.

The inclusion of Brownian motion force and the use of stochastic differential equation solvers allow the analysis of the problem in the frequency domain. It is common practice with optical trapping experiments to measure the trap stiffness with the use of Power Spectral Density (PSD) analysis. This work develops a theoretical equation of the PSD of the nanoparticle's position along with scaling. This helps

estimate the PSD of the nanoparticle that would show the underdamped behavior in the experiments.

Finally, a completely new experimental setup is prepared to investigate the claim of regime change from previous experiments in further detail. The experimental setup is designed to achieve easy and repeated trapping of the nanoparticle along with high frame rate recording of its trajectory. Software for all the necessary hardware, i.e. the high-speed camera, laser shutter and the back illumination system, is developed in-house to automate performing the experiments.

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# CHAPTER 1

## INTRODUCTION

### 1.1 Overview

This dissertation presents new findings and developments in the field of optical trapping of micro/nano particles. The focus is on both the simulation models and the experiments. Such simulations may involve modeling a free-floating body that has six degrees of freedom. This raises the issue of treating the spatial rotations, which requires special treatment beyond the use of Euler Angles. Chapter 2 proposes a novel constraint elimination approach that handles the treatment of rotational dynamics by using unit quaternions (Euler parameters) instead of Euler angles. The complete simulation model for a particle in an optical trap is introduced in Chapter 3. It discusses (1) different methods of computing laser forces, (2) the use of model scaling technique to simulate small bodies at large time scales, (3) the use of stiff solvers to improve the computational time, and (4) the inclusion of the stochastic term in the differential equations and their solution. The scaling method used to speed up the simulations relies on a scaling factor. It was commonly chosen by matching the experimental and simulation data. Chapter 4 investigates the underdamped motion observed in a previous dataset. The Power Spectral Density analysis (PSD), which is regularly used to measure the optical trap stiffness, is also introduced in Chapter 4 as a tool to estimate the scaling factor without comparing the simulations with the experiments. The introduction of the PSD analysis in the simulation allowed the prediction of the PSD of the 500nm diameter particle in an optical trap that showed the suspected underdamped motion in old experimental data. However, this data

lacked the time history and temporal resolution to perform PSD analysis. Chapter 5 discusses the new experimental setup developed to collect new data and tries to explore the underdamped motion previously observed, with greater detail.

## 1.2 Treatment of rotational dynamics

The spatial orientation of a rigid body is often modeled with the help of three independent parameters, e.g. Euler Angles. This approach works fine when the body's rotation is limited in range. For example, the dynamics of a quad-rotor, the dynamics of an aircraft, etc. However, there exist systems that require proper treatment of the attitude dynamics since their rotation is not limited to a range. Some common examples are free-floating micro and nano particles[46], spacecraft[55] or astronomical systems[2]. The use of Euler Angles with such systems creates a problem of 'Gimbal Lock' [38], where the ability to extract all three Euler angles from the rotation matrix of the object is lost. Other such sets of three independent parameters were also developed, e.g. classical or modified Rodrigues parameters[86], that improve the working range of the rigid body orientation but do not eliminate the problem of 'Gimbal Lock' completely.

The problem of 'Gimbal Lock' can be avoided by using a set of four parameters, known as quaternions, which was introduced by W. E. Hamilton. However, the implementation of quaternions in attitude dynamics also needs the enforcement of a normality constraint during the numerical integration of the equations of motion (EOMs). The equations of rotational motion of a rigid body can be computed without enforcing the normality constraint of the Euler parameters. This results in an eight-valued state vector. However, the body is not considered rigid anymore since the unconstrained Euler parameters may represent a non-orthonormal rotation matrix.



This problem is known as Gauss' mutation as discussed in [69, 81] and is generally not desired for rigid body simulations.

The set of quaternions that follow this algebraic constraint is also known as Euler parameters. Several approaches toward enforcing the normality constraint use Lagrange Multipliers [67, 68, 94, 104, 92, 93, 82, 84], Hamiltonian dynamics [85, 11, 12], coordinate reduction and constraint embedding techniques [21, 58, 34], overparametrization techniques [40] or Lie groups and Lie algebra [102, 89, 3, 23, 60]. One of the earliest implementations was proposed by Nikravesh et al. [68, 67] using Lagrange multipliers. However, Vadali [94] later showed that the EOMs can be reformulated such that the Lagrange multiplier is equal to zero. Sherif [84] provided different formulations of rotational equations of motion when using Euler parameters and showed that they can be transformed into each other. Extending Vadali's work, Shabana [82] showed that the forces related to the normality constraint are identically equal to zero. Udwadia [92] initially provided the equations of motion using the fundamental equation of constrained motion. He later used this approach to develop a rotational controller [93] as well. All the aforementioned approaches yield a full-rank mass matrix, but the additional equations and variables in the EOMs mean that they do not employ elimination.

Möller and Glocker in [58] propose a coordinate reduction approach. The quaternions (Euler parameters) were not normalized, which allows a violation of the rigid body constraint. Thus, instead of a normality constraint, a perfect bilateral constraint was implemented to enforce the rigid body constraint, which requires an extra variable per body. The resulting set of EOMs is expressed in differential algebraic equation (DAE) form, which is not minimal, although the equivalent mass matrix is full rank.

A more modern approach uses Lie algebra to integrate the equations of motion [102, 89, 3]. This is accomplished using a special Lie group integrator like Crouch-Grossman[23] or Runge-Kutta-Munthe-Kaas[60]. The integration step is performed on the special unitary group  $SU(2)$  which inherently enforces the normality constraint without introducing singularities into the mass matrix.

The approach closest to the proposed one was given by Haghshenas-Jaryani et al. in [34]. It uses a constraint embedding technique [98] where the EOMs are reduced to a minimal set by choosing a dependent Euler parameter and eliminating it at the velocity and acceleration levels. However, this process requires a ‘Switching Strategy’ to avoid the singularity introduced in the mass matrix by this type of standard elimination.

In this work, a new elimination approach is proposed that takes advantage of Kane’s Method’s ability to redefine state space. This allows enforcement of the normality constraint at both the velocity and acceleration levels. It also reduces the computation time by maintaining the system’s ODE form while eliminating the use of the ‘Switching Strategy’.

### 1.3 Simulation of Micro/Nano particles in an optical trap

Many biomechanical systems are modeled as micro/nano scale rigid bodies moving in a fluid characterized by Stokes flow. An optical trap is one such system that is widely used today to hold and manipulate objects from a couple of micrometers[4] to a few nanometers[5] in size. Their ability to apply force in the piconewton scale and measure displacements at nanometer scale has made them useful in numerous applications, such as measuring the viscoelastic properties of cell membranes[31], study the physics of colloids[10], develop molecular motors[1], and measure mechanical properties of biopolymers[35] and microtubules[47].

The need for a simulation model for the particles trapped in an optical tweezer stems from the difficulty in measuring the particle’s position in all three dimensions. Some modern techniques allow the measurement of the trapped particle in three dimensions[17, 71]. However, these rely on adding more equipment to and having good calibration of the experimental setup. A simulation model can help estimate the particle trajectory in the third dimension by matching the simulation results with the planar experimental data recorded using a camera.

Viscous drag force acting on a particle, Stokes’ drag, is extremely large at the microscale and nanoscale compared to the body’s own inertia force [72]. The large disproportion between the magnitudes of the inertia and viscous drag forces yields a stiff system. To simulate such systems easily, it is a common practice to ignore the inertia force, which results in a set of first-order ordinary differential equations (ODEs) [97] referred to as the overdamped Langevin equation [70]. This model can be solved fairly quickly using well-known Runge-Kutta methods [18]. However, it has been shown that modeling the inertia force is important because it can affect a small body’s motion [33, 16, 13] over longer time periods.

Solving a stiff system is challenging since the integration time steps commonly end up being extremely small. The EOMs were previously[33] solved using an explicit solver. The problem of long computation time was tackled using a well-established scaling approach based on the Method of Multiple Scales (MMS) [61]. This approach takes advantage of the disproportionality in the drag and inertia forces to scale them such that they do not produce large accelerations, but achieve an accurate estimate of the system’s motion at longer time periods. However, explicit solvers are not best suited for stiff systems. Implicit solvers, such as the trapezoid [32] or Rosenbrock [83] methods, are more suitable to such stiff problems and can solve them with much less

computational cost. This work makes use of implicit solvers along with the scaling method described above to reduce the computation time even further.

Another force acting on the particle, that depends on the surrounding medium, is the random force associated with Brownian motion [54]. Albert Einstein first explained Brownian motion in 1905. Today, this motion is modeled as a random white-noise force. When the differential equation model contains a random variable, it is classified as a stochastic differential equation (SDE) model. Techniques used to solve ODEs cannot be directly applied to the SDEs because of the existence of a random variable [36]. The calculus required to solve SDEs was developed recently [41] as compared to that of ODEs[18]. The numerical methods to integrate SDEs are still an active area of research[78].

Previous simulations [33] treated the stochastic nature of the equations as a constant force over a short time period. This method generates trajectories similar to the correct solution. However, the method falls apart when the results are analyzed using frequency domain techniques. Moreover, it required the ODE solver to be stopped repeatedly which increased the computation time. Many numerical methods exist, which can adaptively solve stiff SDEs [39, 73]. This work uses the stiff SDE solvers available in `DifferentialEquations.jl` package of the Julia programming language to solve the EOMs of the particle.

Regardless of the choice of integration scheme, the model used for calculating the forces generated by the interaction between the particle and the laser can affect the final solution significantly. There exist three force models that have different accuracies based on the wavelength,  $\lambda$ , of the laser being used and the radius,  $r$ , of the particle. These three models are (1) Rayleigh scattering (when  $r \ll \lambda$ ), (2) Ray Optics (when  $r \gg \lambda$ ) and (3) Generalized Lorentz-Mie theory (when  $r \approx \lambda$ ) [50].

The simulations were performed for particles with three different diameters, 500nm, 990nm and 1950nm. The laser wavelength used was 1064nm. Previous simulations [33] used the Ray Optics model to calculate laser force while the particle sizes were similar to that of the laser wavelength. The use of the Ray Optics model in such a case is not desirable since it overestimates the laser forces acting on the smaller particles. In this dissertation, Generalized Lorentz-Mie theory (GLMT) is used to calculate the laser forces more accurately.

The collective use of stiff stochastic differential equation solvers, the model scaling approach and the use of Generalized Lorentz-Mie theory for calculating the laser forces achieved significantly lower computation times,  $\approx 21min$  in the previous study[33] for a single simulation to  $\approx 1.4min$  in this work for 10000 simulations, along with a more realistic representation of the Brownian motion physics and the light-particle interaction.

#### 1.4 Exploration of the underdamped motion

The scaling approach, discussed in the previous section, showed significant improvements in the computation time with the old model. However, it was important to validate the model with more experimental data. Few optical trapping experiments were performed with particles of diameter 500nm, 990nm and 1950nm. In these experiments, the particle's trajectory was recorded at a high frame rate while it was being trapped, i.e. as the particle was moving toward the focal point. These experimental trajectories are shown in Figs. 1.1, 1.2 and 1.3.

Conventional wisdom states that, at micro/nano scale, the viscous friction forces have a much larger impact on the particle's motion than inertial forces [72]. Thus, many works omit the mass properties from the model[22, 20, 14, 6, 48], particularly those that use the overdamped Langevin equations[91, 13]. As suggested by the

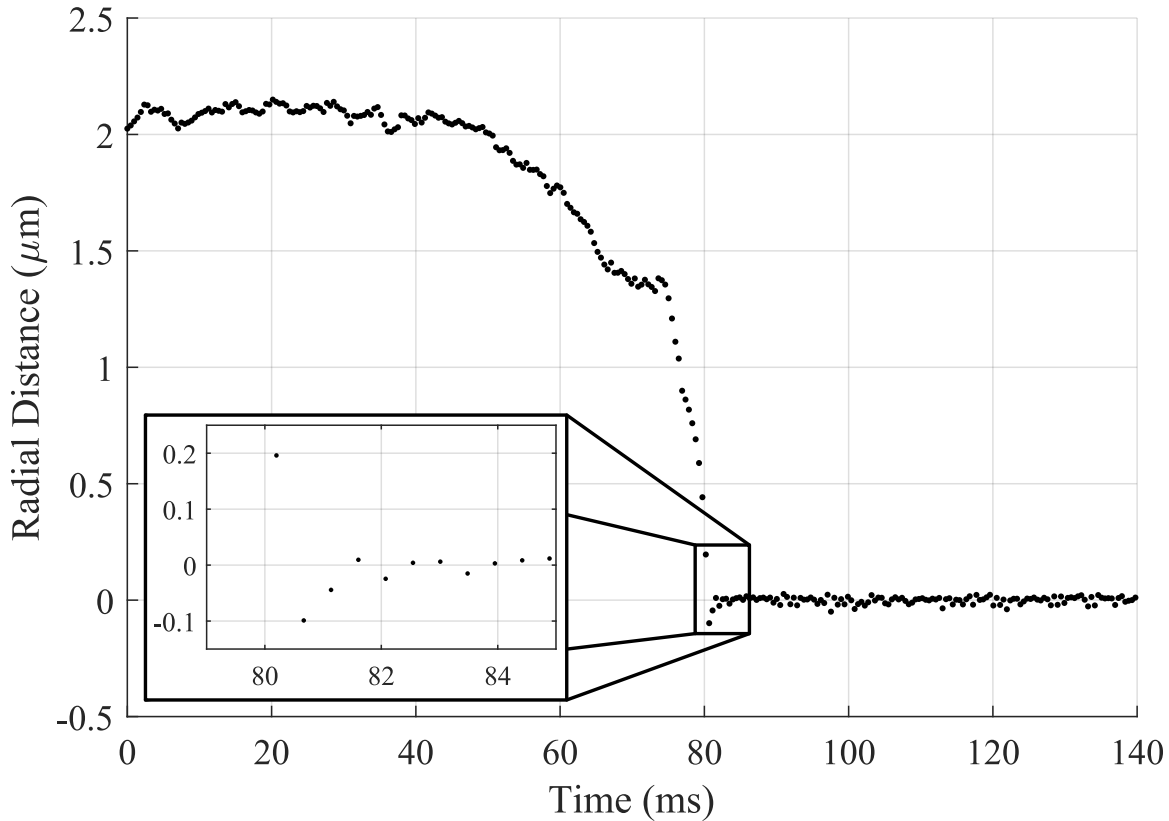


Figure 1.1: Trajectory of a 500nm diameter particle showing the underdamped behavior as being trapped

name, these equations predict overdamped motion as the particle approaches the focal point, i.e. the particle should not go beyond the focal point while moving towards it. However, in the experiments, the smaller particles exhibit what appears to be underdamped motion, i.e. particles go beyond the focal point and come back. This phenomenon seemed to get more pronounced as the particle size was reduced.

A hypothesis was made based on the experimental data, that there should be regime change in the dynamics of the particle as the size of the particle reduces below  $1\mu\text{m}$ . However, only the simulation models with the use of the scaling approach captured this phenomenon. Thus, it was concluded that there must be a force model, either the laser, the fluid, or the inertia, that breaks down as the particle size reduces.

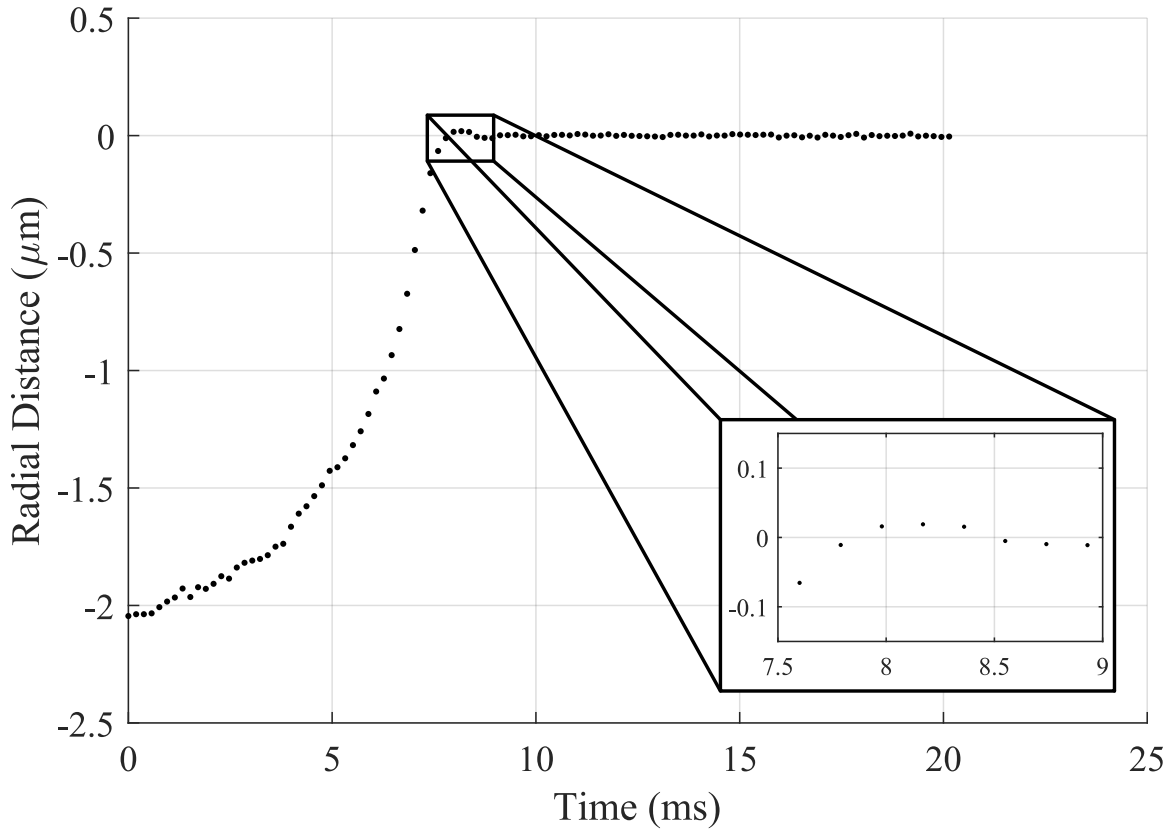


Figure 1.2: Trajectory of a 990nm diameter particle being trapped

The limiting factor in analyzing this phenomenon was the amount of experimental data that was available since only one dataset for each particle size was available.

A new experimental setup is developed here to conduct multiple optical trapping experiments in a short time period. It was also desirable to keep the experimental parameters close to the ones from the previous experiment. The final goal was to characterize the experimental conditions that would repeatedly achieve the suspected underdamped motion. Once such conditions are identified, a detailed analysis can be conducted on the reliable and repetitive data of the underdamped phenomenon to develop better force models.

The experimental setup developed here was able to trap polystyrene particles ranging from  $2\mu\text{m}$  to 200nm in diameter. However, no underdamped behavior was

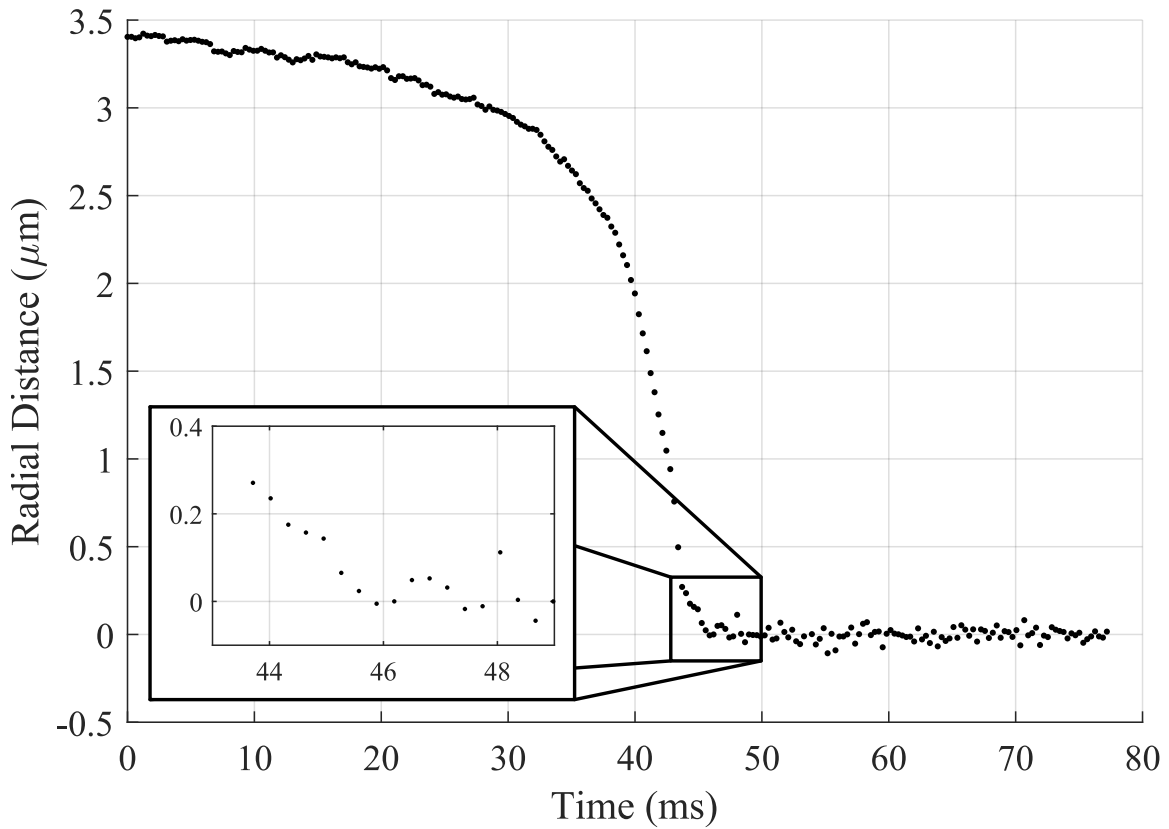


Figure 1.3: Trajectory of a 1950nm diameter particle showing the overdamped behavior as being trapped

observed in about 100 experiments that were conducted in total for different particle sizes. Thus, a decisive conclusion cannot be drawn at the moment regarding the underdamped motion since the result of the new experimental data is in contradiction with the old data.



## CHAPTER 2

### EULER PARAMETERS AND THE NORMALIZATION CONSTRAINT

#### 2.1 Introduction

While implementing the Euler parameters in the equations of motion of a dynamic system, avoiding both the singularity in the rotation matrix and the use of differential-algebraic equations (DAEs) is a nontrivial task. Standard elimination can be used here which involves choosing a dependent variable and eliminating it from the EOMs at the velocity and acceleration levels. However, this method needs a ‘Switching Strategy’ to tackle the problem of choosing the dependent Euler parameter. This increases the computation time since the ODE solver needs to be restarted for the switch of the dependent Euler parameter to take place.

The approach proposed in this chapter uses Kane’s method to develop the equations of motion. Here, the normality constraint is addressed using a novel elimination approach that does not require an explicit definition of one of the Euler parameters as a dependent variable. This process involves a redefinition of the state space in terms of an auxiliary speed, which is defined as the derivative of the normality constraint. Later on, the EOM associated with this *auxiliary speed* is omitted. This yields a minimal set of EOMs with a full-rank mass matrix and an inherent enforcement of the normality constraint at both the velocity and acceleration levels. Moreover, the energy is also conserved within numerical integration tolerances, which is a common way of checking the reliability of the solution. This is computationally faster compared to the Lagrange multiplier based approaches [68, 67, 94, 82] as the number of equations to be solved is lower.

## 2.2 Addressing the Normality Constraint

The discussion of the proposed approach is within the framework of Kane's method [45, 15] and relies on its definition of *auxiliary speeds*. Within this framework, *velocity* is considered to be a vector and *speeds* are its components. Auxiliary speeds are typically used to solve for non-contributing (reaction) forces, such as the Lagrange multipliers associated with the normality constraint. The EOMs associated with the auxiliary speeds can be omitted, yielding a set of relations equal in number to the number of independent generalized speeds (which are the time derivatives of generalized coordinates.)

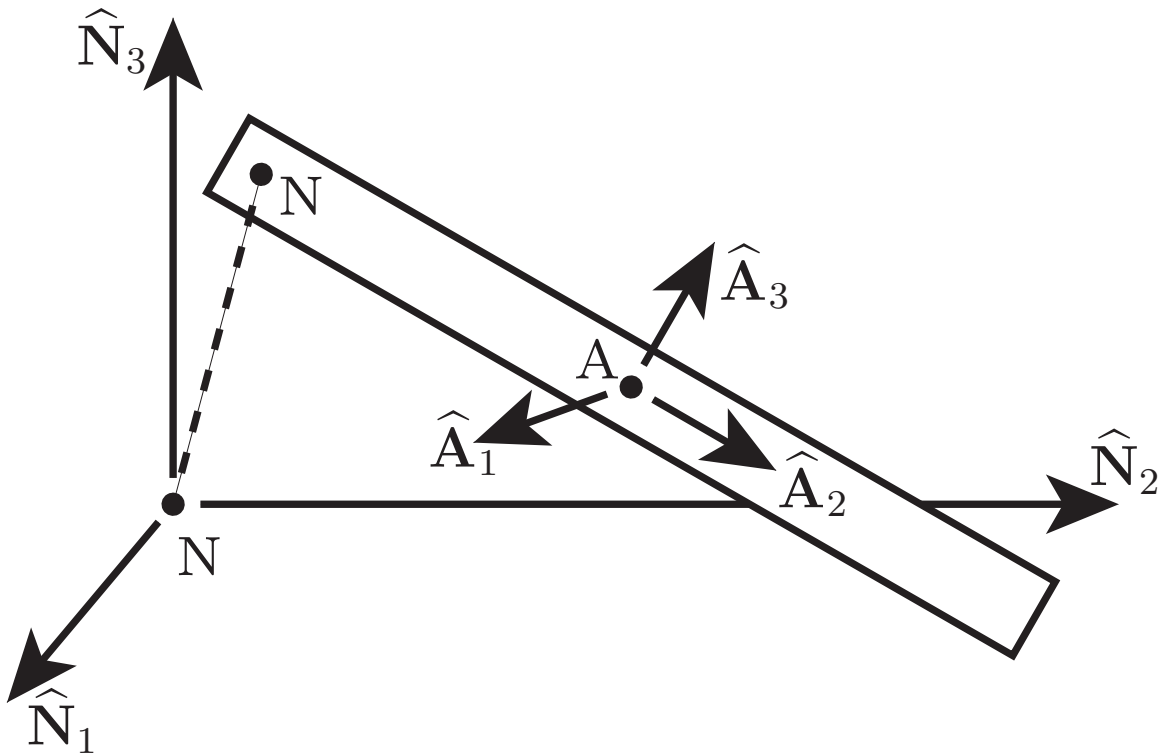


Figure 2.1: 3D single pendulum model

To illustrate this approach, consider the spatial pendulum with mass  $m_A$  in Fig. 2.1, with a body-attached frame  $A = (\hat{\mathbf{A}}_1, \hat{\mathbf{A}}_2, \hat{\mathbf{A}}_3)$  and a body-attached point A

at its mass center. The pendulum has three rotational degrees of freedom (DOFs). The inertial reference frame is  $N = (\widehat{\mathbf{N}}_1, \widehat{\mathbf{N}}_2, \widehat{\mathbf{N}}_3)$  and the inertial reference point is N. Body A is connected to the ground by a spherical joint at the inertial reference point N. If the vector of Euler parameters representing the spatial orientation of frame A observed from the inertial frame N is designated  $\mathbf{e}$ :

$$\mathbf{e} = \begin{bmatrix} e_0 & e_1 & e_2 & e_3 \end{bmatrix}^T \quad (2.1)$$

The angular velocity and the angular acceleration of this body observed from the inertial frame are well-defined in [25]:

$${}^N\boldsymbol{\omega}^A = 2L(\mathbf{e})\dot{\mathbf{e}} = \dot{\theta}_1 \widehat{\mathbf{A}}_1 + \dot{\theta}_2 \widehat{\mathbf{A}}_2 + \dot{\theta}_3 \widehat{\mathbf{A}}_3 \quad (2.2)$$

$${}^N\dot{\boldsymbol{\omega}}^A = 2L(\mathbf{e})\ddot{\mathbf{e}} \quad (2.3)$$

where  $\dot{\mathbf{e}}$  and  $\ddot{\mathbf{e}}$  are the time derivatives of the Euler parameters and

$$L(\mathbf{e}) = \begin{bmatrix} -e_1 & e_0 & e_3 & -e_2 \\ -e_2 & -e_3 & e_0 & e_1 \\ -e_3 & e_2 & -e_1 & e_0 \end{bmatrix} \quad (2.4)$$

The position vector from the inertial point N to the mass center A and the velocity of the mass center can be given as

$$\mathbf{P}_{NA} = L_A \widehat{\mathbf{A}}_2 \quad (2.5)$$

$$\mathbf{V}_A = {}^N\boldsymbol{\omega}^A \times \mathbf{P}_{NA} = 2(\mathbf{P}_{NA} \otimes)^T L(\mathbf{e})\dot{\mathbf{e}} \quad (2.6)$$

where,  $L_A$  is the half of the length of the rod, and  $\otimes$  is the cross product operator.

For a  $3 \times 1$  vector  $\mathbf{X}$ , the cross product operator is defined as

$$\mathbf{X} \otimes = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \otimes = \begin{bmatrix} 0 & -x_3 & x_2 \\ x_3 & 0 & -x_1 \\ -x_2 & x_1 & 0 \end{bmatrix} \quad (2.7)$$

Note that the matrix  $\mathbf{X} \otimes$  is a singular matrix.

The normalization constraint that must be enforced has the form,

$$e_0^2 + e_1^2 + e_2^2 + e_3^2 = \mathbf{e}^T \mathbf{e} = 1 \quad (2.8)$$

A standard elimination approach can be used to address this problem, but that introduces a singularity. For example, if we choose  $\dot{e}_0$  as the dependent speed, we can solve for it and eliminate it from subsequent equations:

$$\dot{e}_0 = -\frac{e_1 \dot{e}_1 + e_2 \dot{e}_2 + e_3 \dot{e}_3}{e_0} \quad (2.9)$$

Equation (2.9) shows that eliminating  $\dot{e}_0$  will introduce a singularity in the EOMs when  $e_0 \rightarrow 0$ , and similarly if any other Euler parameter is chosen. However, the Euler parameters must be constrained to prevent a singular mass matrix in the EOMs. Here it is shown that elimination can still be used with a careful definition of the state space.

To implement this constraint using the proposed approach, three *quasi-speeds* and one auxiliary speed can be defined as

$$\mathbf{u} = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{bmatrix} = \begin{bmatrix} \dot{\theta}_1 \\ \dot{\theta}_2 \\ \dot{\theta}_3 \\ \dot{\theta}_4 \end{bmatrix} = \begin{bmatrix} -e_1 & e_0 & e_3 & -e_2 \\ -e_2 & -e_3 & e_0 & e_1 \\ -e_3 & e_2 & -e_1 & e_0 \\ e_0 & e_1 & e_2 & e_3 \end{bmatrix} \begin{bmatrix} \dot{e}_0 \\ \dot{e}_1 \\ \dot{e}_2 \\ \dot{e}_3 \end{bmatrix} = \begin{bmatrix} L(\mathbf{e}) \\ \mathbf{e}^T \end{bmatrix} \dot{\mathbf{e}} = E(\mathbf{e}) \dot{\mathbf{e}} \quad (2.10)$$

where  $u_1$ ,  $u_2$  and  $u_3$  are the quasi-speeds, and  $u_4$  is the auxiliary speed; quasi-speeds are defined as the non-existence of an antiderivative. Note that  $E(\mathbf{e})$  is an orthonormal matrix, therefore

$$\dot{\mathbf{e}} = E^T \mathbf{u} \quad (2.11)$$

The equations of motion for this system can be expressed in the following form:

$$\begin{bmatrix} F_1^* \\ F_2^* \\ F_3^* \\ F_4^* \end{bmatrix} = A(\mathbf{e}) \dot{\mathbf{u}} + \mathbf{b}(\mathbf{u}, \mathbf{e}) = \mathbf{\Gamma}(\mathbf{u}, \mathbf{e}) = \begin{bmatrix} F_1 \\ F_2 \\ F_3 \\ F_4 \end{bmatrix} \quad (2.12)$$

where  $\mathbf{b}(\mathbf{u}, \mathbf{e})$  is the vector of velocity forces,  $A(\mathbf{e})$  is the mass matrix, which is rank deficient because of the use of Euler parameters (as discussed in the next paragraph), and  $\mathbf{\Gamma}(\mathbf{u}, \mathbf{e})$ . The  $F_i$  are *generalized active forces* and the  $F_i^*$  are *generalized inertia forces*.

The mass matrix  $A(\mathbf{e})$  for the system in Fig. 2.1 can also be calculated using following formula [15] for kinetic energy,  $T$ ,

$$2T = \dot{\mathbf{e}}^T A(\mathbf{e}) \dot{\mathbf{e}} = \dot{\mathbf{e}}^T [m_A J_{\mathbf{V}_A}^T J_{\mathbf{V}_A} + J_{N\omega^A}^T I_{AA} J_{N\omega^A}] \dot{\mathbf{e}} \quad (2.13)$$

where, the jacobian matrices  $J_{\mathbf{V}_A}$  and  $J_{N\omega^A}$  are defined as

$$J_{\mathbf{V}_A} = \begin{bmatrix} \frac{\partial \mathbf{V}_A}{\partial \dot{e}_0} & \frac{\partial \mathbf{V}_A}{\partial \dot{e}_1} & \frac{\partial \mathbf{V}_A}{\partial \dot{e}_2} & \frac{\partial \mathbf{V}_A}{\partial \dot{e}_3} \end{bmatrix} = 2(\mathbf{P}_{NA} \otimes)^T L(\mathbf{e})$$

$$J_{N\omega^A} = \begin{bmatrix} \frac{\partial N\omega^A}{\partial \dot{e}_0} & \frac{\partial N\omega^A}{\partial \dot{e}_1} & \frac{\partial N\omega^A}{\partial \dot{e}_2} & \frac{\partial N\omega^A}{\partial \dot{e}_3} \end{bmatrix} = 2L(\mathbf{e})$$

Thus, the mass matrix can now be expressed as,

$$A(\mathbf{e}) = 4L(\mathbf{e})^T [m_A (\mathbf{P}_{NA} \otimes) (\mathbf{P}_{NA} \otimes)^T + I_{AA}] L(\mathbf{e}) \quad (2.14)$$

Note that the matrix  $L(\mathbf{e})$  is a  $3 \times 4$  matrix with the maximum rank of 3. While,  $[m_A (\mathbf{P}_{NA} \otimes) (\mathbf{P}_{NA} \otimes)^T + I_{AA}]$  is a  $3 \times 3$  matrix with rank 3. For any two matrices  $A$  and  $B$ , it can be said that

$$\text{rank}(AB) \leq \min(\text{rank}(A), \text{rank}(B)) \quad (2.15)$$

From (2.15), it can be concluded that the resultant mass matrix has to have rank 3 or less with size  $4 \times 4$ . Thus, the mass matrix has to be singular. Another simple explanation for the singularity of the mass matrix is that a rigid body has a maximum of 3 degrees of rotational freedom. Thus, if the mass matrix is  $4 \times 4$  then it has to be singular since the size of the mass matrix has to be  $n \times n$ , where  $n$  is the degrees of freedom. This conclusion applies directly to the single spatial pendulum in Fig. 2.1 but can be generalized to any system described in terms of the Euler parameters.

The set of dependent relations in Eqn. (2.12) can be reduced to an independent set by eliminating  $u_4$  and  $\dot{u}_4$  from the EOMs, using the normalization constraint on the Euler parameters

$$0 = \mathbf{e}^T \dot{\mathbf{e}} = u_4 = \dot{\theta}_4 \quad \rightarrow \quad 0 = \mathbf{e}^T \delta \mathbf{e} = \delta \theta_4 \quad (2.16)$$

where,  $\delta \theta_4$  is the virtual displacement associated with the auxiliary speed,  $u_4$ .

This elimination is accomplished by considering the virtual work done on the system:

$$\delta W = 0 = \sum_{i=1}^4 (F_i - F_i^*) \delta \theta_i \quad (2.17)$$

where,  $\delta \theta_i$  are the virtual displacements associated with the quasi-speeds,  $u_{1:3}$ , and the auxiliary speed,  $u_4$ . Combining Eqns. (2.16) and (2.17) yields,

$$0 = (F_1 - F_1^*) \delta \theta_1 + (F_2 - F_2^*) \delta \theta_2 + (F_3 - F_3^*) \delta \theta_3 + (F_4 - F_4^*) \delta \theta_4 \quad (2.18)$$

which results in a reduced set of EOMs produced by eliminating  $u_4$  and  $\dot{u}_4$ :

$$\begin{bmatrix} F_1^* \\ F_2^* \\ F_3^* \end{bmatrix} = \tilde{A}(\mathbf{e}) \begin{bmatrix} \dot{u}_1 \\ \dot{u}_2 \\ \dot{u}_3 \end{bmatrix} + \tilde{\mathbf{b}}(\mathbf{u}, \mathbf{e}) = \tilde{\mathbf{\Gamma}}(\mathbf{u}, \mathbf{e}) = \begin{bmatrix} F_1 \\ F_2 \\ F_3 \end{bmatrix} \quad (2.19)$$

where  $\tilde{\mathbf{b}}(\mathbf{u}, \mathbf{e}) = \mathbf{b}_{1:3}(\mathbf{u}, \mathbf{e})$  is the vector of velocity forces,  $\tilde{A}(\mathbf{e}) = A_{1:3 \times 1:3}(\mathbf{e})$  is the mass matrix, and  $\tilde{\mathbf{\Gamma}}(\mathbf{u}, \mathbf{e}) = \mathbf{\Gamma}_{1:3}(\mathbf{u}, \mathbf{e})$  is the vector of *generalized active forces*. This

process of constraint elimination depends on a more general constraint embedding approach discussed in Appn. A.2.

The governing kinematic and dynamic equations of the system can thus be written in the following state space format

$$\begin{bmatrix} \dot{\mathbf{e}} \\ \dot{\mathbf{u}}_{1:3} \end{bmatrix} = \begin{bmatrix} E^T \mathbf{u} \\ \tilde{A}^{-1} (\tilde{\mathbf{\Gamma}} - \tilde{\mathbf{b}}) \end{bmatrix} = \begin{bmatrix} \dot{\mathbf{e}} \\ {}^N\dot{\boldsymbol{\omega}}^A/2 \end{bmatrix} \quad (2.20)$$

where,  $\dot{u}_4 = u_4 = 0$ . This process can be generalized to any number of sets of Euler parameters as long as the angular velocity considered reflects the frames that define the particular rotation defined by those Euler parameters. Also, note that the reduced  $\dot{\mathbf{u}}$  vector in Eqn. (2.20) is equivalent to half of the angular acceleration in Eqn. (2.3) associated with the Euler parameters.

The transformation of the Euler speeds into quasi and auxiliary speeds yields a clear delineation between independent and dependent EOMs. Thus, the dependent EOM can be definitively eliminated; in contrast, it is unclear which Euler parameter to choose as dependent. The proposed process of eliminating  $u_4$ ,  $\dot{u}_4$  and  $F_4 - F_4^* = 0$  (recall Eqns. (2.16) and (2.18)) is novel and yields a reduced set of EOMs with a full rank mass matrix. Thus, it is possible to eliminate the dependent Euler parameter without choosing one explicitly.

### 2.3 Simulation model

A three-dimensional double pendulum is used to illustrate the proposed method. The model has two bodies, two cylindrical rods with length  $L$  and radius  $r$ , as shown in Fig. 2.2. The two joints are spherical and so do not constrain spatial rotations of the links. The rod attached to the ground link has a body attached frame A while the rod with a free end has the body attached frame B. The inertial reference point

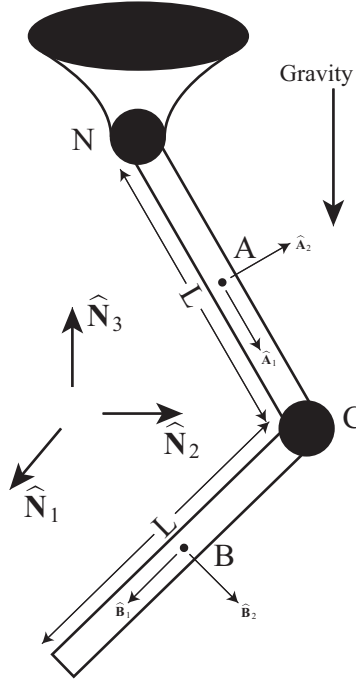


Figure 2.2: 3D double pendulum model

N is defined at the joint at the ground link and the inertial reference frame is  $N = (\hat{N}_1, \hat{N}_2, \hat{N}_3)$ . The mass centers of both bodies are points A and B respectively. Point C is defined at the center of the spherical joint between the two links. The vector of Euler speeds of body A with respect to frame N and of body B with respect to frame A and the corresponding quasi- and auxiliary speeds are defined as,

$$\begin{aligned} \dot{\mathbf{e}}_A &= \begin{bmatrix} \dot{e}_{A_0} & \dot{e}_{A_1} & \dot{e}_{A_2} & \dot{e}_{A_3} \end{bmatrix}^T & \mathbf{u}_A &= E(\mathbf{e}_A) \dot{\mathbf{e}}_A \\ \dot{\mathbf{e}}_B &= \begin{bmatrix} \dot{e}_{B_0} & \dot{e}_{B_1} & \dot{e}_{B_2} & \dot{e}_{B_3} \end{bmatrix}^T & \mathbf{u}_B &= E(\mathbf{e}_B) \dot{\mathbf{e}}_B \end{aligned}$$

The equations of motion are formulated using the proposed approach, which is presented in detail in the Appn. A.1. The EOMs are also formulated using the method in [34] and the one where integration update is done on rotation matrices. The models are numerically integrated using the nonstiff variable step integrator `ode45` in MATLAB. This is a commonly used algorithm for numerical integration, which will



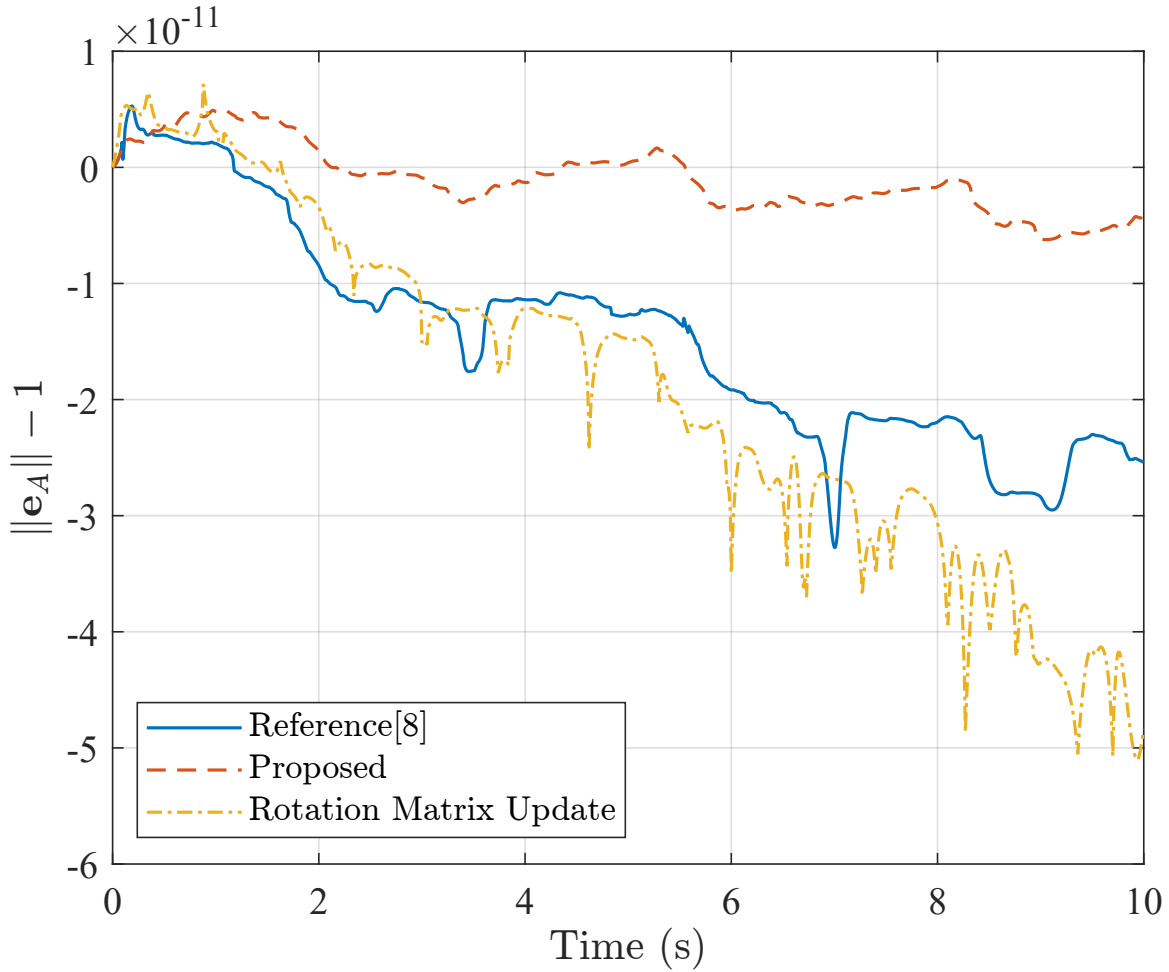


Figure 2.3: Normality of Euler parameters for body A ( $\text{RelTol} = 1 \times 10^{-10}$ ,  $\text{AbsTol} = 1 \times 10^{-9}$ ,  $\Delta t = 0.01$ )

be used here to examine the behavior of the proposed formulation of the EOMs. The system is integrated with  $10^{-9}$  of absolute error and  $10^{-10}$  of relative error tolerances. The system parameters and initial conditions are given in Table 2.1.

To validate the 3D double pendulum model, a check function based on the conservation of energy is implemented:

$$\Delta E = T_i + V_i - (T_0 + V_0) \tag{2.21}$$

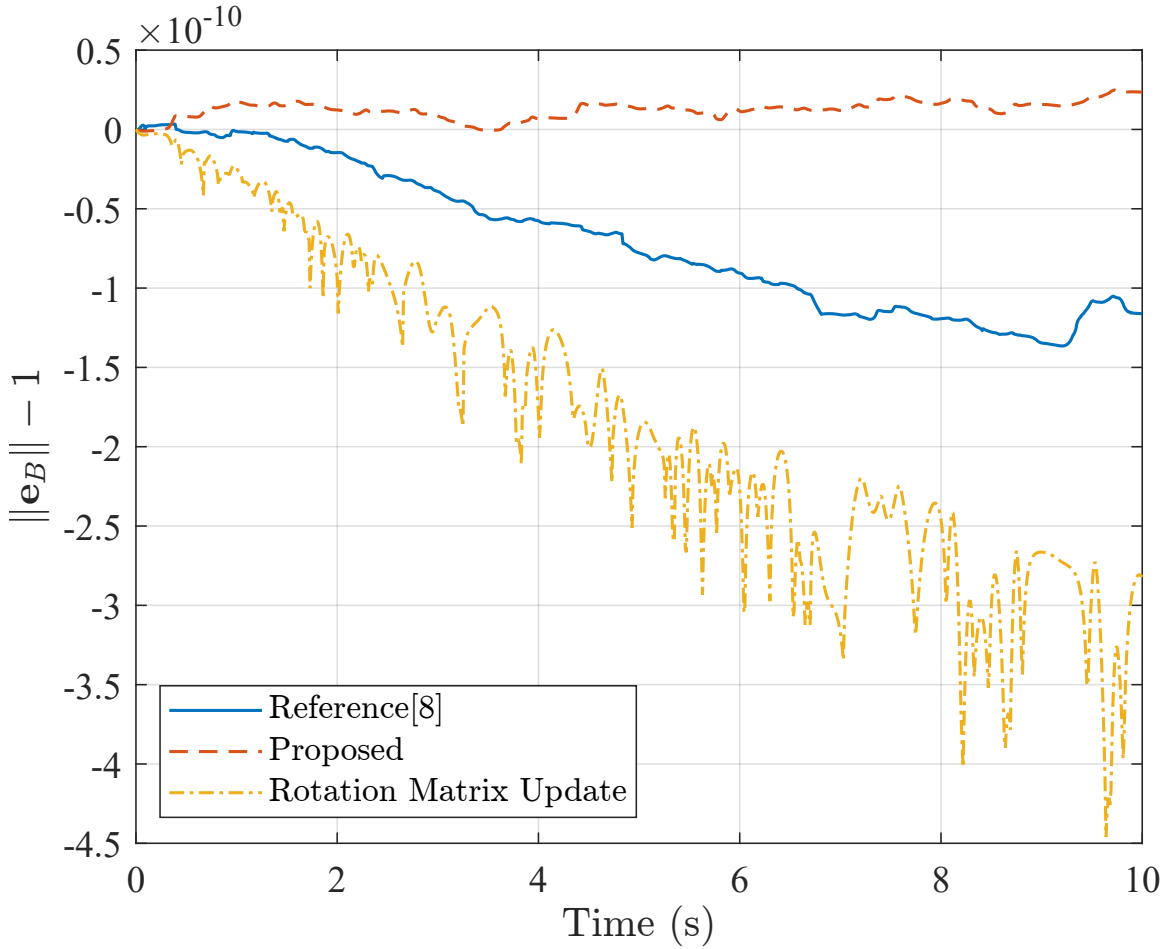


Figure 2.4: Normality of Euler parameters for body B ( $\text{RelTol} = 1 \times 10^{-10}$ ,  $\text{AbsTol} = 1 \times 10^{-9}$ ,  $\Delta t = 0.01$ )

where,  $T_0$  and  $T_i$  are the kinetic energy at the initial condition and  $i$ th step of integration respectively, and  $V_0$  and  $V_i$  are the potential energy at the initial condition and  $i$ th step of integration respectively. To conserve energy, the value of  $\Delta E$  should remain close to zero, if there are no errors in system modeling. However, due to the computational errors, this value will not be exactly equal to zero. Thus, this function also serves as a tool to gauge the performance of the presented approach. The results of the simulation and the performance of the proposed approach are discussed in the next section.

Quantity	Value	Unit
$L$	0.3	$m$
$r$	0.05	$m$
$m_A, m_B$	1	$kg$
$g$	9.81	$m/s^2$
$\mathbf{e}_A$	$[0.27315297 \quad 0.18150666 \quad -0.15428524 \quad 0.93200796]^T$	NA
$\mathbf{e}_B$	$[-0.13787739 \quad 0.35886182 \quad 0.25501366 \quad 0.88722941]^T$	NA
$\dot{\mathbf{e}}_A$	$[0 \quad 0 \quad 0 \quad 0]^T$	NA
$\dot{\mathbf{e}}_B$	$[0 \quad 0 \quad 0 \quad 0]^T$	NA

Table 2.1: System parameters and initial conditions

## 2.4 Results and Discussion

This section provides the simulation results for the 3D double pendulum model discussed in the last section for the time history of 10s. The computational time for the proposed approach was  $\approx 17$  seconds. That for the approach in [34] was  $\approx 56$  seconds and for the rotation matrix update was  $\approx 27$  seconds. Note that the 3D double pendulum is a chaotic system. This means that a small error in computation can produce vastly different final system states. Thus, this system was chosen as a better performance measure among different techniques.

Herein, two metrics are used to analyze the accuracy of the proposed approach which are the normality satisfaction and the change in total energy. The normality satisfaction is checked by Eqn. (2.8) while the change in total energy is checked by Eqn. (2.21).

The values of the normality satisfaction are given in Figs. 2.3 and 2.4. Note that the norms for the proposed approach in Figs. 2.3 and 2.4 are in the order of  $10^{-11}$  which is two magnitudes smaller than the absolute tolerance of  $10^{-9}$ . However, that for the other approaches are in the order of  $10^{-10}$ . The change in total energy is given in Fig. 2.5. For the proposed approach, it is on the order of the integration tolerance. While that for the other approaches is larger than the integration tolerance.

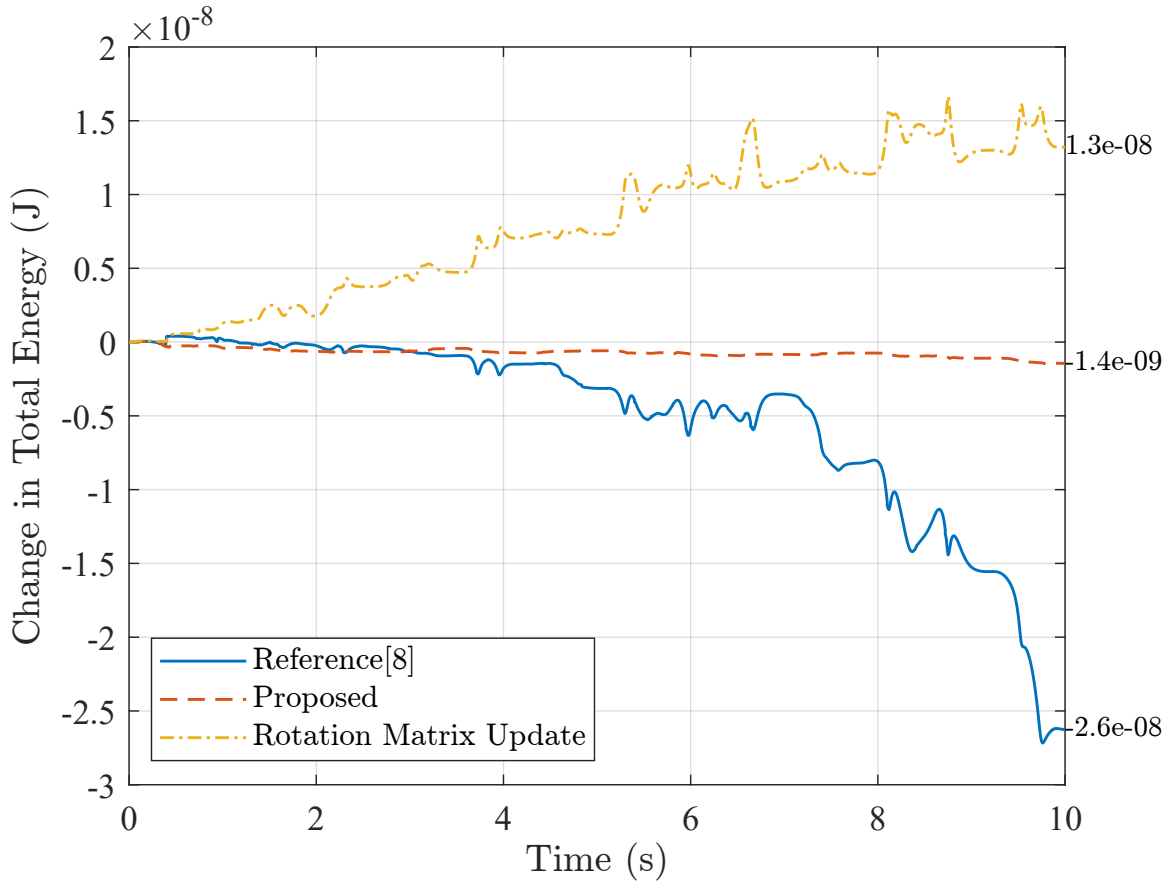


Figure 2.5: Change in total energy of the system for initial 10s ( $\text{RelTol} = 1 \times 10^{-10}$ ,  $\text{AbsTol} = 1 \times 10^{-9}$ ,  $\Delta t = 0.01$ )

A comparison of phase portraits, Euler parameters vs their derivatives, from all three approaches are provided in Figs. 2.6 and 2.7 for the time history of 10 seconds. Note that there is no observable difference in the system state trajectories and the final system states, among the three methods being compared. This also shows that the proposed approach does not affect the system behavior while providing results that are energetically more consistent. The values of Euler parameters at different times are provided in Tables 2.2, 2.3 and 2.4. Note that for all approaches, the Euler parameter values are the same for the first 8 seconds.

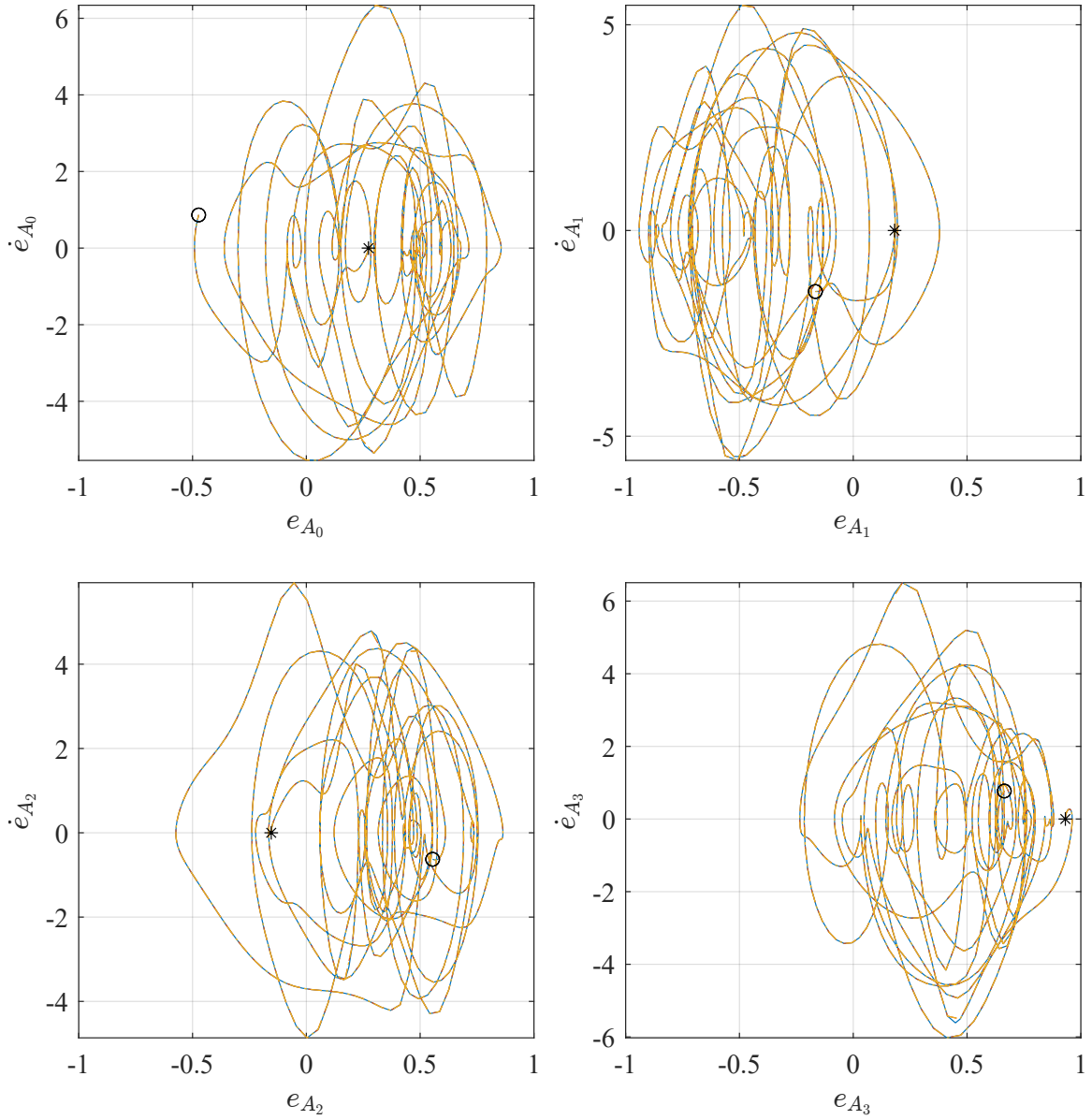


Figure 2.6: Phase portraits for body A Euler parameters ( $\text{RelTol} = 1 \times 10^{-10}$ ,  $\text{AbsTol} = 1 \times 10^{-9}$ ,  $\Delta t = 0.01$ , — Reference[34], - - - Proposed, - · - · - Rotation Matrix Update, \* Initial State, o Final State)

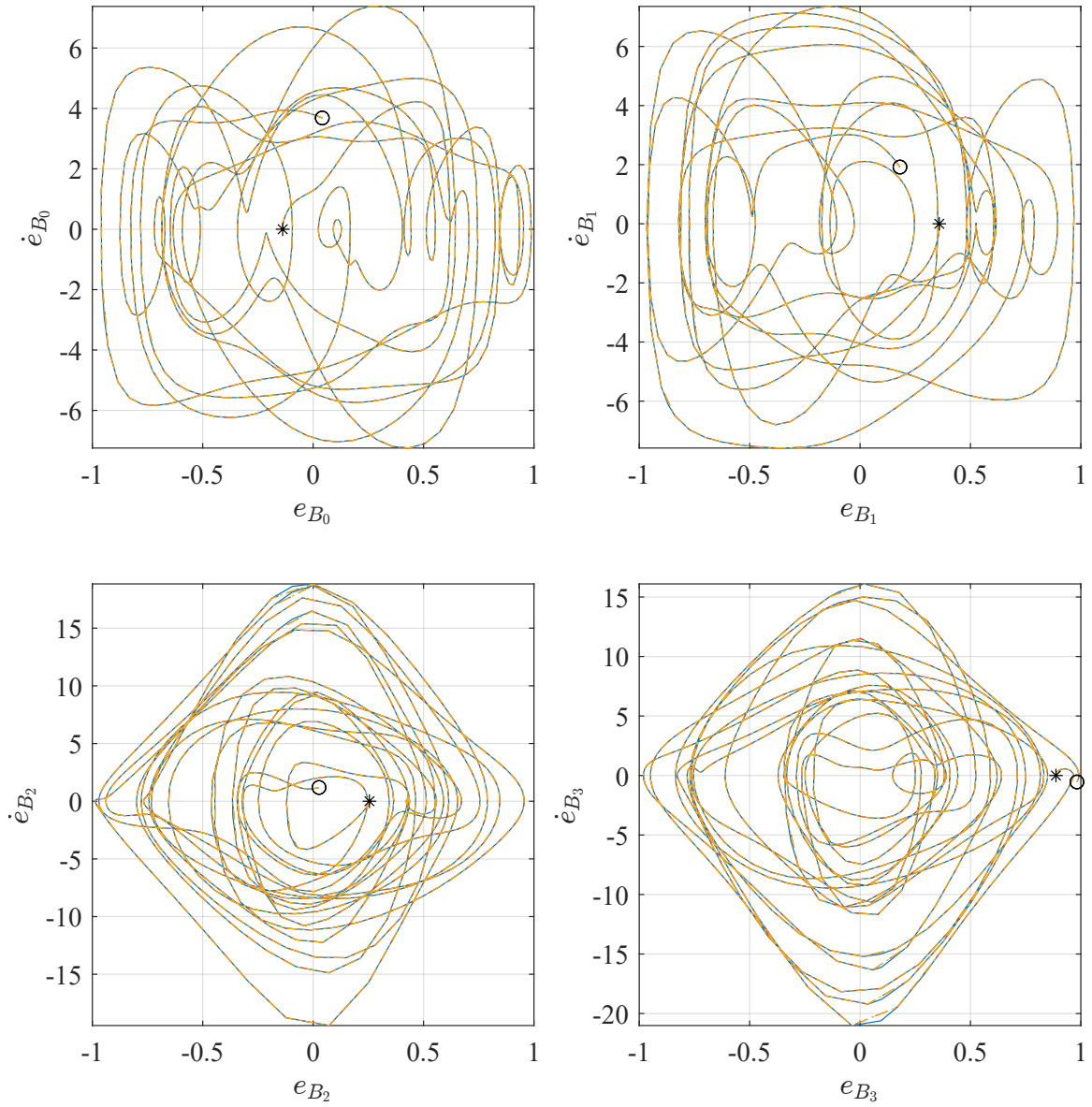


Figure 2.7: Phase portraits for body B Euler parameters ( $\text{RelTol} = 1 \times 10^{-10}$ ,  $\text{AbsTol} = 1 \times 10^{-9}$ ,  $\Delta t = 0.01$ , — Reference[34], - - - Proposed, - · - · - Rotation Matrix Update, \* Initial State, o Final State)

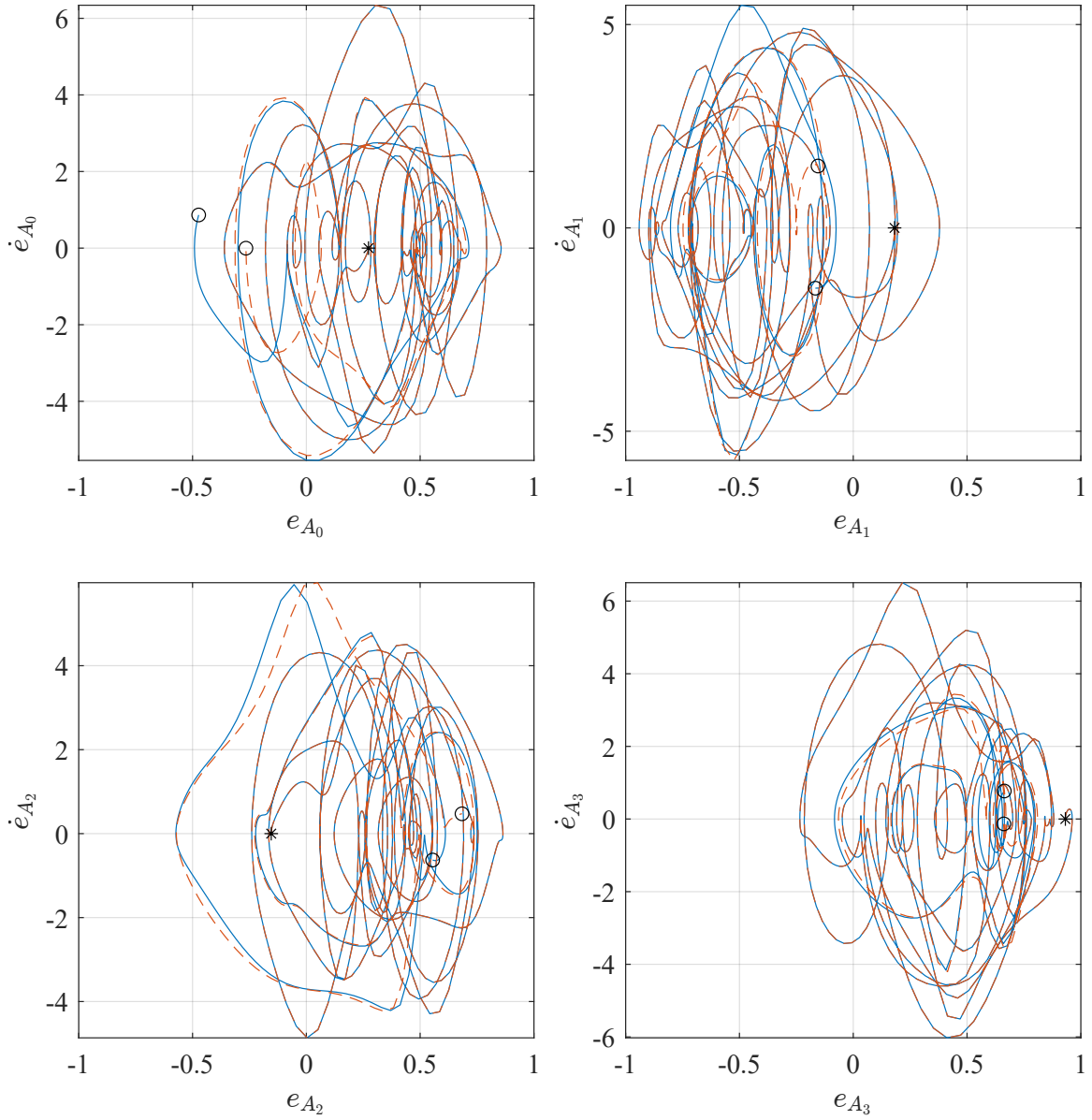


Figure 2.8: Phase portraits for body A Euler parameters ( $\text{RelTol} = 1 \times 10^{-10}$ ,  $\text{AbsTol} = 1 \times 10^{-9}$ ,  $\Delta t = 0.01$ , — First Initial State (Table 2.1), - - - Second Initial State (Eqn. (2.22)), \* Initial State, o Final State)

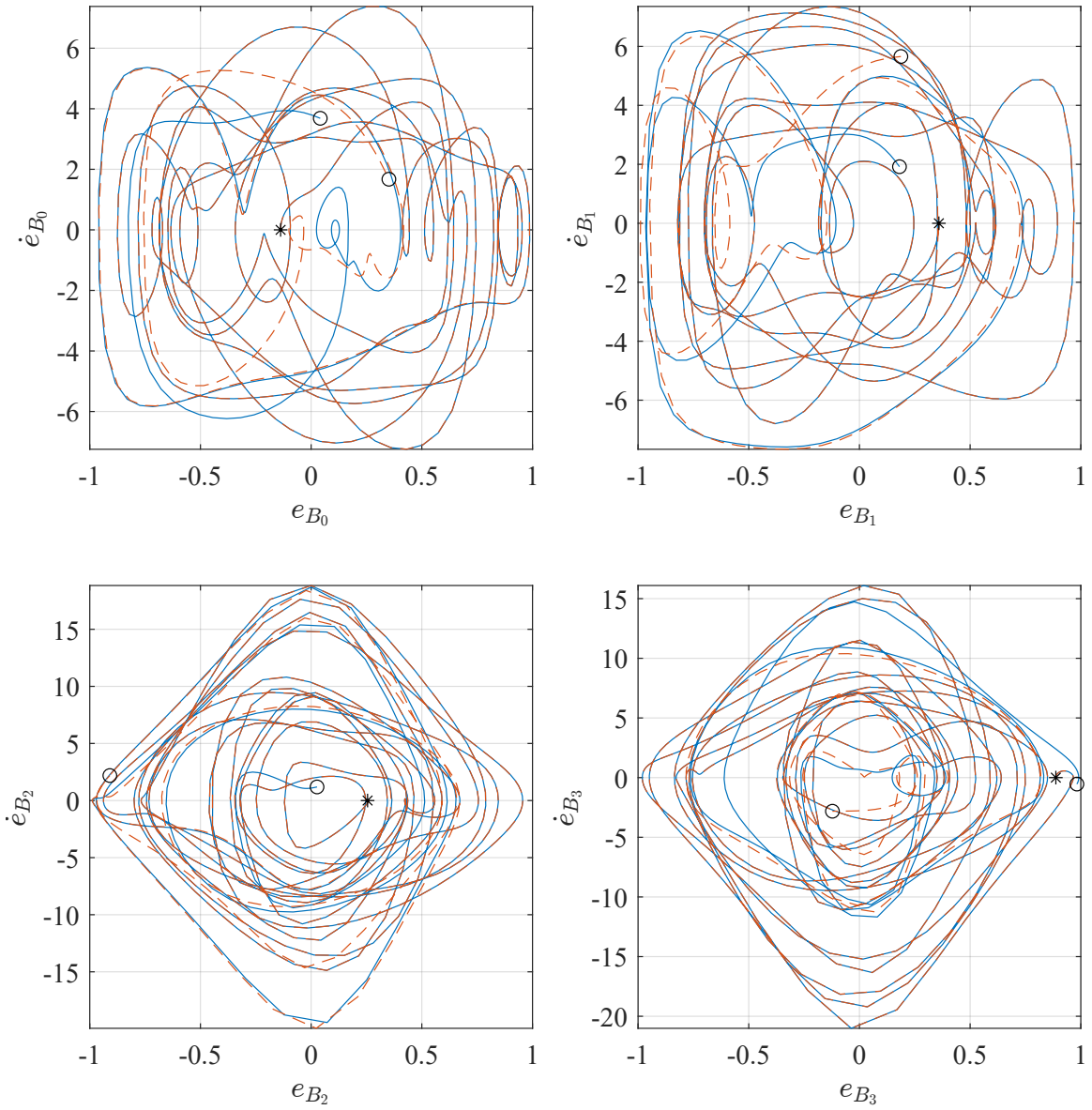


Figure 2.9: Phase portraits for body B Euler parameters ( $\text{RelTol} = 1 \times 10^{-10}$ ,  $\text{AbsTol} = 1 \times 10^{-9}$ ,  $\Delta t = 0.01$ , — First Initial State (Table 2.1), - - - Second Initial State (Eqn. (2.22)), \* Initial State, o Final State)



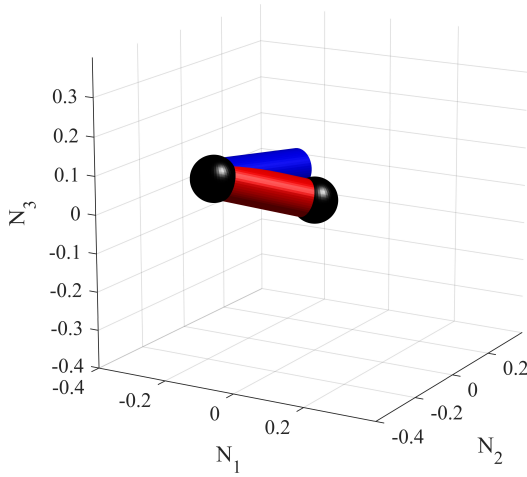


Figure 2.10: System Final state for initial condition in Table 2.1

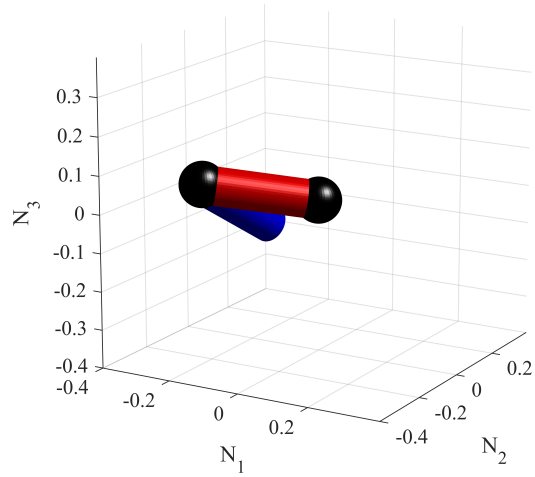


Figure 2.11: System Final state for the initial condition in Eqn. (2.22)

Another set of phase portraits is provided in Figs. 2.8 and 2.9. These phase portraits were generated using the proposed approach. However, the initial Euler parameter values differ slightly, which are given in Table 2.1 and Eqn. (2.22). Note that the final system states are very different for such a small change in the initial conditions of only one body. The final system states are shown in Figs 2.10 and 2.11. The characteristic nonlinear and chaotic behavior of the chosen system is observable in these phase portraits and is unchanged by the proposed approach.

$$\begin{aligned}
 \mathbf{e}_A &= \left[ \begin{array}{cccc} 0.2731520482 & 0.1815067144 & -0.1542852873 & 0.9320082195 \end{array} \right]^T \\
 \mathbf{e}_B &= \left[ \begin{array}{cccc} -0.1378773929 & 0.3588618275 & 0.2550136679 & 0.8872294192 \end{array} \right]^T
 \end{aligned} \tag{2.22}$$

## 2.5 Conclusion

This chapter showed that the proposed elimination approach is valid when using the Euler parameter representation of spatial orientation. The work showed that the normality constraint can be enforced without introducing singularities in the mass

Table 2.2: Euler parameters for approach in Ref. [34]

T(sec)	$e_{A_0}$	$e_{A_1}$	$e_{A_2}$	$e_{A_3}$	$e_{B_0}$	$e_{B_1}$	$e_{B_2}$	$e_{B_3}$
0	0.273153	0.181507	-0.154285	0.932008	-0.137877	0.358862	0.255014	0.887229
1	0.601657	-0.281299	0.249476	0.704728	-0.135954	0.961025	0.126427	-0.204852
2	0.172573	-0.830607	0.483631	-0.215432	-0.541611	-0.090728	0.584365	-0.597448
3	0.557720	-0.395463	0.480394	0.549344	-0.627860	0.733431	-0.043389	-0.256880
4	0.114685	-0.080346	0.471449	0.870705	0.699314	0.429925	-0.314040	-0.476973
5	0.490451	-0.854733	-0.163858	-0.045175	-0.371157	-0.378702	-0.697459	0.482055
6	0.513537	-0.523332	0.464964	0.496198	0.438356	-0.751934	-0.076442	0.486412
7	-0.355745	-0.102557	0.857771	0.356591	0.520118	-0.030659	0.542351	-0.659085
8	0.759543	-0.493050	-0.056060	0.420540	-0.381785	0.018261	-0.911075	0.154432
9	0.707391	-0.578222	-0.393869	0.100617	0.112072	-0.106242	-0.987751	-0.022389
10	-0.473064	-0.166345	0.554950	0.663755	0.041295	0.180773	0.026478	0.982301

Table 2.3: Euler parameters for proposed approach

T(sec)	$e_{A_0}$	$e_{A_1}$	$e_{A_2}$	$e_{A_3}$	$e_{B_0}$	$e_{B_1}$	$e_{B_2}$	$e_{B_3}$
0	0.273153	0.181507	-0.154285	0.932008	-0.137877	0.358862	0.255014	0.887229
1	0.601657	-0.281299	0.249476	0.704728	-0.135954	0.961025	0.126427	-0.204852
2	0.172573	-0.830607	0.483631	-0.215432	-0.541611	-0.090728	0.584365	-0.597448
3	0.557720	-0.395463	0.480394	0.549344	-0.627860	0.733431	-0.043389	-0.256880
4	0.114685	-0.080346	0.471449	0.870705	0.699314	0.429925	-0.314040	-0.476973
5	0.490451	-0.854733	-0.163858	-0.045175	-0.371157	-0.378702	-0.697459	0.482055
6	0.513537	-0.523332	0.464964	0.496198	0.438356	-0.751934	-0.076442	0.486412
7	-0.355745	-0.102557	0.857771	0.356591	0.520117	-0.030659	0.542351	-0.659085
8	0.759543	-0.493050	-0.056060	0.420540	-0.381786	0.018262	-0.911075	0.154429
9	0.707392	-0.578223	-0.393866	0.100615	0.112090	-0.106237	-0.987749	-0.022392
10	-0.473114	-0.166395	0.554901	0.663748	0.041267	0.180819	0.026667	0.982288

Table 2.4: Euler parameters when the rotation matrices are integrated directly

T(sec)	$e_{A_0}$	$e_{A_1}$	$e_{A_2}$	$e_{A_3}$	$e_{B_0}$	$e_{B_1}$	$e_{B_2}$	$e_{B_3}$
0	0.273153	0.181507	-0.154285	0.932008	-0.137877	0.358862	0.255014	0.887229
1	0.601657	-0.281299	0.249476	0.704728	-0.135954	0.961025	0.126427	-0.204852
2	0.172573	-0.830607	0.483631	-0.215432	-0.541611	-0.090728	0.584365	-0.597448
3	0.557720	-0.395463	0.480394	0.549344	-0.627860	0.733431	-0.043389	-0.256880
4	0.114685	-0.080346	0.471449	0.870705	0.699314	0.429925	-0.314040	-0.476973
5	0.490451	-0.854733	-0.163858	-0.045175	-0.371157	-0.378702	-0.697459	0.482055
6	0.513537	-0.523332	0.464964	0.496198	0.438356	-0.751934	-0.076442	0.486412
7	-0.355745	-0.102557	0.857771	0.356591	0.520117	-0.030659	0.542351	-0.659086
8	0.759543	-0.493050	-0.056061	0.420540	-0.381788	0.018262	-0.911075	0.154427
9	0.707393	-0.578225	-0.393864	0.100613	0.112105	-0.106232	-0.987748	-0.022395
10	-0.473157	-0.166439	0.554859	0.663741	0.041242	0.180859	0.026831	0.982277

matrix and without explicitly designating one of the Euler parameters as a dependent variable. A simulation showed that the proposed approach also yielded energetically consistent predictions because the changes in energy remained on or below the order of the numerical integration tolerance. Thus, the proposed approach provides a simple means of addressing the dependency in Euler parameters in a manner that results in an energetically consistent motion of the system.

## CHAPTER 3

### SIMULATION OF A PARTICLE IN AN OPTICAL TRAP

#### 3.1 Introduction

This chapter presents the simulation model for a particle in an optical trap. Different forces acting on a particle are discussed here. This chapter also discusses different methods used for numerically integrating the equations of motion of the particle along with ways to reduce the computation time. The model scaling approach is also discussed here.

An optical trap is formed when a laser beam is focused with an objective lens of high numerical aperture (NA). A dielectric particle near the focal point experiences a force due to the momentum transfer and due to the electric field from the scattering of the laser. Figures 3.1(a),(b) and (c) describe the effect of a trap on a dielectric particle in terms of the total force due to a typical pair of rays R1 and R2 of the converging laser beam, under the assumption that the surface of the particle does not reflect light. In this approximation, the forces F1 and F2 are entirely due to the momentum change as a result of the refraction of incident rays R1 and R2. The forces are shown pointing in the direction of the momentum change.

Figure 3.1(a) and (b) showcase an arbitrary displacement of the particle origin P from the focal point f in the vertical direction, the magnitudes of F1 and F2 are the same and their vector sum gives a net restoring force F directed back to the focal point, and showcase the stability of the trap. Similarly, in Fig. 3.1(c), for arbitrary displacements of the particle center P from the focal point f in the horizontal direction, the magnitudes of F1 and F2 are different (shown by the change in thickness) and

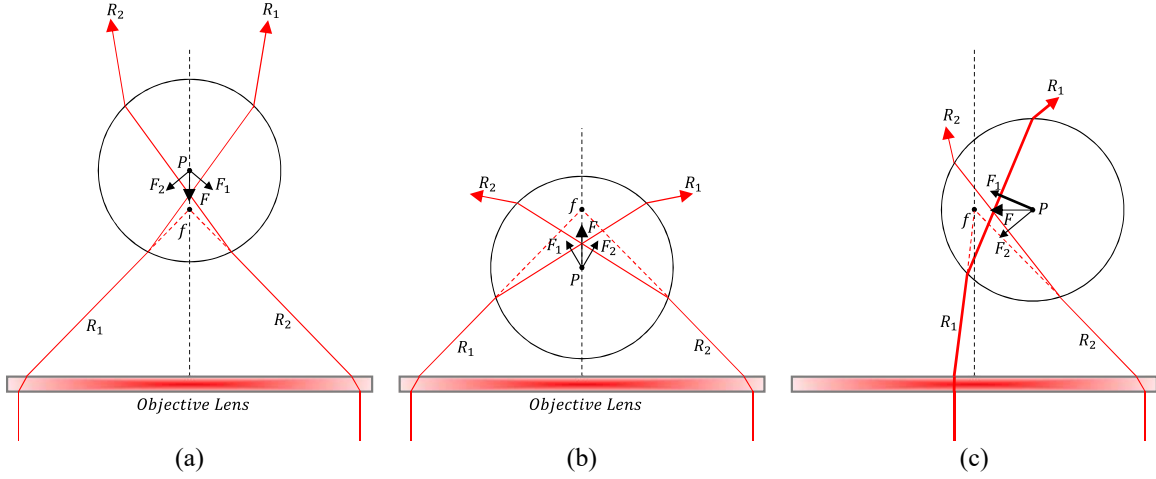


Figure 3.1: Arbitrary displacement of a trapped particle in the vertical direction, (a) and (b), and in the horizontal direction, (c)

their vector sum gives a net restoring force  $F$  directed back to the focal point. This difference in  $F_1$  and  $F_2$  arises from different intensities of the rays refracting through the particle. The shaded red bar at the bottom of Figs. 3.1(a),(b) and (c) represent the Laser's intensity profile which follows a Gaussian distribution.

### 3.2 Model Description and Equations of Motion

The simulation model is based on a simple dynamic model of a sphere in a fluid medium. The equations of motion for a particle trapped in an optical tweezer can be given as

$$A\ddot{\mathbf{q}} = \mathbf{\Gamma}(\mathbf{q}, \dot{\mathbf{q}}, t) \quad (3.1)$$

where,  $A$  is known as the mass matrix,  $\ddot{\mathbf{q}}$  is a  $3 \times 1$  generalized acceleration vector (also the cartesian acceleration in this case) and  $\mathbf{\Gamma}(\mathbf{q}, \dot{\mathbf{q}}, t)$  is a  $3 \times 1$  vector representing generalized forces. The generalized active forces for an optical tweezer can be expanded into

$$\mathbf{\Gamma}(\mathbf{q}, \dot{\mathbf{q}}, t) = \mathbf{F}_g + \mathbf{F}_b + \mathbf{F}_d + \mathbf{F}_l + \mathbf{F}_s \quad (3.2)$$

as shown in Fig 3.2. Where,  $\mathbf{F}_g$  represents the gravitational force,  $\mathbf{F}_b$  is the buoyant

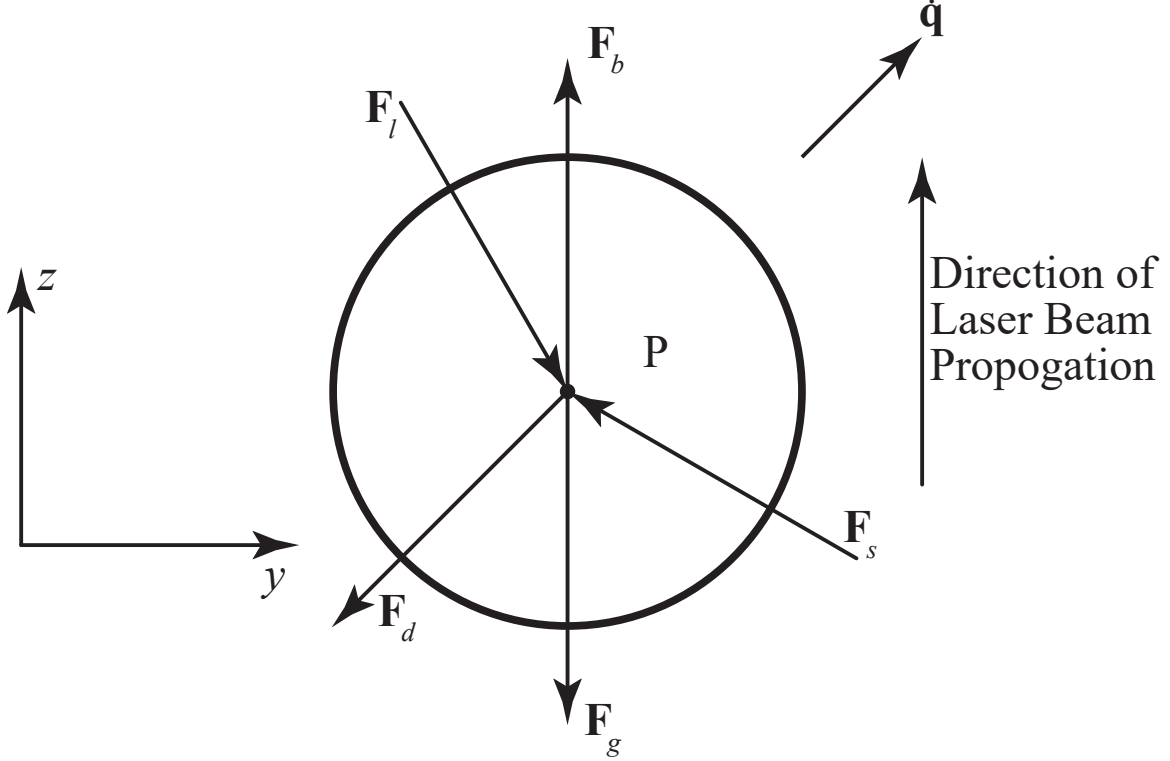


Figure 3.2: Forces acting on a particle in an optical trap

force,  $\mathbf{F}_d$  represents the viscous drag on the particle by the fluid,  $\mathbf{F}_l$  represents the effect of the laser beam interacting with the particle and  $\mathbf{F}_s$  represents the random forces associated with Brownian motion.

### 3.2.1 Gravity and Buoyancy

The gravity and buoyancy forces are set as

$$\mathbf{F}_g = m\mathbf{g} = \begin{bmatrix} 0 & 0 & -mg \end{bmatrix}^T \quad \mathbf{F}_b = -\rho_m V \mathbf{g} = \begin{bmatrix} 0 & 0 & \rho_m V g \end{bmatrix}^T \quad (3.3)$$

where,  $g$  is gravitational acceleration,  $\rho_m$  is the density of the surrounding medium and  $V$  is the volume of the surrounding medium displaced by the submerged particle.



It is clear from Eqn. (3.3) that the gravitational force act in the negative z-direction while the force due to buoyancy act in the positive z-direction.

### 3.2.2 Viscous Damping

To calculate the drag force acting on the particle, it is important to consider the characteristics of the surrounding medium. Knudsen number,  $Kn$ , can be used here to determine whether the fluid should be modeled as a continuum or as a discrete molecule system. The Knudsen number is defined as the ratio of the mean free path of the molecule of the surrounding medium, water here, and the characteristic length of the system under observation. The system under consideration has three different particle diameters 500nm, 990nm and 1950nm. The mean free path of the molecule of the surrounding medium is the same,  $\lambda_{mfp} = 0.3\text{nm}$ , for all three cases. This yields the Knudsen number of 0.0006 for the 500nm particle case. Since  $Kn = 0.0006$ , is less than 0.001, the surrounding medium can be considered as a continuum. Stokes' Law can be used to compute viscous drag force

$$\mathbf{F}_d = -\beta\dot{\mathbf{q}} = -6\pi\mu_m r \begin{bmatrix} \dot{q}_x & \dot{q}_y & \dot{q}_z \end{bmatrix}^T \quad (3.4)$$

where,  $\dot{q}_x$ ,  $\dot{q}_y$  and  $\dot{q}_z$  are the translational velocities of the particle in each direction,  $\mu_m$  is the dynamic viscosity of the fluid medium and  $r$  is the radius of the particle. It should be noted that the viscous drag acts opposite to the velocity of the particle.

### 3.2.3 Laser Beam Force

Beam force calculation for optical trap varies based on the size of the particle,  $r$ , relative to the wavelength,  $\lambda$ , of light used to trap it. At the macroscopic scale,  $r \gg \lambda$ , calculations based on the Ray-optics regime[4] are used. On the contrary, at the microscopic scale,  $r \ll \lambda$ , calculations based on the Rayleigh regime[88] are

used. Calculating the beam force at the mesoscopic scale,  $r \approx \lambda$ , is mathematically the most challenging [50]. At this scale, the beam force can be calculated using the Generalized Lorentz-Mie theory (GLMT)[30, 65].

The total force from the laser beam is generally divided into two components. First is the scattering force,  $\mathbf{F}_{l_s}$ , which acts in the direction of the laser propagation. The second is the gradient force,  $\mathbf{F}_{l_g}$ , which acts in the direction of the laser intensity gradient. The most commonly used laser intensity profile is a Gaussian profile, also known as the lowest order Transverse Electromagnetic (TEM<sub>00</sub>) mode[101], which is defined as[96],

$$I_0(\rho) = \frac{2P_t}{\pi w^2} \exp\left(-\frac{2\rho^2}{w^2}\right) \quad (3.5)$$

where,  $w$  is the beam radius,  $P_t$  is the total beam power and  $\rho$  is the radial distance from the center of the beam.

In the Rayleigh regime, since the particle is extremely small compared to the wavelength of the laser, it is modeled as a dipole in the electromagnetic field of the laser beam. The scattering force from the laser is proportional to the light intensity at the location of the particle while the gradient force is proportional to the gradient of the light intensity around the particle.

$$\begin{aligned} \mathbf{F}_{l_s} &= \frac{I_0 \sigma n_m}{c} & \sigma &= \frac{128\pi^5 r^6}{3\lambda^4} \left(\frac{m^2 - 1}{m^2 + 1}\right)^2 \\ \mathbf{F}_{l_g} &= \frac{2\pi\alpha}{cn_m^2} \nabla I_0 & \alpha &= n_m^2 r^3 \left(\frac{m^2 - 1}{m^2 + 2}\right) \end{aligned} \quad (3.6)$$

where,  $\sigma$  is known as the scattering cross section,  $\alpha$  is known as the polarizability of the particle,  $c$  is the speed of light in vacuum,  $n_m$  is the refractive index for the medium, and  $m$  is the ratio of the refractive index for the particle ( $n_p$ ) to the refractive index for the medium.

In the Ray-optics regime, the laser beam is discretized into several rays, and the approach of geometric optics is used to calculate the forces exerted by each ray on the particle. The contribution of each ray to the total scattering and gradient force on the particle is[4]

$$\begin{aligned}\mathbf{F}_{l_s} &= \frac{n_m P}{c} \left[ 1 + R \cos 2\theta - \frac{T^2 [\cos(2\theta - 2\phi) + R \cos 2\theta]}{1 + R^2 + 2R \cos 2\phi} \right] \\ \mathbf{F}_{l_g} &= \frac{n_m P}{c} \left[ R \sin 2\theta - \frac{T^2 [\sin(2\theta - 2\phi) + R \sin 2\theta]}{1 + R^2 + 2R \cos 2\phi} \right]\end{aligned}\tag{3.7}$$

where,  $P$  is the power of the ray under consideration,  $\theta$  and  $\phi$  are the angle of incidence and the angle of refraction respectively, and  $R$  and  $T$  are the Fresnel Refraction and the Fresnel Transmission coefficients.

The third and more generalized approach known as the Generalized Lorenz-Mie theory (GLMT)[30, 65] computes the laser force on the particle using the conservation law of electromagnetic wave momentum. The total beam force, including both scattering and gradient, is computed by evaluating the flux of the Maxwell stress tensor through any virtual surface enclosing the object. The equation for this force is given as,

$$\mathbf{F}_l = \oint_S T_{ij} \cdot n_j dS\tag{3.8}$$

where,  $n_j$  is the unit vector normal to the enclosing surface,  $S$ , and  $T_{ij}$  is the Maxwell stress tensor. With recent advances in this field, it is easy to calculate the beam forces for both smaller and larger diameter particles[100]. However, it also comes with large computational costs exponentially increasing with the difference in particle size and wavelength.

The simulations and experiments provided in this work are done on particles with diameters of 500nm, 990nm and 1950nm. The wavelength of the laser used is 1064nm. Note that all three particle diameters are very close to the wavelength of

the laser. Thus, this work uses a MATLAB toolbox[64, 53] for computing the laser beam forces using GLMT.

### 3.2.4 Brownian Motion Force

Stochastic forces are modeled to represent the thermal noise and interactions between modeled and non-modeled bodies. These forces essentially represent the Brownian motion. It is modeled as random forces acting at the mass center of the particle. They are implemented as Gaussian White noise. The random forces are defined as

$$\mathbf{F}_s = \sqrt{2k_B T \beta} \boldsymbol{\eta}(t) = \sqrt{2k_B T \beta} \begin{bmatrix} \eta_x(t) & \eta_y(t) & \eta_z(t) \end{bmatrix}^T \quad (3.9)$$

where, each component of random force,  $\eta_i(t)$ , is an independent random Gaussian process where for all  $t$  and  $t'$ [80]

$$E[\eta_i(t)] = 0 \quad E[\eta_i(t)\eta_i(t')] = \delta(t - t') \quad (3.10)$$

The above equations imply that the mean value,  $E[\eta_i(t)]$ , of the forces associated with the Brownian motion is zero and the values at two distinct times,  $t$  and  $t'$ , don't correlate,  $E[\eta_i(t)\eta_i(t')]$ , with each other.

### 3.2.5 Complete Model

Substituting the equations for all the forces into the equation of motion for the particle (3.1) yields

$$m\ddot{\mathbf{q}} = \underbrace{m\mathbf{g}}_{\mathbf{F}_g} - \underbrace{\rho_m V \mathbf{g}}_{\mathbf{F}_b} - \underbrace{6\pi\mu_m r \dot{\mathbf{q}}}_{\mathbf{F}_d = \beta \dot{\mathbf{q}}} - \underbrace{K\mathbf{q}}_{\mathbf{F}_l} + \underbrace{\sqrt{2k_B T \beta} \boldsymbol{\eta}(t)}_{\mathbf{F}_s} \quad (3.11)$$

Note that the laser force is added here as a linear spring using a stiffness matrix  $K$ . This is true when the particle is in a close vicinity of the focal point[62], regardless

of the approach used for calculating the laser beam force. This approximation helps with some simple analysis performed in later sections. However, the simulations are still performed by calculating the laser force using GLMT.

### 3.3 Model Scaling Approach

A quick analysis of Eqn. (3.11) shows that a  $2\mu\text{m}$  diameter glass particle will have the mass of  $m = 8.3776 \times 10^{-15} \text{ kg}$  and the damping coefficient of  $\beta = 1.8887 \times 10^{-8} \frac{Ns}{m}$ . This imbalance between mass and drag coefficient,  $\mathcal{O}(10^{-7})$ , makes the system stiff. The proposed method tackles the stiffness problem by first determining a small number from the model, which in this case is  $m/\beta = 4.4356 \times 10^{-7} \text{ s}$ . Rewriting Eqn. (3.11) yields,

$$\mathbf{0} = \varepsilon (1 \text{ s}) \ddot{\mathbf{q}} - \frac{1}{\beta} \mathbf{F} + \dot{\mathbf{q}} = \varepsilon \ddot{\mathbf{q}} - \frac{1}{\beta} \mathbf{F} + \dot{\mathbf{q}} \quad (3.12)$$

where  $\varepsilon = 4.4356 \times 10^{-7}$  is unitless and  $\mathbf{F}$  is a sum of all the forces except the drag force. This small parameter  $\varepsilon$  is used to introduce the slower time scales as

$$T_0 = \varepsilon^0 t \quad T_1 = \varepsilon^1 t \quad T_2 = \varepsilon^2 t \quad \dots \quad T_n = \varepsilon^n t \quad (3.13)$$

The time derivatives  $\dot{\mathbf{q}}$  and  $\ddot{\mathbf{q}}$  can now be expanded into an asymptotic series as

$$\dot{\mathbf{q}} = \frac{d\mathbf{q}}{dt} = \varepsilon^0 \frac{\partial \mathbf{q}}{\partial T_0} + \varepsilon^1 \frac{\partial \mathbf{q}}{\partial T_1} + \varepsilon^2 \frac{\partial \mathbf{q}}{\partial T_2} + \dots \quad (3.14)$$

and

$$\ddot{\mathbf{q}} = \frac{d^2 \mathbf{q}}{dt^2} = \sum_{i=0}^{\infty} \sum_{j=0}^{\infty} \varepsilon^i \varepsilon^j \frac{\partial^2 \mathbf{q}}{\partial T_i \cdot \partial T_j} \quad (3.15)$$

Substituting these expansions into Eqn. (3.12) and rearranging the terms in the increasing order of  $\varepsilon$  yields

$$\mathbf{0} = \varepsilon^0 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) + \varepsilon^1 \left( \frac{\partial^2 \bar{\mathbf{q}}}{\partial T_0^2} + \frac{\partial \mathbf{q}}{\partial T_1} \right) + \dots \quad (3.16)$$

Note that the first term on the right side of Eqn. (3.16) contains all generalized active forces. The second term contains generalized inertia forces and the first set of higher-order terms. Considering how  $\varepsilon$  is defined, the difference between  $\varepsilon^0 = 1$  and  $\varepsilon^1 = 4.4356 \times 10^{-7}$  is fairly large, and so, it is necessary for the first term to largely cancel if the right side of Eqn. (3.16) is equal to zero. From the standpoint of multi-body dynamics, if forces cancel each other, they do no work and produce no motion. Such forces can thus be omitted from equations of motion.

The scaling of the generalized active forces is achieved by decomposing the first term of Eqn. (3.16) into small and large parts as

$$\varepsilon^0 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) = (a_1 + a_2) \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) \quad (3.17)$$

where,  $a_1 + a_2 = \varepsilon^0 = 1$  and  $a_1 \gg a_2$ . Substituting Eqn. (3.17) back into Eqn. (3.16) yields

$$\mathbf{0} = a_1 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) + a_2 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) + \varepsilon^1 \left( m \frac{\partial^2 \bar{\mathbf{q}}}{\partial T_0^2} + \frac{\partial \mathbf{q}}{\partial T_1} \right) + \dots \quad (3.18)$$

As discussed, the assumption here is that the large part of generalized active forces  $\Gamma(\mathbf{q}, \dot{\mathbf{q}}, t)$  cancel to the extent that it can be removed from Eqn. (3.18), yielding a second-order model of the form

$$\mathbf{0} = a_2 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) + \varepsilon^1 \left( \frac{\partial^2 \bar{\mathbf{q}}}{\partial T_0^2} + \frac{\partial \mathbf{q}}{\partial T_1} \right) + \dots \quad (3.19)$$

$$\therefore \mathbf{0} = \beta \varepsilon \ddot{\mathbf{q}} - a_2 \mathbf{F}(\mathbf{q}, t) + a_2 \beta \dot{\mathbf{q}} \quad (3.20)$$

$$\therefore m(\mathbf{q}) \ddot{\mathbf{q}} + a_2 \beta \dot{\mathbf{q}} = a_2 \mathbf{F}(\mathbf{q}, t) \quad (3.21)$$

Note that the differential equation above is similar to Eqn. (3.12) except here the external forces are scaled by a scalar,  $a_2$ .

Quantity	Definition	Units	Value
$T$	Temperature	K	293.15
$k_B$	Boltzmann Constant	$\text{kg} \cdot \text{m}^2/\text{s}^2 \cdot \text{K}$	$1.38 \times 10^{-23}$
$\rho_s$	Particle density	$\text{kg}/\text{m}^3$	2000
$r_s$	Particle radius	m	$1.00 \times 10^{-6}$
$g$	Gravitational Acceleration	$\text{m}/\text{s}^2$	9.80665
$\rho_m$	Density of fluid	$\text{kg}/\text{m}^3$	998.2071
$\mu_m$	Dynamic viscosity of fluid	$\text{kg}/\text{m} \cdot \text{s}$	0.001002
$n_s$	Refractive index of particle	Unitless	1.45
$n_m$	Refractive index of fluid	Unitless	1.33
$c$	Speed of light in a vacuum	$\text{m}/\text{s}$	299792458
$NA$	Numerical aperture	Unitless	1.2
$\lambda$	Laser wavelength	m	$1.06 \times 10^{-6}$
$P_0$	Laser Beam Power	$\text{kg} \cdot \text{m}^2/\text{s}^2$	$3.00 \times 10^{-1}$
$\mathbf{q}$	Initial position	m	$[-0.16 \ 0.35 \ 0.0] \times 10^{-6}$
$\dot{\mathbf{q}}$	Initial velocity	$\text{m}/\text{s}$	$[0.0 \ 0.0 \ 0.0] \times 10^{-6}$

Table 3.1: System parameters and initial conditions used for the simulation

### 3.4 Simulation and Experimental Setup

The scaled model for a particle in an optical tweezer, developed in the previous section, should not be integrated using an ODE solver. This is because it has a stochastic term in the form of the Brownian Motion force,  $\mathbf{F}_s$ . Here, the scaled system of differential equations, Eqn. (3.21), is solved using five different SDE solvers available in Julia programming language’s package `DifferentialEquation.jl`. Moreover, the statistical nature of the SDEs implies that the solution of these SDEs will have a mean and variance. There are two possible approaches to achieve such a solution. One is by running numerous simulations and then averaging the results to obtain the mean and the variance[49]. An alternative approach to this is to use the Fokker-Planck equation[74] which operates in the probability space. The Fokker-Planck equation is a partial differential equation (PDE) that, when solved, results in the probability density function of the particle’s position over time. The solution may require the use of analytical or numerical approaches[103] to solving PDEs depending

on the external forces acting on the system. Here, the first approach is used. To achieve good average and variance values, each solver computes 10000 trajectories with the same initial condition for both scaled and unscaled systems. The initial condition and the system parameters are given in Table 3.1. The simulations were run on an AMD Ryzen 5 5600X CPU with 32GB RAM. A sample of the code to run such simulations is available in Appn. F.

The experimental data was obtained by optical trapping of a  $2\mu\text{m}$  diameter silica particle. The experiments were conducted by Dr. Peter Goodwin at the Center for Integrated Nanotechnologies (CINT) at the Los Alamos National Laboratory (LANL). A simplified version of the experimental setup used at CINT to obtain the experimental data is shown in Fig. 3.3. The detection laser, operating at 670nm, is used to illuminate the particle and capture its position using a Quadrant Photodiode Detector (QPD). Filtered green light from an incandescent lamp was used to illuminate the field of view of a camera that simultaneously recorded the trajectory of the particle falling into the optical trap. It should be noted that these devices can only accurately measure the particle's position in the focal plane, which is perpendicular to the direction of laser propagation at the sample stage. After a particle is trapped, the trapping laser is occluded, by electronic modulation of the trapping laser, to allow the particle to diffuse by Brownian motion. The occlusion is removed after approximately 100 ms and the particle returns to the focal point. This unoccluded portion of the particle's trajectory is compared with the simulation.

### 3.5 Results and discussion

Figure 3.4 plots simulation data against the experimental data. Here, a single simulated trajectory from each simulation is compared with the experimental data using different scaling factors,  $a_2 = \{1, 0.1, 0.3, 0.01\}$ . The 0 value on the displacement



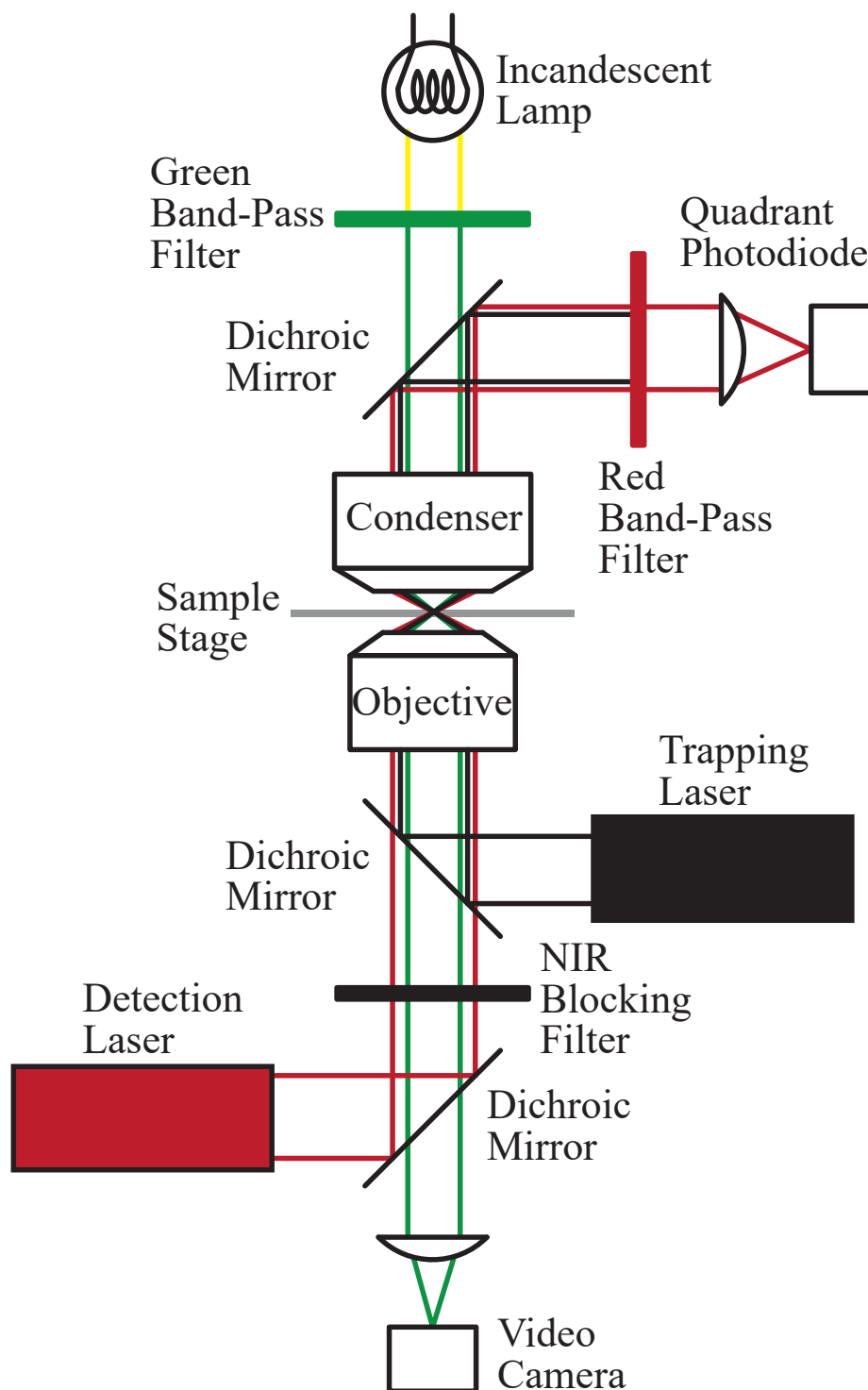


Figure 3.3: Experimental setup of the Optical Tweezer at the Los Alamos National Laboratory

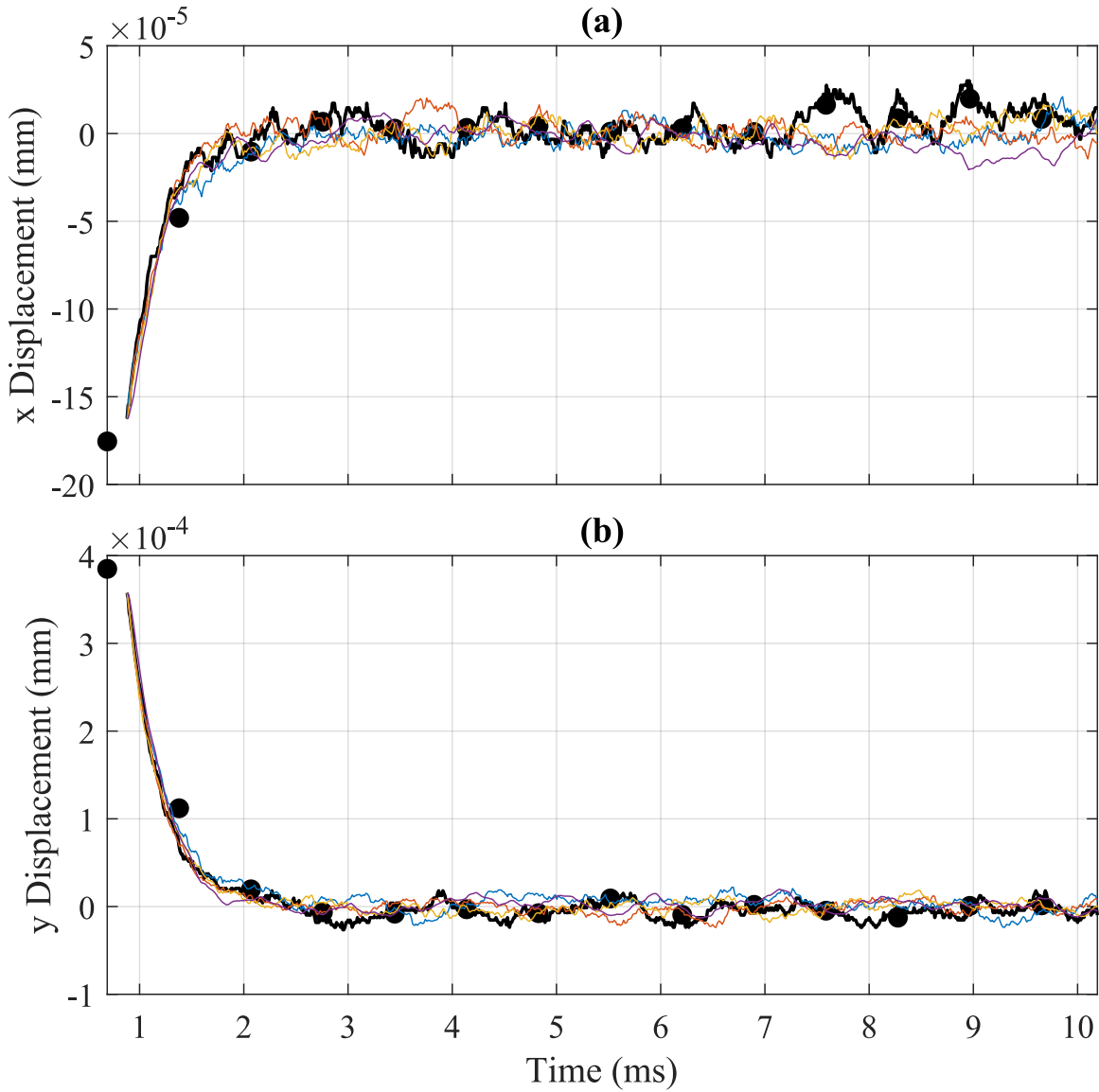


Figure 3.4: Simulation result at different scaling factors,  $a_2 = 1$ (—),  $a_2 = 0.3$ (—),  $a_2 = 0.1$ (—),  $a_2 = 0.01$ (—), and experimental data from the camera(●) and QPD(—)

axis of both Figs. 3.4a and 3.4b represent the focal point of the optical tweezer. Note that regardless of the scaling factor, the overall trajectory from each simulation matches with the experimental data. It can also be observed that the high-frequency detail in the particle's trajectory reduces as the scaling factor is decreased.

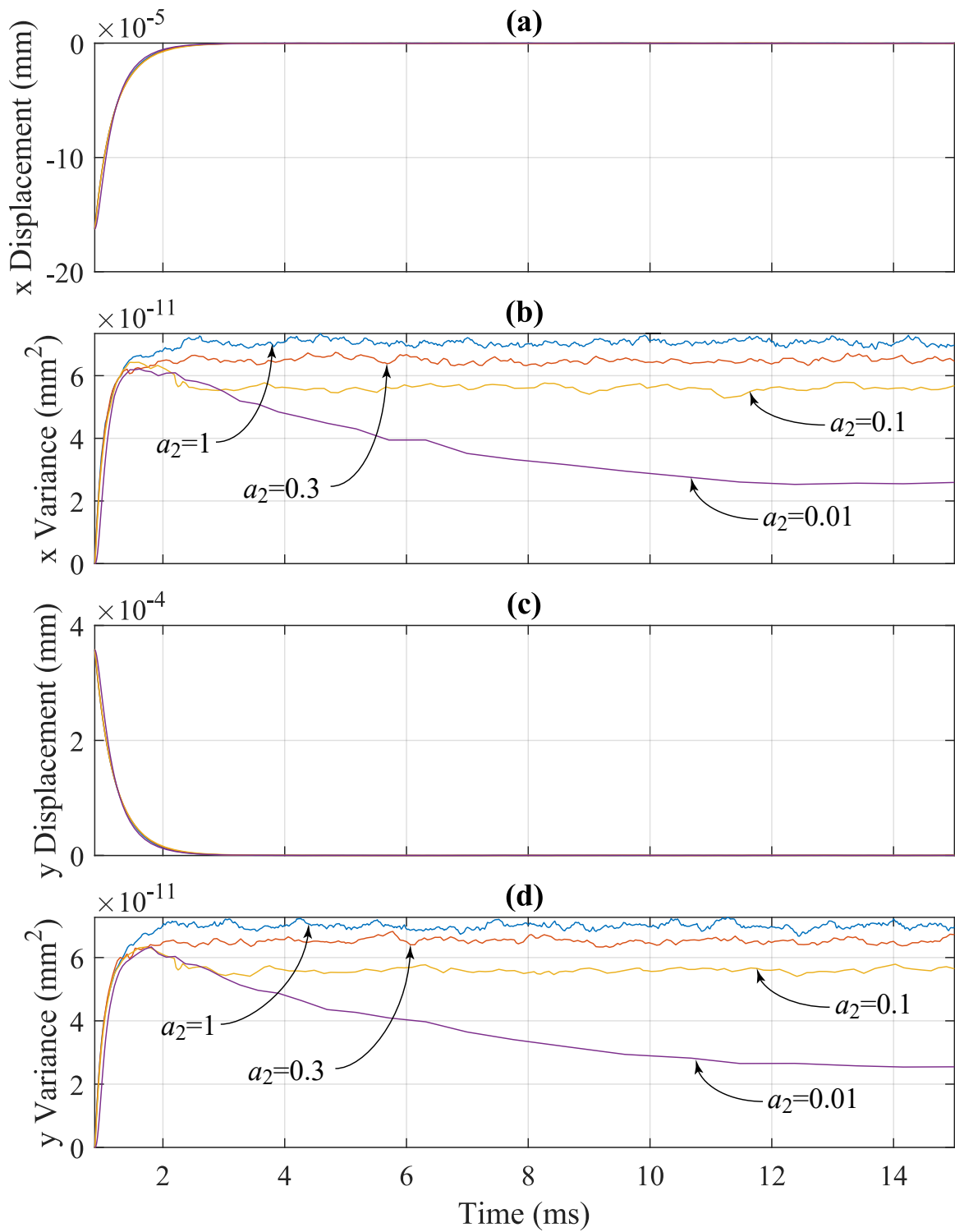


Figure 3.5: Statistics of the simulation result at different scaling factors

A comparison of different scaling factors is shown in Fig. 3.5. This comparison provides a statistical summary of 10,000 simulations. Note that as the system is scaled more, the mean trajectory and variance deviate from the unscaled system. However, this deviation is more pronounced in the variance values than in the mean trajectory. The computational time required for each solver for the different cases is given in Table 3.2. Although the SDE solvers are quite fast on their own, it is clear that the scaled system requires much less computational time than the unscaled system. However, it is important to choose a scaling factor carefully so that the final solution does not deviate much from the unscaled system. Also, note that some solvers did not converge (DNC) to a solution at a smaller scaling factor. The choice of an appropriate scaling factor is dependent on the PSD analysis which, is discussed in the next chapter.

Method	Scaling Factor			
	1	0.3	0.1	0.01
ImplicitEM	163.42	79.38(51.4%)	47.54(70.9%)	24.48(85.0%)
ImplicitEulerHeun	184.55	80.46(56.4%)	47.74(74.1%)	25.41(86.2%)
ImplicitRKMil	131.95	58.78(55.4%)	35.41(73.1%)	18.03(86.3%)
ISSEM	204.87	96.37(52.9%)	55.41(72.9%)	DNC
ISSEulerHeun	204.27	97.36(52.3%)	55.61(72.7%)	DNC

Table 3.2: Computational time in seconds, and percent decrease in computation time as compared to the unscaled system for different adaptive SDE solvers with different scaling factors

### 3.6 Conclusion

A novel scaling approach based on the method of multiple scales was discussed in this work, which reduces the computational time required to solve stiff stochastic differential equations using modern adaptive SDE solvers. An example of a  $2\mu\text{m}$

silica particle in an optical tweezer was used to present the effectiveness of the proposed approach. It was also shown that even though the scaling approach changes the differential equations of the system and reduces the high-frequency oscillation, the solution remains in the close vicinity of the experimental data. The proposed approach required 82.47sec of computation time on average for the scaling factor of 0.3, which is more than twice as fast as the computation time required to solve the unscaled system.

## CHAPTER 4

### UNDERDAMPED MOTION AND PSD ANALYSIS

#### 4.1 Introduction

The model developed in the last chapter includes forces that contribute the most to the behavior of a particle in an optical tweezer. However, the underdamped behavior observed in the old experimental data[34], presented in Section 1.4, could only be replicated using the scaled model. Furthermore, current literature [72, 63, 62, 70] also suggests that the conventional model will not predict the underdamped motion observed in the experimental data. This chapter provides a mathematical analysis and discussion of why this is the case and suggests a new experimental investigation for further analysis of this phenomenon.

A Power Spectral Density (PSD) analysis[8, 9] is commonly used for calibrating the optical tweezers, i.e. computing the trap stiffness. This chapter presents a theoretical background on the PSD analysis and compares the PSD of the scaled and the unscaled system. This comparison also showed a discrepancy between the scaled and unscaled model similar to the particle trajectories[43]. The simulated PSD of the scaled model provides a different profile than previously observed[44, 90, 52]. However, the lack of data from the old experiment increases the need for new experiments to compare the PSD profile. This chapter provides a discussion on the comparison and defines the requirements for future experiments.

This chapter also discusses an approach to take advantage of the PSD analysis to estimate the value of the scaling factor without the use of experimental data. However, this approach relies on keeping the PSD profile close to the one given by

the unscaled model. Thus, the underdamped behavior is not shown by this approach unless the unscaled model shows the underdamped behavior.

#### 4.2 Investigation of the underdamped motion

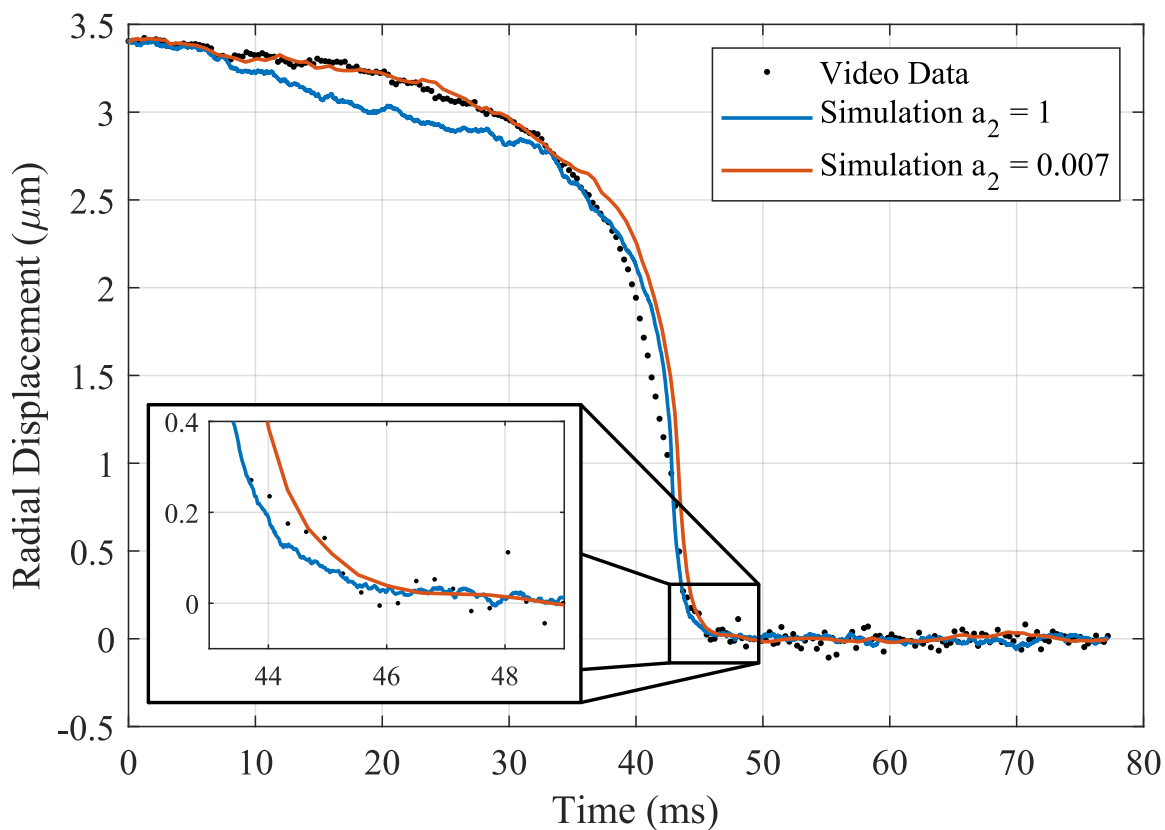


Figure 4.1: Comparison of experimental, simulated unscaled, and simulated scaled trajectory of 1950nm diameter particle

A comparison of the experimental data with the simulated trajectory from the unscaled model and the scaled model for 1950nm, 990nm and 500nm diameters particles is shown in Figs. 4.1, 4.2 and 4.3 respectively. Note that there is an observable overshoot of  $\approx 100\text{nm}$  in the experimental trajectory of the 500nm diameter particle. Such an overshoot is not visible in the trajectory of the 1950nm diameter particle.

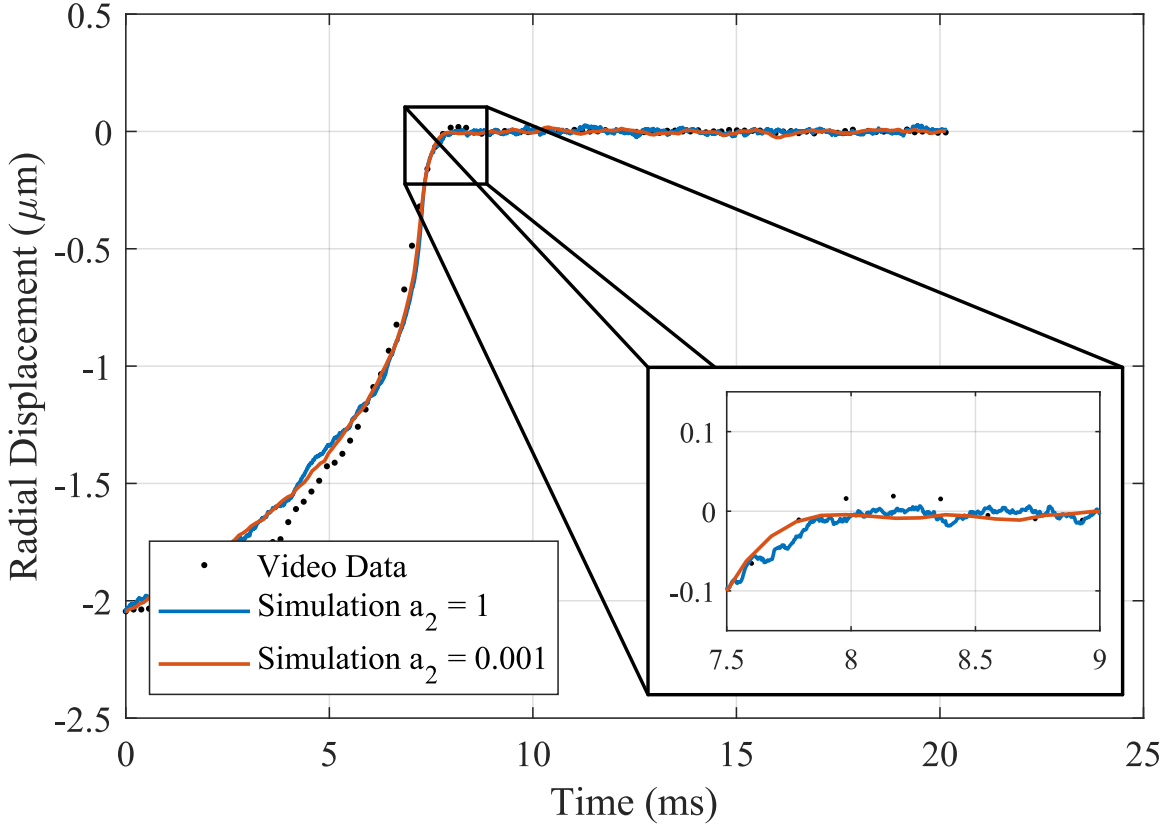


Figure 4.2: Comparison of experimental, simulated unscaled, and simulated scaled trajectory of 990nm diameter particle

Moreover, the simulated trajectory of the unscaled model does not have a noticeable overshoot near the focal point for any particle size.

The lack of underdamped motion in the unscaled model can be explained by analyzing a simplified version of the particle's EOMs given in Eqn. (3.11). Note that Eqn. (3.11) is similar to a spring-mass-damper system with a step input if the Brownian motion forces are ignored. Thus, a rearranged version of Eqn. (3.11) can be given as

$$m\ddot{\mathbf{q}} + 6\pi\mu_m r\dot{\mathbf{q}} + K\mathbf{q} = (m - \rho_m V)\mathbf{g} \quad (4.1)$$

Assuming that there is a  $1.95\mu\text{m}$  diameter polystyrene ( $\rho = 1060\text{kg}/\text{m}^3$ ) particle in the optical trap surrounded by water, the mass of the particle would be  $m \approx 4.1154 \times$



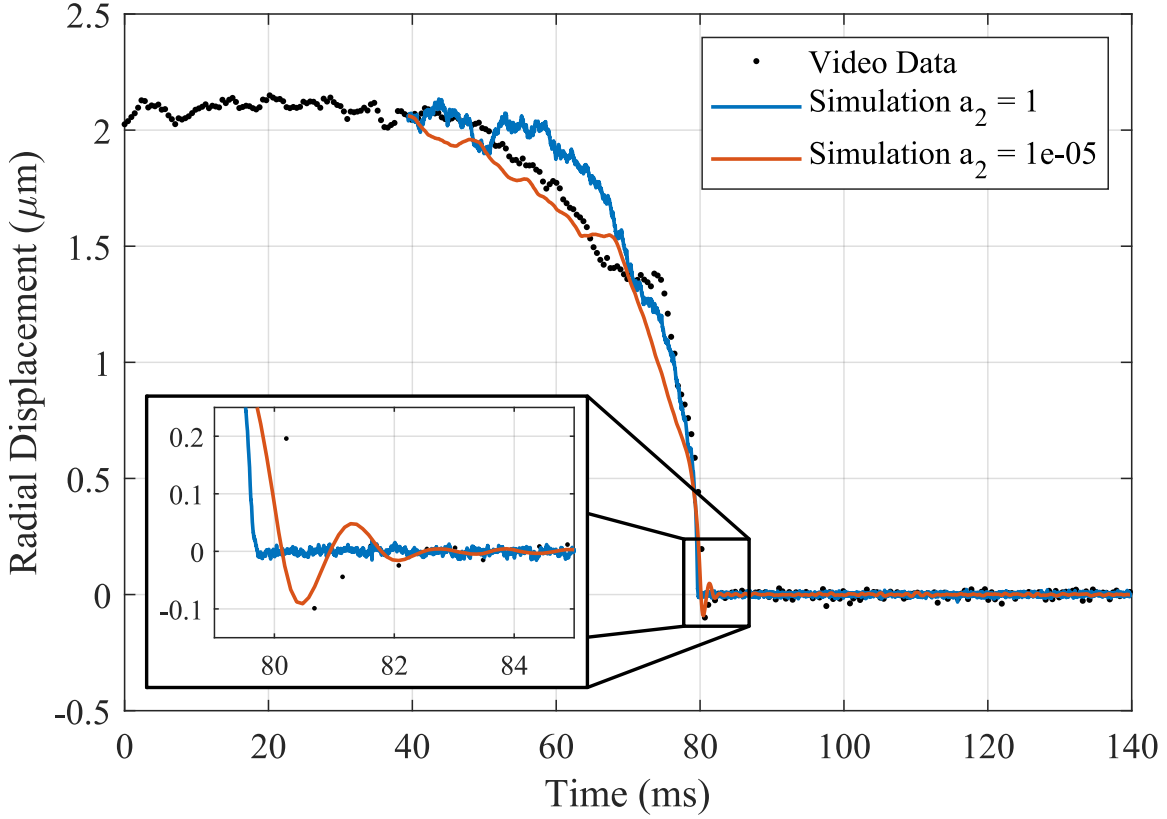


Figure 4.3: Comparison of experimental, simulated unscaled, and simulated scaled trajectory of 500nm diameter particle

$10^{-15}kg$  and the damping coefficient would be  $\beta = 1.8415 \times 10^{-8} N \cdot s/m$ . These values can help us estimate the trap stiffness needed to achieve the underdamped behavior using the equation of damping ratio, given as

$$\zeta = \frac{\beta}{2\sqrt{km}} \leq 1 \quad (4.2)$$

$$\therefore k \geq \frac{\beta^2}{4m} = 2.0601 \times 10^{-2} N/m = 2.0601 \times 10^4 \text{pN}/\mu\text{m} \quad (4.3)$$

The calculation above shows that the trap stiffness must be larger than  $2.0601 \times 10^4 \text{pN}/\mu\text{m}$  for a  $1.95\mu\text{m}$  diameter particle to show a visible underdamped behavior. This situation becomes worse as the particle size reduces. For instance, the trap stiffness will have to be at least  $8.0342 \times 10^4 \text{pN}/\mu\text{m}$  for a 500nm polystyrene particle

to show an underdamped behavior. The stiffness achieved by an optical tweezer for such an experiment is typically limited to  $1000\text{pN}/\mu\text{m}$  [75, 87, 59, 79]. Thus, it is clear that the unscaled model should have an overdamped response near the focal point as per this theoretical analysis.

A similar analysis can be performed for the scaled model, Eqn. (3.21). The rearranged scaled EOMs for a particle can be given as

$$m\ddot{\mathbf{q}} + a_2 6\pi\mu_m r \dot{\mathbf{q}} + a_2 K \mathbf{q} = a_2(m - \rho_m V)\mathbf{g} \quad (4.4)$$

Here, the value of the scaling factor,  $a_2$ , is chosen to match the simulated trajectory with the experimental data. Table 4.1 gives the scaling factors for different particle sizes. Values for these scaling factors can be used to estimate the trap stiffness required, from Eqn. (4.2), for the particles to show the underdamped motion. Table 4.1 also shows these trap stiffnesses, the trap stiffness required for the unscaled model to show the underdamped motion and the estimated experimental trap stiffnesses[42]. Note that the stiffness required to show an underdamped motion is much lower in the case of the scaled model as compared to the unscaled model.

Particle Diameter (nm)	1950nm	990nm	500nm
Scaling Factor	$7 \times 10^{-3}$	$1 \times 10^{-3}$	$1 \times 10^{-5}$
Experimental Stiffness (pN/ $\mu\text{m}$ )	61.54	133.34	200
Unscaled model Stiffness (pN/ $\mu\text{m}$ ) @ $\zeta = 1$	$2.0601 \times 10^4$	$4.0577 \times 10^4$	$8.0342 \times 10^4$
Scaled model Stiffness (pN/ $\mu\text{m}$ ) @ $\zeta = 1$	$1.4420 \times 10^2$	$4.0577 \times 10^1$	$8.0342 \times 10^{-1}$

Table 4.1: Trap stiffness comparison between the experiment, unscaled model and scaled model for underdamped motion of particles with different sizes

Another version of such analysis can be presented from a fluid dynamics perspective. The combination of the particle sizes and the fluid environment of the optical trapping experiment yields a situation that can be characterized by a low

particle Reynolds number ( $\text{Re}_p < 1$ ). The  $\text{Re}_p$  is meant to characterize the properties of the fluid based on the motion of an object moving through it. Particularly, it characterizes the fluid viscosity in relation to the terminal velocity of an object moving through the still fluid. The  $\text{Re}_p$  is defined as,

$$\text{Re}_p = \frac{\rho_m s L}{\mu_m} = \frac{s L}{\nu_m} = \frac{\text{Inertial Forces}}{\text{Viscous Forces}} \quad (4.5)$$

where,  $\rho_m$  is the fluid's density,  $s$  is the maximum relative velocity between an object and the fluid,  $L$  is a characteristic length (the diameter of the particle here),  $\mu_m$  is the dynamic viscosity of the fluid, and  $\nu_m$  is the kinematic viscosity of the fluid. If the fluid is not moving or still,  $s$  is the object's terminal velocity. The  $\text{Re}_p$  is interpreted as representing the relative importance between inertial and viscous forces. Thus, a small Reynolds number suggests that the inertia forces would be smaller than the viscous damping. The  $\text{Re}_p$  for all of the experiments are small,  $\text{Re}_p < 10^{-2}$ , meaning that all the particles should show an overdamped motion.

The  $\text{Re}_p$  over time for the differently sized particles are shown in Figs. 4.4 through 4.6. The  $\text{Re}_p$  for the 990nm particle is smaller than or equal to  $1 \times 10^{-3}$ , see Fig. 4.5. These small values of the  $\text{Re}_p$  should indicate overdamped motion, but the previous experimental results contradict this conclusion. Even more telling are the results for the 500nm particle in Fig. 4.6 where  $\text{Re}_p \leq 3 \times 10^{-4}$ . This should indicate an even greater inclination toward the overdamped motion of the 500nm particle, similar to what was discussed through the damping ratio calculation near Eqn. (4.2). However, the previous experimental data in Fig. 4.3 indicate just the opposite, a greater inclination toward underdamped motion. This is a significant contradiction for the predictions of a low  $\text{Re}_p$ .

The discrepancy between the experimental data and the trajectories from the unscaled model suggests that the model is either missing some forces or the force

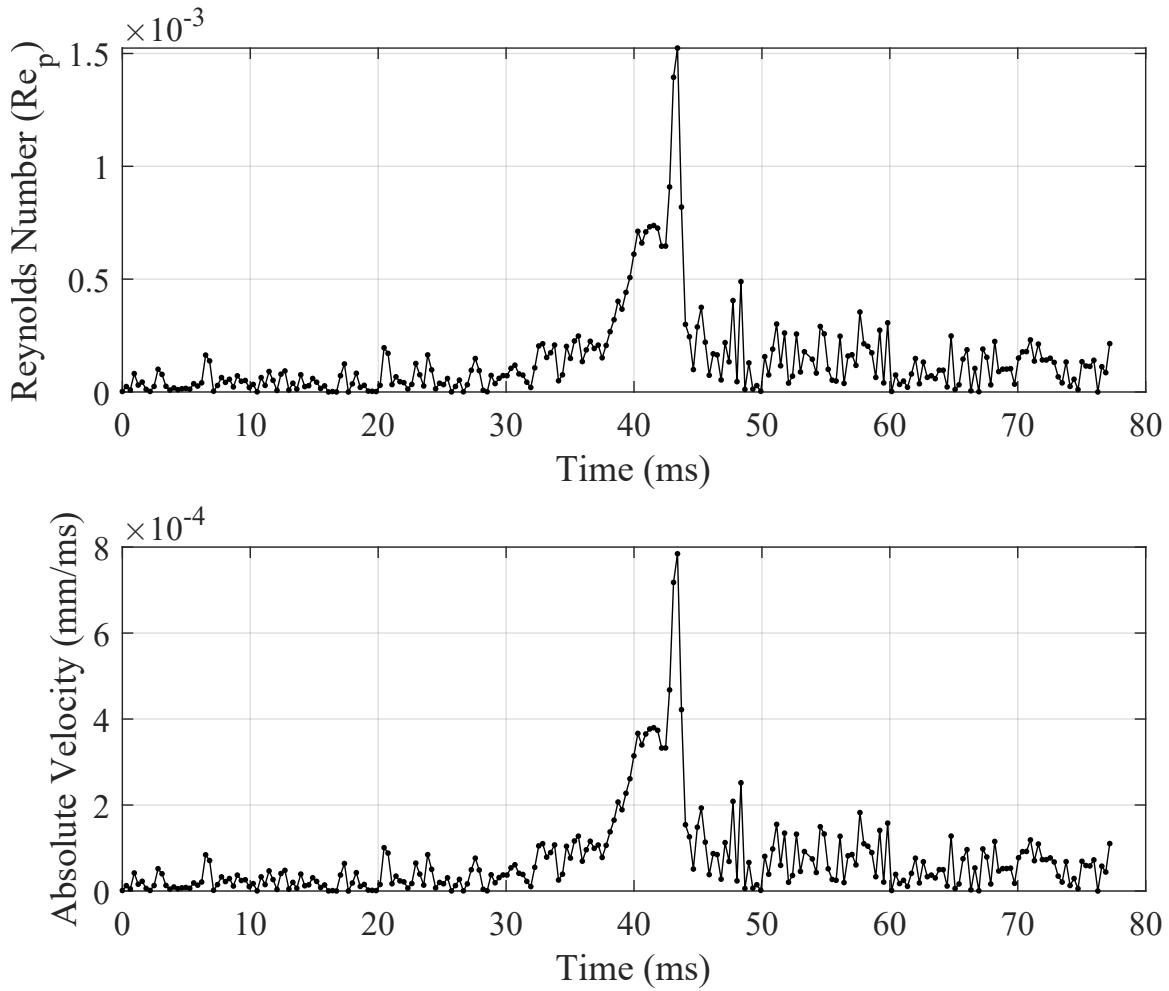


Figure 4.4: Particle Reynolds number ( $Re_p$ ) and absolute velocity for 1950nm diameter particle

models used are not accurate. The match achieved between the simulation and the experiment by the use of the scaled model strengthens the hypothesis that the force models used for damping, laser beam or both might be inaccurate. However, it was difficult to pinpoint the source of this discrepancy due to the low time resolution and the lack of repeatable experimental data. Thus, it was necessary to do the experiments again to collect new high-resolution, reliable and repeatable data.

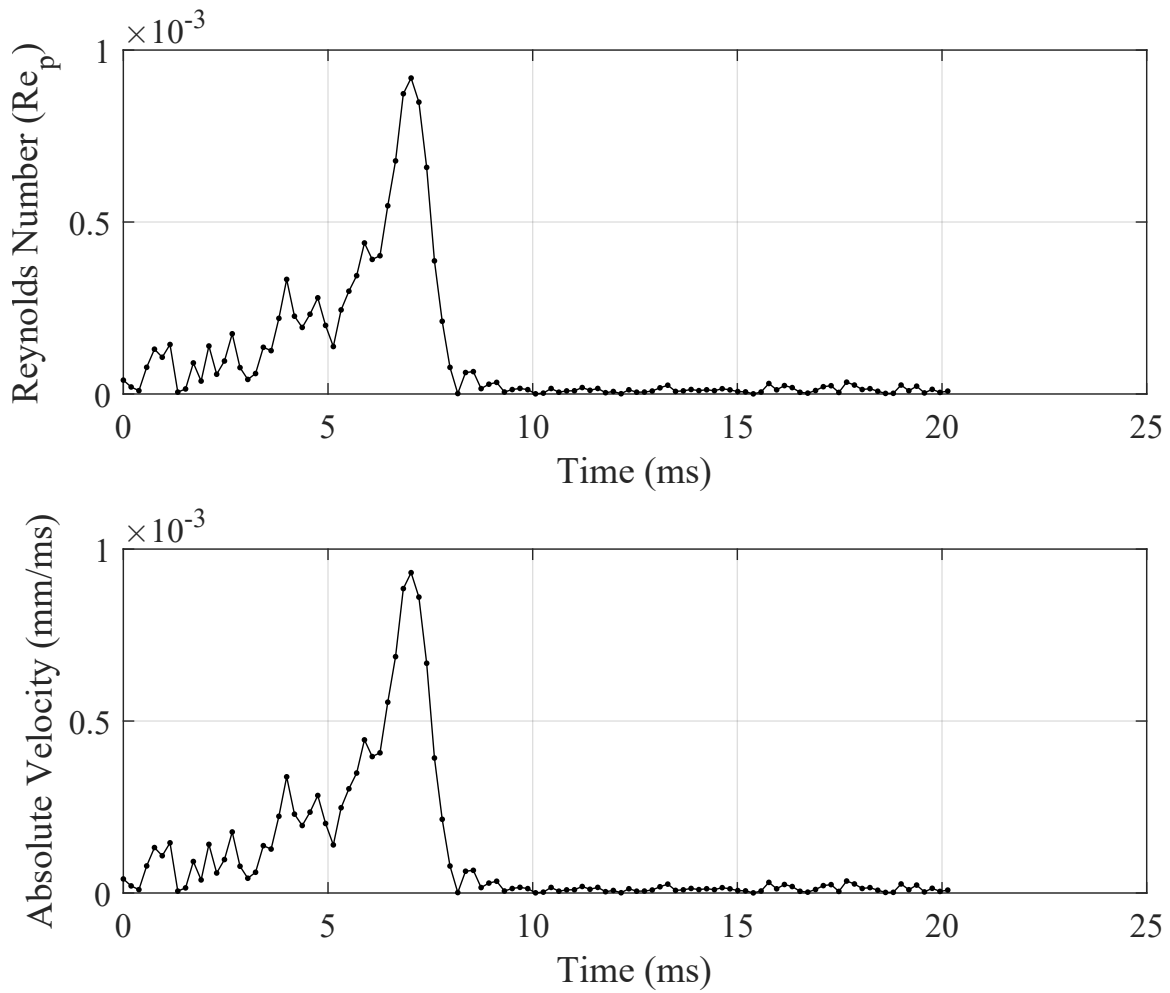


Figure 4.5: Particle Reynolds number ( $Re_p$ ) and absolute velocity for 990nm diameter particle

### 4.3 PSD Analysis

A particle trapped at the focal point of an optical trap has different Brownian motion characteristics as compared to a particle freely moving in a fluid. This is mainly due to the external laser forces acting on the particle. The power spectral density analysis takes advantage of this property to estimate the stiffness of the optical

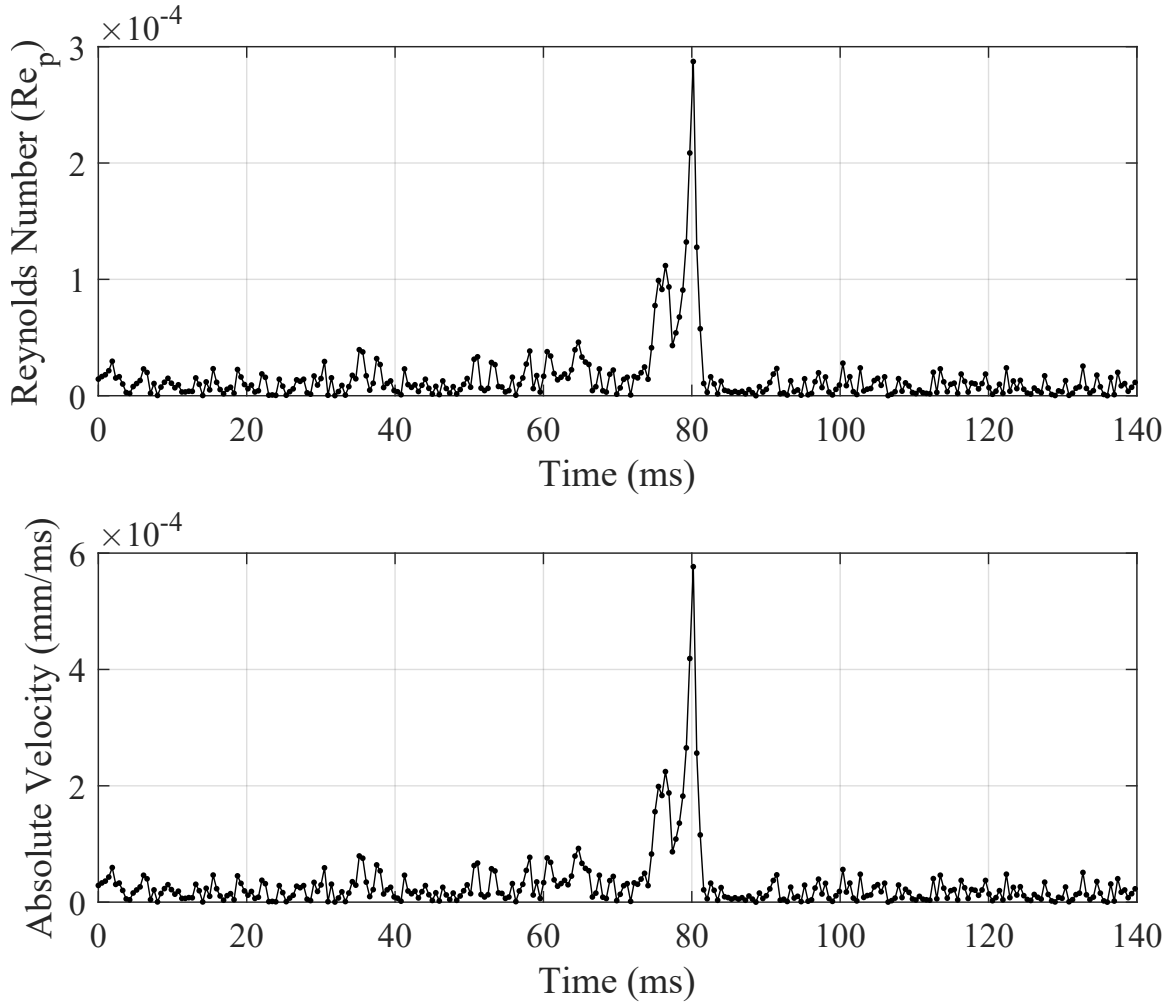


Figure 4.6: Particle Reynolds number ( $Re_p$ ) and absolute velocity for 500nm diameter particle

trap experimentally [8, 9]. For this analysis, let's consider the one-dimensional version of Eqn. (3.11) given as

$$m\ddot{q}_x + \beta\dot{q}_x + k_{xx}q_x = \eta(t)\sqrt{2k_B T\beta} \quad (4.6)$$

where,  $m$  is the mass of the particle,  $\beta$  is the Stokes drag coefficient,  $k_{xx}$  is the optical trap stiffness in x-direction,  $k_B$  is the Boltzmann constant,  $T$  is the system temperature and  $\eta(t)$  is a random Gaussian process as discussed in Section 3.2.4.

Note that the gravity and buoyancy forces are ignored here since those are smaller compared to the laser forces.

The power spectral density can be defined in three different equivalent ways. Here, the definition via finite Fourier transforms[7] is used since it will be directly applicable to both the experimental and simulated data. The power spectral density, for a recording of a particle's position over a time period  $T_s$ , can be given as

$$P(f) = \frac{2}{T_s} |\tilde{q}_x(f)|^2 \quad (4.7)$$

where  $\tilde{q}_x(f)$  is the Fourier transform of the particle's position in the x-direction. This can be computed by calculating the Fourier transform of Eqn. (4.6) as shown below,

$$m(i2\pi f)^2 \tilde{q}_x(f) + \beta(i2\pi f) \tilde{q}_x(f) + k_{xx} \tilde{q}_x(f) = \tilde{\eta}(f) \sqrt{2k_B T \beta} \quad (4.8)$$

$$\therefore \tilde{q}_x(f) = \frac{\sqrt{2k_B T \beta}}{k_{xx} - 4m\pi^2 f^2 + 2i\beta\pi f} \tilde{\eta}(f) = \frac{\sqrt{D/2\pi^2}}{f_c - 2\pi\epsilon f^2 + if} \tilde{\eta}(f) \quad (4.9)$$

where,  $D = k_B T / \beta$  is known as Einstein's diffusion constant,  $f_c = k_{xx} / 2\pi\beta$  is known as the corner frequency,  $\epsilon = m / \beta$  as defined in Sec. 3.3 and  $\tilde{\eta}(f)$  is the Fourier transform of the Gaussian white noise. The absolute square value of  $\tilde{q}_x(f)$  can be computed by multiplying it with its complex conjugate,  $\tilde{q}_x^*(f)$ , as shown below

$$|\tilde{q}_x(f)|^2 = \frac{\sqrt{D/2\pi^2}}{f_c - 2\pi\epsilon f^2 + if} \cdot \frac{\sqrt{D/2\pi^2}}{f_c - 2\pi\epsilon f^2 - if} \tilde{\eta}(f) \tilde{\eta}^*(f) \quad (4.10)$$

$$\therefore |\tilde{q}_x(f)|^2 = \frac{D/2\pi^2}{f_c^2 + (1 - 4\pi\epsilon f_c) f^2 + 4\pi^2\epsilon^2 f^4} |\tilde{\eta}(f)|^2 \quad (4.11)$$

The power spectral density of Gaussian white noise is 1[7]. Thus, the value of  $|\tilde{\eta}(f)|^2$ , using the definition of the power spectral density, would be  $|\tilde{\eta}(f)|^2 = T_s/2$ . The power spectral density of the particle's position can now be defined as,

$$P(f) = \frac{2}{T_s} |\tilde{q}_x(f)|^2 = \frac{D/2\pi^2}{f_c^2 + (1 - 4\pi\epsilon f_c) f^2 + 4\pi^2\epsilon^2 f^4} \quad (4.12)$$

The trap stiffness from the experiment can thus be calculated by fitting the equation above to the PSD of the particle's position, measured using a high-speed camera or a quadrant photodiode. This allows the value of  $fc$  to be estimated, which directly relates to the trap stiffness. Equations similar to (4.12) including different models for fluid forces are available in [8, 9]. The MATLAB code for computing the PSD from experimental data is provided in Appn. J.2.1 and the code for fitting Eqn. (4.12) to the PSD generated from the experimental data is provided in Appn. J.2.5.

Note that the equation of the PSD of the particle's position, Eqn. (4.12), is for the unscaled model. Thus, for the scaled equation of motion,

$$m\ddot{q}_x + a_2\beta\dot{q}_x + a_2k_{xx}q_x = a_2\eta(t)\sqrt{2k_B T\beta} \quad (4.13)$$

the equation of the PSD can be given as

$$P(f) = \frac{2}{T_s} |\tilde{q}_x(f)|^2 = \frac{D/2\pi^2}{f_c^2 + \left(1 - \frac{4\pi\epsilon f_c}{a_2}\right) f^2 + \frac{4\pi^2\epsilon^2}{a_2^2} f^4} \quad (4.14)$$

Figure 4.7 shows the equation above plotted with different scaling factors for  $2\mu\text{m}$  particle diameter. Note that amplitudes at higher frequencies decrease as the scaling factor is decreased. This was visible in the simulation plots discussed in Section 3.5. However, decreasing the scaling factor decreases the computation time as well, as was shown in Table 3.2, which provides the incentive to reduce the scaling factor.

#### 4.4 Choosing a Scaling Factor

From the EOMs of the particle, note that the sampling rate should be on the order of the relaxation time, i.e.  $\tau = m/\beta = 4.4356 \times 10^{-7}\text{sec}$  for a  $2\mu\text{m}$  diameter particle, to observe the transients in the particle's velocity. The sampling rate for the experimental data collected using a camera is generally limited to  $\approx 25\text{kHz}$ , or to  $\approx 100\text{kHz}$  if a quadrant photodiode is used. Thus, the velocity transients will not be



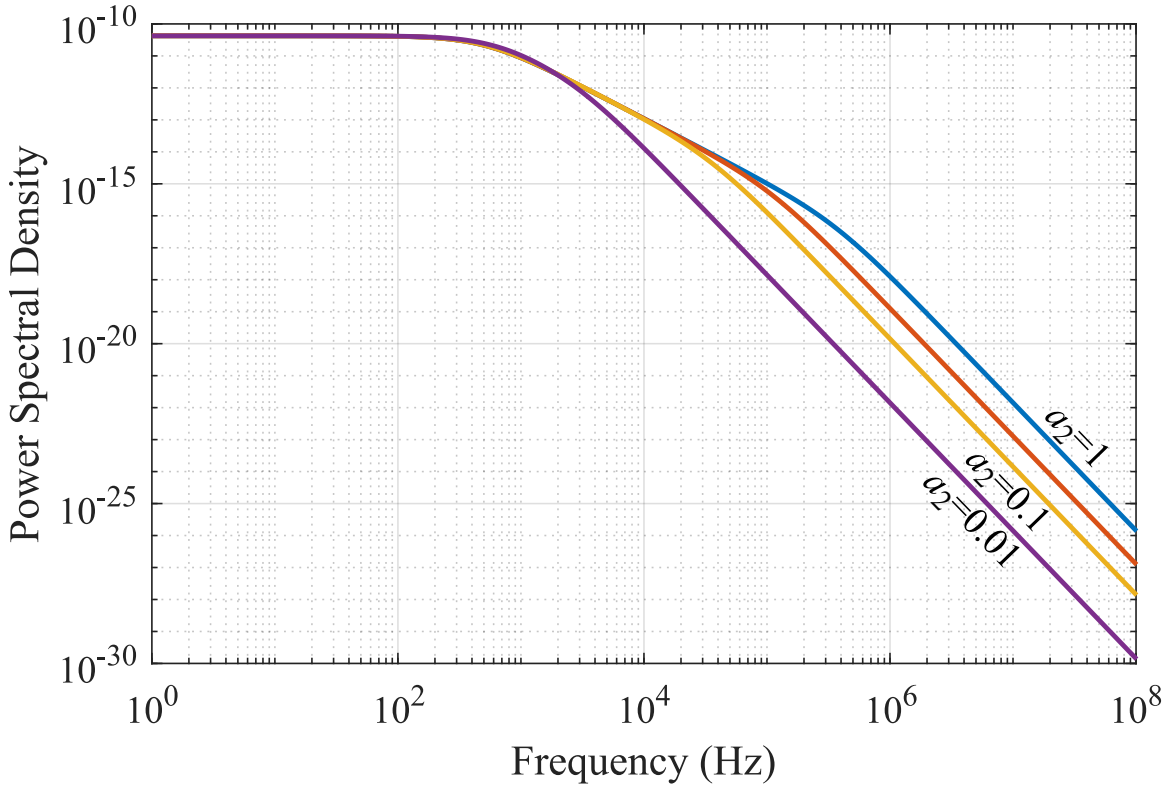


Figure 4.7: Theoretical PSD at different scaling factors for  $2\mu\text{m}$  particle (the unlabeled line is  $a_2 = 0.3$ )

visible in the experimental trajectories. This information can be used to choose an appropriate value of the scaling factor.

The trends shown in Figure 4.7, i.e. the effect of scaling the EOMs on the PSD of the particle's position, can be observed in the simulation data given in Figure 4.8 as well. To provide a baseline for comparison, the PSD from the theoretical Eqn. (4.12) for the unscaled system,  $a_2 = 1$ , and that of the experimental data are given in Figure 4.8a. Note that the data for experimental PSD has frequency values up to 50kHz only, which is half of the sampling frequency of the QPD at 100kHz. It can also be observed that the experimental PSD deviates from the theoretical plot at higher frequencies. This is attributed to the sensor noise. Rest of the subplots in Figure 4.8, i.e. Figure 4.8b to Figure 4.8e, compares the PSD from the theoretical

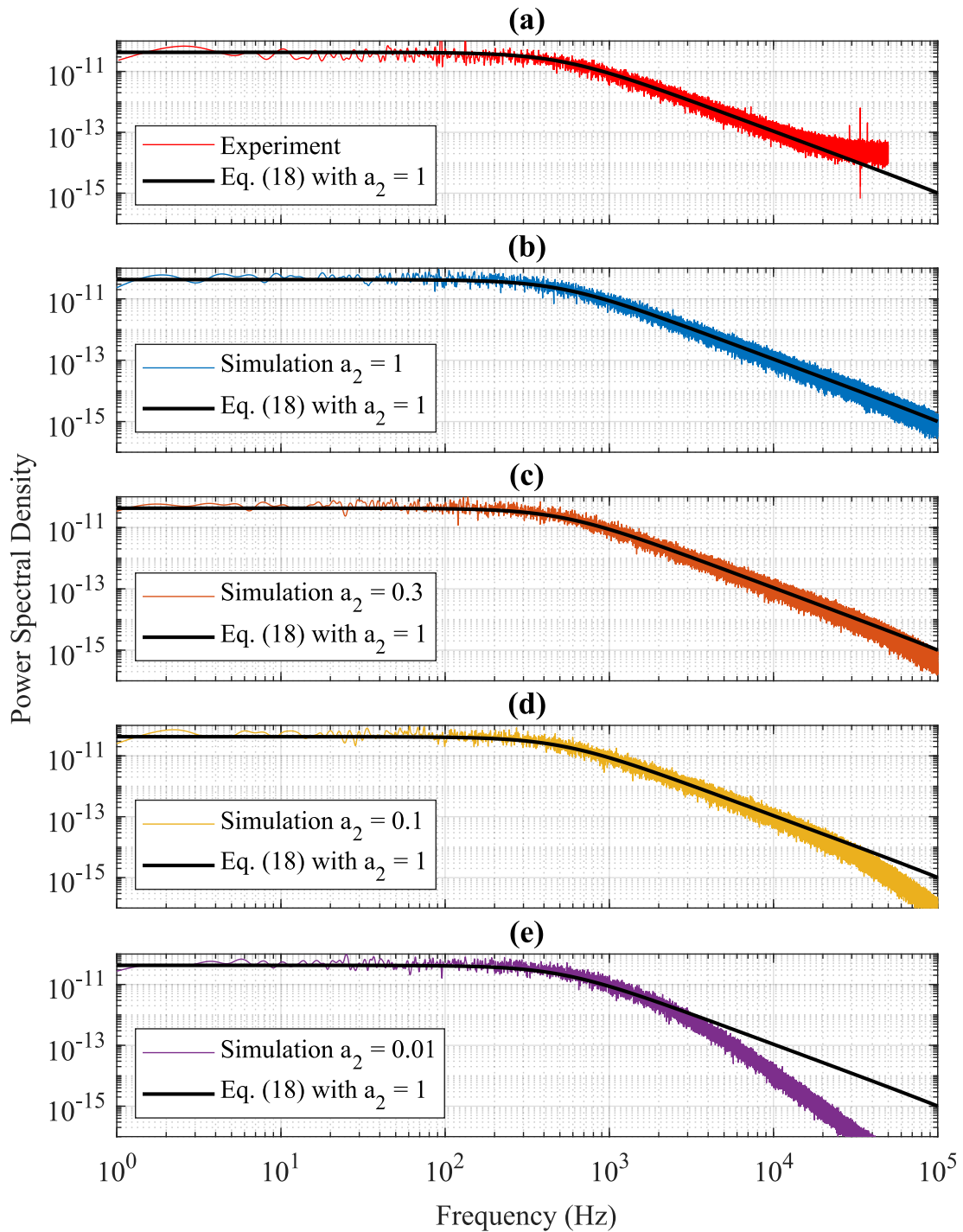


Figure 4.8: Comparison of theoretical PSD of unscaled system with experimental PSD and simulation PSD with different scaling factors

Eqn. (4.12) for the unscaled system and the PSD obtained from the system simulated with different scaling factors.

The PSD obtained from the simulation data for  $a_2 = 0.3$ , Figure 4.8c, provides the closest match to the theoretical PSD of the unscaled system throughout the entire frequency range,  $\approx 100\text{kHz}$ . The PSD computed from simulation data loses high-frequency information as the scaling factor is decreased, as suggested in Figure 4.7. However, all the scaling factors yield similar corner frequency values,  $f_c$ , which is a direct measure of the trap stiffness.

Note that if the simulation data in Figure 4.8 is input to a curve fitting calculation [8, 9], it will attempt to match the unscaled PSD, Eqn. (4.14) with  $a_2 = 1$ , to the scaled data. This may yield vastly different values for the corner frequencies in each case. This wide variation can be reduced by limiting the data for the curve fit down to a smaller frequency range, as the scaling factor is decreased, where the discrepancy between scaled and unscaled system is less according to Figure 4.7. The result of this exercise is shown in Table 4.2 where the corner frequencies remain somewhat close to the unscaled one.

Table 4.2: Corner frequency value for systems with different scaling factors

Scaling Factor	Corner Frequency	Frequency Range
1	541.2Hz	1Hz - 20kHz
0.3	525.6Hz	1Hz - 20kHz
0.1	498.6Hz	1Hz - 10kHz
0.01	478.0Hz	1Hz - 2kHz

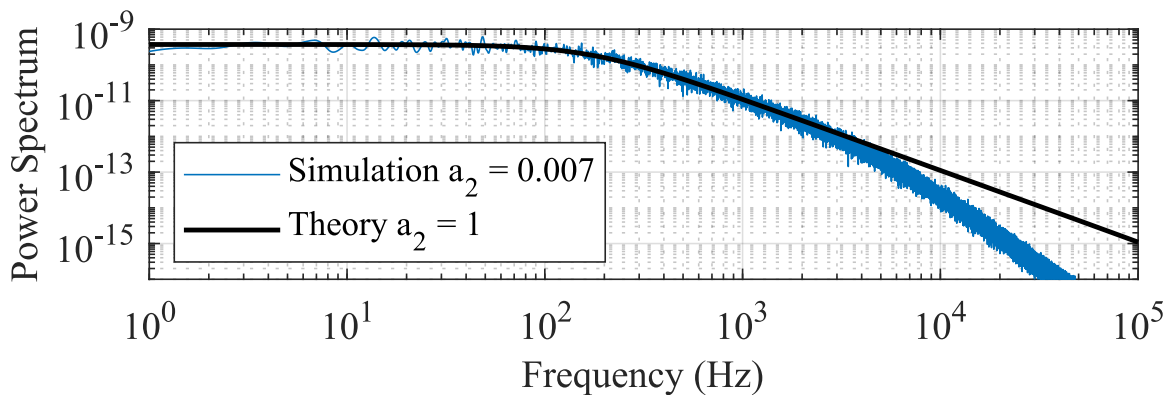


Figure 4.9: Theoretical PSD from the unscaled model vs Simulated PSD from the scaled model for 1950nm diameter particle

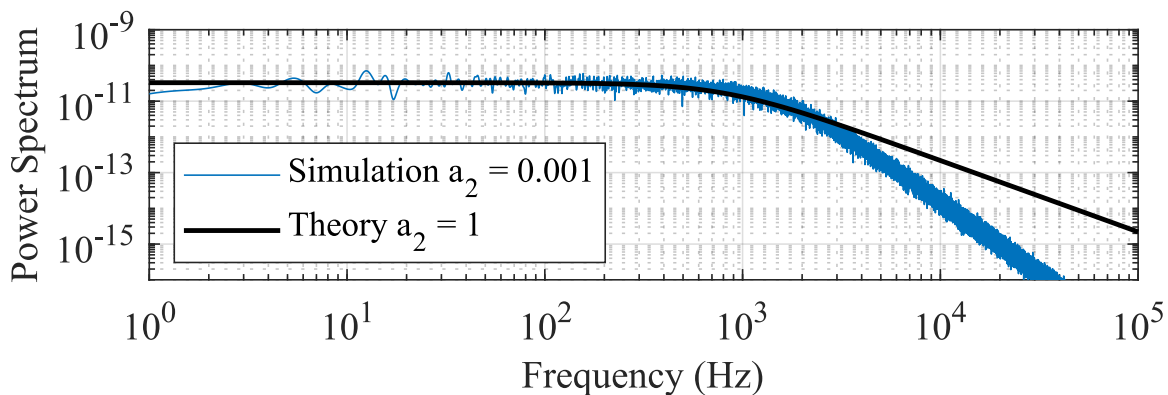


Figure 4.10: Theoretical PSD from the unscaled model vs Simulated PSD from the scaled model for 990nm diameter particle

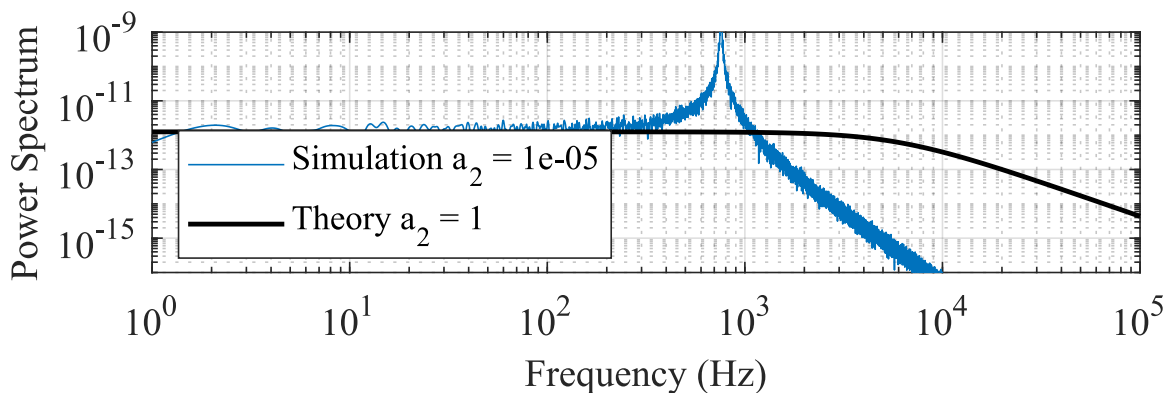


Figure 4.11: Theoretical PSD from the unscaled model vs Simulated PSD from the scaled model for 500nm diameter particle

#### 4.5 PSD of particles from old experiments

The experimental PSD from the old experiments cannot be computed since the high temporal resolution data for a long time period is not available. Thus, the PSD for old experiments is estimated by first matching the trajectory of a simulation to the experimental trajectory and then using the corresponding scaling factor to compute the PSD with the help of the simulation. The estimated PSD for each particle size is provided in Figs. 4.9, 4.10 and 4.11. Note that for the  $1.95\mu\text{m}$  diameter particle, the estimated PSD matches well with the theoretical PSD for a wide range of frequencies. However, the estimated PSD of  $0.99\mu\text{m}$  and  $0.5\mu\text{m}$  diameter particles differ significantly from the theoretical PSD.

The PSD estimated for the old experimental data provides another parameter that can be compared with future experimental data. It can be noted that if the particle's motion shows an underdamped behavior, then the PSD would achieve a distinct peak before it starts to reduce at higher frequencies. Such a behavior has been observed experimentally in the past [28] where the cause of the peak was attributed to hydrodynamic memory. However, this study used melamine resin particles with diameters between 2 and 3  $\mu\text{m}$  and suspended them in acetone, which are different experimental parameters than being analyzed here.

The PSD profile of the scaled EOMs of the 500nm diameter particle also suggests that there might exist a discrepancy between the experimental conditions and the simulation model. Such discrepancies may include the hydrodynamic memory [28] discussed in the last paragraph, laser misalignment and aberrations [95, 76], thermal effects due to local heating [19] or other fluid effects [26]. Unfortunately, enough data is not available from old experiments for the analysis of these discrepancies. Thus, setting up a new experiment and collecting more data is necessary.

## 4.6 Suggestions on new Experimental Setup

Note that the possible discrepancies between the experiment and simulation model, discussed in the last section, can be classified into two broad categories corresponding to fluid or laser forces acting on the particle. Two experiments can be set up to isolate the effects of each major force and analyze them in detail.

One of the experimental setups would be an optical trap designed to minimize the effects of beam misalignment and aberrations along with a well-defined laser beam profile. This will achieve a closer match between the laser beam forces in the experiments and the simulation. Once achieved, trap-release-retrap experiments can be conducted where a trapped particle can be released and retrapped within a few milliseconds. These experiments will help investigate the underdamped motion observed in the trajectory of a 500nm particle being trapped. On the other hand, the Brownian motion of a trapped particle can be recorded for long time periods to perform PSD analysis and look for the peak in the PSD profile. This setup and new results are discussed in the next chapter.

The other experimental setup can be designed to isolate the effects of fluid forces on the particle. The eventual goal would be to make particle transport through an “L” shaped microchannel. If there are fluid forces that do not adhere to the standard Stokes drag model [24], then the particle’s trajectory would be different downstream of the microchannel than expected. However, to observe this behavior, it will be important to track single particle trajectories from a known position upstream of the microchannel. This can be achieved by designing an electrical trap that relies on the dielectrophoretic (DEP) force[51] generated by a strong oscillating electric field. This experimental setup and the results are part of future work and thus will not be discussed here.

## 4.7 Conclusion

The existence of the underdamped motion was investigated here for both the unscaled and scaled equations of motion. It was shown why the current unscaled model would never predict the underdamped motion for the experimental scenario being analyzed. A power spectral density analysis, commonly used to calibrate optical tweezers, was introduced, Eqn. (4.14) and Fig. 4.7, which concretely showed that decreasing the scaling factor of a stochastic differential equation model increases the loss of high-frequency content in the resulting motion. The idea of choosing an appropriate scaling factor, based on the desired preservation of high-frequency details in the PSD profile, was proposed. An example of a  $2\mu\text{m}$  silica particle in an optical tweezer was used to present the effectiveness of this idea. PSD profiles for the old experimental data were generated, where the scaling factor was chosen by matching the simulated and experimental particle trajectories. It was shown that there might exist a peak in the PSD profile of the particle that showed the underdamped motion.

Based on these analyses, possible discrepancies between the simulation model and the experiment were identified. Two experimental setups were suggested to isolate the effects of different forces acting on the particle so that the suspected underdamped motion could be analyzed in further detail.

## CHAPTER 5

### EXPERIMENTAL EXPLORATION OF THE UNDERDAMPED MOTION

#### 5.1 Introduction

The new optical trapping setup developed to further analyze the suspected underdamped motion is discussed in this chapter. Note that the goal is to minimize the laser aberration in the optical trap. Another goal is to keep the experimental parameters, like the trap stiffness, particle material, NA of the objective, etc. similar to the previous experiment.

This chapter also discusses the experimental procedures that were followed. The simulation can compute the correct laser forces only if the laser beam profile, used in the simulation, matches the one in the experiment. The procedures provided here were designed to achieve the best laser beam alignment and profile measurement for every experiment.

The data collected from the experiment is huge and in the form of images. Thus, all the data needs to be compressed for better storage and processed to extract the position of the particle. This chapter discusses different techniques of data compression and image processing and also touches upon the advantages and disadvantages of each.

Finally, the new experimental data for  $2\mu\text{m}$ ,  $1\mu\text{m}$  and  $500\text{nm}$  diameter particles is presented in this chapter. Data for both types of experiments, the Brownian motion of a trapped particle (referred to as the Brownian motion experiment) and the trap-release-retrap (referred to as the TRR experiment), is discussed here.



## 5.2 Experimental Setup

A simplified version of the optical tweezer platform along with the imaging setup is shown in Fig. 5.1. A continuous wave DPSS laser, Excelsior from Spectra-Physics Inc., beam with 1064nm wavelength and 800mW power was used to trap such particles. This laser was chosen because of its ability to produce an intensity profile that is close to Gaussian, which is commonly characterized by the beam quality factor ( $M^2$ ) being close to 1 for TEM<sub>00</sub> modes. The output beam from the laser unit has a diameter of  $0.45 \pm 0.05$ mm. However, note that a Gaussian function spans to  $\pm\infty$ . Thus, the beam diameter is defined as 1.7 times the full width at half maximum. This is discussed further in the next section.

A shutter was developed in-house to block the laser at desired times. The goal was to achieve low closing/opening timing. This was achieved by repurposing a dead hard disk drive (HDD). Hard drives contain a voice coil (actuator) that moves at the speed of  $\approx 2900^\circ/\text{s}$ . This actuator was powered through a DRV8871 DC Motor Driver Breakout Board, from Adafruit, operating at 12V supply. The shutter was created by drilling a hole in the HDD case and attaching a razor blade to the actuator arm that would cover the hole. This design was inspired by [56] and achieved 8ms of closing/opening time after proper tuning.

The  $0.45 \pm 0.05$ mm diameter beam from the laser was expanded to  $\approx 4.5$ mm using a 10X Galilean-type beam expander from Thorlabs. The output from the beam expander was passed through absorptive neutral density (ND) filters to reduce the laser power going toward the sample. The lower power beam is guided toward a dichroic mirror inside an inverted optical microscope, Nikon Eclipse E-800, via two 45° Broadband Dielectric Mirrors. The mirrors are placed on height-adjustable posts with 2D kinematic mounts. This setup allows four-dimensional, two position and two rotation, adjustments of the laser beam. After reflecting from the dichroic mirror, the

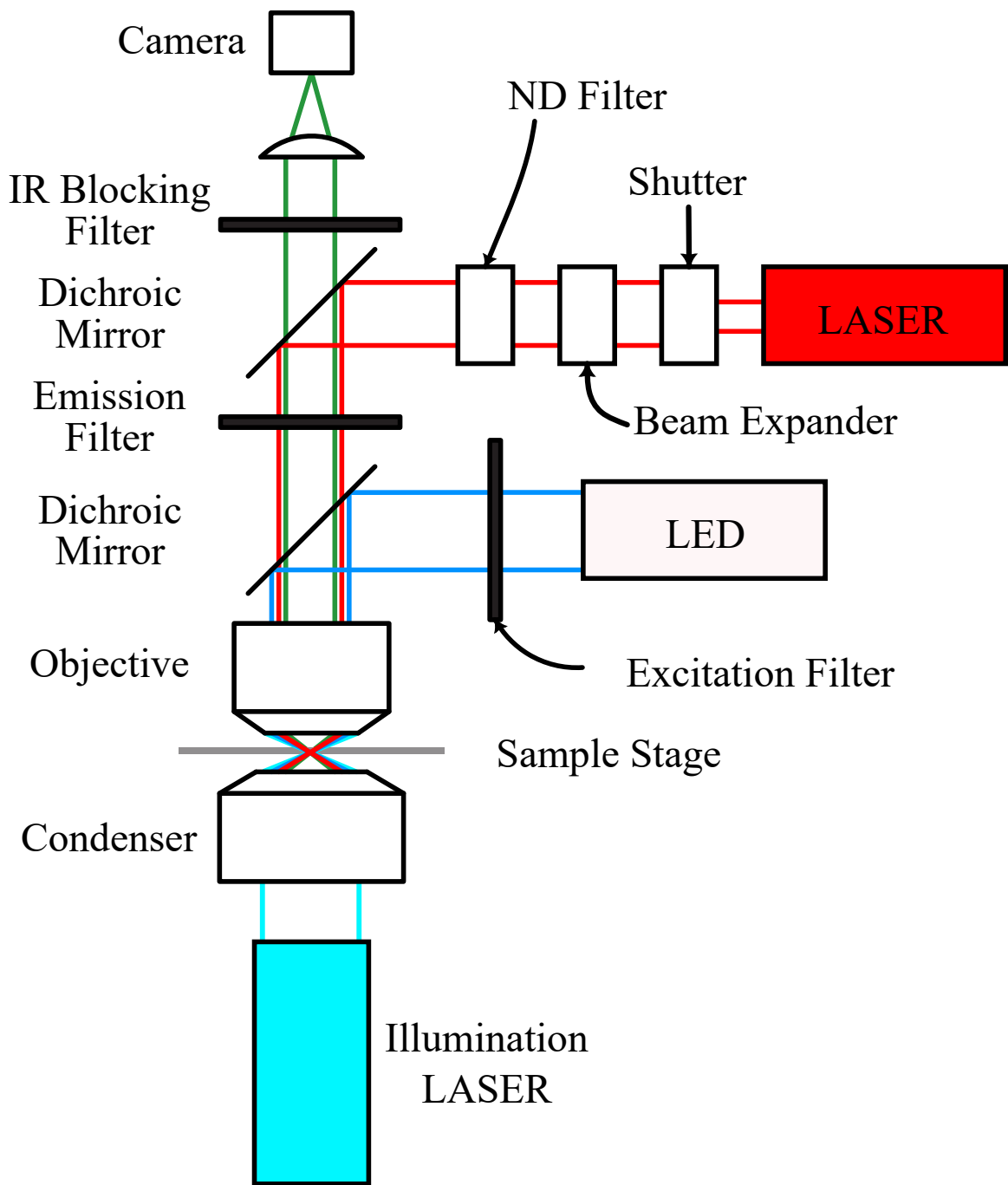


Figure 5.1: A simplified version of the experimental setup developed at the University of Texas at Arlington

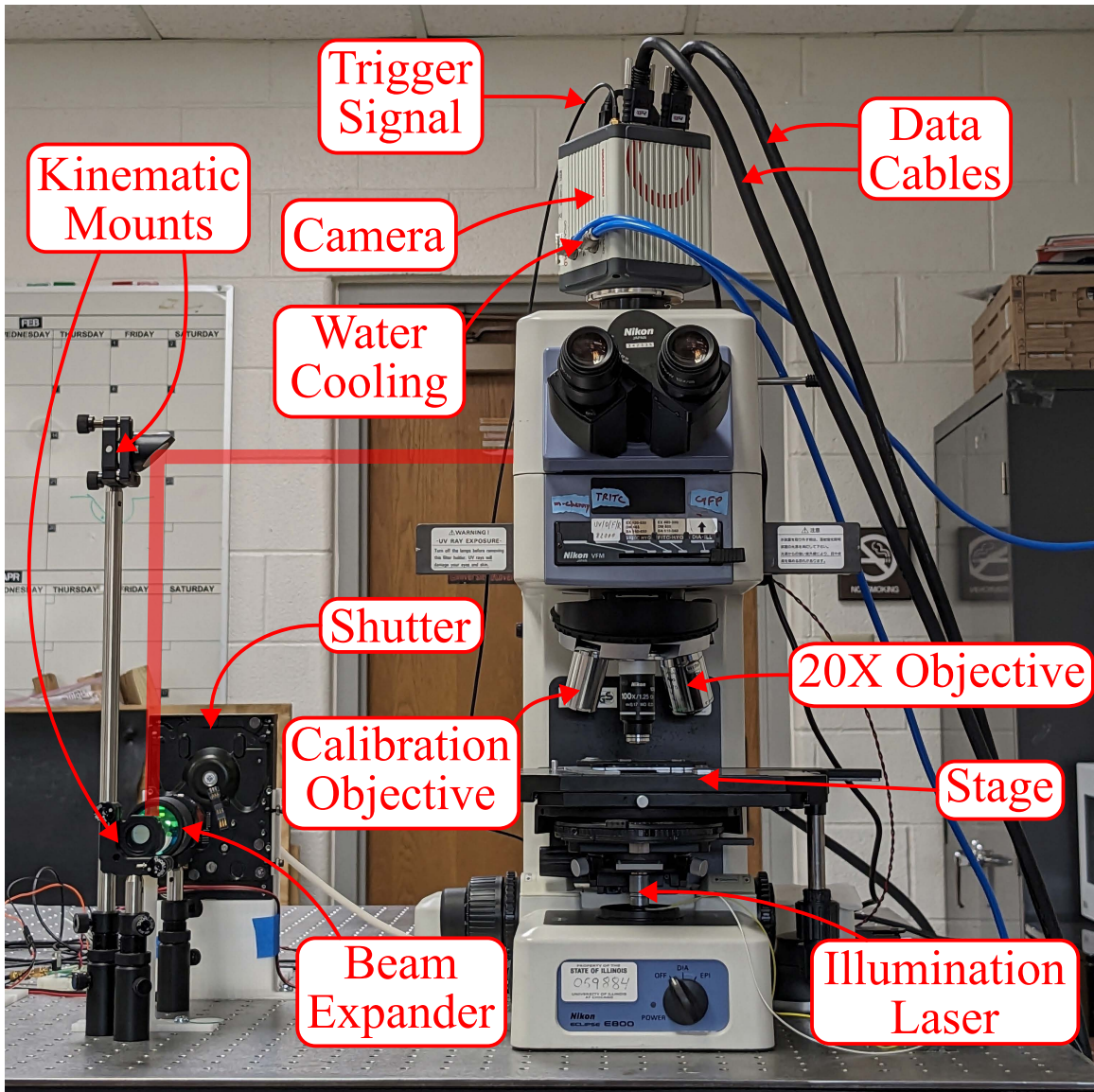


Figure 5.2: Front view of the experimental setup showing different components along with the laser path

beam enters at the back aperture of a 100x oil immersion objective, from Nikon[66], with a numerical aperture (NA) of 1.25.

The choice of the beam expander relies on the beam diameter at the output of the laser and the size of the back aperture of the objective lens. In this case, the back aperture of the objective lens is 7mm in diameter. This is important because if

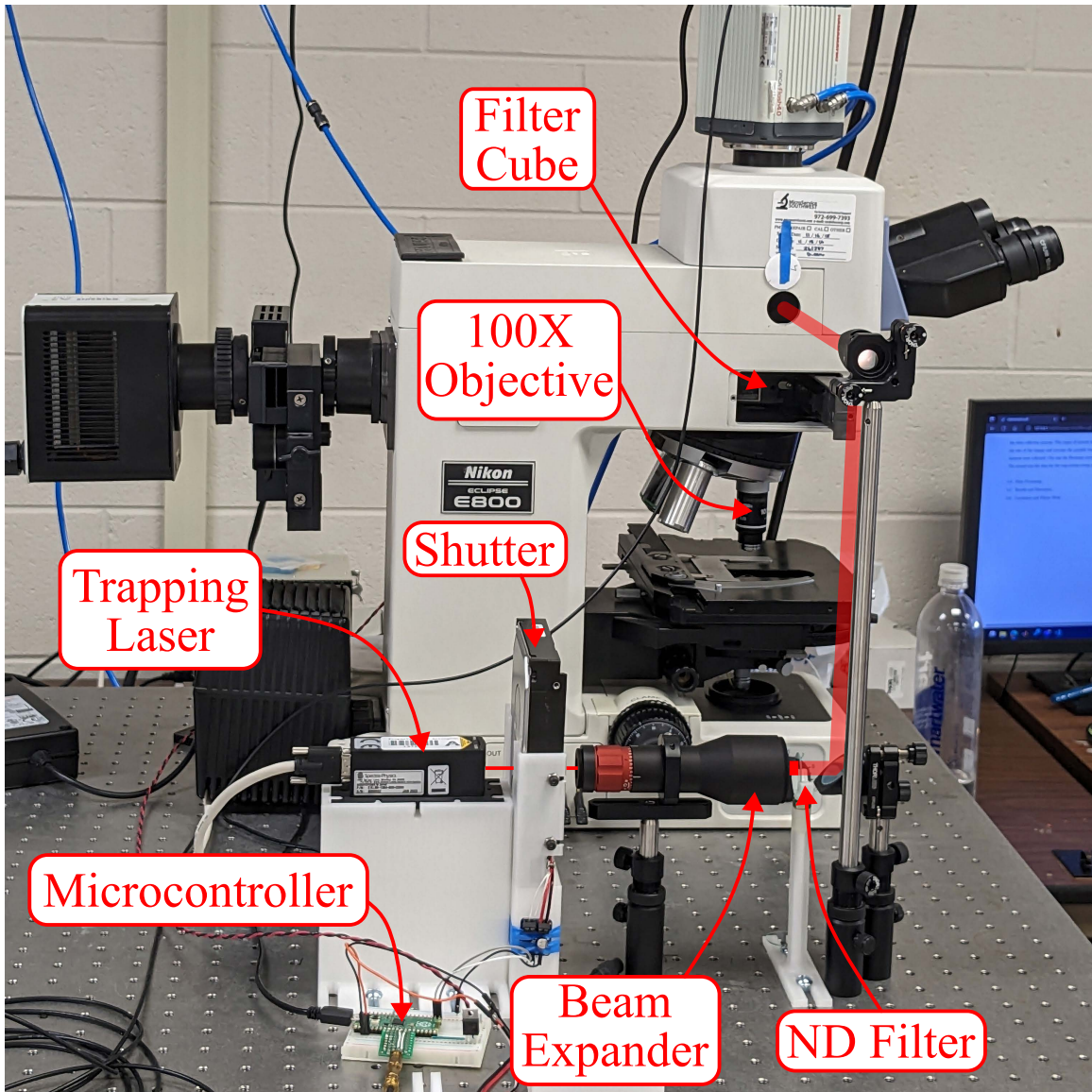


Figure 5.3: Side view of the experimental setup showing different components along with the laser path

the beam is larger than the objective back aperture, then the beam output from the objective will have an incomplete Gaussian profile. On the other hand, if the beam is smaller than the objective back aperture, then the high angle of divergence of the beam achievable, due to the high NA of the objective, will not be realized.

Samples were illuminated using a blue 3Watt LED light through a blue/green excitation-emission filter cube to achieve high contrast for particle tracking. However, the light from this LED was not dense enough to illuminate 500nm particles properly. This was tackled by illuminating the sample using a Cyan-colored (488nm wavelength) laser, GH04850B2G from Sharp, from the condenser side. Particle trajectories were captured with a high-speed digital camera, Hamamatsu Orca Flash 4. An infrared (IR) blocking filter was used to prevent the back-scattered laser light from reaching the camera.

The camera internally uses a Peltier device to actively cool the image sensor. A heat exchanger with a fan is present in the camera that dissipates the heat during normal operation. However, the vibrations from the fan were interfering with the PSD analysis. Thus, a water-cooling apparatus was used to cool the camera and prevent the onboard fan from running. The water cooling setup included a water pump with a small reservoir and a finned heat exchanger with a fan. Both of these components were located on a separate table from the optical table, RS4000 from Newport, on which the rest of the optical trapping setup was located.

To conduct the TRR experiments, it was important to have real-time control over the shutter, illumination system and camera recording. This syncing was achieved using a Raspberry Pi Pico microcontroller ( $\mu C$ ). The program for the  $\mu C$  was designed to first close the shutter, then send a signal to the camera to trigger the recording and finally reopen the shutter. On top of controlling the timings for an experiment, the  $\mu C$  code was also designed to allow the user to control everything manually. The complete code of the  $\mu C$  is available in Appn. G. Frames recorded by the camera were sent to the host computer through a Camera Link Frame Grabber, FireBird 1XCLD-2PE8 from Active Silicon. The communication between the  $\mu C$  and the host computer was handled through a USB Serial interface. All the aspects of

communication with the camera and the  $\mu\text{C}$  combined were managed by a singular software that was developed in-house, provided in Appn. H.

### 5.3 Experimentation Procedures

Green fluorescent polystyrene particles with diameters of 500nm, 1 $\mu\text{m}$  and 2 $\mu\text{m}$  were used for the experiments. The particles were first diluted in distilled water. It was desirable to reduce the particle density in the solution to improve the chances of trapping singular particles. The solution was filled into a cavity created by sandwiching multiple layers of 100 $\mu\text{m}$  thick double-sided tape between a glass slide and a cover slip as shown in Fig. 5.4. Precision coverslips with a thickness of 170 $\mu\text{m}$ , recommended by Nikon for the objective[66], were used to minimize the amount of spherical aberration[27].

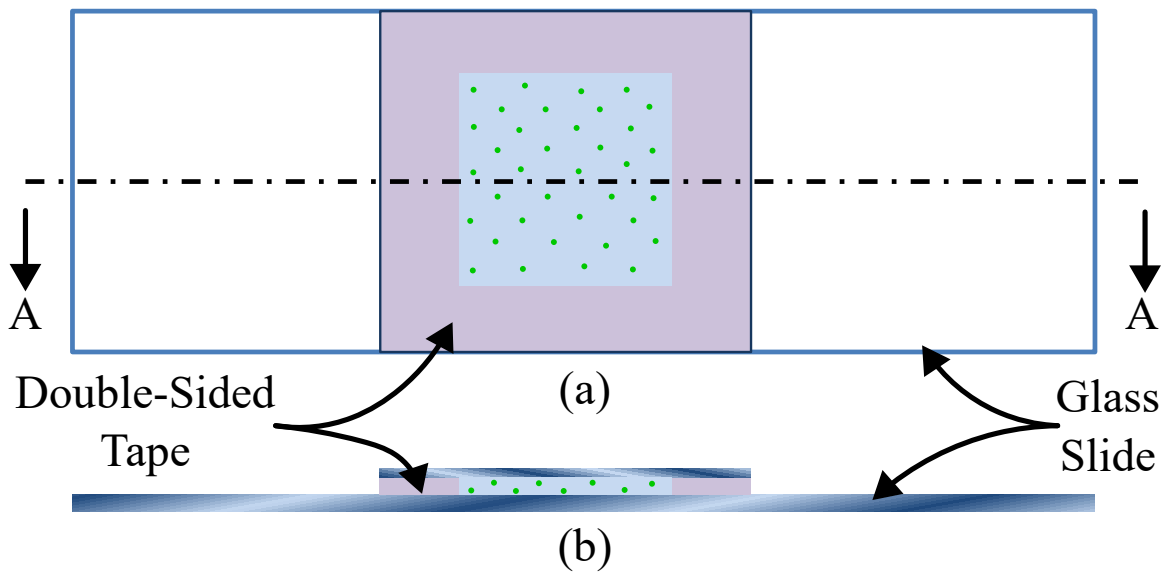


Figure 5.4: Sample created by filling the solution with particles into a cavity created by sandwiching double-sided tape between a glass slide and a cover slip. (a) Top view, (b) Cross-sectional view



The second important step during experiments was the alignment of the laser beam. The location and angle at which the beam enters the back aperture of the objective lens changes the trapping location and trap orientation[52, 62]. Thus, it was necessary to make sure that the beam entered at the center of the objective back aperture with an angle parallel to the longitudinal direction of the objective lens. A custom objective lens was developed to assist with the alignment of the laser beam entering the back aperture of the objective lens. This custom objective had acrylic plates at both ends with a cross-hair pattern engraved on them. If the resulting output of this custom objective showed only one cross-hair pattern then it meant that the beam was aligned with the longitudinal direction of the objective lens. The exploded rendering of this custom objective is shown in Fig. 5.5(a) and a sample of the output beam in an aligned state is shown in Fig. 5.5(b).

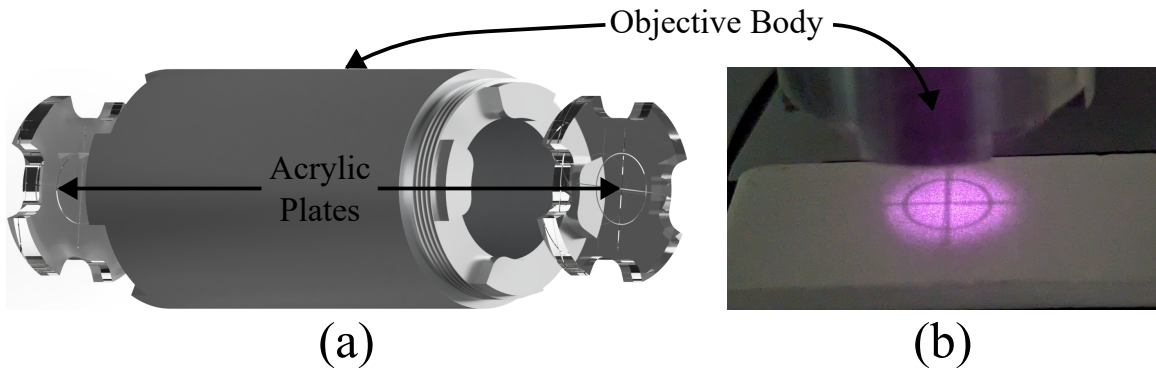


Figure 5.5: (a) Rendered image of the custom calibration objective, (b) Output of the custom objective when the laser is aligned well with the longitudinal direction of the objective

Another important aspect that determines the quality of the optical trap is the beam profile at the specimen plane. This was difficult to measure using a 100X oil immersion objective. Thus, a 20X air objective, from Nikon, with an NA of 0.75 was used along with a laser detection card. The surface of the detection card was brought

to focus, and the emitted green light was imaged revealing the relative intensity profile of the beam. However, the detection card surface is uneven which results in hot and cold spots in the image. This was resolved by capturing multiple images at different locations of the detection card and averaging the relative intensities. The resulting beam profile is shown in Fig. 5.6 along with a Gaussian curve fit. Matlab's `lsqcurvefit` was used to fit the relative intensity values to a 2D Gaussian function,

$$I(x, y) = B + A \cdot \exp \left( - \left( \frac{(x - x_0)^2}{2\sigma_x^2} + \frac{(y - y_0)^2}{2\sigma_y^2} \right) \right) \quad (5.1)$$

where,  $B$  is the bias,  $A$  is the amplitude,  $(x_0, y_0)$  represent the location of the peak intensity and  $(\sigma_x, \sigma_y)$  are the standard deviations. Note that the beam intensity profile is close to being Gaussian. The full width at half maximum (FWHM) and the beam diameter, in Fig. 5.6, are defined as  $2.35482\sigma$  and  $4\sigma$  respectively [99]. The curve fit parameters after optimization were found to be

$$\begin{aligned} B &= 0.0174 & x_0 &= 502.8702\text{px} & \sigma_x &= 132.1023\text{px} \\ A &= 0.9053 & y_0 &= 491.4815\text{px} & \sigma_y &= 135.4913\text{px} \end{aligned} \quad (5.2)$$

This step also helped in calculating the approximate location of the trap in the image which will later be helpful with trapping the particles and maximizing the camera capturing rate.

The experiments can begin once the laser is aligned and the beam profile is measured. To conduct these experiments, the inner surface of the coverslip was first brought to focus. This was done by finding some particles that were stuck to the surface and did not show any Brownian motion. Experimental observations showed that trapping particles farther away from the coverslip, greater than  $\approx 80\mu\text{m}$ , was difficult. This effect can be attributed to the increase in the aberration as the distance from the coverslip increased. Thus, locating the coverslip surface helped find free



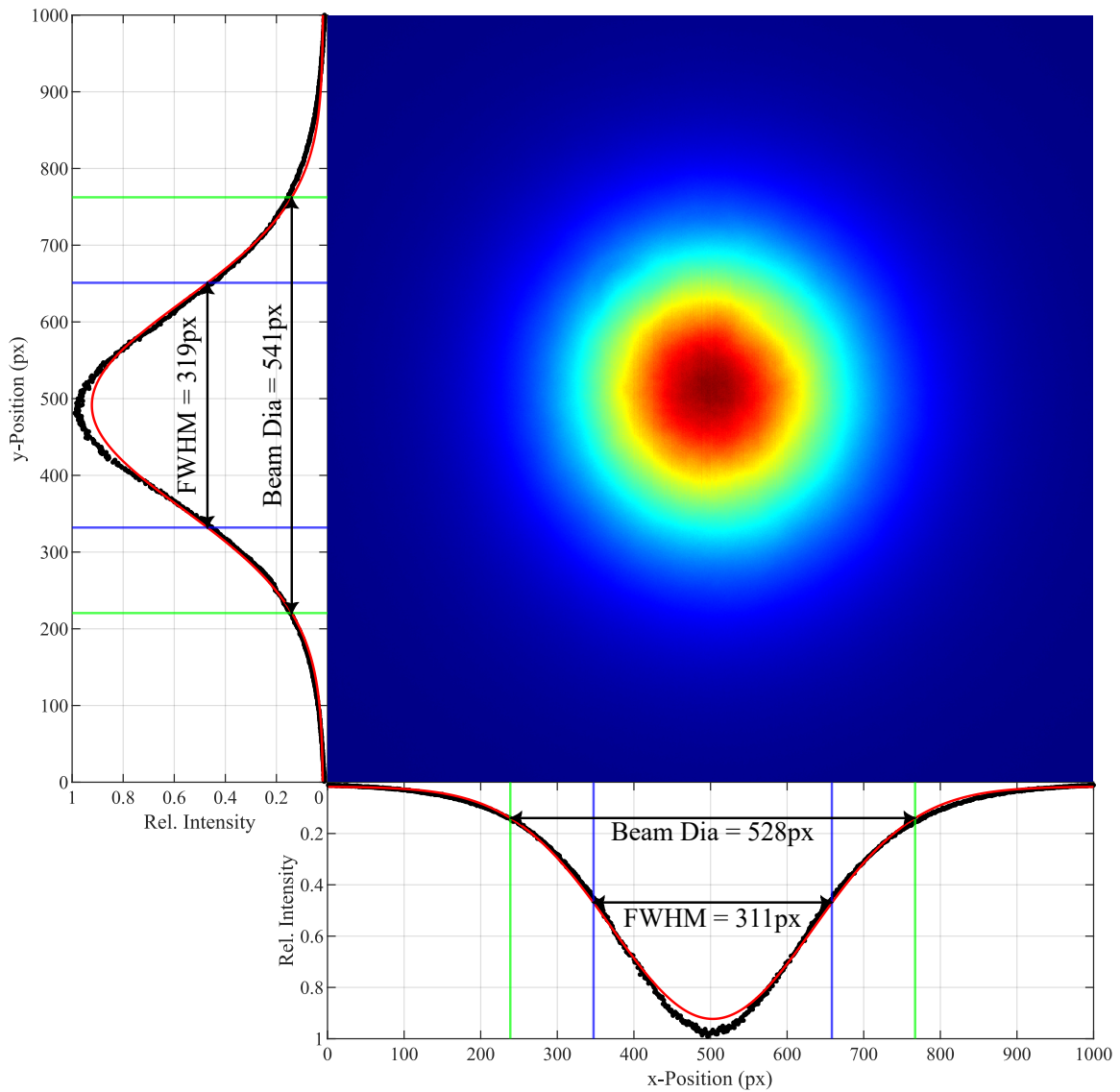


Figure 5.6: Laser beam profile at the sample plane in relative intensity units, captured using 20X objective and a laser detection card

particles that were close to it. Till this point, the trap is kept off by keeping the shutter closed.

A free particle is brought closer to the trap location in the image and the shutter is opened to trap it. The stage can be moved slowly to shift the trapped particle into a low particle density region ensuring that no other particle will get trapped during

the data collection process. The region of interest in the image is changed to reduce the size of the images and achieve a high frame rate. Two different types of datasets were collected. One was the Brownian motion data of the trapped particle and the second was the data for the TRR experiment. The Brownian motion data of the trapped particle was collected for roughly 60 seconds while each TRR experiment was  $\approx 1$  second long with different shutter closing times for different particle sizes.

## 5.4 Data Processing

### 5.4.1 Data Compression

The data collected from experiments is first compressed before conducting further analysis. This step may not seem important for the research, however, it is very important for long-term storage since the amount of data generated is huge. The intensity value represented by each pixel of the image is 16 bits in depth, i.e. each pixel value can fall between 0 and 65535 and thus requires 2 bytes of storage. Considering the fact that the Brownian motion data for a  $1\mu\text{m}$  particle is collected at  $40\text{px} \times 64\text{px}$  image size and  $\approx 5100$  frames per second, one Brownian motion dataset will be  $40\text{px} \times 64\text{px} \times 2\text{bytes/px} \times 60\text{sec} \times 5100\text{frames/sec} \approx 1.46\text{GBytes}$  in size.

There exist multitudes of options for image and video compression; like converting the images to JPG or PNG format, or converting the video to MP4 or AVI format. However, these image/video formats perform lossy compression, i.e. some information is lost. The goal here was to attain the highest possible compression in lossless form. Two ways were encountered to achieve this, (1) Converting images into a video with Motion JPEG 2000 (MJ2) file format with lossless compression and (2) Accumulating all the images into a SuperFrame<sup>[37]</sup> image with JPEG 2000 (JP2)

file format with lossless compression. The MATLAB codes for both approaches are available in Appn. I.

Out of the two approaches, the SuperFrame approach gave better results, however, it was a bit difficult to implement compared to the first approach. Regardless, the Superframe approach achieved a compression ratio close to 3 while the video compression approach achieved a compression ratio of close to 2.3. However, during the implementation of the Superframe approach, a bug in MATLAB was encountered where a high image aspect ratio would cause the software to crash. Thus, the video compression approach was used in this work which made the 1.46GBytes of Brownian motion data for the  $1\mu\text{m}$  particle compress down to  $\approx 0.63\text{GBytes}$ .

#### 5.4.2 Centroid Detection

Many techniques[29, 77, 57] exist that can help extract the position of a particle from a gray-scale image. This work uses two techniques, (1) Image binarization and region detection and (2) Region matching using cross-correlation[29]. Although each technique used here performs well on its own, every image processing algorithm has its pitfalls. Thus, the reliability of the data can be assessed by comparing the results of the two approaches used here.

The first approach, referred to as the ‘imBinarize’ approach from here on out, takes advantage of the fact that there is only one bright object in the image, i.e. the fluorescent particle. Figure 5.7 shows the steps taken to calculate the centroid of the particle. The first step is to use `weiner2` filter from MATLAB to reduce the background noise. After this, the image can be binarized using `imbinarize`. All the connected regions in the binarized image can now be labeled using `bwlabel`. Finally, the `regionprops` function can be used to extract information regarding each detected

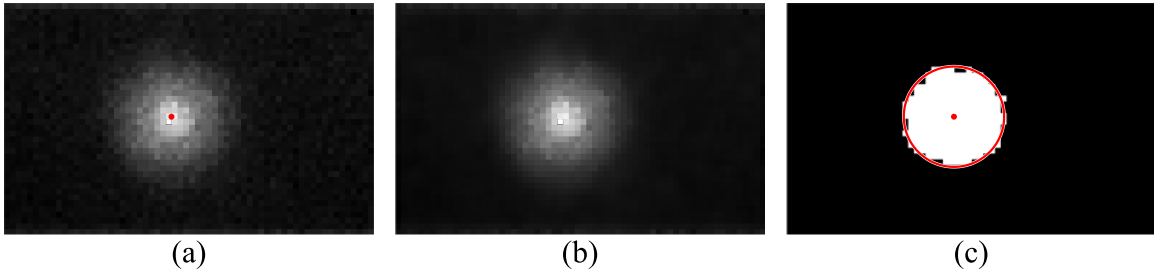


Figure 5.7: Visualization of image binarization and region detection (imBinarize) algorithm: (a) Image captured by the camera along with the computed particle centroid represented by a red dot ( $\bullet$ ), (b) Image filtered using `weiner2` function in MATLAB, (c) Region in the image that represents the particle along with the computed centroid ( $\bullet$ ) and the circle perimeter ( $\text{—}$ )

region. The resulting binary image, particle centroid and the circle representing the particle are visualized in Fig. 5.7(c).

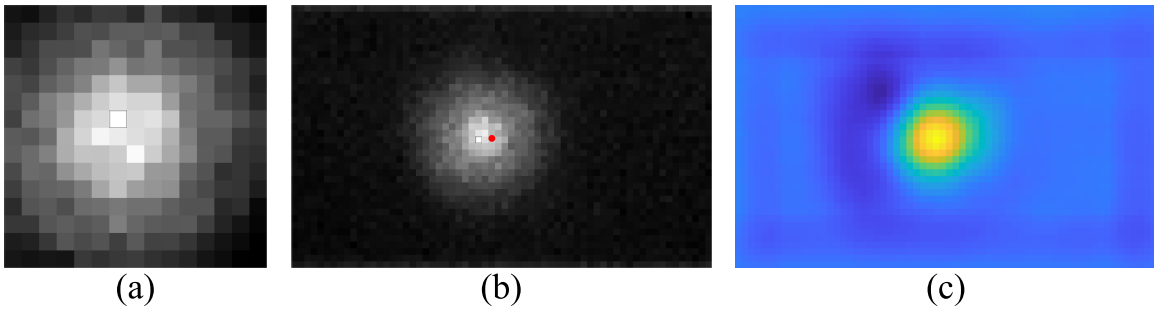


Figure 5.8: Visualization of region matching using cross-correlation (kernelFit) approach: (a) Kernel Image extracted from one of the images in the recording, (b) Image taken by the camera along with the computed particle centroid represented by a red dot ( $\bullet$ ), (c) Cross-Correlation matrix plotted as an image showing that the highest cross-correlation is achieved when the kernel image overlaps the particle

The approach of region matching using cross-correlation, referred to as the ‘kernelFit’ approach from here on out, starts with first choosing a kernel image, i.e., an image that represents a particle, out of a big image captured by the camera. The camera image is stored in a matrix  $I(x, y)$  with size  $p \times q$  pixels and the kernel extracted from this image is stored in a matrix  $K(i, j)$  with size  $r \times s$  pixels. It is

assumed that the center pixel of the kernel image, referred to as pixel  $K(0,0)$ , is the centroid of the particle. A cross-correlation matrix of the same size as that of the image can be computed using the following formula

$$C(x, y) = \sum_{i=\frac{1-r}{2}}^{\frac{r-1}{2}} \sum_{j=\frac{1-s}{2}}^{\frac{s-1}{2}} (I(x+i, y+j) - I_{mean})(K(i, j) - K_{mean}) \quad (5.3)$$

where,  $I_{mean}$  and  $K_{mean}$  are the mean values of the complete image and kernel matrices respectively, and the pixel values  $I(x+i, y+j)$  that are not within the bounds of matrix  $I$  are assumed to equal zero. A threshold value  $T$  can be chosen to extract the cross-correlation peak. The particle centroid can be computed by

$$x_c = \frac{\sum x \cdot (C(x, y) - T)}{\sum (C(x, y) - T)} \quad y_c = \frac{\sum y \cdot (C(x, y) - T)}{\sum (C(x, y) - T)} \quad (5.4)$$

Figure 5.8 shows this process. Note that the particle coordinates found here,  $x_c$  and  $y_c$ , are in Pixel units.

Note that the detected centroids from both approaches are different. This is mainly because the kernelFit approach finds the center of the kernel image in the camera image instead of finding the particle centroid. Thus, the results are reliable as long as the difference in centroid from both approaches is constant. Figure 5.9 shows the centroid difference between the two approaches for a 70sec Brownian motion dataset of a  $2\mu\text{m}$  diameter particle. Note that the standard deviation in the difference of the centroid values is  $\approx 0.085\text{px}$  for both directions.

### 5.4.3 Trap stiffness estimation

Note that the coordinates of the particle centroid calculated in the last subsection are in pixel units. It is important to have these coordinates in physical units. The physical size of each pixel on the Hamamatsu camera is  $6.5\mu\text{m} \times 6.5\mu\text{m}$ . A conversion factor can be computed based on the objective zoom value that will allow

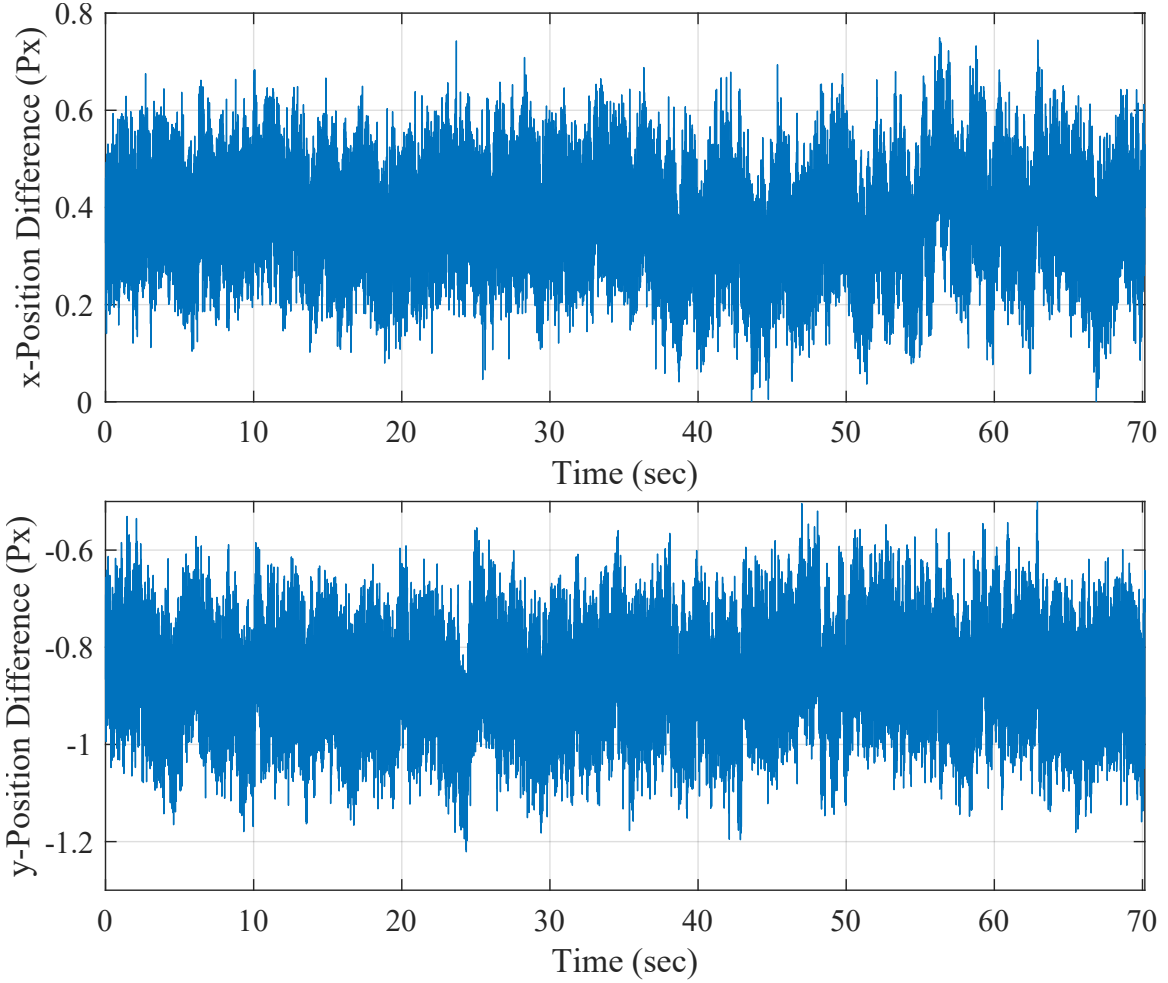


Figure 5.9: Difference in the detected particle centroid from the two centroid detection methods for a Brownian motion dataset of a  $2\mu\text{m}$  diameter particle

the conversion of pixel coordinates to physical units. The conversion factor for the 100X objective used for the experiments will be.

$$c = \frac{\text{Camera Pixel Size}}{\text{Zoom of the Objective}} = \frac{6.5\mu\text{m}/\text{px}}{100} = 0.065\mu\text{m}/\text{px} \quad (5.5)$$

Many active and passive trap stiffness measurement techniques can be found in the literature[79, 44]. Each method has its advantages and shortcomings. This work utilizes a passive stiffness measurement technique, namely the Power Spectral Density (PSD) analysis discussed in Sec. 4.3. After converting the particle centroid values

to physical units, the Fourier transform of the Brownian motion data is calculated, using `fft` function in MATLAB, to further calculate the PSD using Eqn. (4.7). The noisy raw PSD profile is then filtered by dividing the data into several bins and averaging the data of each bin. Finally, the theoretical equation of the PSD profile, Eqn. (4.12), is fitted to the filtered PSD profile using `lsqcurvefit` in MATLAB. The estimated value of the corner frequency,  $f_c$ , is used to calculate an estimate of the trap stiffness in both the x and y directions. The PSD curve fits for particles with different diameters and the corresponding trap stiffness values are presented in the next section.

## 5.5 Results and Discussion

The following subsections discuss the experimental PSD profiles and the curve fit of Eqn. (4.12) to the PSD profile along with the results of TRR experiments for the  $2\mu\text{m}$ ,  $1\mu\text{m}$  and  $500\text{nm}$  diameter polystyrene particles.

### 5.5.1 Data for $2\mu\text{m}$ diameter particle

Figures 5.10 and 5.11 show the experimental PSD profiles and Eqn. (4.12) fitted to these profiles for a  $2\mu\text{m}$  diameter polystyrene particle. Specifically, Fig. 5.10 presents the PSD profile calculated from the particle position data that was extracted using the `imBinarize` approach. On the other hand, Fig. 5.11 presents the PSD profile calculated from the particle position data that was extracted using the `kernelFit` approach. The statistical values from five different Brownian motion datasets for the `imBinarize` approach are,

$$\begin{aligned}\bar{k}_x &= 75.379823\text{pN}/\mu\text{m} & \bar{k}_y &= 78.893746\text{pN}/\mu\text{m} \\ \sigma_{\bar{k}_x}^2 &= 0.766195\text{pN}^2/\mu\text{m}^2 & \sigma_{\bar{k}_y}^2 &= 0.731596\text{pN}^2/\mu\text{m}^2\end{aligned}\tag{5.6}$$

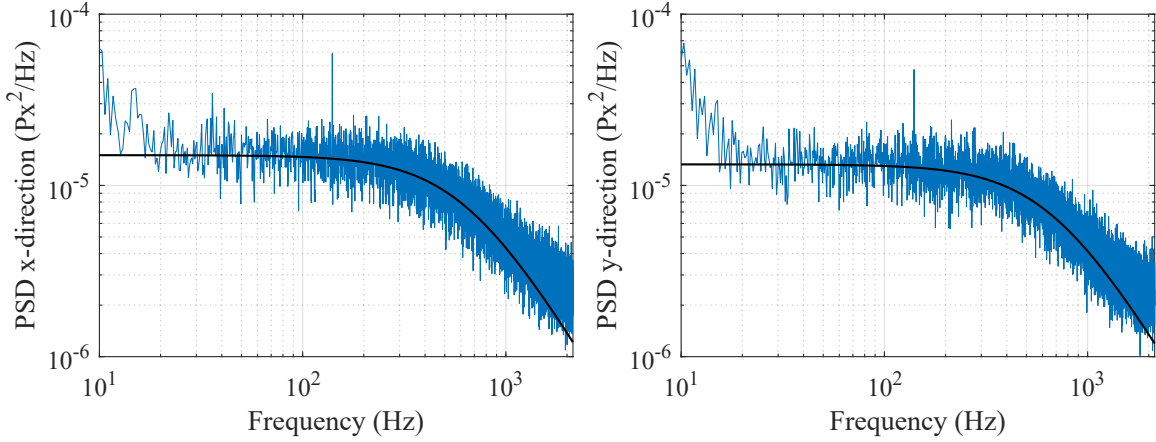


Figure 5.10: PSD profile for a  $2\mu\text{m}$  diameter particle centroid computed using the imBinarize approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

where,  $\bar{k}_x$  and  $\bar{k}_y$  represent the average stiffnesses in x and y directions respectively, and  $\sigma_{k_x}^2$  and  $\sigma_{k_y}^2$  represent the corresponding variances. Similar values for the kernelFit approach are,

$$\begin{aligned} \bar{k}_x &= 73.451003\text{pN}/\mu\text{m} & \bar{k}_y &= 76.546744\text{pN}/\mu\text{m} \\ \sigma_{k_x}^2 &= 0.732422\text{pN}^2/\mu\text{m}^2 & \sigma_{k_y}^2 &= 0.255802\text{pN}^2/\mu\text{m}^2 \end{aligned} \quad (5.7)$$

Note that the stiffness values are quite similar between the two directions as well as between the two approaches. This means that the laser beam at the sample plane is close to symmetric and the stiffness values are reliable. The PSD profiles and curve fits for the other four Brownian motion datasets are available in Appn. B.1.

Figure 5.12 presents the data from the TRR experiment. These graphs present data from both the imBinarize and kernelFit approaches. It should be noted that the difference in the trajectory computed through both approaches has a constant difference as discussed in Subsection 5.4.2. For these experiments, the trap was turned off for 500ms so that the particle could wander off from the trap location and be retrapped. Note that the particle shows a pure Brownian motion for the



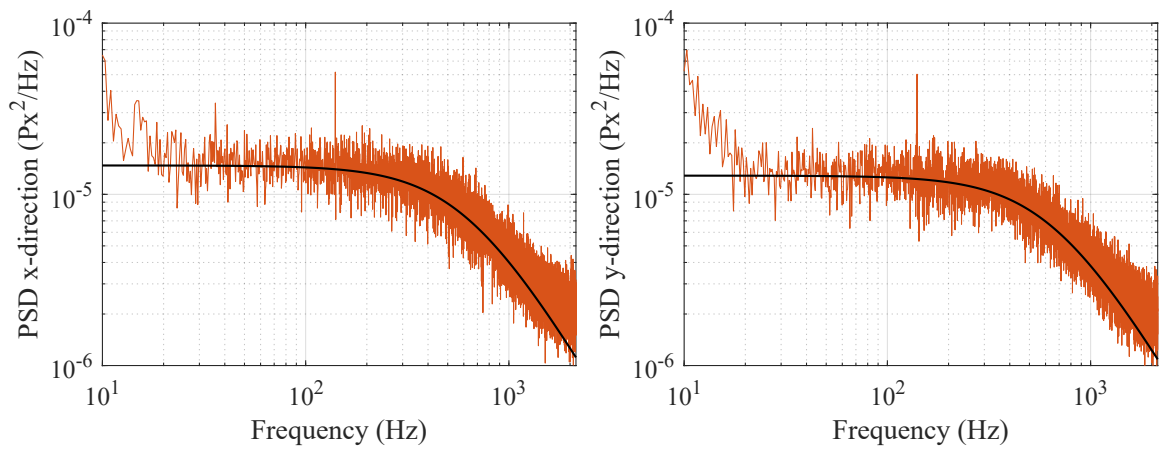


Figure 5.11: PSD profile for a  $2\mu\text{m}$  diameter particle centroid computed using the kernelFit approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

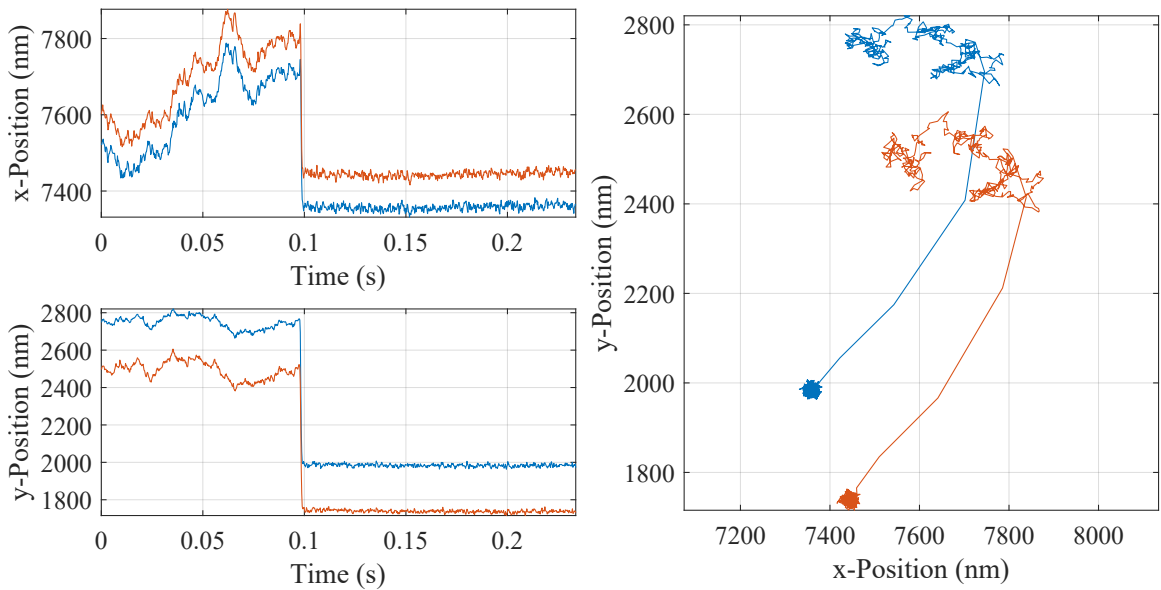


Figure 5.12: TRR data for a  $2\mu\text{m}$  diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches, the trap was turned off for 500ms in this experiment

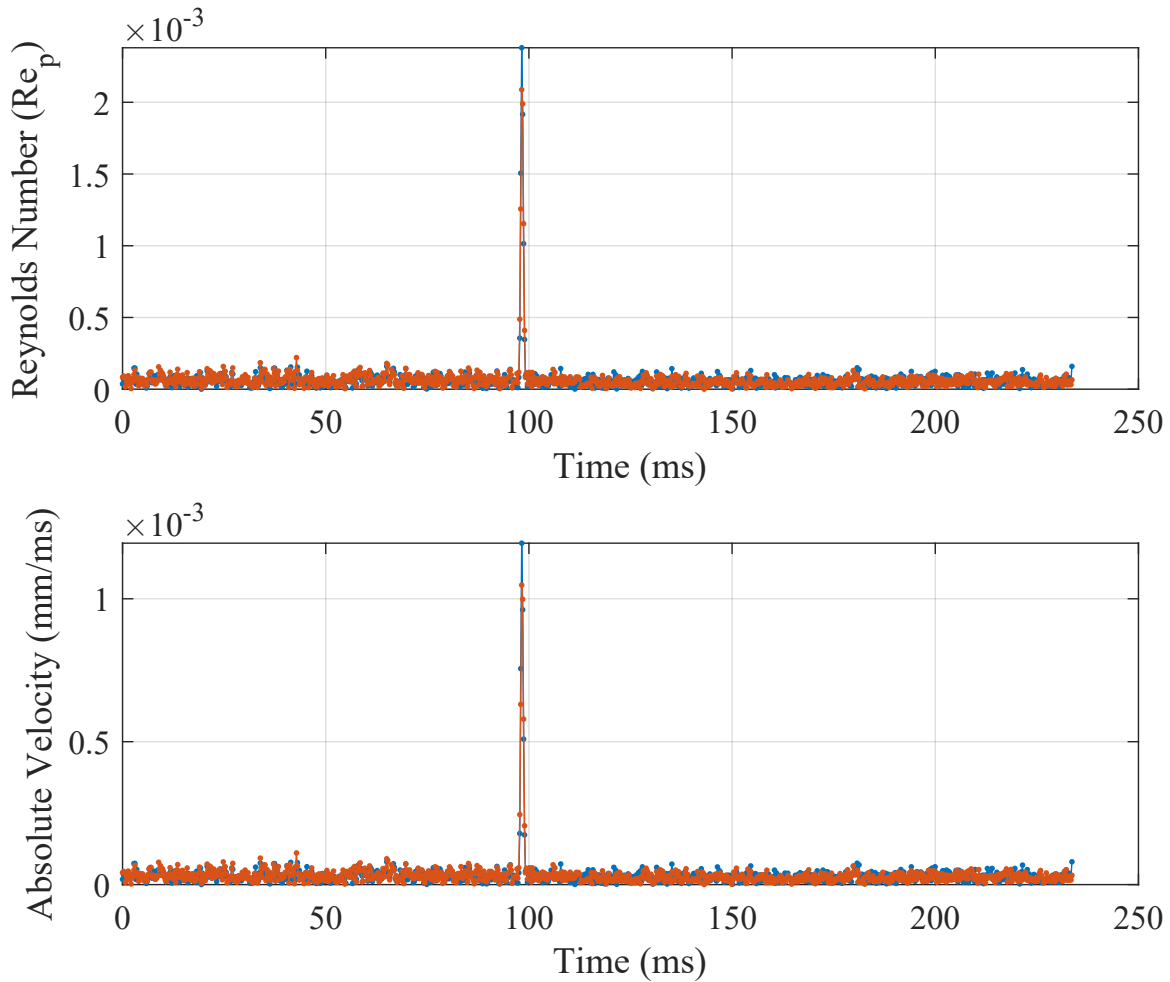


Figure 5.13: Particle Reynolds number and absolute velocity for a  $2\mu\text{m}$  diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches

first 100ms before it gets trapped. Also note that there is no underdamped behavior observed here similar to the previous dataset for the 1950nm diameter particle. Four more datasets of TRR experiments are available in Appn. C.

Figure 5.13 presents the particle Reynolds number ( $Re_p$ ), calculated using Eqn. (4.5), for the particle trajectory in the TRR experiment, Fig. 5.12. The particle velocity is calculated by numerically differentiating the particle position values in each direction. Note that the  $Re_p$  for the particle here is smaller than or equal to  $2.5 \times 10^{-3}$ . This is higher than the one observed in the previous dataset of 1950nm

diameter particle, see Fig. 4.4. However, the difference is not too big to show any significant difference between the inertia forces and viscous forces.

### 5.5.2 Data for 1 $\mu\text{m}$ diameter particle

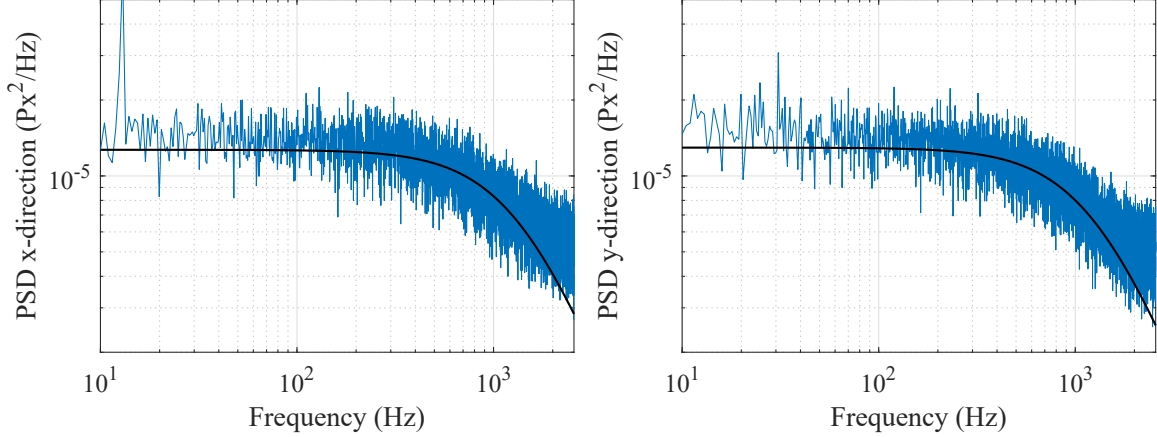


Figure 5.14: PSD profile for a 1 $\mu\text{m}$  diameter particle centroid computed using the imBinarize approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

The experimental PSD profile and the corresponding curve fit for 1 $\mu\text{m}$  diameter polystyrene particle are presented in Figs. 5.14 and 5.15. The statistical values from nine different Brownian motion datasets for the imBinarize approach are,

$$\begin{aligned} \bar{k}_x &= 81.148448\text{pN}/\mu\text{m} & \bar{k}_y &= 75.956058\text{pN}/\mu\text{m} \\ \sigma_{k_x}^2 &= 18.859406\text{pN}^2/\mu\text{m}^2 & \sigma_{k_y}^2 &= 18.762983\text{pN}^2/\mu\text{m}^2 \end{aligned} \quad (5.8)$$

Similar values for the kernelFit approach are,

$$\begin{aligned} \bar{k}_x &= 69.425459\text{pN}/\mu\text{m} & \bar{k}_y &= 64.393509\text{pN}/\mu\text{m} \\ \sigma_{k_x}^2 &= 7.976650\text{pN}^2/\mu\text{m}^2 & \sigma_{k_y}^2 &= 7.705721\text{pN}^2/\mu\text{m}^2 \end{aligned} \quad (5.9)$$

The PSD profiles and curve fits for the other eight Brownian motion datasets are available in Appn. B.2. It should be noted that even though the difference in the

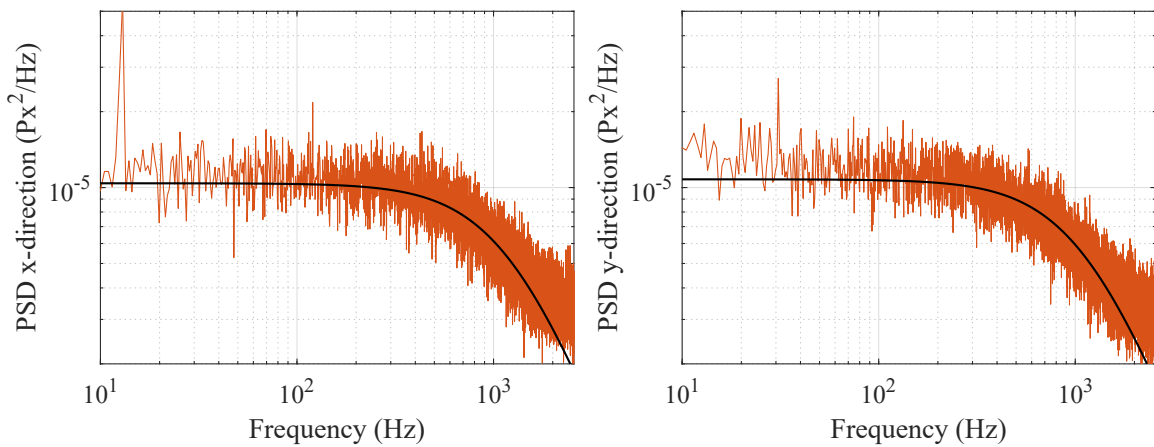


Figure 5.15: PSD profile for a  $1\mu\text{m}$  diameter particle centroid computed using the kernelFit approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

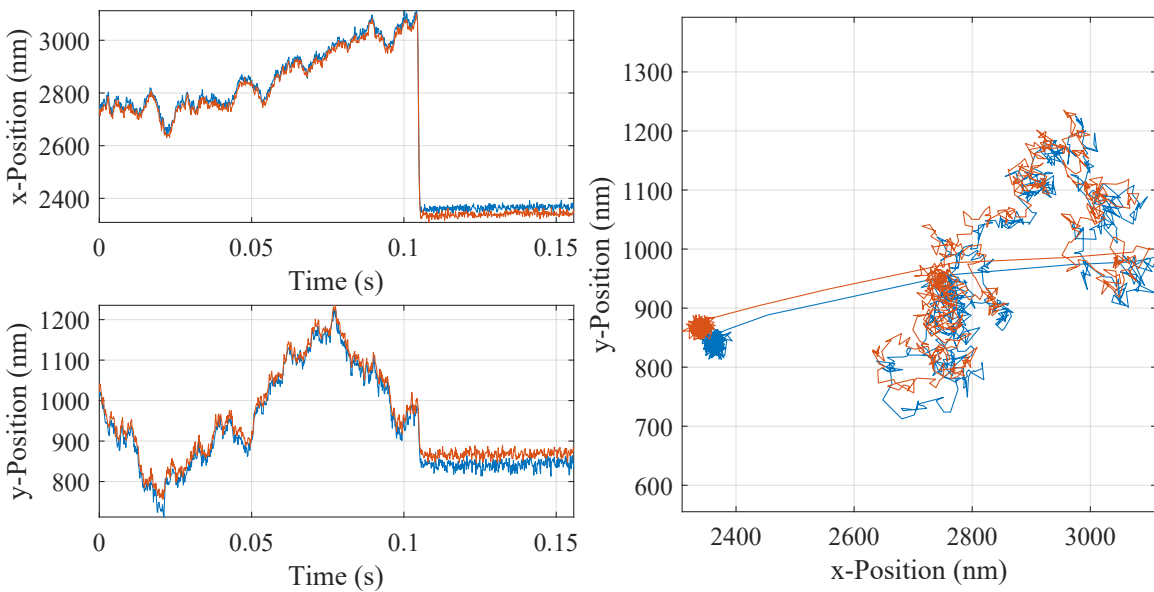


Figure 5.16: TRR data for a  $1\mu\text{m}$  diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches, the trap was turned off for 100ms in this experiment

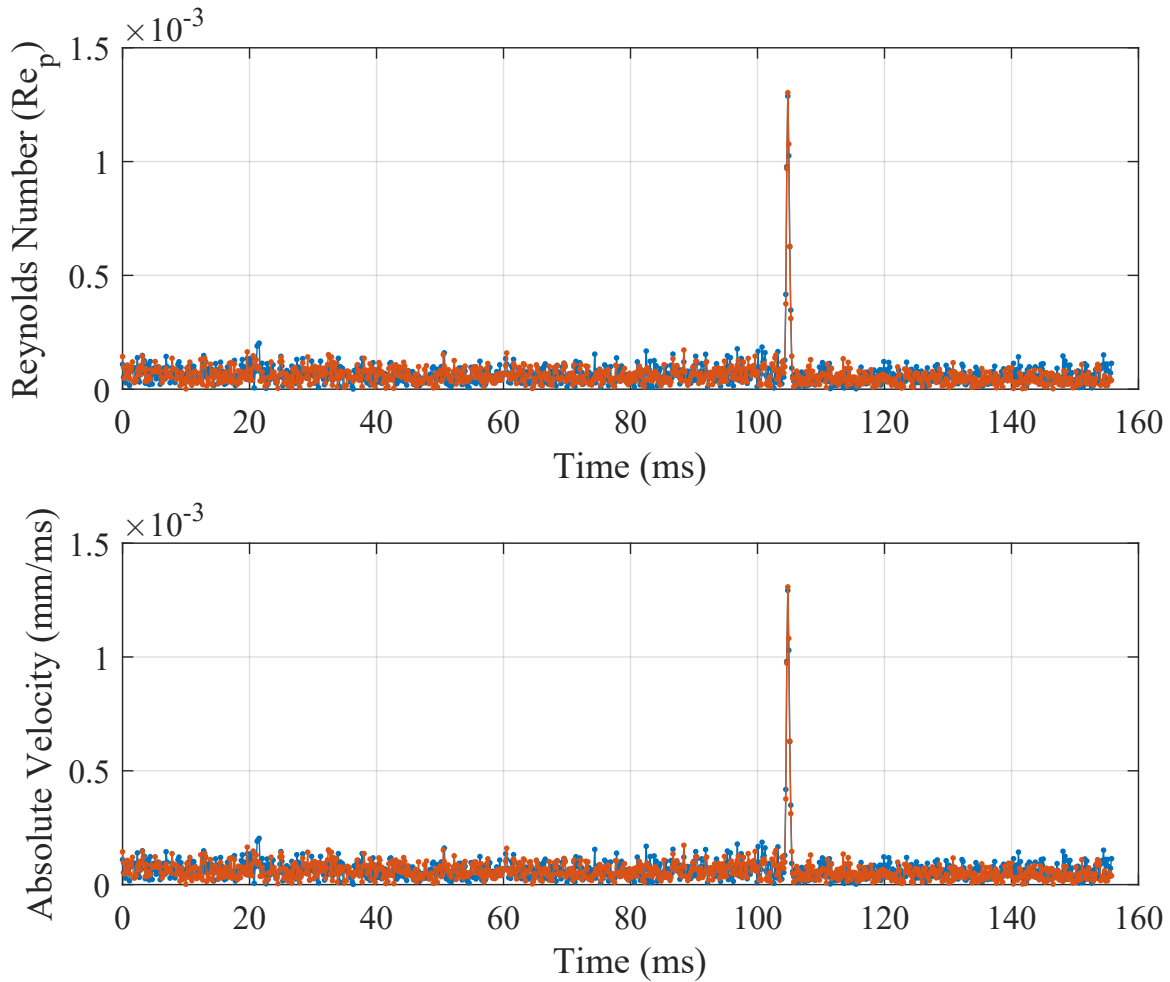


Figure 5.17: Particle Reynolds number and absolute velocity for a  $1\mu\text{m}$  diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches

stiffness values between the two directions and the approaches is small, the variances are much larger than the ones for the  $2\mu\text{m}$  particle. Thus, the accuracy of the stiffness value might be lower. Furthermore, note that the magnitude of the stiffness values found here is similar to the ones for the  $2\mu\text{m}$  particle. This is because the laser power used to collect these datasets was lower than the one used for the  $2\mu\text{m}$  particle.

There has to be a balance between the framerate used to capture the video and the trap stiffness. As the framerate increases, the light available from the particle to be captured by the camera reduces, thus reducing the signal-to-noise ratio. As a

result, it is difficult to achieve frame rates higher than  $\approx 5000\text{FPS}$  with the current setup even though the camera is more capable than this. This puts a limit on the observable frequency range of the PSD profile as per the Nyquist theorem. Thus, the stiffness/power has to be low enough so that the corner frequency can fall in the observable frequency range and can be estimated accurately. The main goal of the PSD analysis here is to check the evenness of the stiffness values in both directions, which is achieved here.

Figure 5.16 presents a dataset from the TRR experiments. For these experiments, the trap was turned off for 100ms. Note that this time period was enough for the particle to drift  $\approx 700\text{nm}$  away from the trapping location. The laser power used for these experiments was the same as the one used for the  $2\mu\text{m}$  particle. This was desirable because the higher stiffness value, achieved using the higher laser power, would increase the chances of causing the underdamped behavior as per Eqn. (4.3). However, there is no underdamped behavior observed here, contrary to a small amount observed in the old dataset of the  $990\text{nm}$  diameter particle. Thirty-seven more datasets of TRR experiments are available in Appn. D.

Figure 5.17 presents the particle Reynolds number ( $\text{Re}_p$ ). Note that the  $\text{Re}_p$  here is smaller than or equal to  $1.4 \times 10^{-3}$ . Similar to the  $2\mu\text{m}$  particle, the  $\text{Re}_p$  is higher than the one observed in the previous dataset of  $990\text{nm}$  diameter particle, see Fig. 4.5. This should mean that the effect of inertia forces should be higher than the viscous forces here. However, the underdamped behavior is nonexistent here while the previous dataset showed a small amount of it.

### 5.5.3 Data for 500nm diameter particle

The particles were illuminated using only the blue LED in all the experiments so far. However, the available fluorescent light from the  $500\text{nm}$  diameter particle was

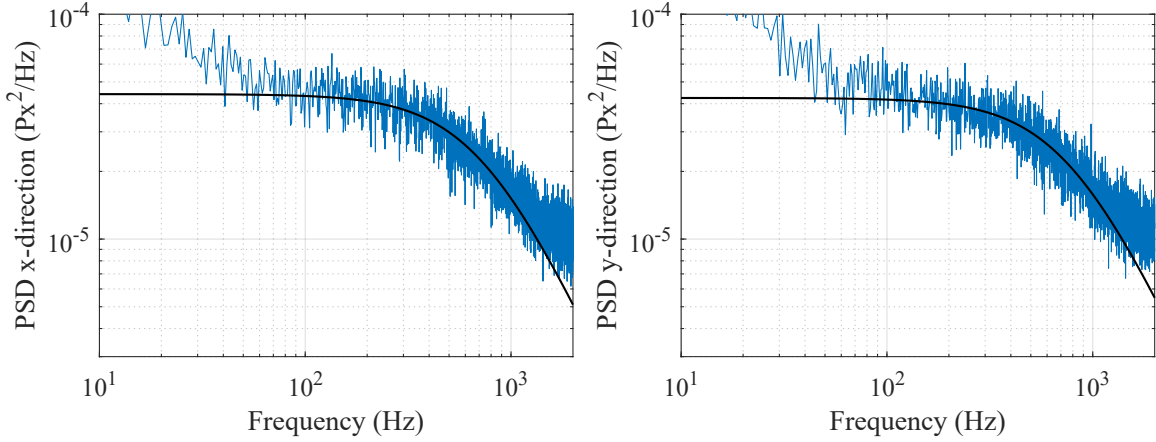


Figure 5.18: PSD profile for a 500nm diameter particle centroid computed using the imBinarize approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

not enough, while using only the LED, to achieve high framerates. The addition of the Cyan colored laser resolved this problem and achieved the camera framerate of 4000FPS.

The trapping laser power was lowered even further for the Brownian motion experiments of the 500nm diameter particle. The experimental PSD profile and the corresponding curve fit are presented in Figs. 5.18 and 5.19. The statistical values from five different Brownian motion datasets for the imBinarize approach are,

$$\begin{aligned} \bar{k}_x &= 21.388566\text{pN}/\mu\text{m} & \bar{k}_y &= 22.447706\text{pN}/\mu\text{m} \\ \sigma_{k_x}^2 &= 0.517168\text{pN}^2/\mu\text{m}^2 & \sigma_{k_y}^2 &= 0.640007\text{pN}^2/\mu\text{m}^2 \end{aligned} \quad (5.10)$$

Similar values for the kernelFit approach are,

$$\begin{aligned} \bar{k}_x &= 18.438312\text{pN}/\mu\text{m} & \bar{k}_y &= 19.416466\text{pN}/\mu\text{m} \\ \sigma_{k_x}^2 &= 0.460043\text{pN}^2/\mu\text{m}^2 & \sigma_{k_y}^2 &= 0.409082\text{pN}^2/\mu\text{m}^2 \end{aligned} \quad (5.11)$$

The PSD profiles and curve fits for the other four Brownian motion datasets are available in Appn. B.3. Note that the difference in the stiffness values between the two directions and the approaches is small along with the low variance values

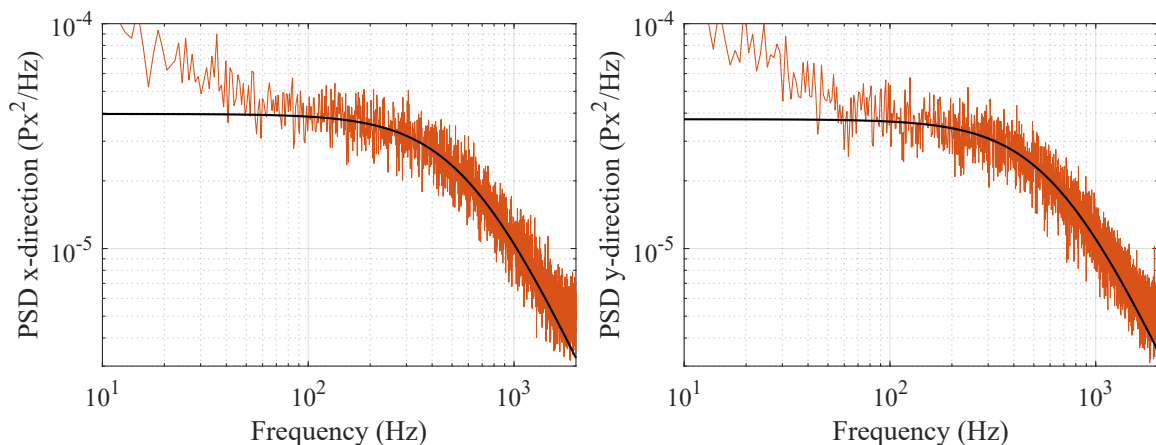


Figure 5.19: PSD profile for a 500nm diameter particle centroid computed using the kernelFit approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

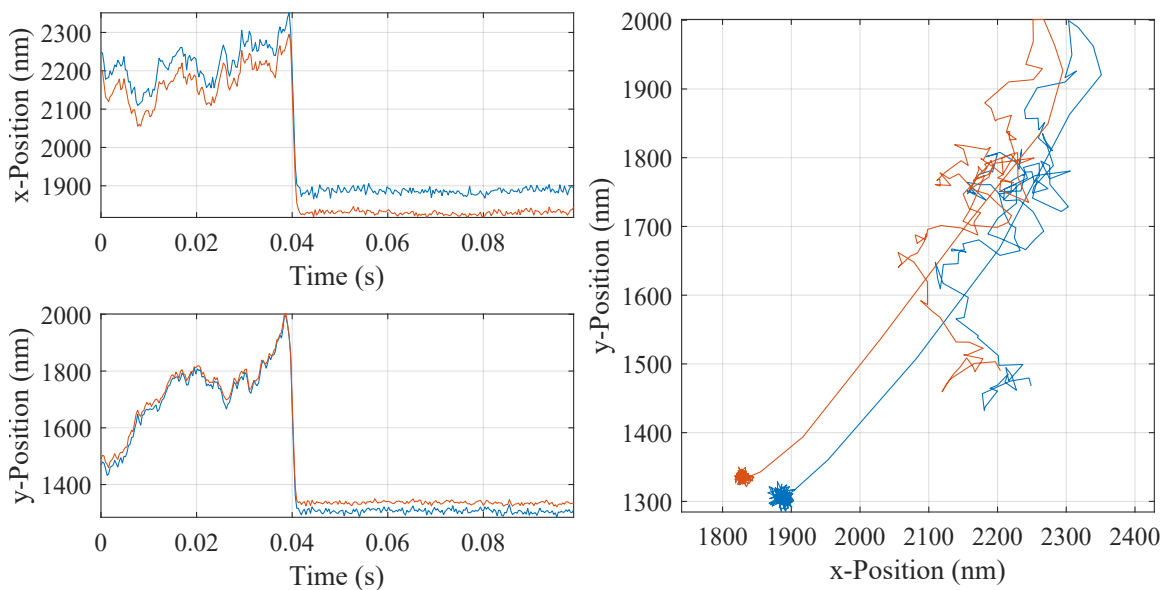


Figure 5.20: TRR data for a 500nm diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches, the trap was turned off for 30ms in this experiment



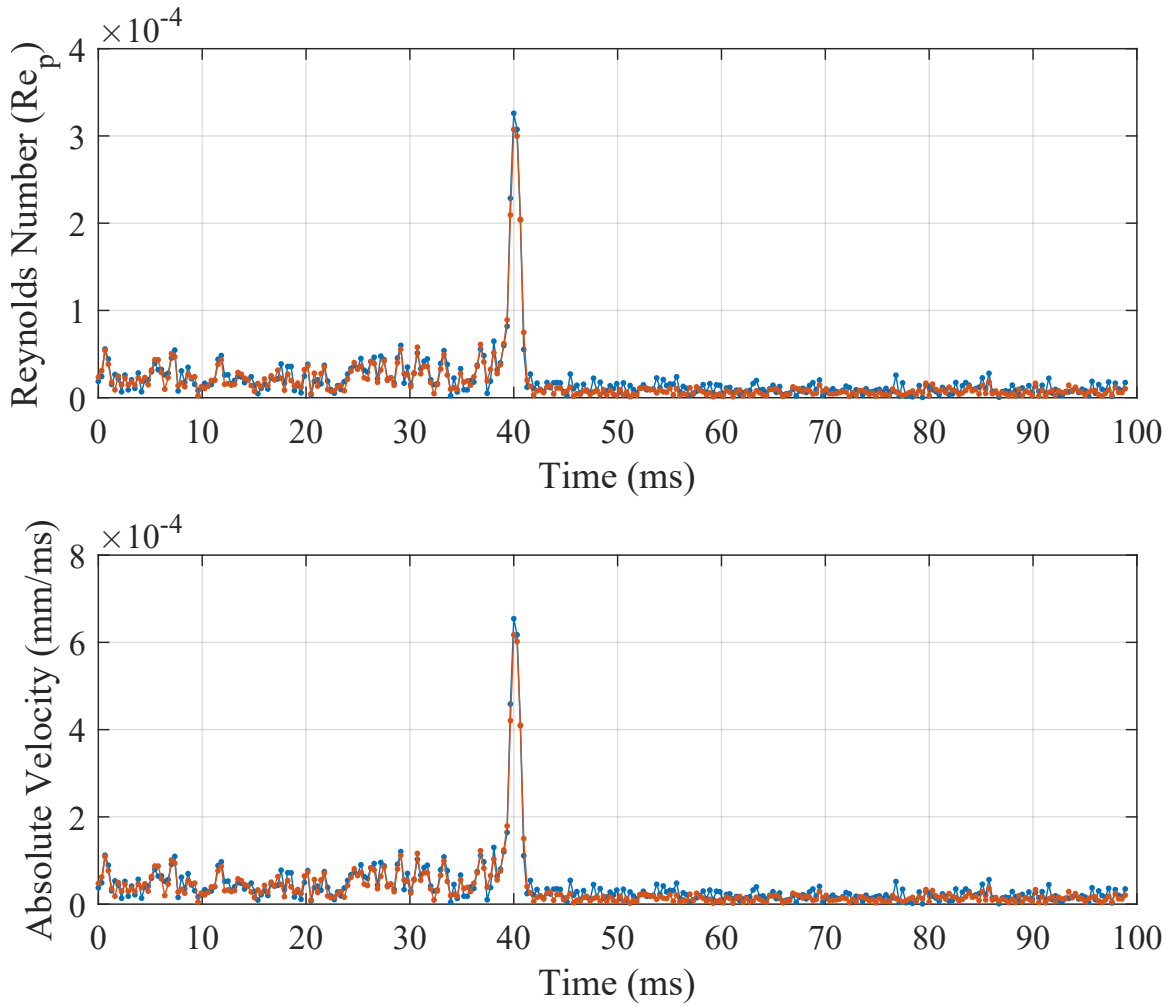


Figure 5.21: Particle Reynolds number and absolute velocity for a 500nm diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches

compared to the  $1\mu\text{m}$  dataset. This was achieved because the use of the Cyan laser improved the signal-to-noise ratio significantly. However, the particle would lose its ability to fluoresce if it was illuminated using the laser for more than 60 seconds. Thus, the Brownian motion datasets collected here were only 30 seconds long. Furthermore, note that no peak is visible in the PSD profile near the corner frequency as what was suggested by the simulated PSD from the scaled model for 500nm diameter particle in Section 4.5.

Data for the TRR experiment is presented in Fig. 5.20. The trap was turned off for 30ms in this case. Note that the particle is  $\approx 800\text{nm}$  away from the trapping location when the trap is turned on at 0.04s. The laser power used here was kept the same as the one used for the  $2\mu\text{m}$  particle to increase the chances of causing the underdamped behavior. However, no underdamped behavior was observed here, compared to what was observed in the old dataset. Thirty-nine more datasets of TRR experiments are available in Appn. E.

the particle Reynolds number ( $\text{Re}_p$ ) is presented in Fig. 5.21. Note that the  $\text{Re}_p$  here is smaller than or equal to  $3.5 \times 10^{-4}$ . This value is extremely close to the one observed in the previous dataset of 500nm diameter particle,  $\text{Re}_p \leq 3 \times 10^{-4}$ , Fig. 4.6. Although this value of  $\text{Re}_p$  was enough in the old dataset to show an underdamped motion, no underdamped motion was observed in this case.

## 5.6 Conclusion and Future Work

A new experimental setup for trapping micro/nano particles reliably and repeatedly is presented here with the primary goal of characterizing the suspected underdamped behavior that was observed in the previous dataset. This new setup is shown to have a measurably good quality of the laser beam intensity profile and the correct alignment with the objective, which should have minimized the light aberrations. Different methods and techniques of data compression, particle centroid detection and trap stiffness estimation were also presented here.

New experimental datasets for  $2\mu\text{m}$ ,  $1\mu\text{m}$  and 500nm diameter particles were collected for both the Brownian motion experiments and the TRR experiments. No significant underdamped behavior was observed in the 40 TRR trajectories for the 500nm diameter particle presented here. Furthermore, it was discussed in Section 4.5 that a distinct peak should be visible in the PSD profile of the 500nm diameter

particle's position if the particle was supposed to show the underdamped motion. However, no such peak was observed in the new data presented here. Currently, the findings of the new dataset contradict the old experimental data and the hypothesis that was developed based on the previous observations.

One conclusion that can be drawn here is that if the laser beam profile has a good quality factor ( $M^2$ ) and a correct alignment is achieved then the underdamped motion does not occur. Thus, the possible reasons for the underdamped motion in the old datasets could either be a poor beam quality or some type of fluid force effect that was not captured in the experiments presented here. Unfortunately, no data exists for the beam quality of the previous experiment.

The best way forward is to complete the experiments of transporting the particles through the "L" shaped microchannel as discussed in Section 4.6. If the data from these experiments deviate from the Stokes drag model then the fluid forces action on the particle can be analyzed in further detail. The current trapping setup can also be improved to reproduce the conditions encountered in the microchannel experiments.

Regardless of the steps that are taken in the future, the current optical trapping setup is already being pushed to its limits. The primary challenge with the current setup is the insufficient amount of fluorescent light emitting from the 500nm diameter particle. This can be improved by using an objective lens with higher NA. However, the problem may arise again as the particle size is reduced further. Furthermore, the particle will barely be visible through a camera as its size reduces. Thus, for the experiments with smaller particles, either a Quadrant Photodiode or a Lateral Effect Position Sensor can be used, both of which provide better accuracy and higher sampling rate.

## APPENDIX A

### Equations of Motion for Double Pendulum System

The equations of motion for the double pendulum example are computed using Kane's method. Because the final EOMs are too large, this section does not derive complete EOMs. Rather it provides important equations in a format discussed in [15], which when evaluated result in the EOMs for the double pendulum.

### A.1 Equations of Motion

**Rigid Bodies:** There are two rigid bodies, the two cylindrical rods of double pendulum.

**Inertial Reference Frame and Point:** The inertial reference point is N, and  $N = (\hat{\mathbf{N}}_1, \hat{\mathbf{N}}_2, \hat{\mathbf{N}}_3)$  is the inertial reference frame shown in Fig. 2.2.

**Other Points and Frames:** Point A and B are body-attached points, and they are also the center of gravity of bodies A and B respectively. Point C represents the spherical joint between two rods.  $A = (\hat{\mathbf{A}}_1, \hat{\mathbf{A}}_2, \hat{\mathbf{A}}_3)$  and  $B = (\hat{\mathbf{B}}_1, \hat{\mathbf{B}}_2, \hat{\mathbf{B}}_3)$  are body-attached frames shown in Fig. 2.2.

**Location Descriptions:** The location descriptions provide a formal way of specifying the position of every point that comprises the rigid bodies in the system.

$$L_A = \{\mathbf{P}_{NA}, {}^N R\} + geom. \quad L_B = \{\mathbf{P}_{CB}, {}^A R\} + geom. \quad (\text{A.1})$$

$$\mathbf{P}_{NA} = \mathbf{P}_{AC} = l_A \hat{\mathbf{A}}_1 \quad \mathbf{P}_{NC} = 2l_A \hat{\mathbf{A}}_1 \quad \mathbf{P}_{CB} = l_B \hat{\mathbf{B}}_1 \quad (\text{A.2})$$

$${}^A R = \frac{1}{e_{A_0}^2 + e_{A_1}^2 + e_{A_2}^2 + e_{A_3}^2} \begin{bmatrix} e_{A_0}^2 + e_{A_1}^2 - e_{A_2}^2 - e_{A_3}^2 & 2e_{A_1}e_{A_2} - 2e_{A_0}e_{A_3} & 2e_{A_0}e_{A_2} + 2e_{A_1}e_{A_3} \\ 2e_{A_1}e_{A_2} + 2e_{A_0}e_{A_3} & e_{A_0}^2 - e_{A_1}^2 + e_{A_2}^2 - e_{A_3}^2 & 2e_{A_2}e_{A_3} - 2e_{A_0}e_{A_1} \\ 2e_{A_1}e_{A_3} - 2e_{A_0}e_{A_2} & 2e_{A_2}e_{A_3} + 2e_{A_0}e_{A_1} & e_{A_0}^2 - e_{A_1}^2 - e_{A_2}^2 + e_{A_3}^2 \end{bmatrix} \quad (\text{A.3})$$

$${}^A R_B = \frac{1}{e_{B_0}^2 + e_{B_1}^2 + e_{B_2}^2 + e_{B_3}^2} \begin{bmatrix} e_{B_0}^2 + e_{B_1}^2 - e_{B_2}^2 - e_{B_3}^2 & 2e_{B_1}e_{B_2} - 2e_{B_0}e_{B_3} & 2e_{B_0}e_{B_2} + 2e_{B_1}e_{B_3} \\ 2e_{B_1}e_{B_2} + 2e_{B_0}e_{B_3} & e_{B_0}^2 - e_{B_1}^2 + e_{B_2}^2 - e_{B_3}^2 & 2e_{B_2}e_{B_3} - 2e_{B_0}e_{B_1} \\ 2e_{B_1}e_{B_3} - 2e_{B_0}e_{B_2} & 2e_{B_2}e_{B_3} + 2e_{B_0}e_{B_1} & e_{B_0}^2 - e_{B_1}^2 - e_{B_2}^2 + e_{B_3}^2 \end{bmatrix} \quad (\text{A.4})$$

**Coordinates:** Eight coordinates appear in the location descriptions. Where,  $e_{A_{0-3}}$  and  $e_{B_{0-3}}$  are Euler parameters representing body A's and body B's orientation respectively. The vector of generalized coordinates,  $\mathbf{q}$  is defined as:

$$\mathbf{q} = [\mathbf{e}_A^T \ \mathbf{e}_B^T]^T = [e_{A_0} \ e_{A_1} \ e_{A_2} \ e_{A_3} \ e_{B_0} \ e_{B_1} \ e_{B_2} \ e_{B_3}]^T$$

**Constraints:** The normalization constraint associated with both the Euler parameter sets are given as

$$q_1^2 + q_2^2 + q_3^2 + q_4^2 = 1 \quad , \quad q_5^2 + q_6^2 + q_7^2 + q_8^2 = 1$$

**Degrees of Freedom (DOFs):**

$$8 \text{ coordinates} - 2 \text{ constraint} = 6 \text{ DOFs}$$

**Velocity:** The angular velocity of body A and body B is

$$\begin{aligned}
{}^N\boldsymbol{\omega}^A &= 2 \left( u_1 \widehat{\mathbf{A}}_1 + u_2 \widehat{\mathbf{A}}_2 + u_3 \widehat{\mathbf{A}}_3 \right) \\
&= 2(q_1 \dot{q}_2 - q_2 \dot{q}_1 - q_3 \dot{q}_4 + q_4 \dot{q}_3) \widehat{\mathbf{A}}_1 \\
&\quad + 2(q_1 \dot{q}_3 + q_2 \dot{q}_4 - q_3 \dot{q}_1 - q_4 \dot{q}_2) \widehat{\mathbf{A}}_2 \\
&\quad + 2(q_1 \dot{q}_4 - q_2 \dot{q}_3 + q_3 \dot{q}_2 - q_4 \dot{q}_1) \widehat{\mathbf{A}}_3
\end{aligned} \tag{A.5}$$

$$\begin{aligned}
{}^A\boldsymbol{\omega}^B &= 2 \left( u_5 \widehat{\mathbf{B}}_1 + u_6 \widehat{\mathbf{B}}_2 + u_7 \widehat{\mathbf{B}}_3 \right) \\
&= 2(q_5 \dot{q}_6 - q_6 \dot{q}_5 - q_7 \dot{q}_8 + q_8 \dot{q}_7) \widehat{\mathbf{B}}_1 \\
&\quad + 2(q_5 \dot{q}_7 + q_6 \dot{q}_8 - q_7 \dot{q}_5 - q_8 \dot{q}_6) \widehat{\mathbf{B}}_2 \\
&\quad + 2(q_5 \dot{q}_8 - q_6 \dot{q}_7 + q_7 \dot{q}_6 - q_8 \dot{q}_5) \widehat{\mathbf{B}}_3
\end{aligned} \tag{A.6}$$

$${}^N\boldsymbol{\omega}^B = {}_B^A R^{TN} \boldsymbol{\omega}^A + {}^A\boldsymbol{\omega}^B \tag{A.7}$$

The translational velocity of the mass centers A and B and point C is given as

$$\mathbf{V}_A = \frac{d\mathbf{P}_{NA}}{dt} = {}^N\boldsymbol{\omega}^A \times \mathbf{P}_{NA} \tag{A.8}$$

$$\mathbf{V}_C = \frac{d\mathbf{P}_{NC}}{dt} = {}^N\boldsymbol{\omega}^A \times \mathbf{P}_{NC} \tag{A.9}$$

$$\mathbf{V}_B = \frac{d\mathbf{P}_{NB}}{dt} = {}_B^A R^T \mathbf{V}_C + {}^N\boldsymbol{\omega}^B \times \mathbf{P}_{CB} \tag{A.10}$$

The quasi-velocities required to compute partial derivatives in Kane's equations of motion are  $\mathbf{u}_A$  and  $\mathbf{u}_B$  which are already defined in Section 2.3.

**Acceleration:** The angular acceleration of body A and body B is

$$\begin{aligned} {}^N\dot{\boldsymbol{\omega}}^A &= 2(q_1\ddot{q}_2 - q_2\ddot{q}_1 - q_3\ddot{q}_4 + q_4\ddot{q}_3)\widehat{\mathbf{A}}_1 \\ &\quad + 2(q_1\ddot{q}_3 + q_2\ddot{q}_4 - q_3\ddot{q}_1 - q_4\ddot{q}_2)\widehat{\mathbf{A}}_2 \\ &\quad + 2(q_1\ddot{q}_4 - q_2\ddot{q}_3 + q_3\ddot{q}_2 - q_4\ddot{q}_1)\widehat{\mathbf{A}}_3 \end{aligned} \quad (\text{A.11})$$

$$\begin{aligned} {}^A\dot{\boldsymbol{\omega}}^B &= 2(q_5\ddot{q}_6 - q_6\ddot{q}_5 - q_7\ddot{q}_8 + q_8\ddot{q}_7)\widehat{\mathbf{B}}_1 \\ &\quad + 2(q_5\ddot{q}_7 + q_6\ddot{q}_8 - q_7\ddot{q}_5 - q_8\ddot{q}_6)\widehat{\mathbf{B}}_2 \\ &\quad + 2(q_5\ddot{q}_8 - q_6\ddot{q}_7 + q_7\ddot{q}_6 - q_8\ddot{q}_5)\widehat{\mathbf{B}}_3 \end{aligned} \quad (\text{A.12})$$

$${}^N\dot{\boldsymbol{\omega}}^B = {}^A R^T {}^A\dot{\boldsymbol{\omega}}^B + {}^A\dot{\boldsymbol{\omega}}^B + {}^N\boldsymbol{\omega}^B \times {}^A\boldsymbol{\omega}^B \quad (\text{A.13})$$

The translational acceleration of mass centers A and B and point C is given as

$$\dot{\mathbf{V}}_A = \frac{d\mathbf{V}_A}{dt} = {}^N\dot{\boldsymbol{\omega}}^A \times \mathbf{P}_{NA} + {}^N\boldsymbol{\omega}^A \times ({}^N\boldsymbol{\omega}^A \times \mathbf{P}_{NA}) \quad (\text{A.14})$$

$$\dot{\mathbf{V}}_C = \frac{d\mathbf{V}_C}{dt} = {}^N\dot{\boldsymbol{\omega}}^A \times \mathbf{P}_{NC} + {}^N\boldsymbol{\omega}^A \times ({}^N\boldsymbol{\omega}^A \times \mathbf{P}_{NC}) \quad (\text{A.15})$$

$$\dot{\mathbf{V}}_B = \frac{d\mathbf{V}_B}{dt} = {}^A R^T \dot{\mathbf{V}}_C + {}^N\dot{\boldsymbol{\omega}}^B \times \mathbf{P}_{CB} + {}^N\boldsymbol{\omega}^B \times ({}^N\boldsymbol{\omega}^B \times \mathbf{P}_{CB}) \quad (\text{A.16})$$

**Mass Properties:** Mass of body A and body B is assumed to be  $m_A$  and  $m_B$  respectively while the spherical joint is assumed to be massless. The inertia matrix of body A and body B can be given as

$$I_{AA} = \begin{bmatrix} \frac{1}{2}m_A r^2 & 0 & 0 \\ 0 & \frac{1}{12}m_A(3r^2 + L^2) & 0 \\ 0 & 0 & \frac{1}{12}m_A(3r^2 + L^2) \end{bmatrix} \quad (\text{A.17})$$

$$I_{BB} = \begin{bmatrix} \frac{1}{2}m_B r^2 & 0 & 0 \\ 0 & \frac{1}{12}m_B(3r^2 + L^2) & 0 \\ 0 & 0 & \frac{1}{12}m_B(3r^2 + L^2) \end{bmatrix} \quad (\text{A.18})$$

**Forces and Moments:** No external moments are acting on the bodies. Only the gravitational force acts on both the bodies. Note that both resultant force and



moment vectors given below are expressed in inertial frame. Moments were taken about the mass center of each body.

$$\mathbf{F}_A = -m_A g \widehat{\mathbf{N}}_3, \quad , \quad \mathbf{F}_B = -m_B g \widehat{\mathbf{N}}_3 \quad (\text{A.19})$$

$$\mathbf{M}_{AA} = \mathbf{0}, \quad , \quad \mathbf{M}_{BB} = \mathbf{0} \quad (\text{A.20})$$

**Equations of Motion:** The equations of motion can be computed using the Kane's equations given below. All the necessary terms are already defined in this appendix.

$$\begin{aligned} 0 &= F_i - F_i^* \\ F_i &= \sum_{K=1}^{bodies} \left[ \mathbf{F}_K \cdot \frac{\partial \mathbf{V}_K}{\partial u_i} + \mathbf{M}_{KK} \cdot \frac{\partial^N \boldsymbol{\omega}^K}{\partial u_i} \right] \\ F_i^* &= \sum_{K=1}^{bodies} \left[ m_K \dot{\mathbf{V}}_K \cdot \frac{\partial \mathbf{V}_K}{\partial u_i} + \dot{\mathbf{H}}_{KK} \cdot \frac{\partial^N \boldsymbol{\omega}^K}{\partial u_i} \right] \end{aligned} \quad (\text{A.21})$$

where  $i = \{1, 2, 3, 5, 6, 7\}$  and,

$$\dot{\mathbf{H}}_{KK} = I_{KK}^N \dot{\boldsymbol{\omega}}^K + {}^N \boldsymbol{\omega}^K \times (I_{KK}^N \boldsymbol{\omega}^K) \quad (\text{A.22})$$

## A.2 Online Constraint Embedding Method

The virtual work done by the system can be calculated from (A.21) as follows

$$0 = \delta W = \sum_{i=1}^n \sum_{K=1}^{bodies} \left[ \mathbf{F}_K \cdot \frac{\partial \mathbf{V}_K}{\partial u_i} - m_K \dot{\mathbf{V}}_K \cdot \frac{\partial \mathbf{V}_K}{\partial u_i} + \mathbf{M}_{KK} \cdot \frac{\partial^N \boldsymbol{\omega}^K}{\partial u_i} - \dot{\mathbf{H}}_{KK} \cdot \frac{\partial^N \boldsymbol{\omega}^K}{\partial u_i} \right] \delta q_i$$

The equation above can also be expressed as

$$0 = \sum_{i=1}^n (F_i - F_i^*) \delta q_i \quad (\text{A.23})$$

Here, the  $F_i$  and  $F_i^*$  are generated for both the dependent and independent generalized coordinates. Note that any holonomic constraint can be expressed in a form that is linear in the virtual displacements. Let's say that we are solving for a system that

has five generalized coordinates and two constraints. Thus, the relationship between dependent and independent virtual displacements can be given as

$$\begin{bmatrix} \delta q_{D_4} \\ \delta q_{D_5} \end{bmatrix} = \begin{bmatrix} C_{41} & C_{42} & C_{43} \\ C_{51} & C_{52} & C_{53} \end{bmatrix} \begin{bmatrix} \delta q_{I_1} \\ \delta q_{I_2} \\ \delta q_{I_3} \end{bmatrix} \quad (\text{A.24})$$

where, the subscripts ‘ $D$ ’ and ‘ $I$ ’ denote a dependent or independent virtual displacement respectively. Substituting the equation above in Eqn. (A.23) yields

$$\begin{aligned} 0 &= (F_1 - F_1^*)\delta q_1 + (F_2 - F_2^*)\delta q_2 + (F_3 - F_3^*)\delta q_3 \\ &\quad + (F_4 - F_4^*)\delta q_4 + (F_5 - F_5^*)\delta q_5 \\ &= (F_1 - F_1^*)\delta q_1 + (F_2 - F_2^*)\delta q_2 + (F_3 - F_3^*)\delta q_3 \\ &\quad + (F_4 - F_4^*)(C_{41}\delta q_1 + C_{42}\delta q_2 + C_{43}\delta q_3) \\ &\quad + (F_5 - F_5^*)(C_{51}\delta q_1 + C_{52}\delta q_2 + C_{53}\delta q_3) \\ &= (F_1 - F_1^* + C_{41}(F_4 - F_4^*) + C_{51}(F_5 - F_5^*))\delta q_1 \\ &\quad + (F_2 - F_2^* + C_{42}(F_4 - F_4^*) + C_{52}(F_5 - F_5^*))\delta q_2 \\ &\quad + (F_3 - F_3^* + C_{43}(F_4 - F_4^*) + C_{53}(F_5 - F_5^*))\delta q_3 \end{aligned} \quad (\text{A.25})$$

Because the virtual displacements in the equation above are independent, the only way for the virtual work to be equal to zero for any values they may take, is when their coefficients are zero for all time. Thus, it can be shown that

$$\bar{F}_i - \bar{F}_i^* = 0 \quad \bar{F}_i = F_i + \sum_{j=p+1}^{p+q} C_{ji}F_j \quad \bar{F}_i^* = F_i^* + \sum_{j=p+1}^{p+q} C_{ji}F_j^* \quad (\text{A.26})$$

where,  $p$  and  $q$  are the number of independent and dependent generalized coordinates respectively. And,  $i = \{1, 2, 3, \dots, p\}$ .

## APPENDIX B

### PSD Profiles and Curve Fits

Throughout this appendix, the blue line (—) corresponds to the data generated using the imBinarize centroid detection method while the orange line (—) corresponds to the data generated using the kernelFit centroid detection method. The solid black line represents the curve fit of Eqn. (4.12) to the experimental data. The stiffness values estimated through curve fitting are provided in the caption of each figure.

B.1 Data for 2 $\mu\text{m}$  diameter particle

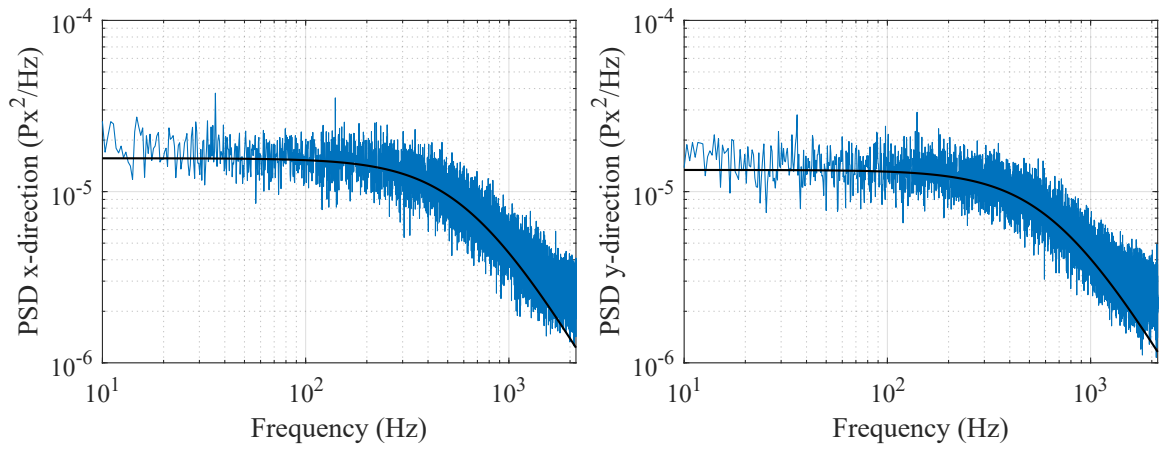


Figure B.1:  $k_x = 73.9196\text{pN}/\mu\text{m}$ ,  $k_y = 78.0766\text{pN}/\mu\text{m}$

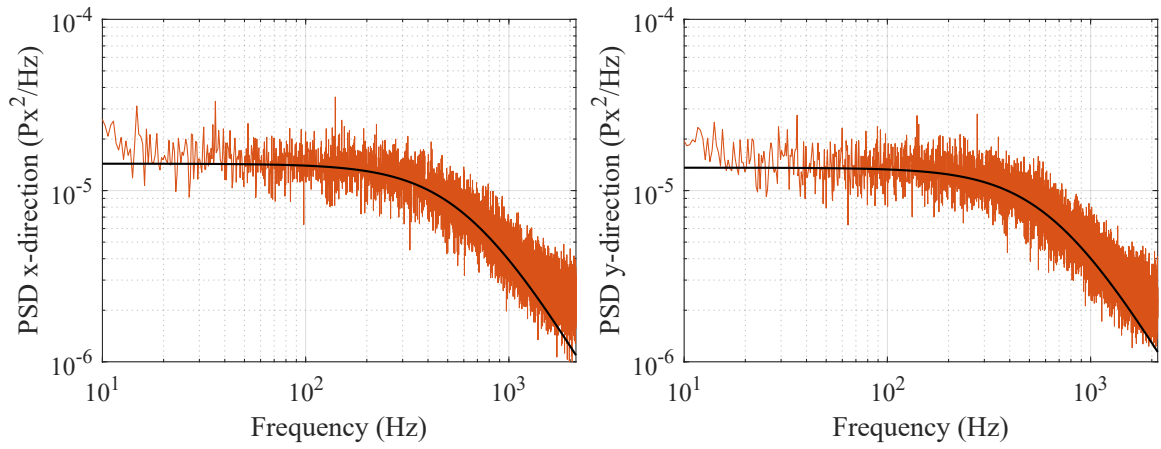


Figure B.2:  $k_x = 72.7761\text{pN}/\mu\text{m}$ ,  $k_y = 76.7711\text{pN}/\mu\text{m}$

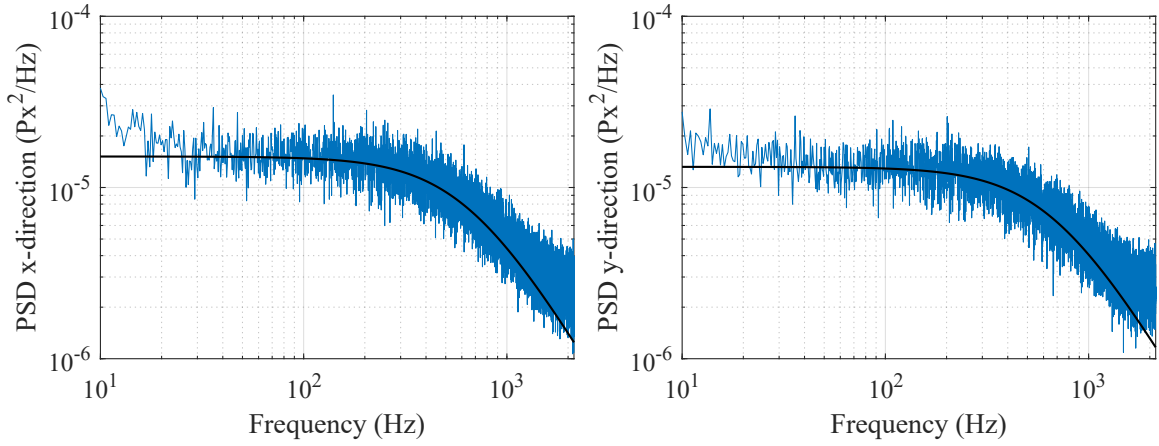


Figure B.3:  $k_x = 75.8743\text{pN}/\mu\text{m}$ ,  $k_y = 78.9234\text{pN}/\mu\text{m}$

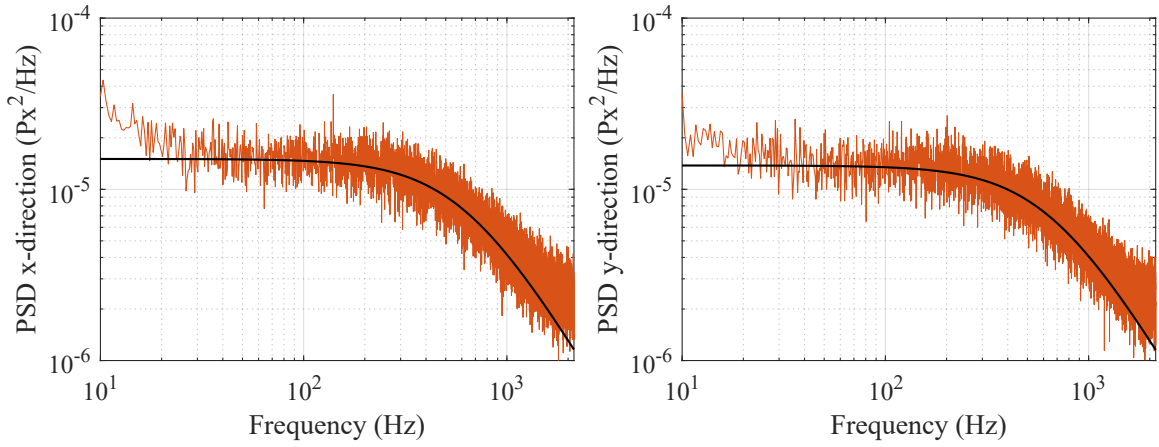


Figure B.4:  $k_x = 73.2490\text{pN}/\mu\text{m}$ ,  $k_y = 76.6792\text{pN}/\mu\text{m}$

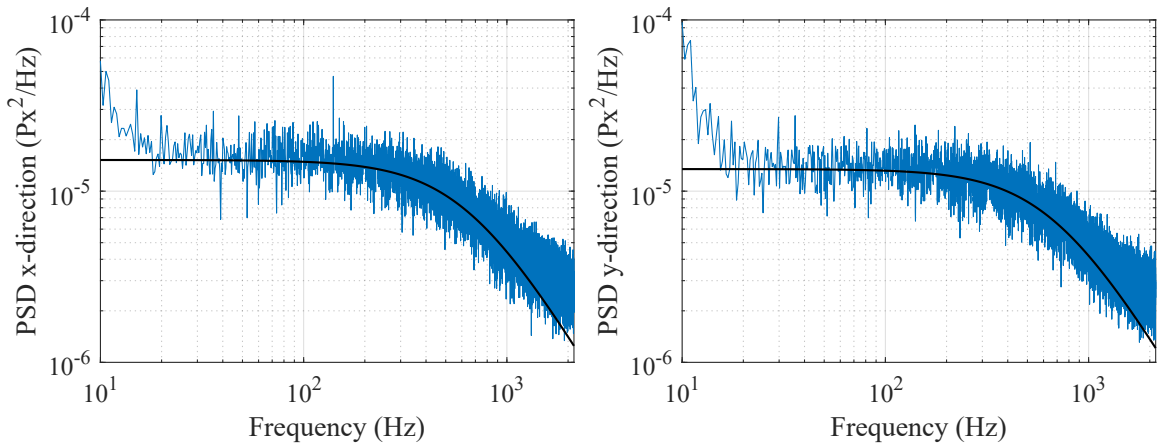


Figure B.5:  $k_x = 75.8401\text{pN}/\mu\text{m}$ ,  $k_y = 79.7704\text{pN}/\mu\text{m}$

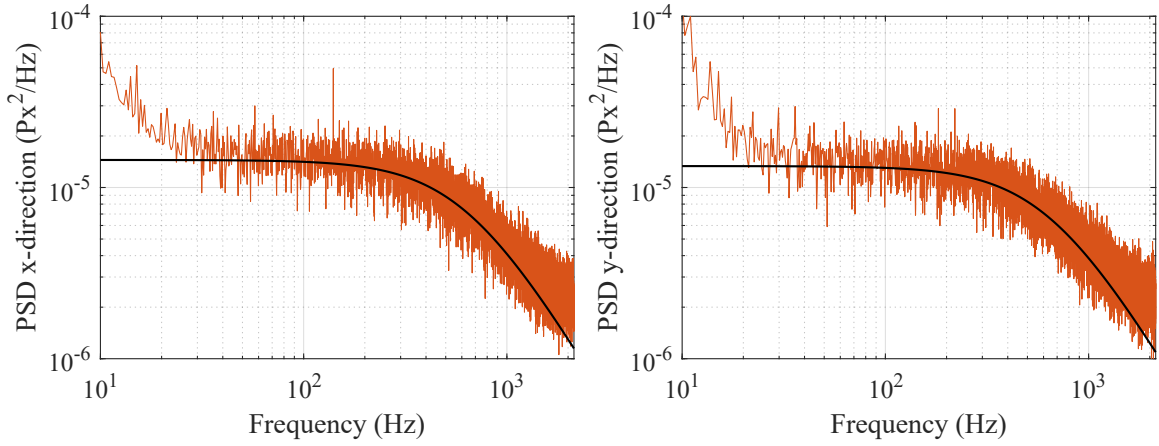


Figure B.6:  $k_x = 74.4082\text{pN}/\mu\text{m}$ ,  $k_y = 75.7354\text{pN}/\mu\text{m}$

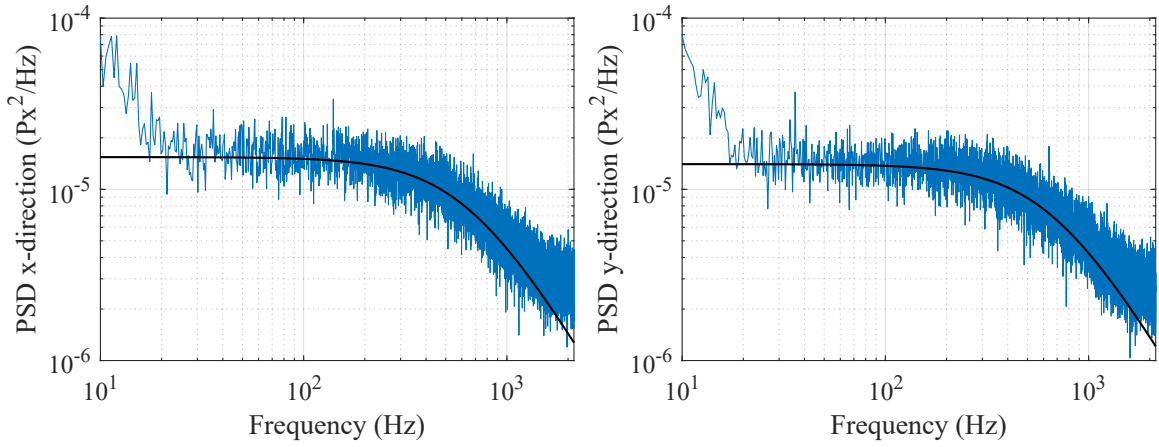


Figure B.7:  $k_x = 76.0498\text{pN}/\mu\text{m}$ ,  $k_y = 77.9873\text{pN}/\mu\text{m}$

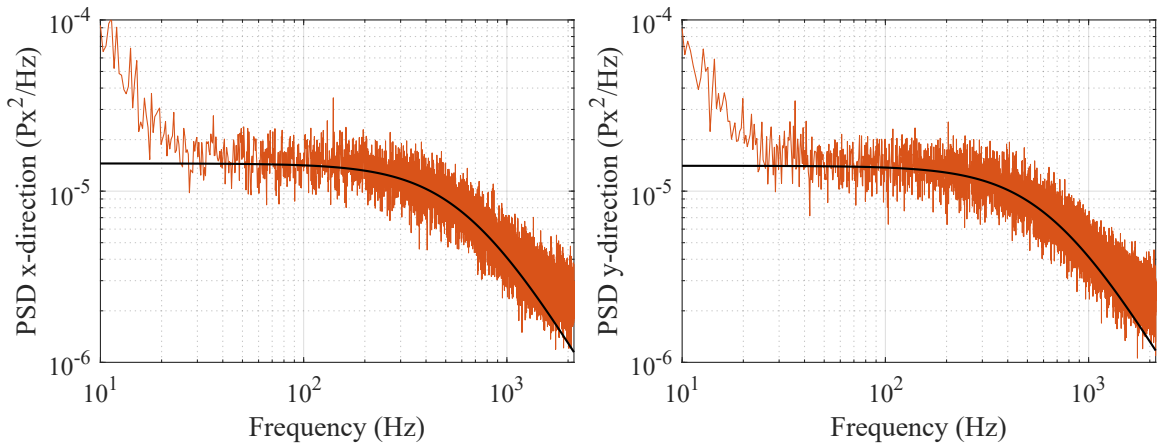


Figure B.8:  $k_x = 74.2808\text{pN}/\mu\text{m}$ ,  $k_y = 76.4626\text{pN}/\mu\text{m}$

## B.2 Data for 1 $\mu$ m diameter particle

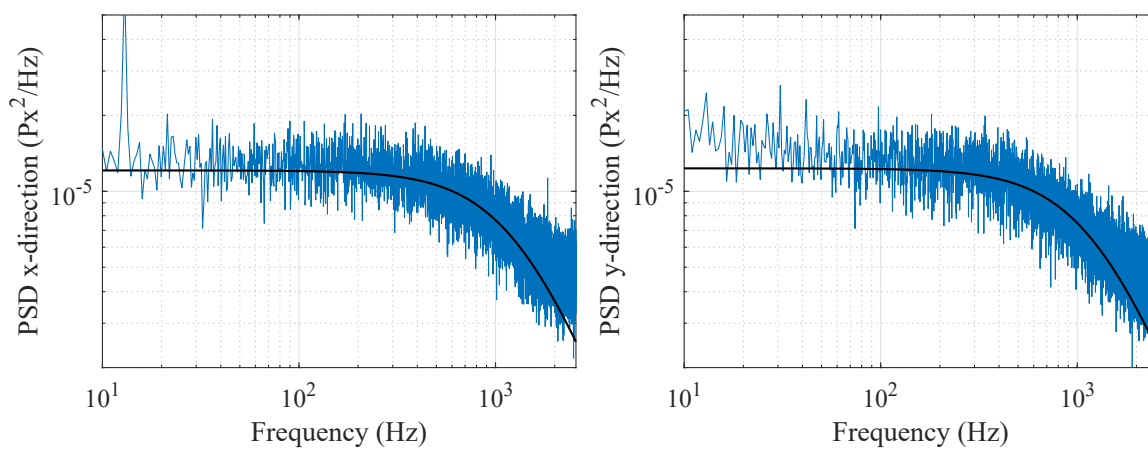


Figure B.9:  $k_x = 78.2915\text{pN}/\mu\text{m}$ ,  $k_y = 73.7166\text{pN}/\mu\text{m}$

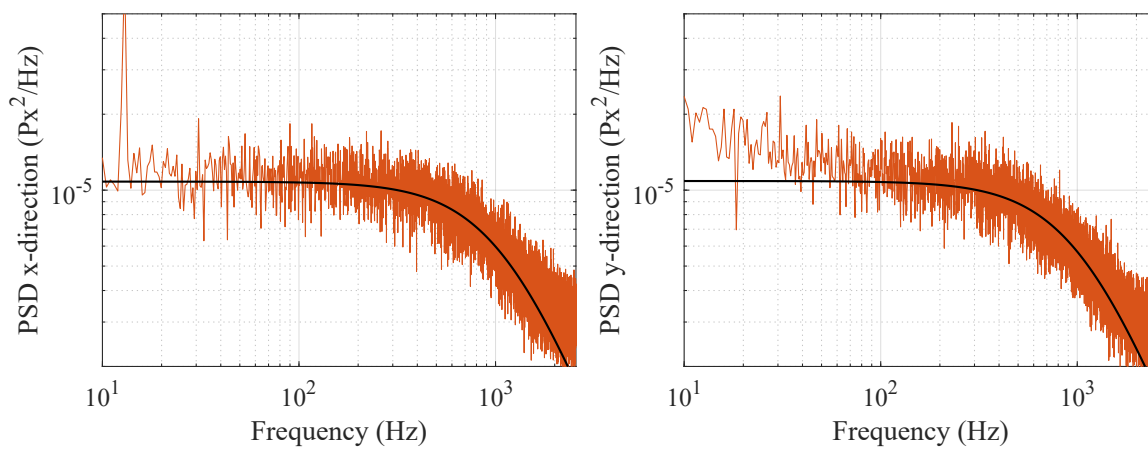


Figure B.10:  $k_x = 65.9141\text{pN}/\mu\text{m}$ ,  $k_y = 62.2912\text{pN}/\mu\text{m}$



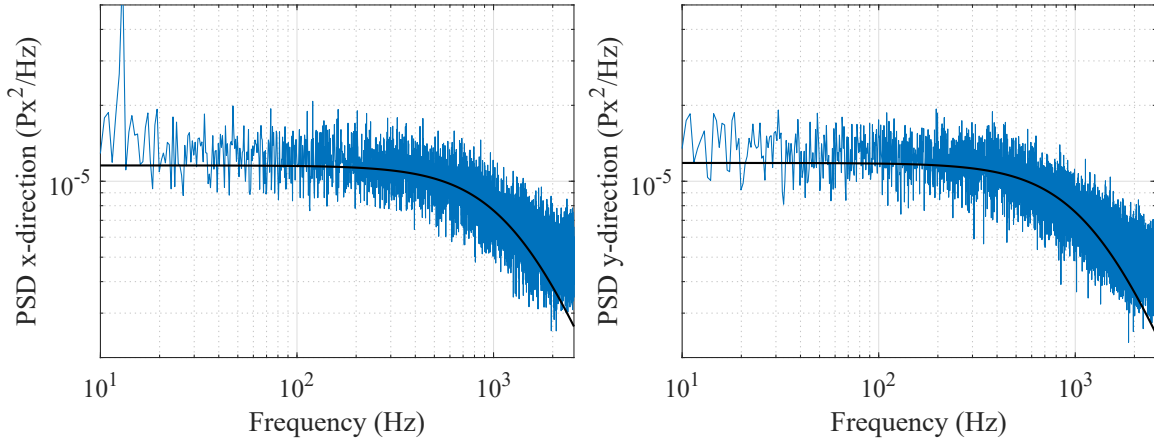


Figure B.11:  $k_x = 83.1381\text{pN}/\mu\text{m}$ ,  $k_y = 78.8821\text{pN}/\mu\text{m}$

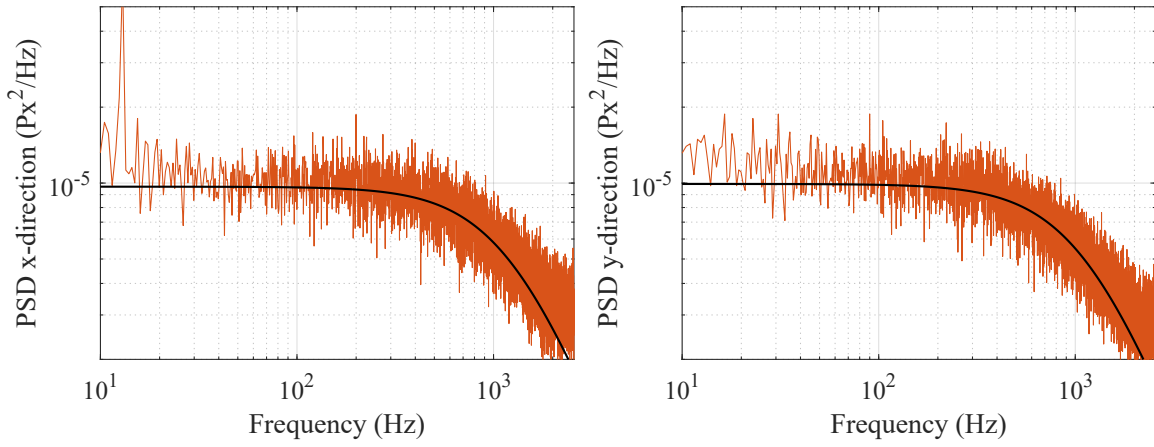


Figure B.12:  $k_x = 72.6940\text{pN}/\mu\text{m}$ ,  $k_y = 66.1881\text{pN}/\mu\text{m}$

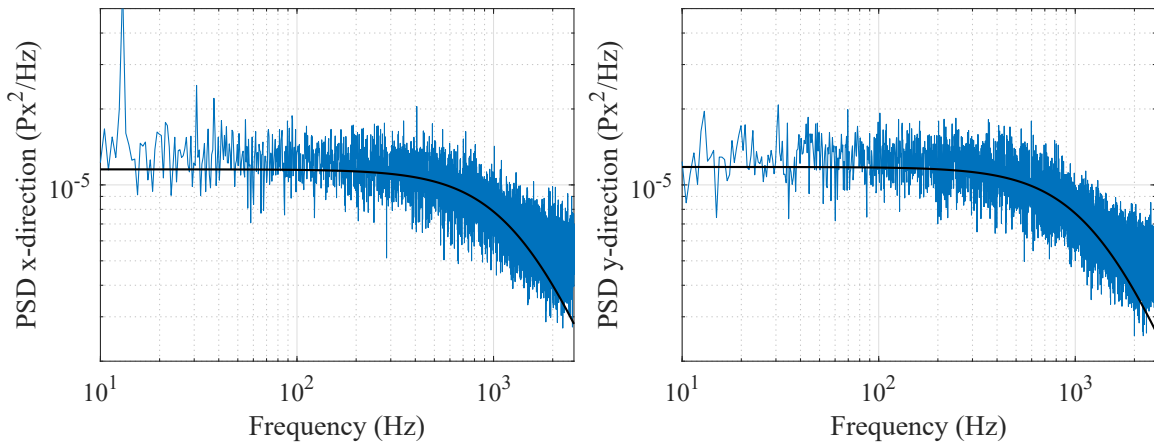


Figure B.13:  $k_x = 86.4218\text{pN}/\mu\text{m}$ ,  $k_y = 81.6438\text{pN}/\mu\text{m}$

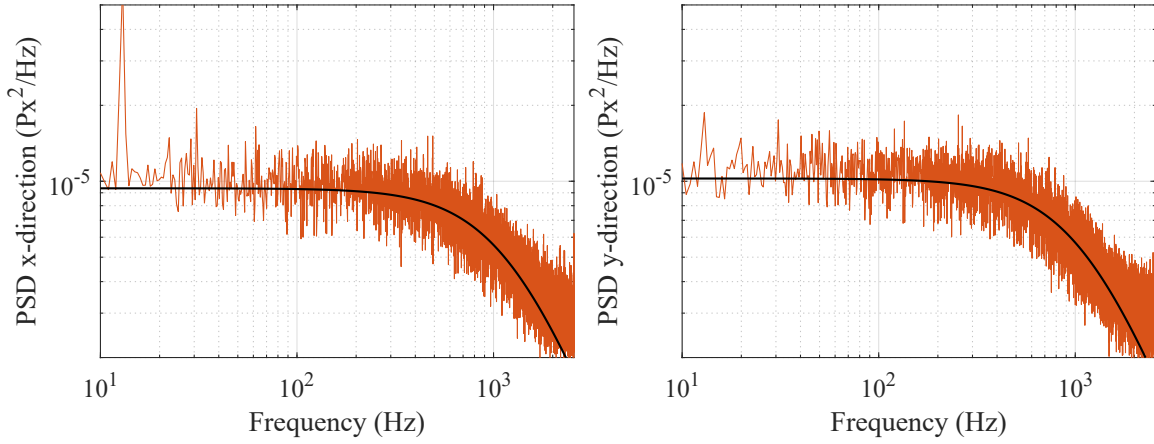


Figure B.14:  $k_x = 72.0587\text{pN}/\mu\text{m}$ ,  $k_y = 66.4809\text{pN}/\mu\text{m}$

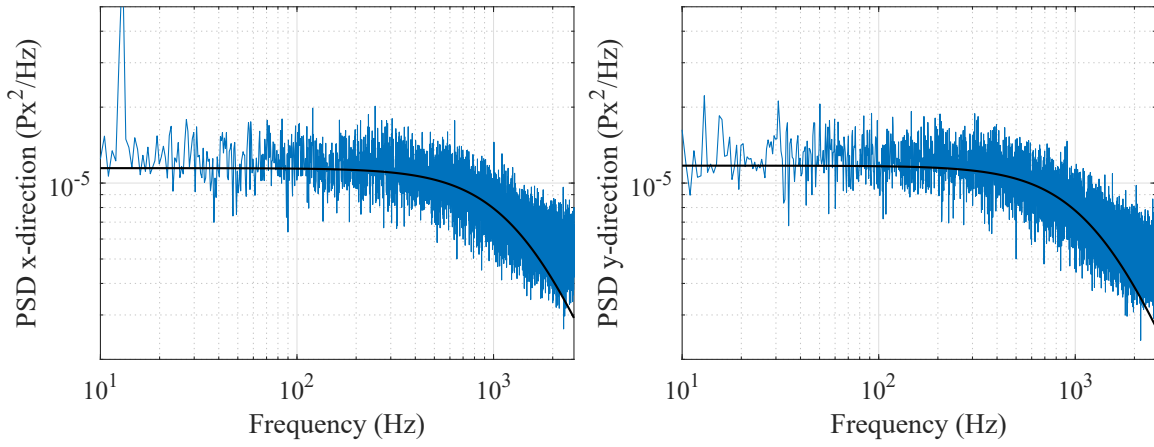


Figure B.15:  $k_x = 88.9685\text{pN}/\mu\text{m}$ ,  $k_y = 83.2548\text{pN}/\mu\text{m}$

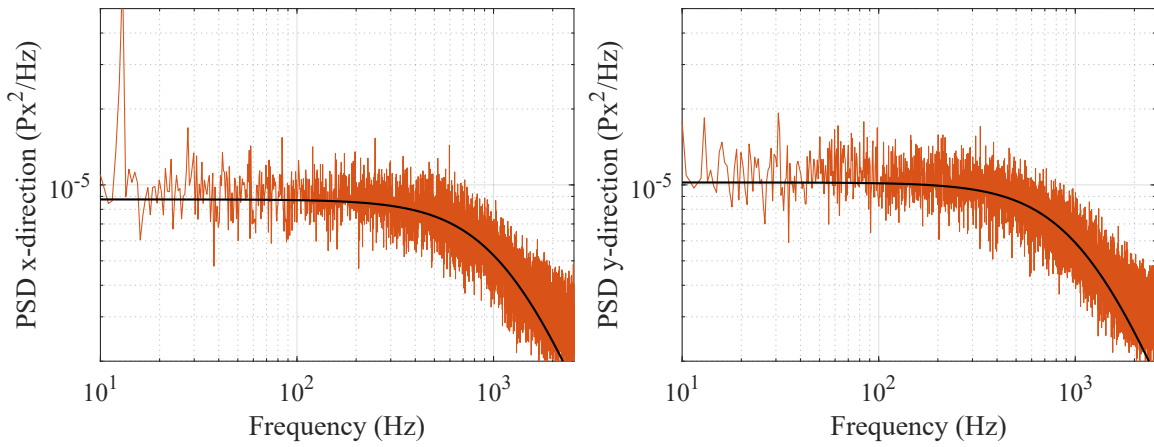


Figure B.16:  $k_x = 72.7083\text{pN}/\mu\text{m}$ ,  $k_y = 69.2532\text{pN}/\mu\text{m}$

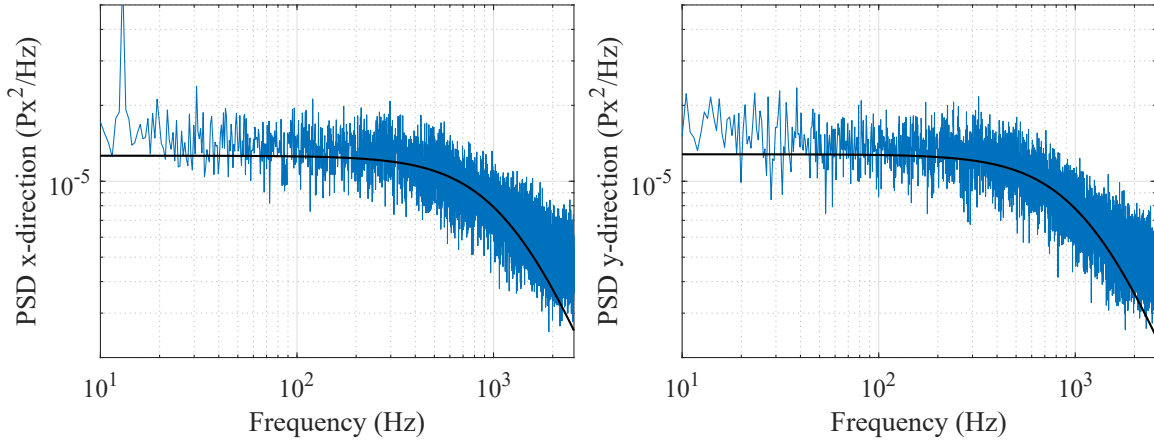


Figure B.17:  $k_x = 76.7048\text{pN}/\mu\text{m}$ ,  $k_y = 73.9067\text{pN}/\mu\text{m}$

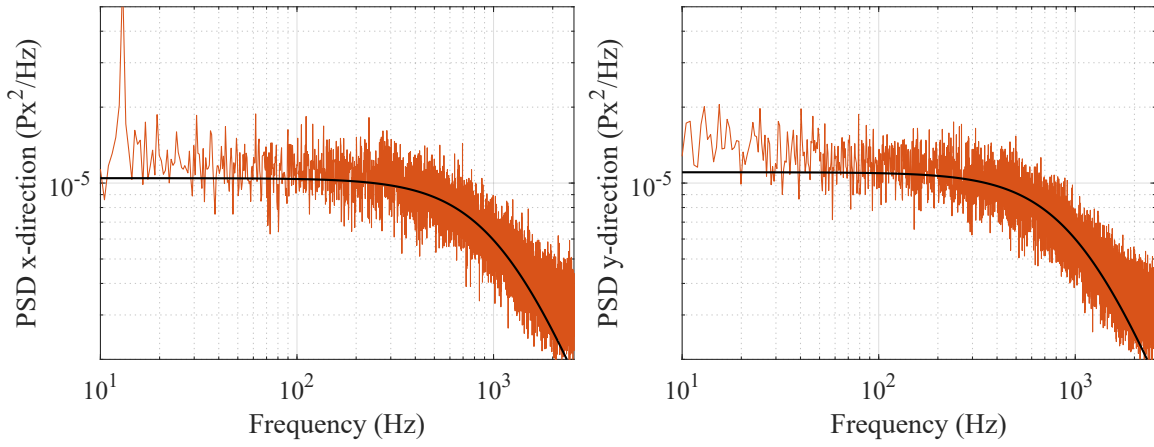


Figure B.18:  $k_x = 68.0154\text{pN}/\mu\text{m}$ ,  $k_y = 64.6954\text{pN}/\mu\text{m}$

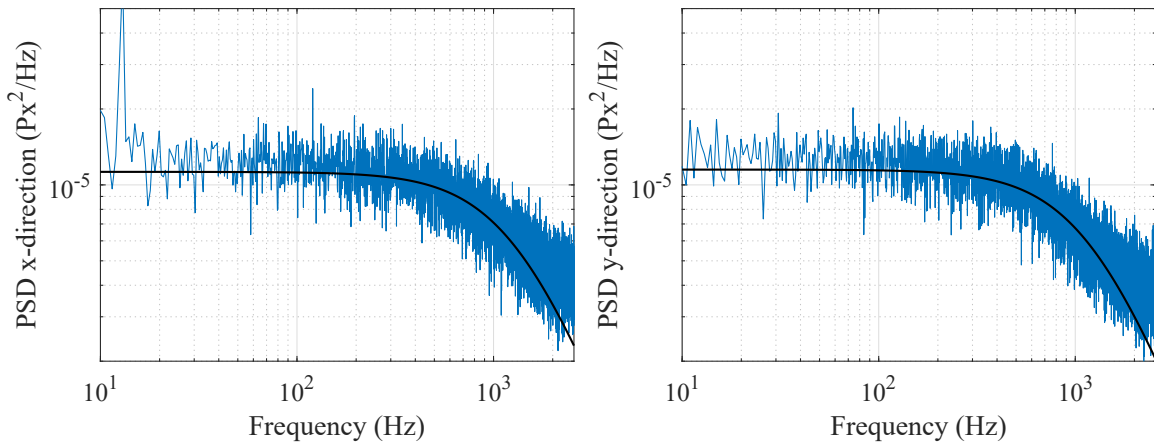


Figure B.19:  $k_x = 77.1914\text{pN}/\mu\text{m}$ ,  $k_y = 70.5412\text{pN}/\mu\text{m}$

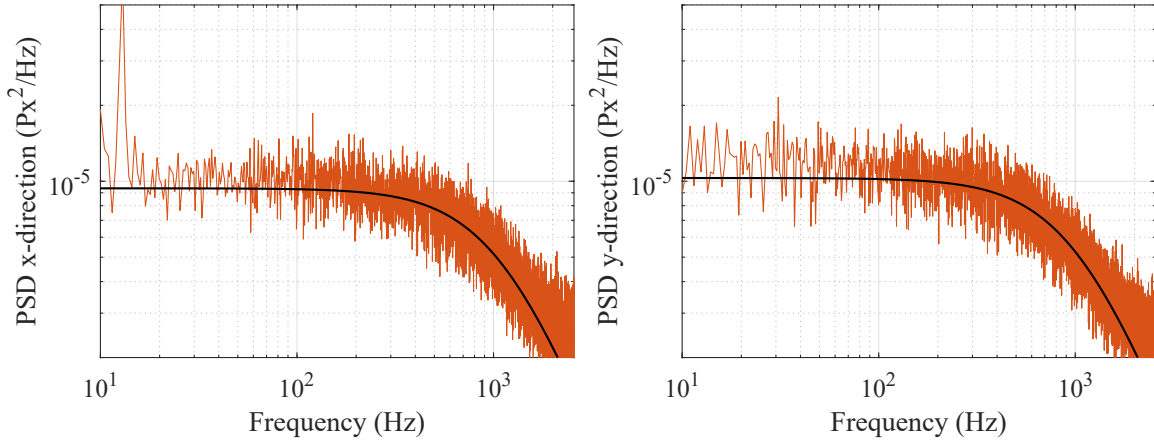


Figure B.20:  $k_x = 65.5011\text{pN}/\mu\text{m}$ ,  $k_y = 60.4925\text{pN}/\mu\text{m}$

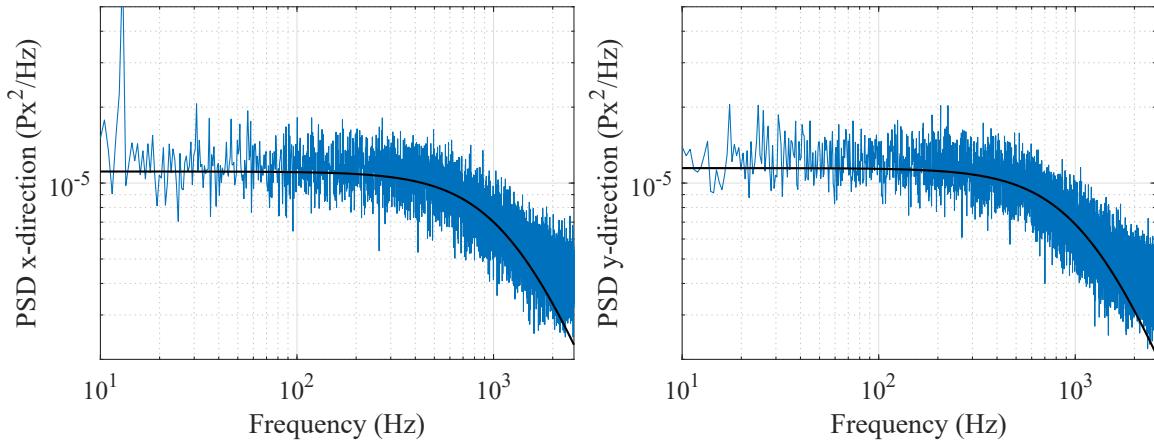


Figure B.21:  $k_x = 77.4360\text{pN}/\mu\text{m}$ ,  $k_y = 72.4398\text{pN}/\mu\text{m}$

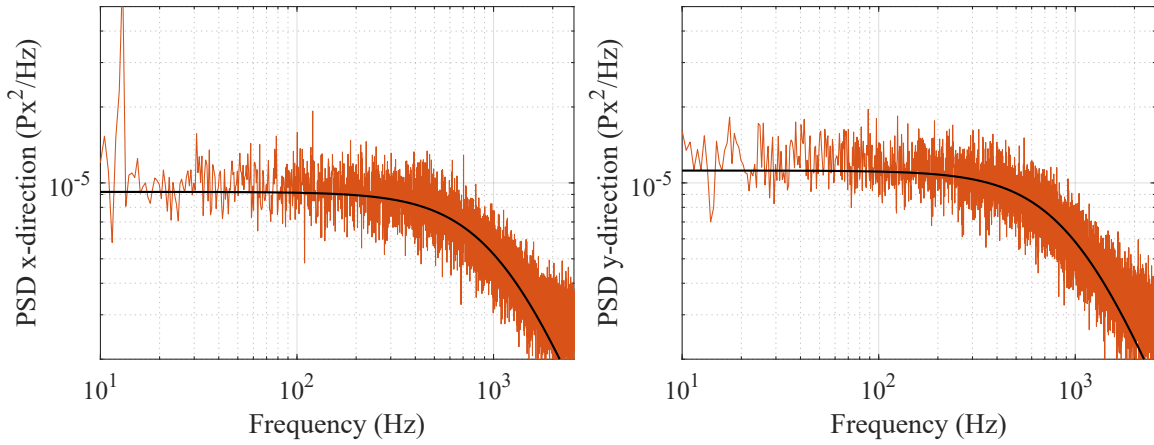


Figure B.22:  $k_x = 67.7851\text{pN}/\mu\text{m}$ ,  $k_y = 61.8914\text{pN}/\mu\text{m}$

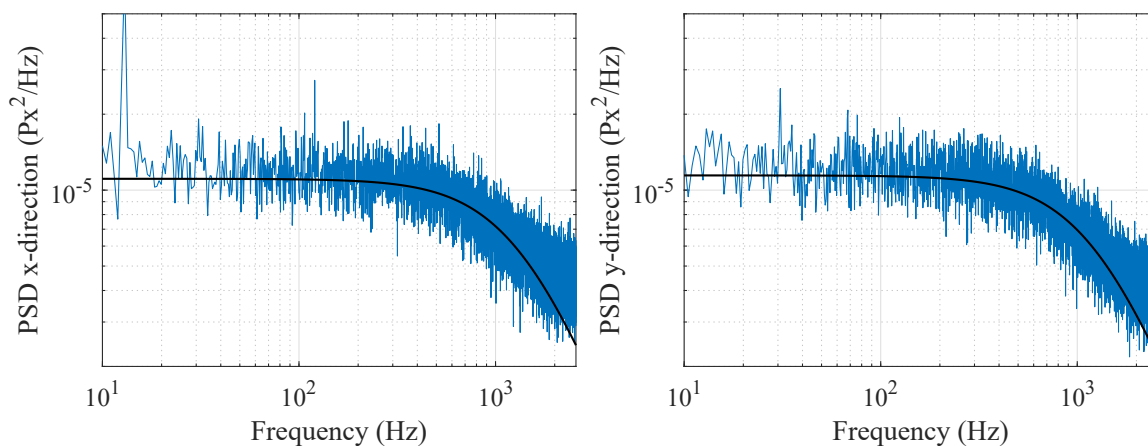


Figure B.23:  $k_x = 80.5437\text{pN}/\mu\text{m}$ ,  $k_y = 73.7746\text{pN}/\mu\text{m}$

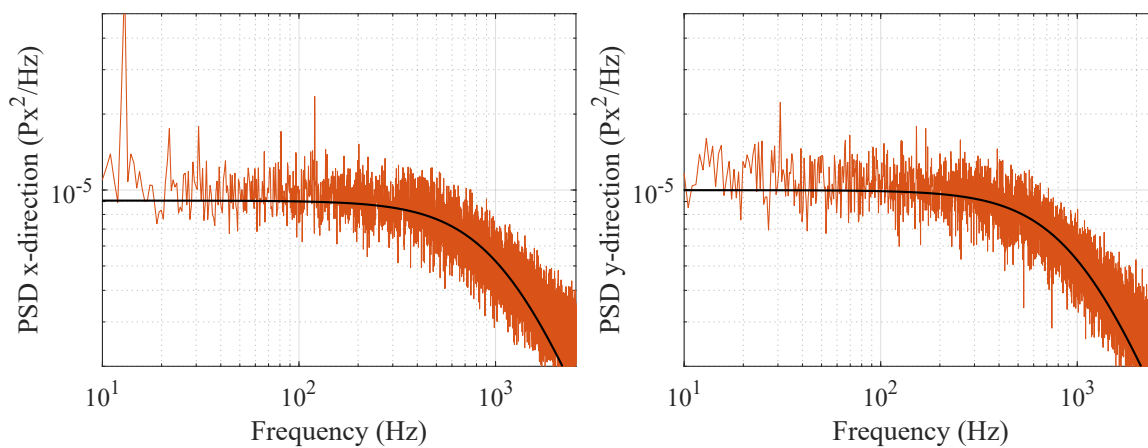


Figure B.24:  $k_x = 69.0360\text{pN}/\mu\text{m}$ ,  $k_y = 62.6550\text{pN}/\mu\text{m}$

### B.3 Data for 500nm diameter particle

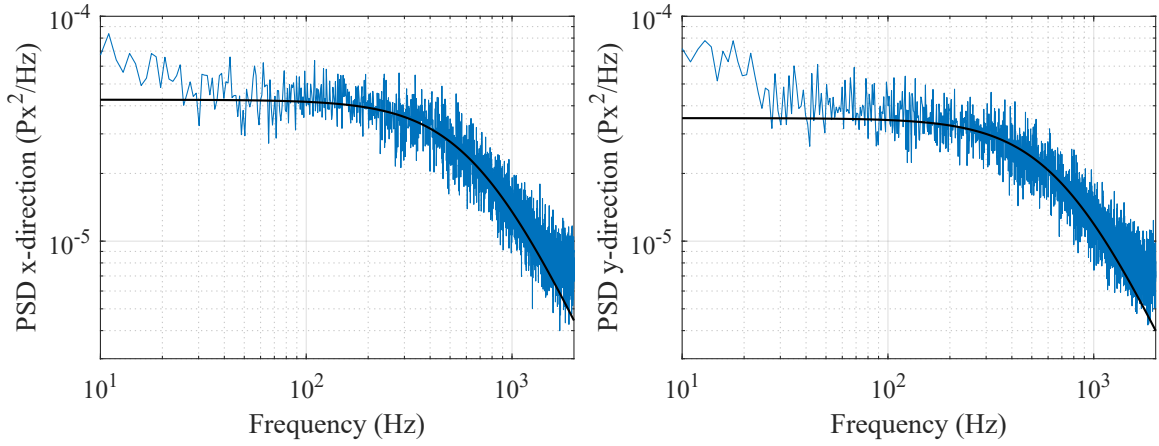


Figure B.25:  $k_x = 20.2335\text{pN}/\mu\text{m}$ ,  $k_y = 21.2079\text{pN}/\mu\text{m}$

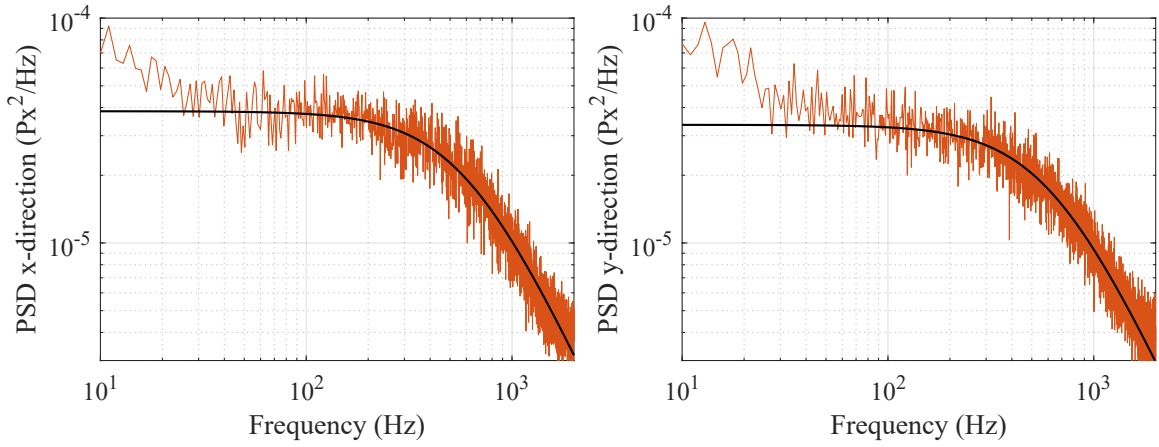


Figure B.26:  $k_x = 17.7502\text{pN}/\mu\text{m}$ ,  $k_y = 18.4455\text{pN}/\mu\text{m}$

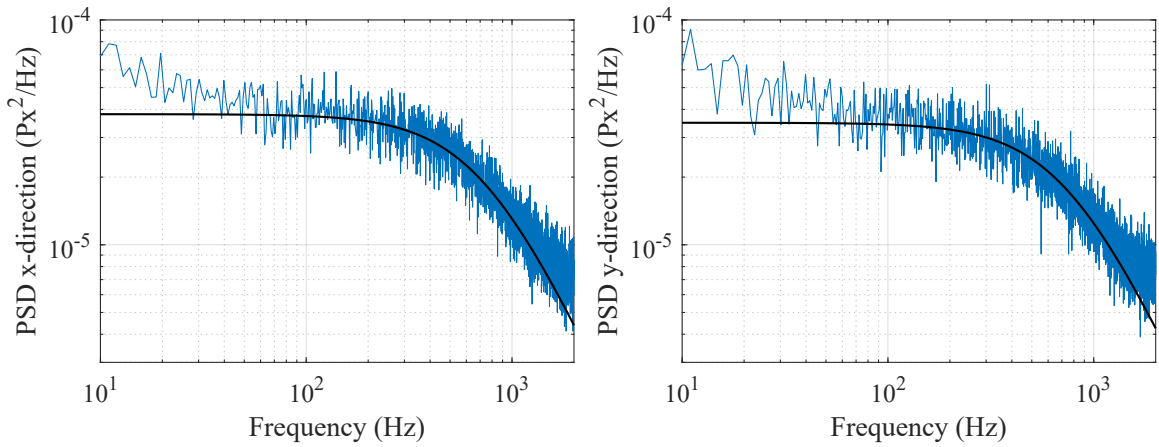


Figure B.27:  $k_x = 21.4025\text{pN}/\mu\text{m}$ ,  $k_y = 22.0832\text{pN}/\mu\text{m}$

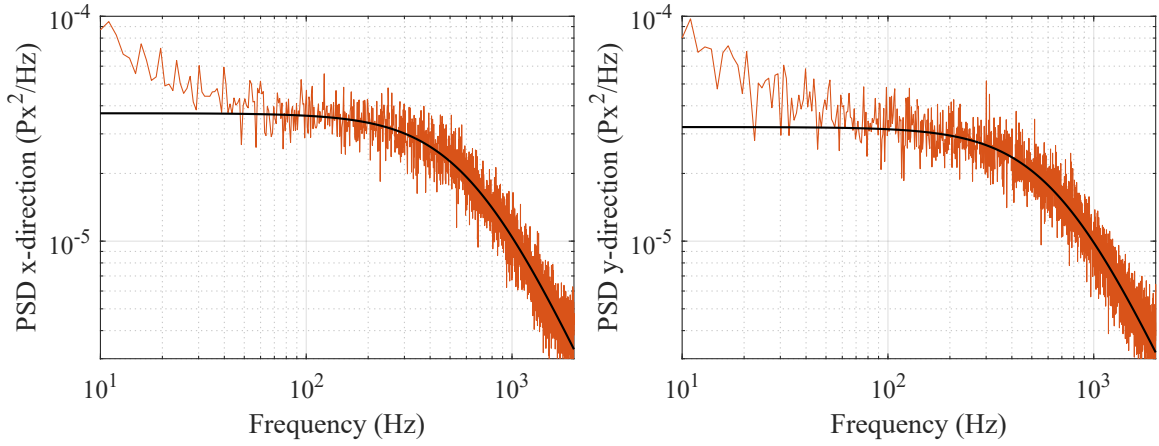


Figure B.28:  $k_x = 18.5560\text{pN}/\mu\text{m}$ ,  $k_y = 19.7081\text{pN}/\mu\text{m}$

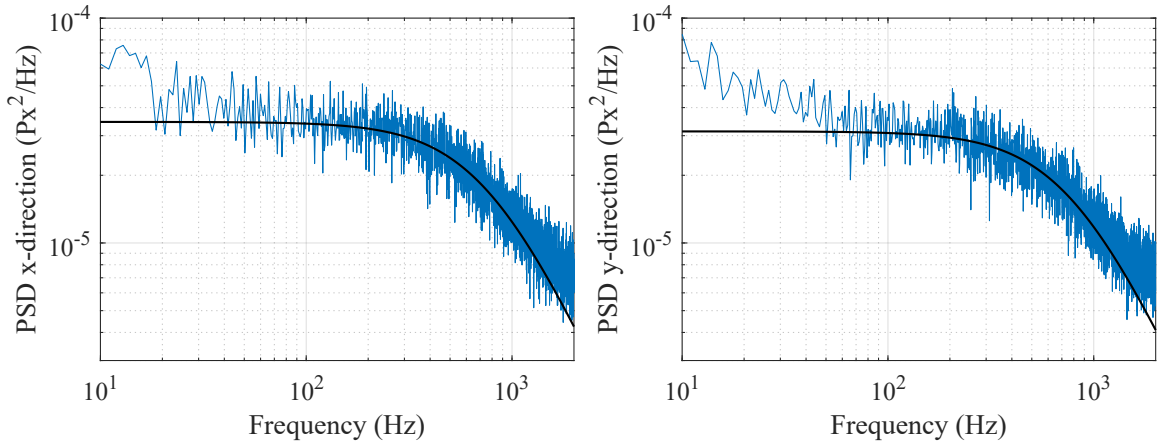


Figure B.29:  $k_x = 22.2028\text{pN}/\mu\text{m}$ ,  $k_y = 22.9997\text{pN}/\mu\text{m}$

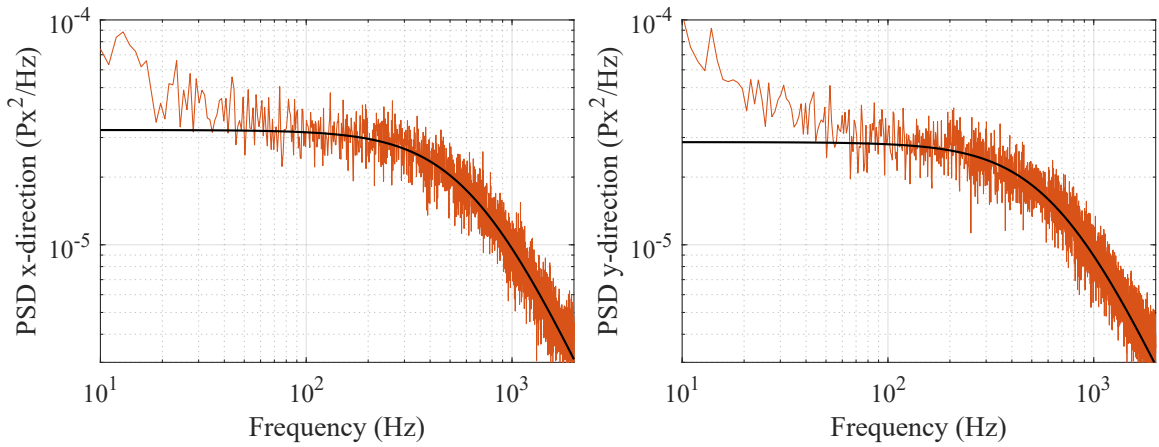


Figure B.30:  $k_x = 19.2673\text{pN}/\mu\text{m}$ ,  $k_y = 19.9635\text{pN}/\mu\text{m}$

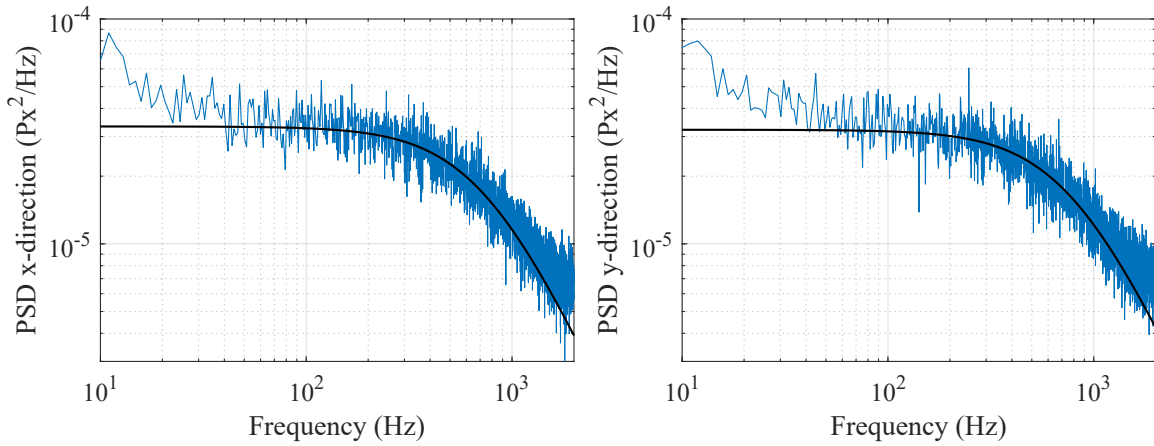


Figure B.31:  $k_x = 21.6464\text{pN}/\mu\text{m}$ ,  $k_y = 23.1000\text{pN}/\mu\text{m}$

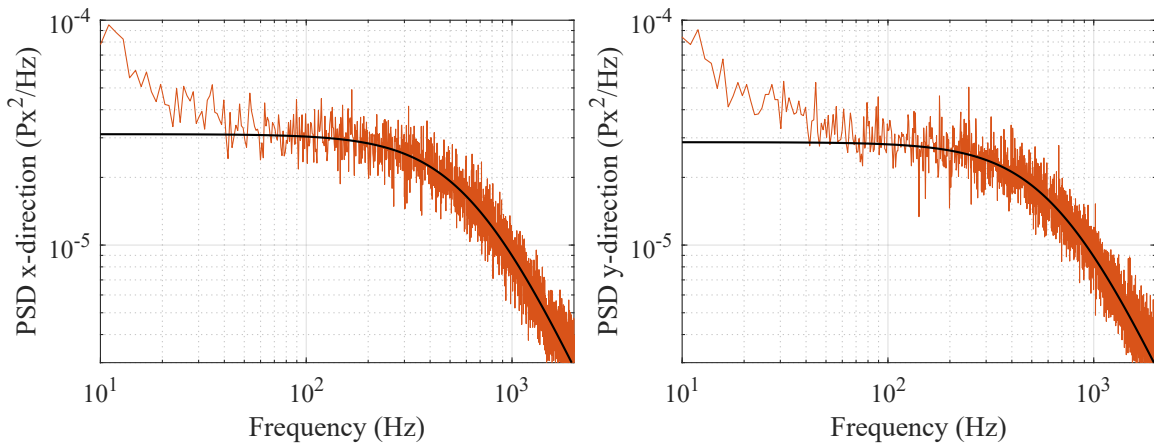
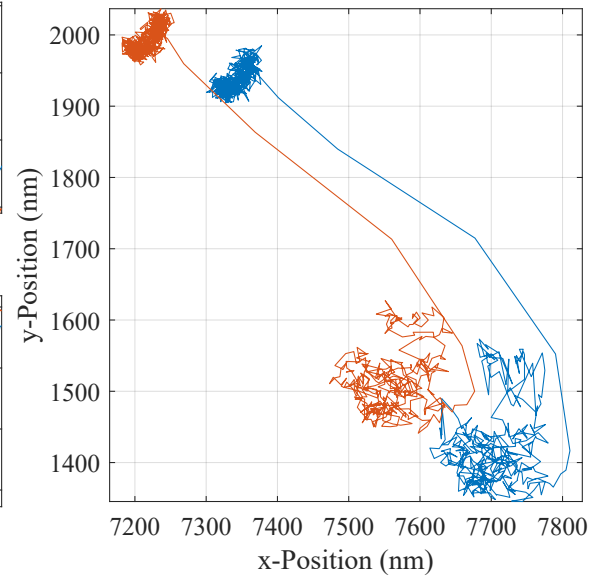
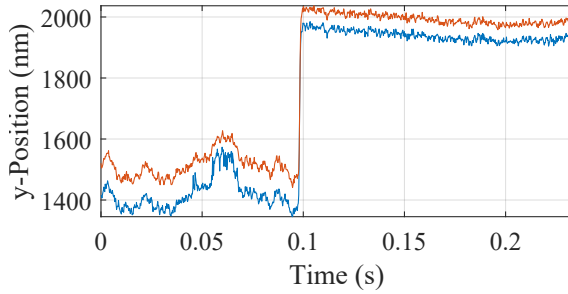
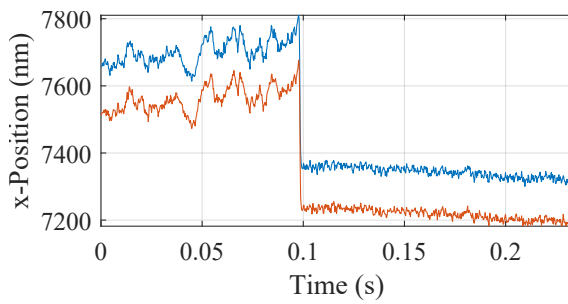
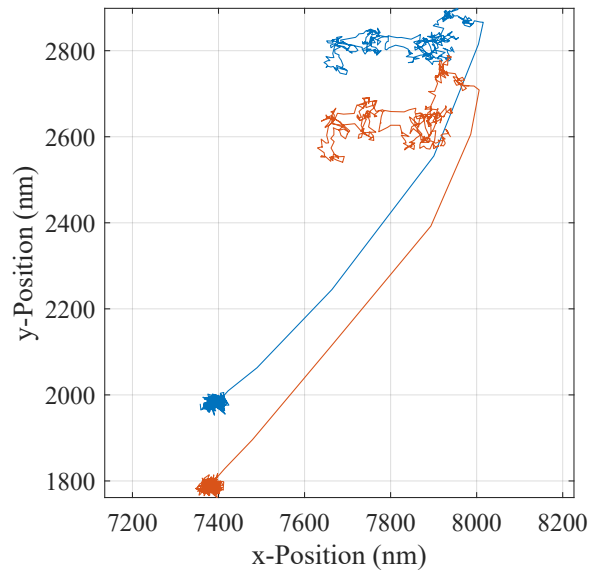
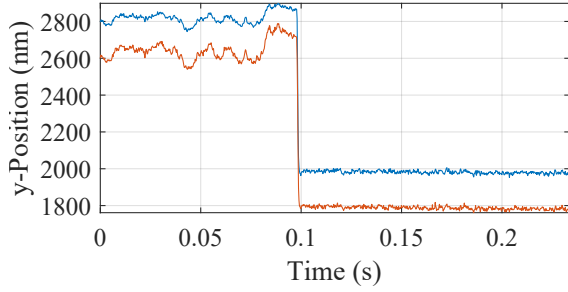
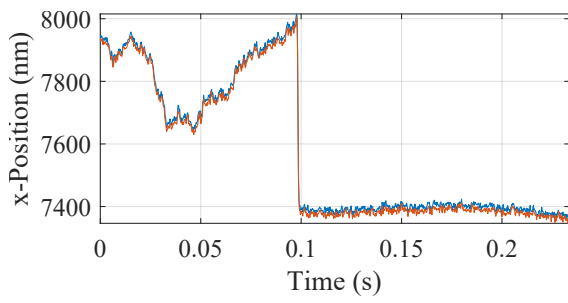


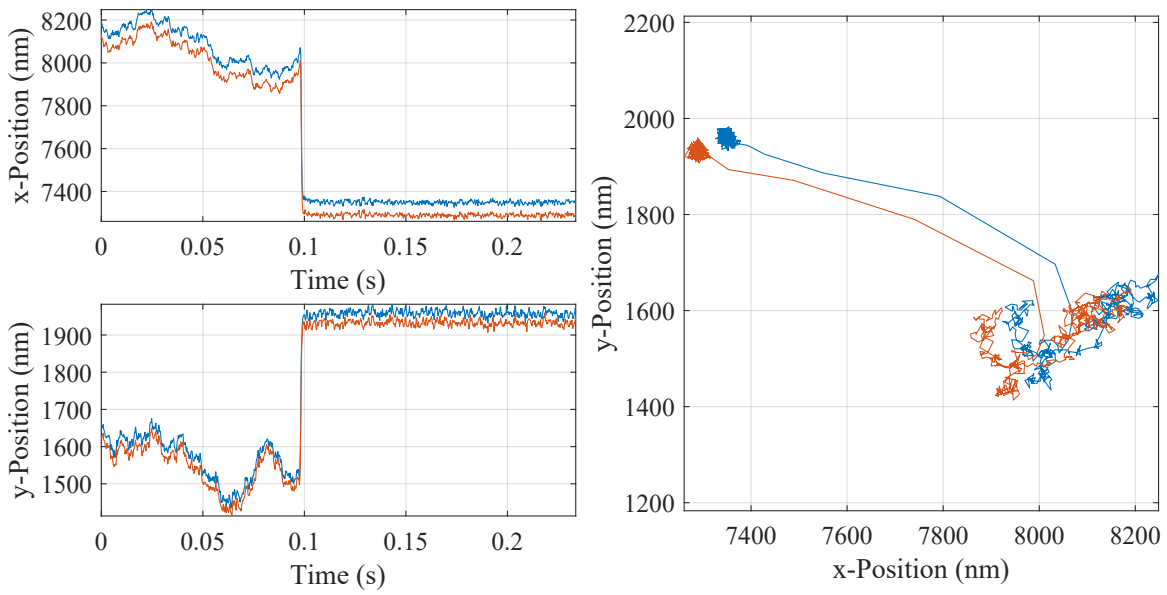
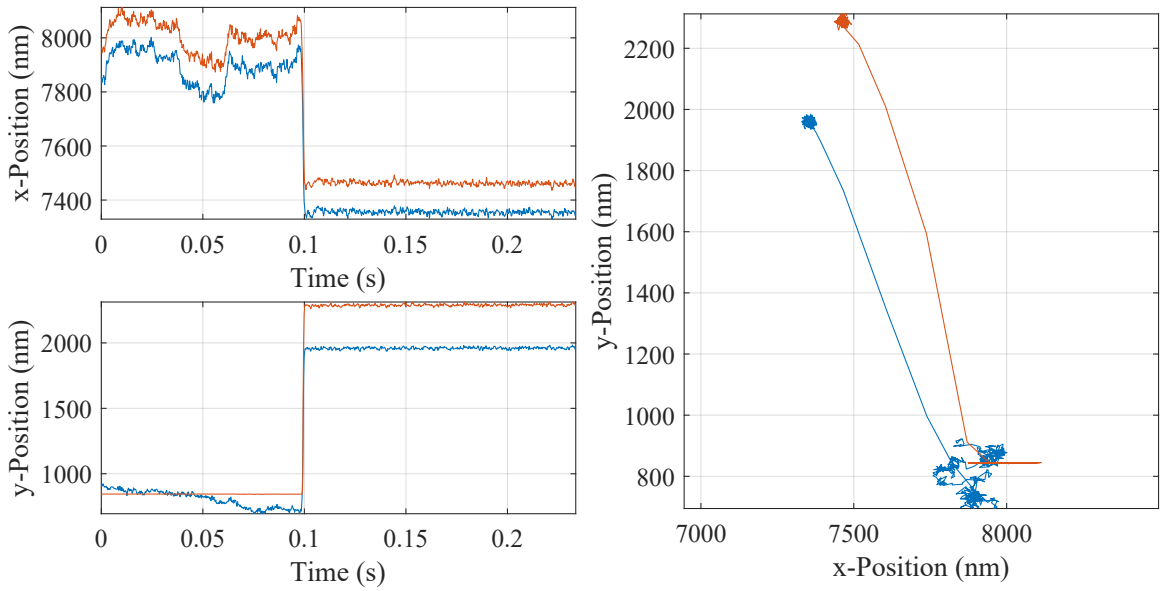
Figure B.32:  $k_x = 18.8710\text{pN}/\mu\text{m}$ ,  $k_y = 19.8701\text{pN}/\mu\text{m}$



## APPENDIX C

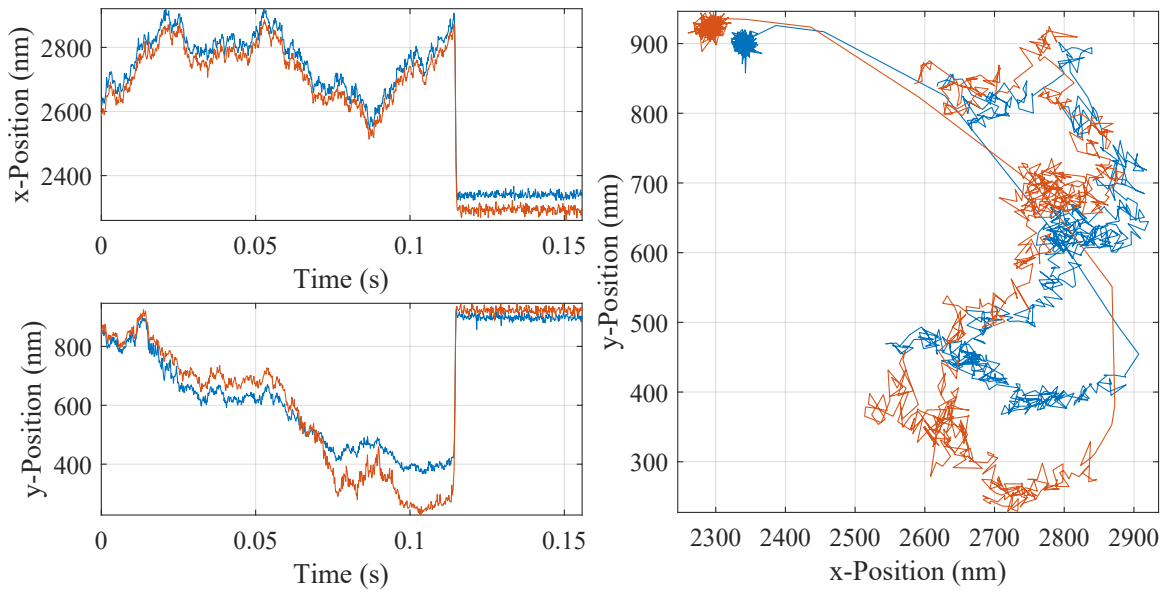
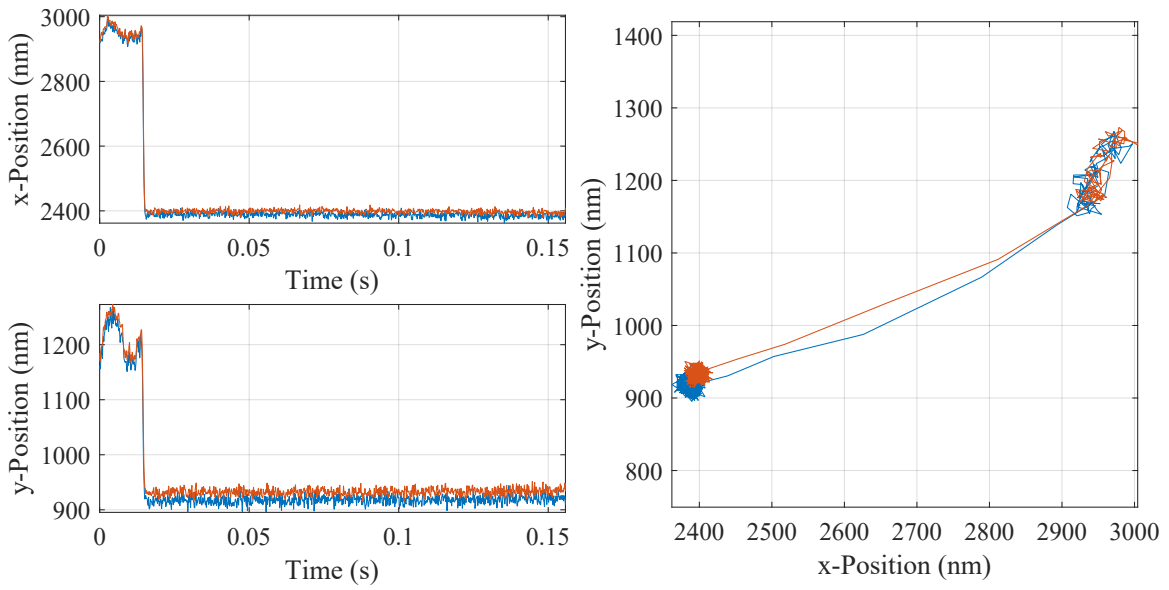
TRR Experiments Data for  $2\mu\text{m}$  Diameter Particle

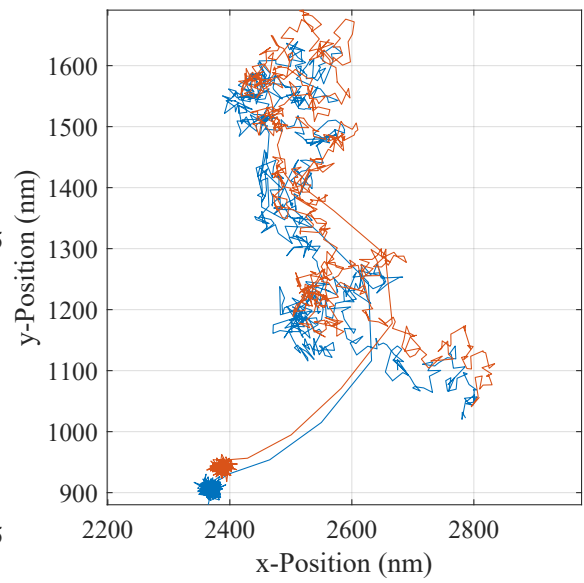
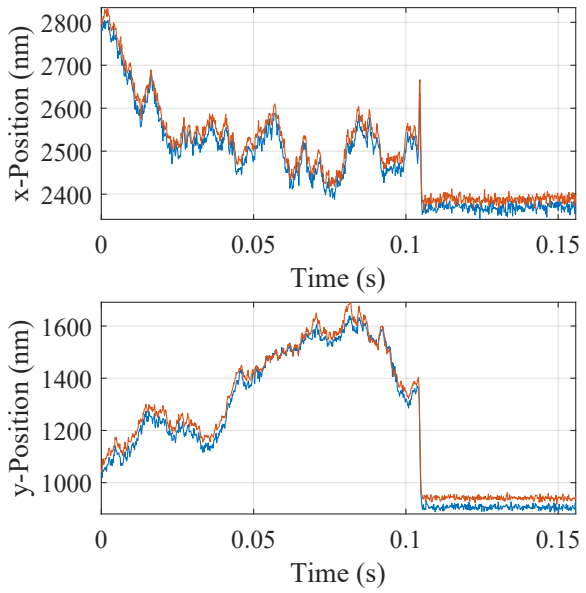
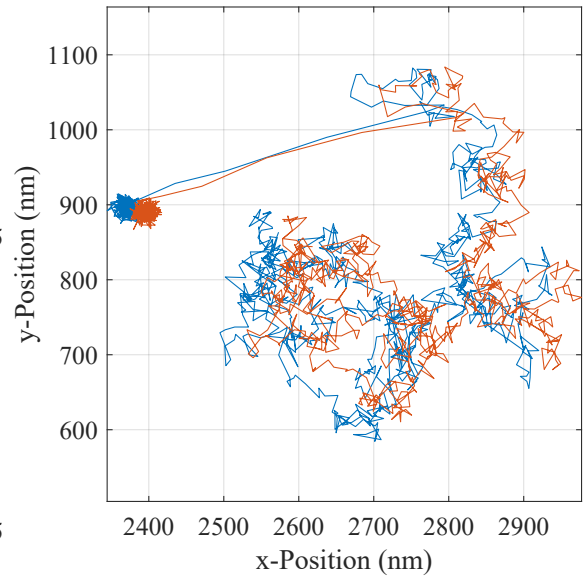
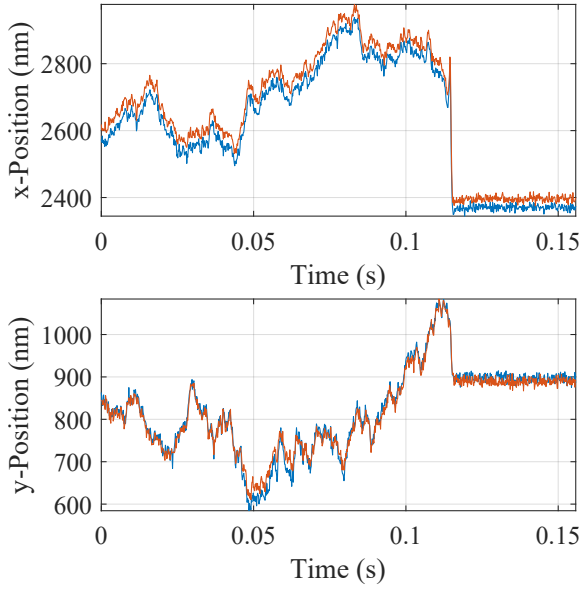


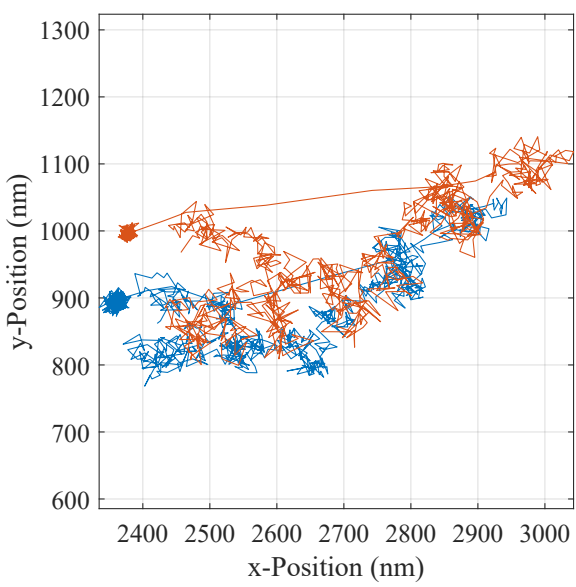
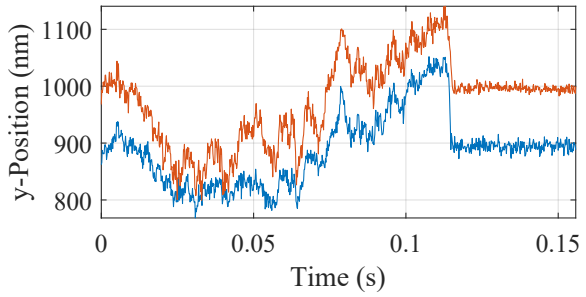
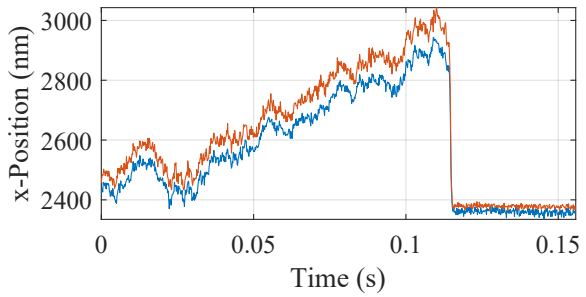
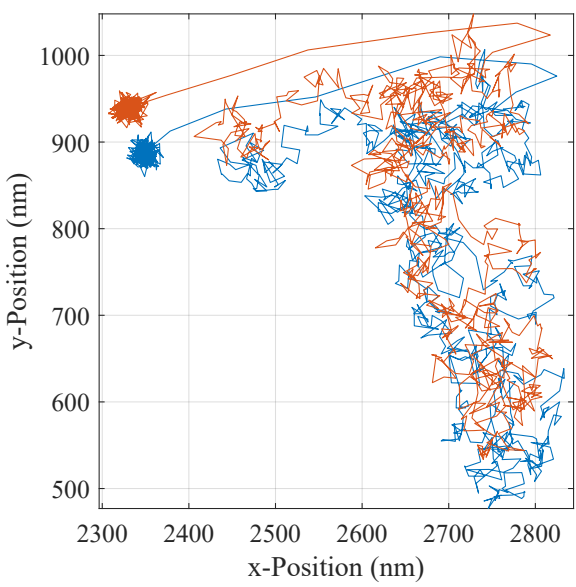
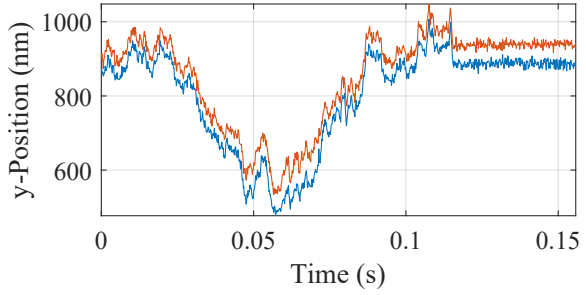
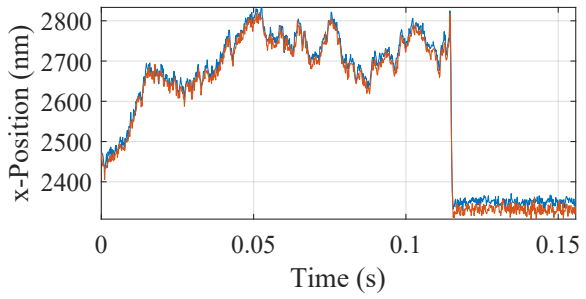


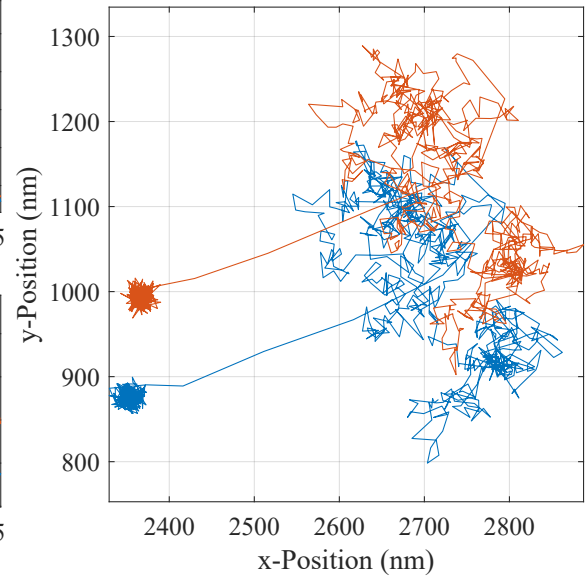
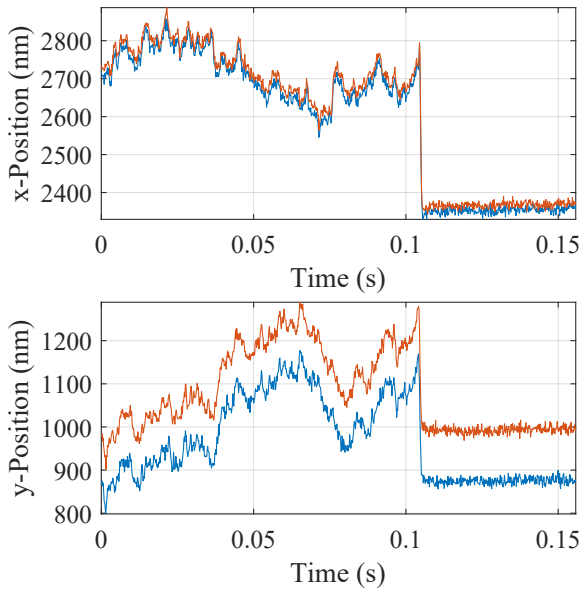
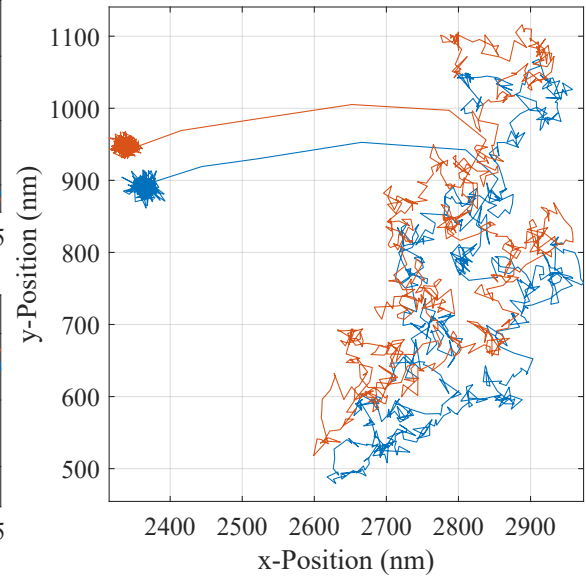
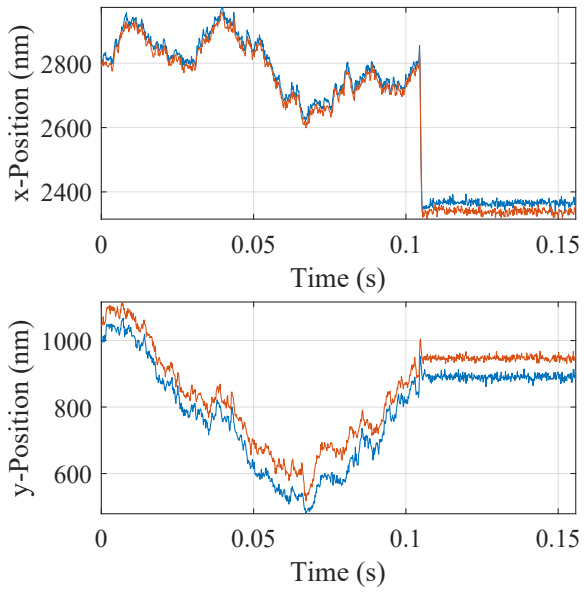
## APPENDIX D

### TRR Experiments Data for $1\mu\text{m}$ Diameter Particle

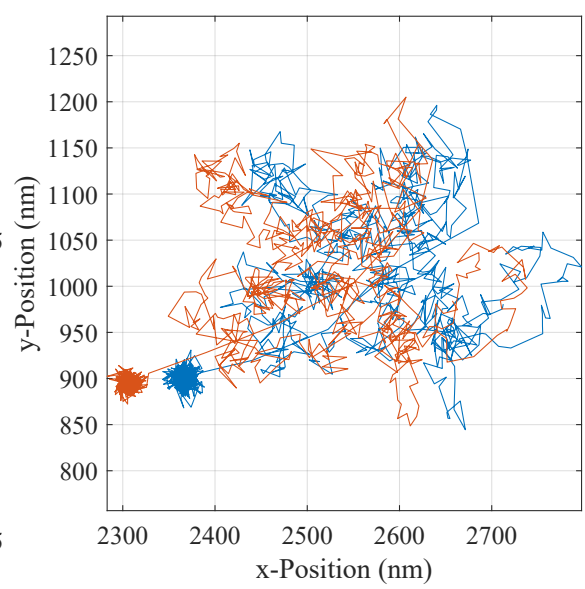
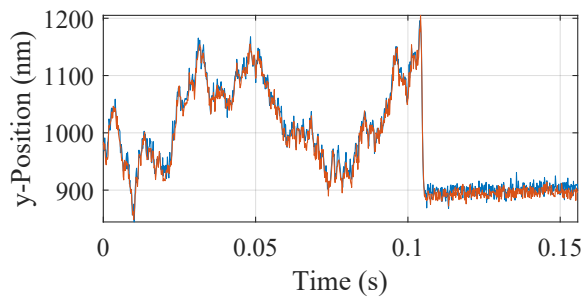
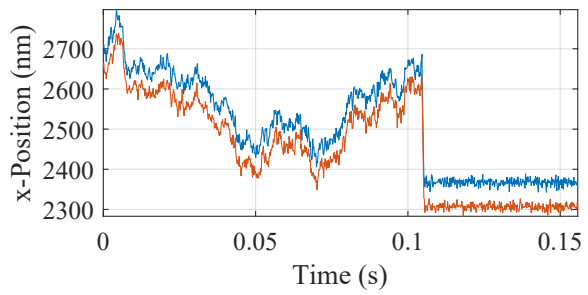
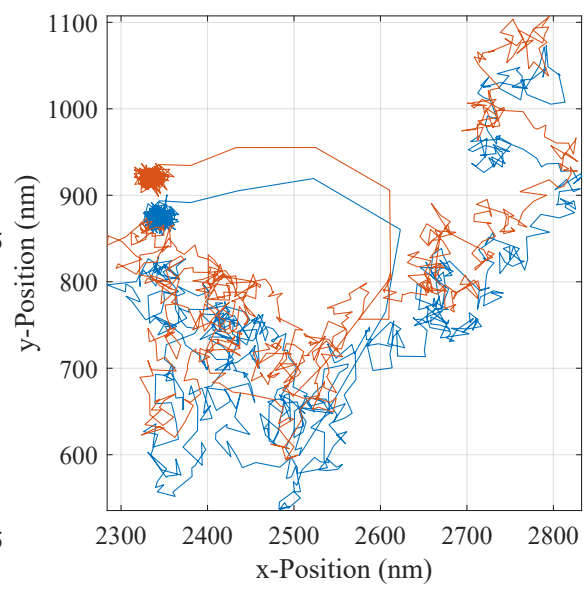
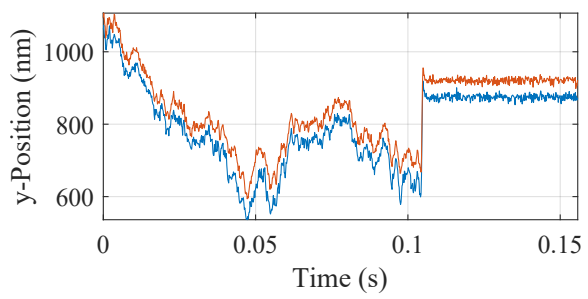
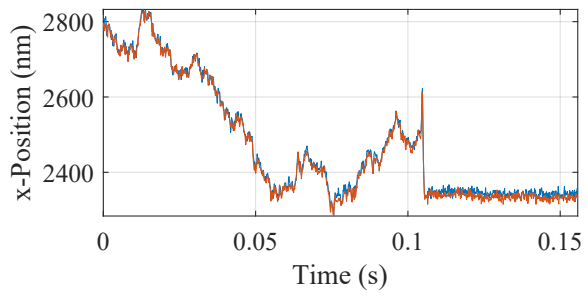


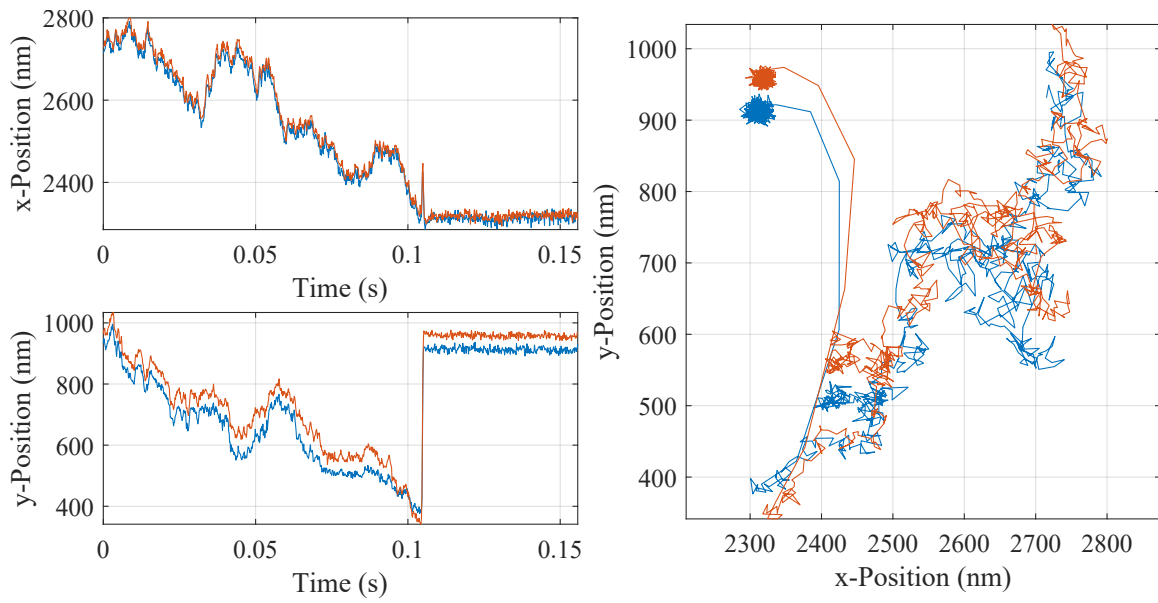
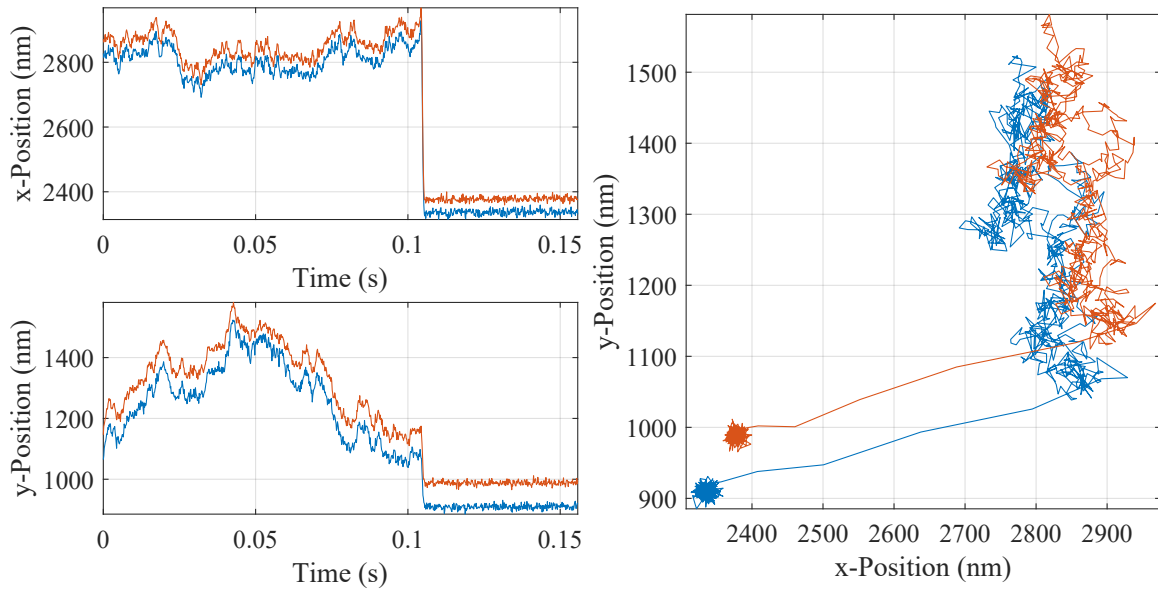


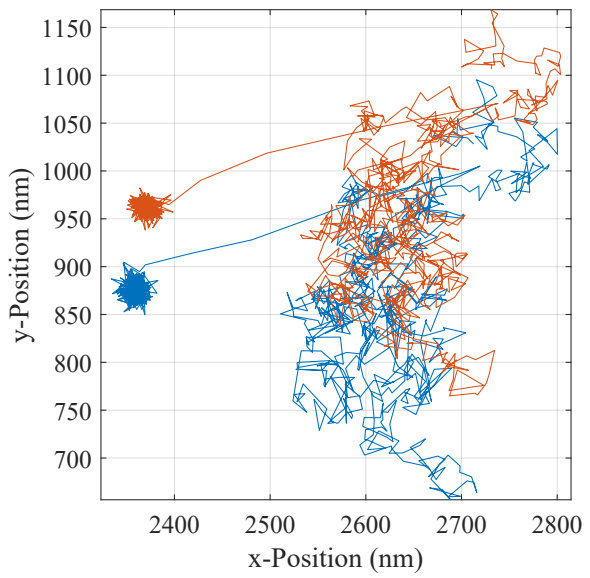
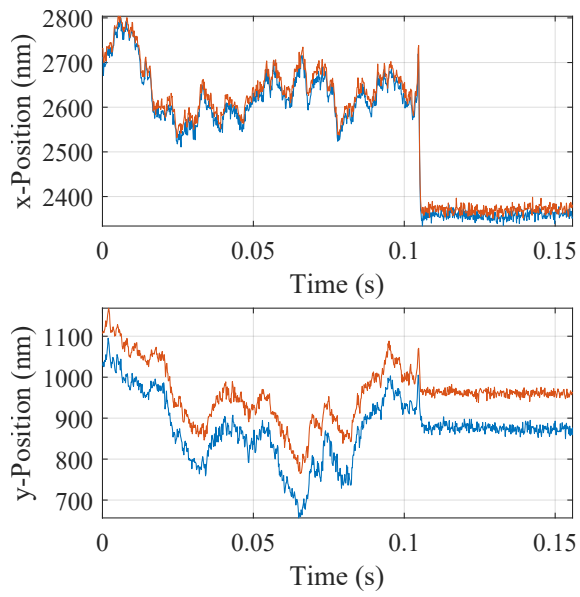
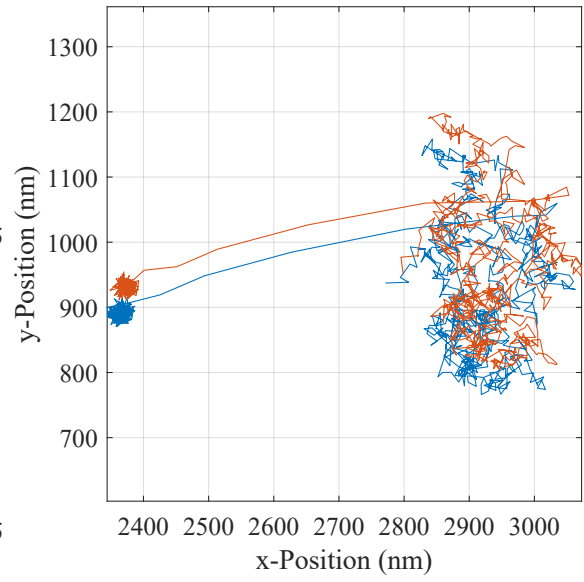
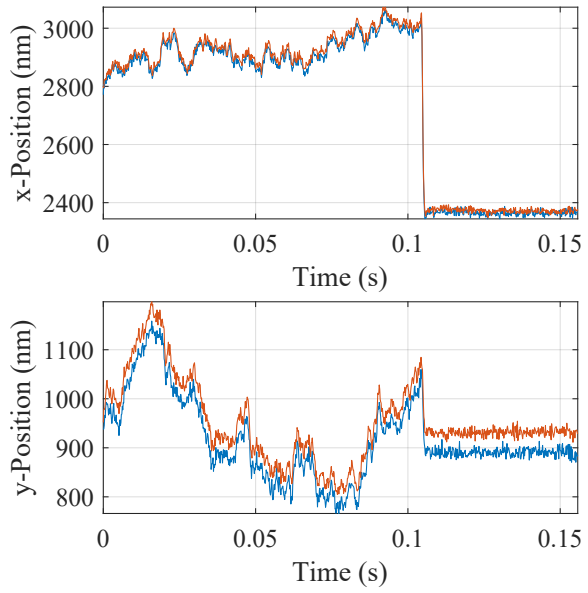


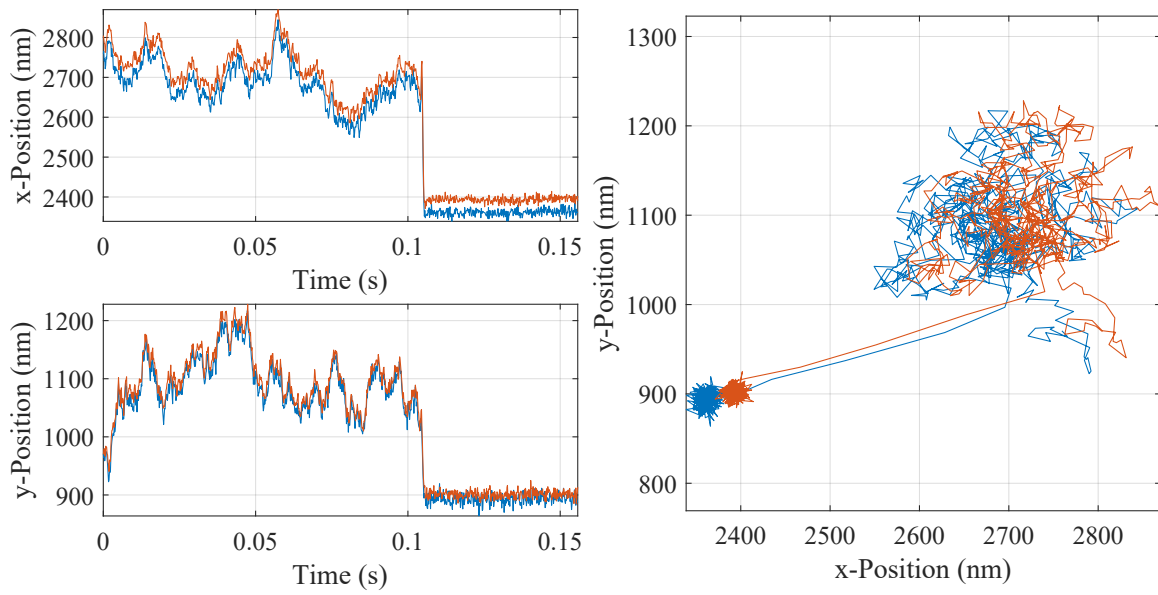
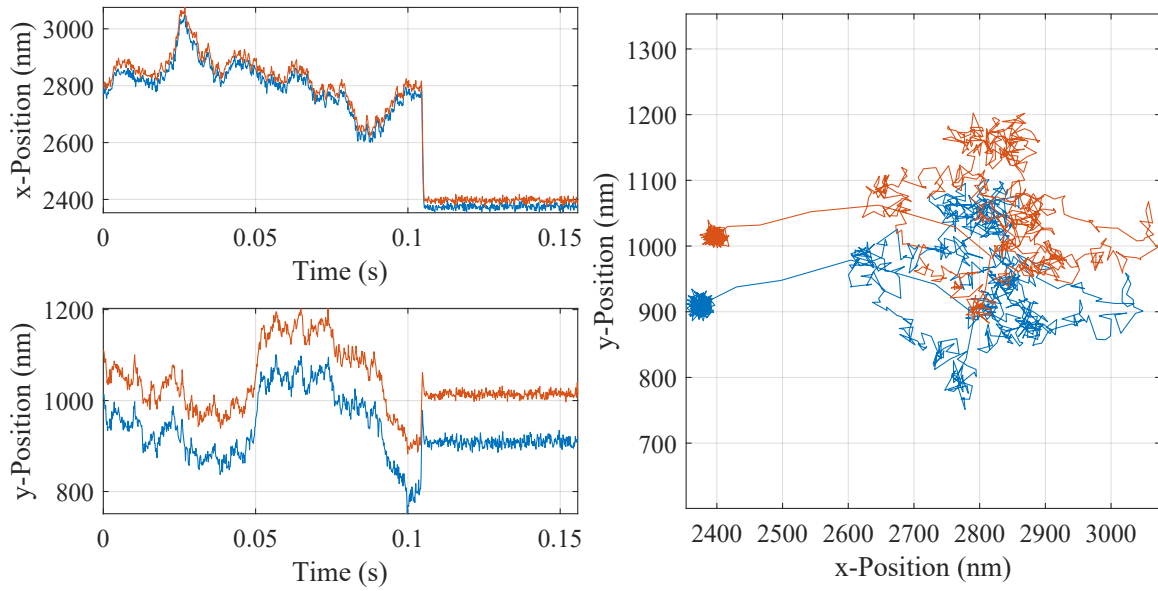


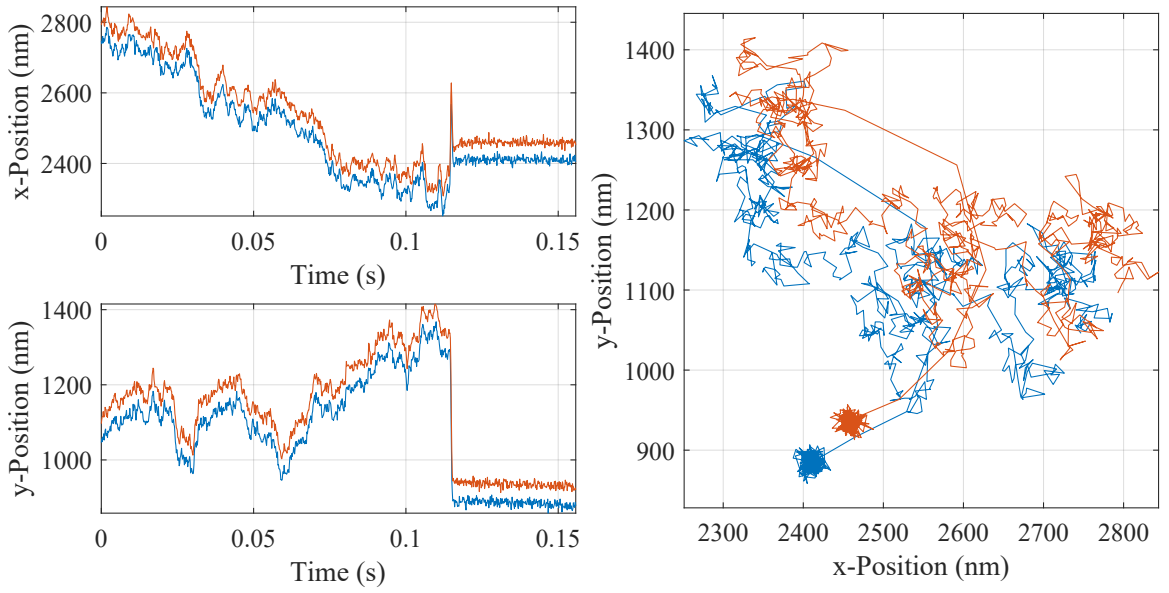
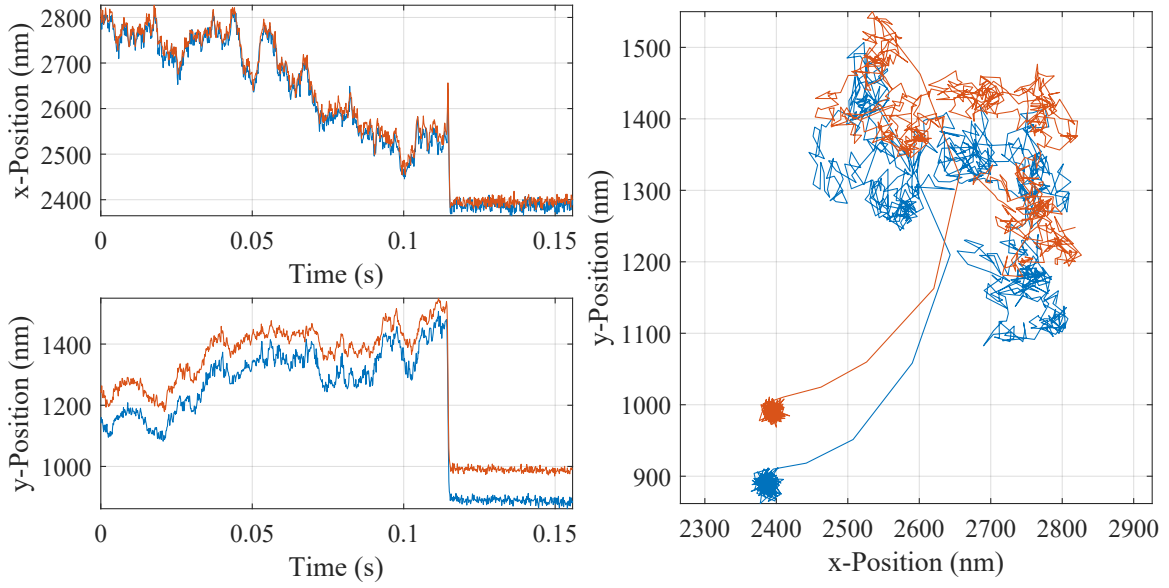


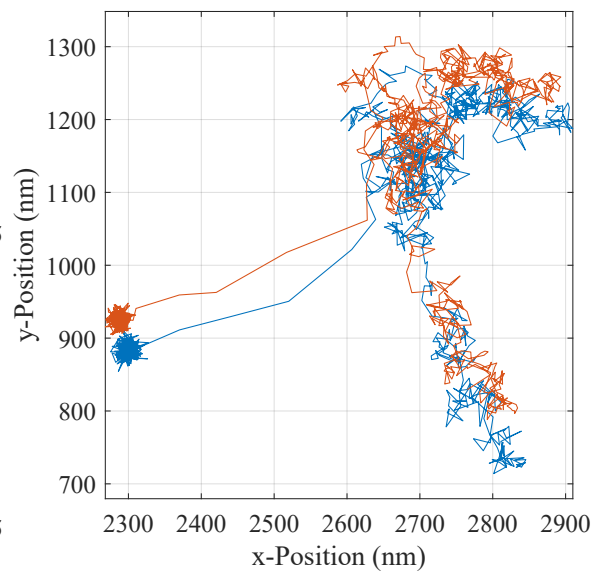
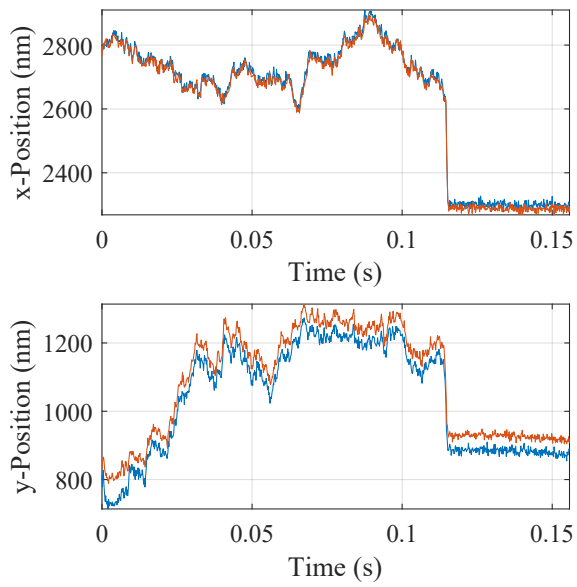
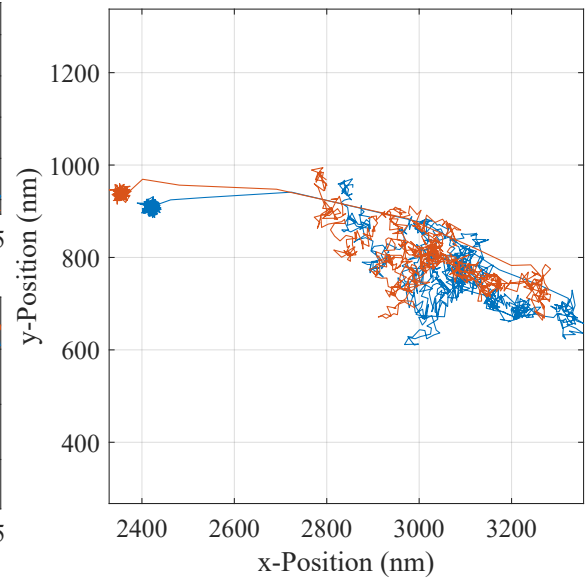
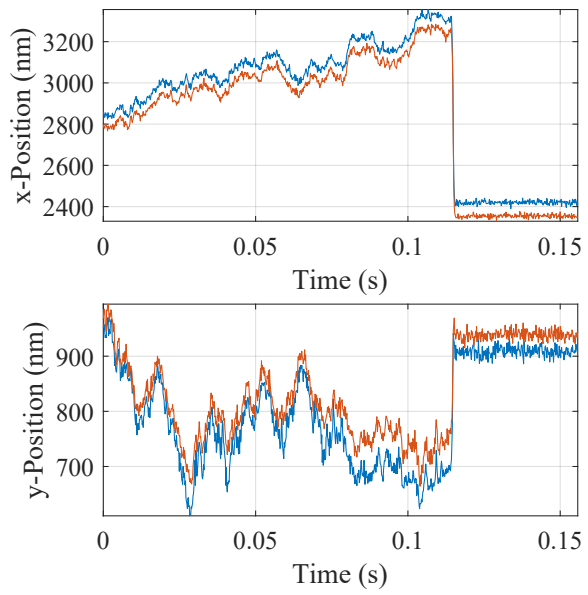


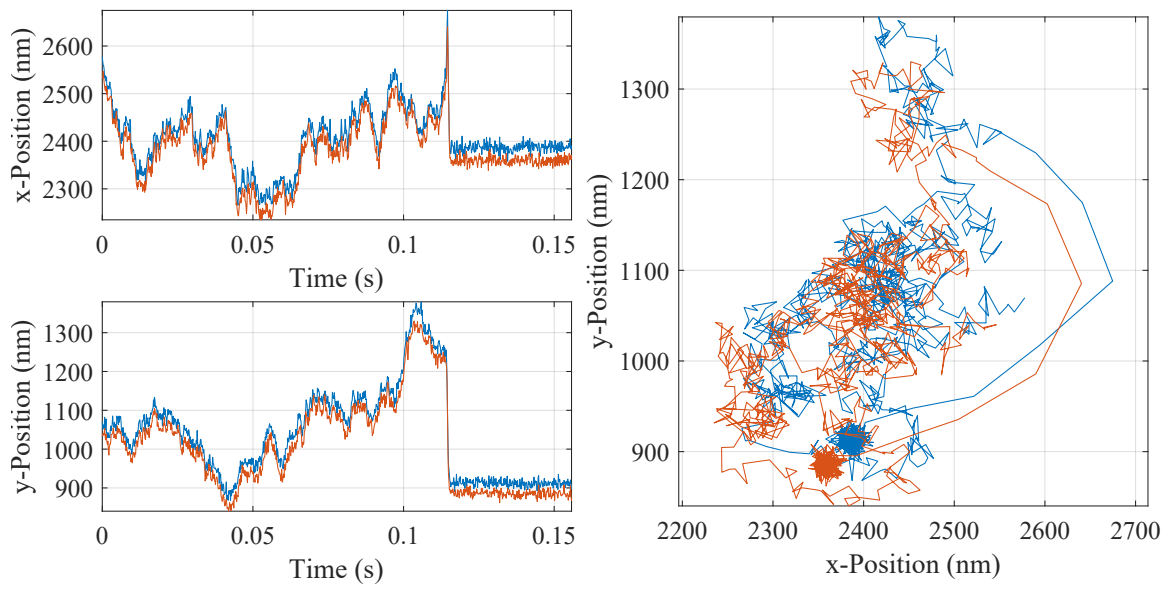
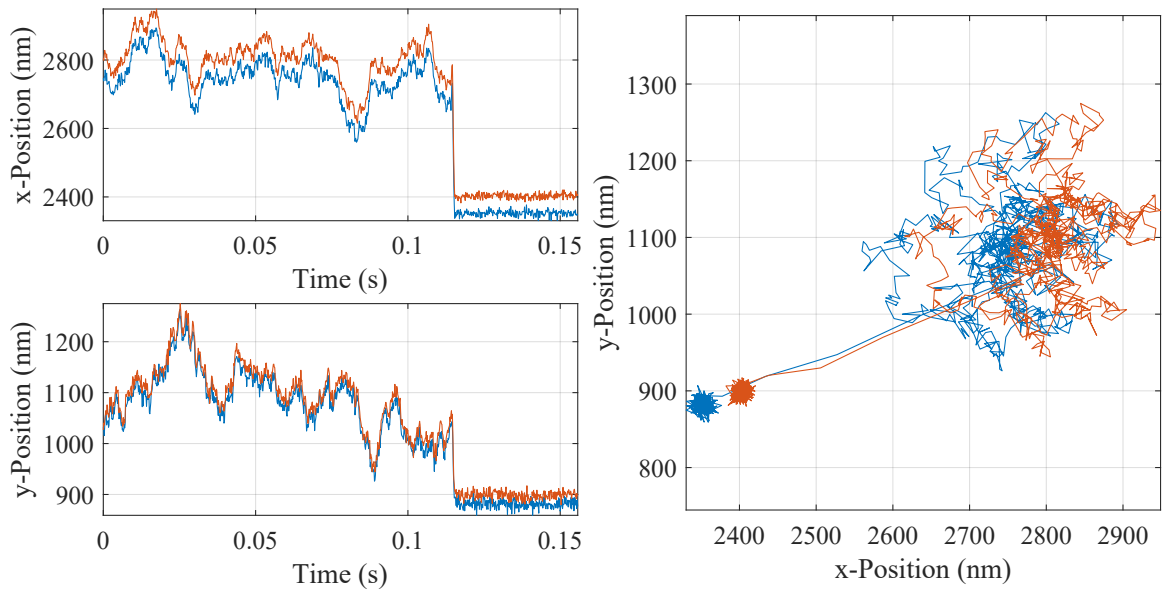


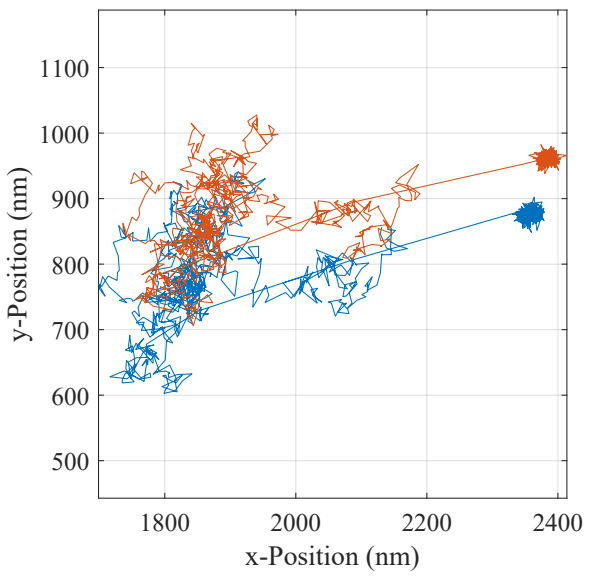
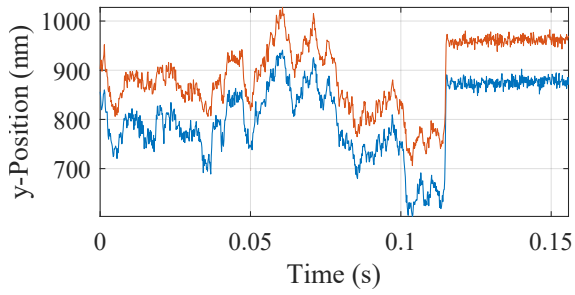
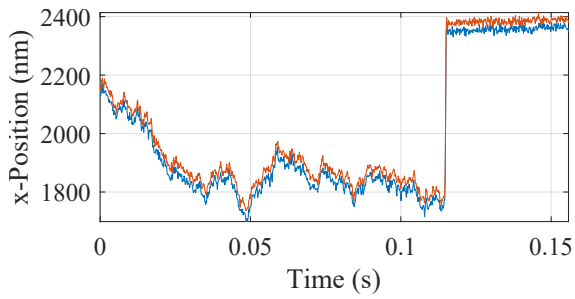
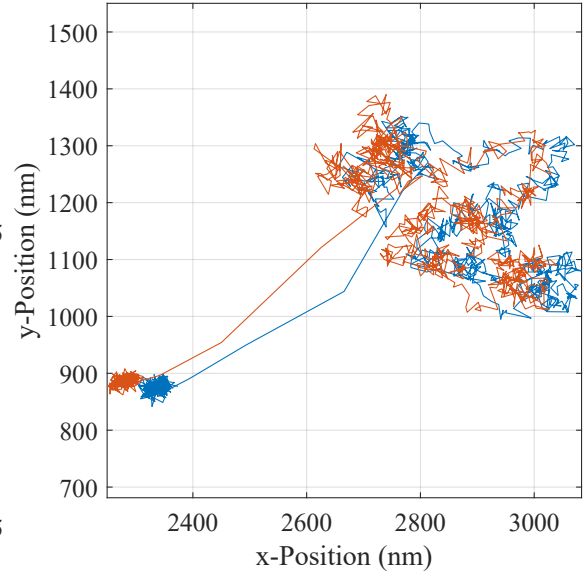
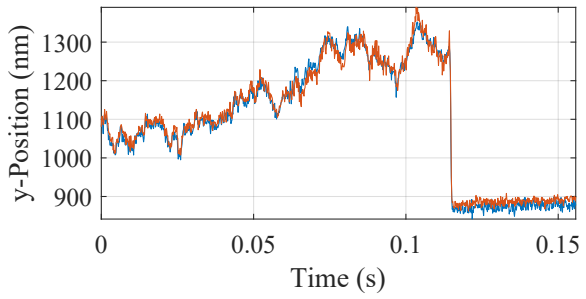
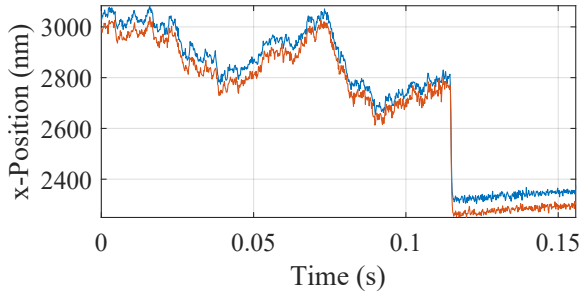




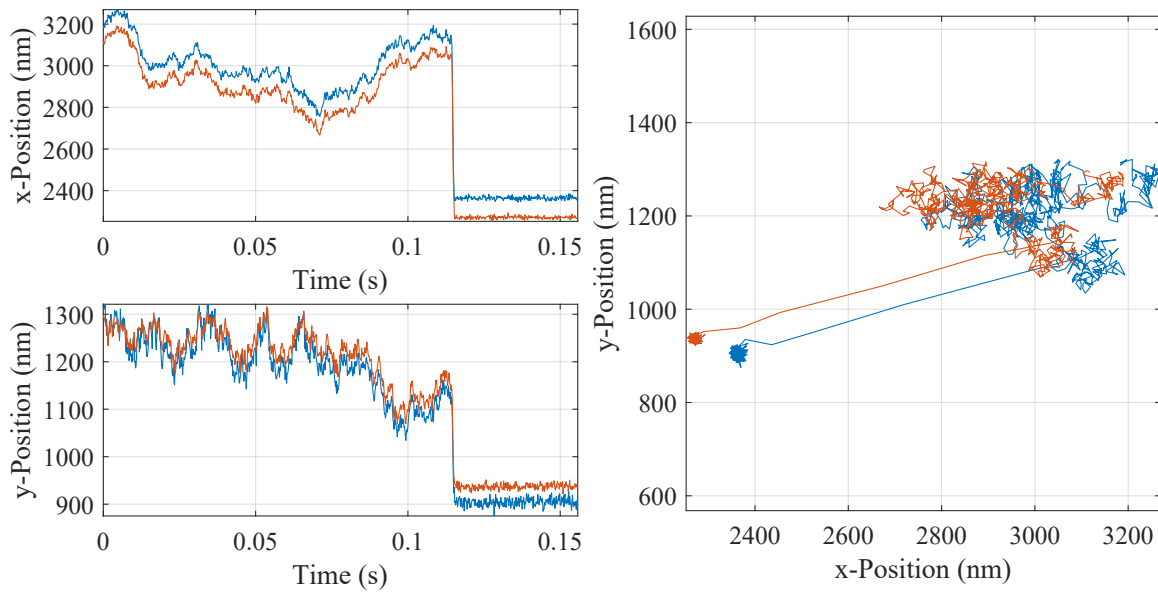
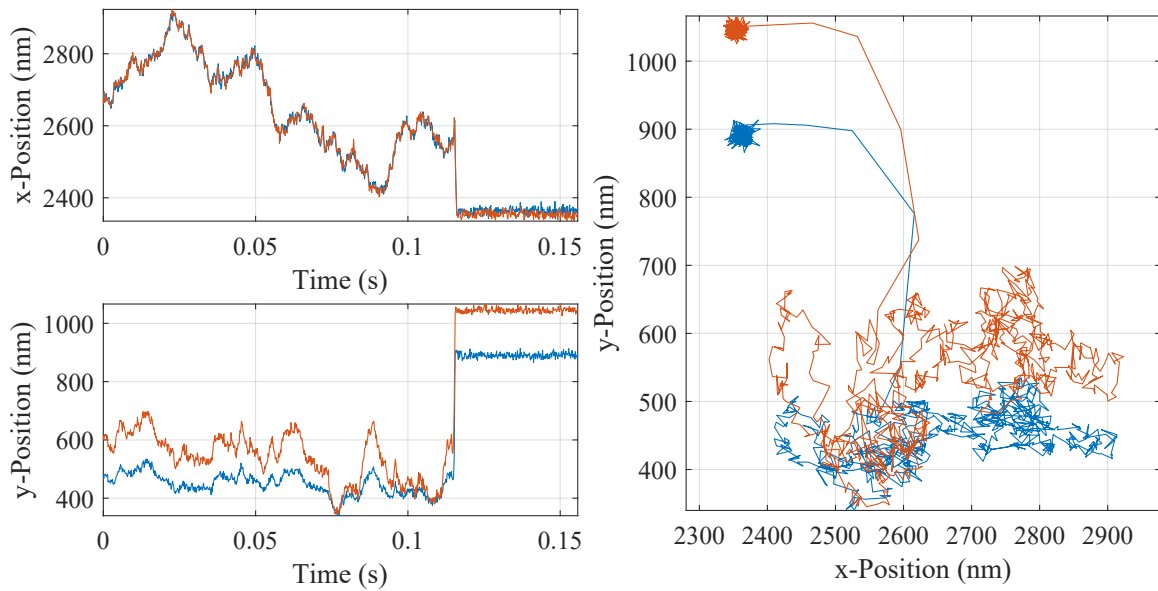


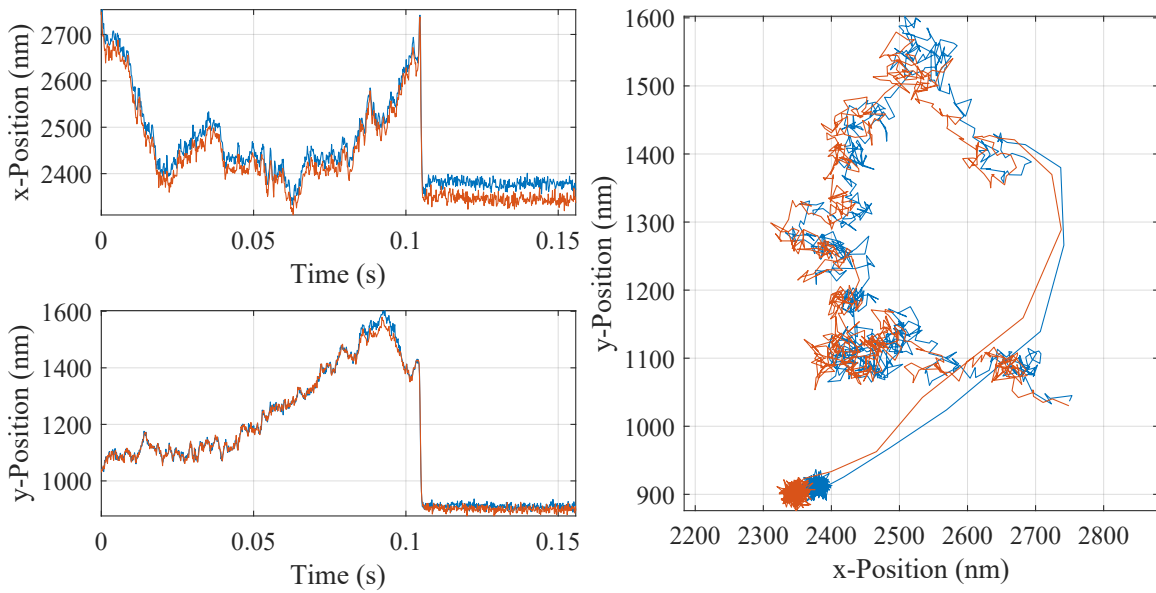
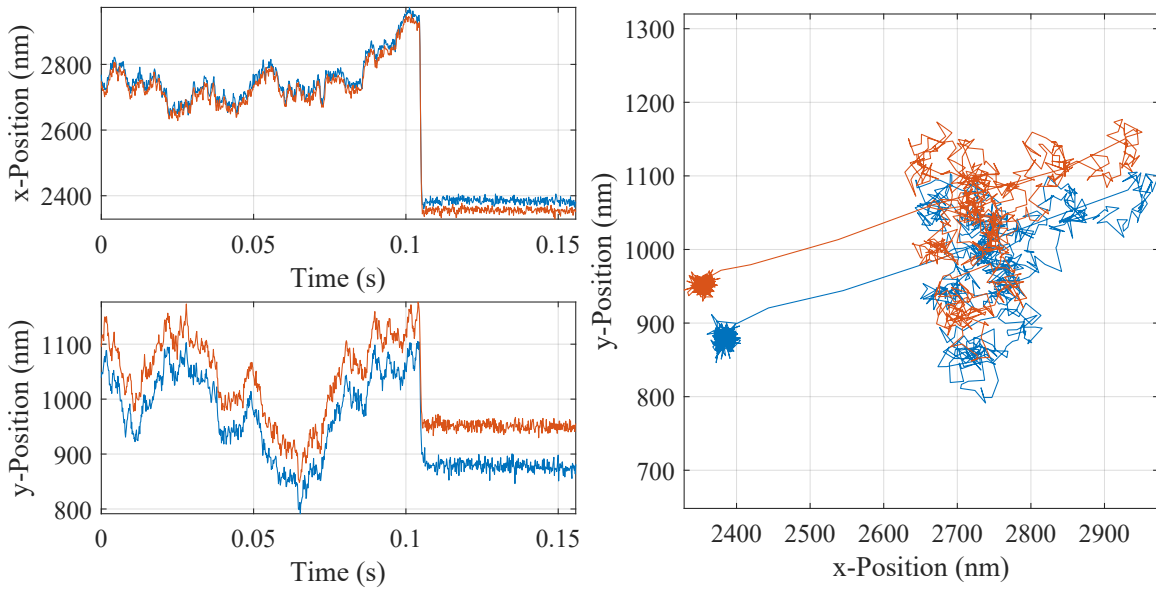


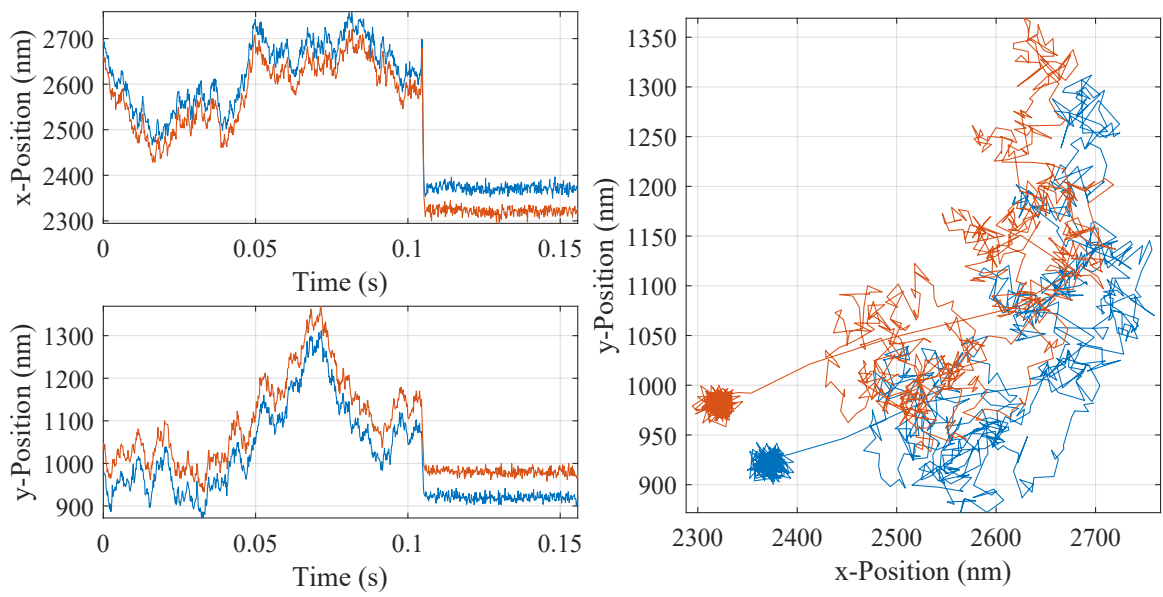
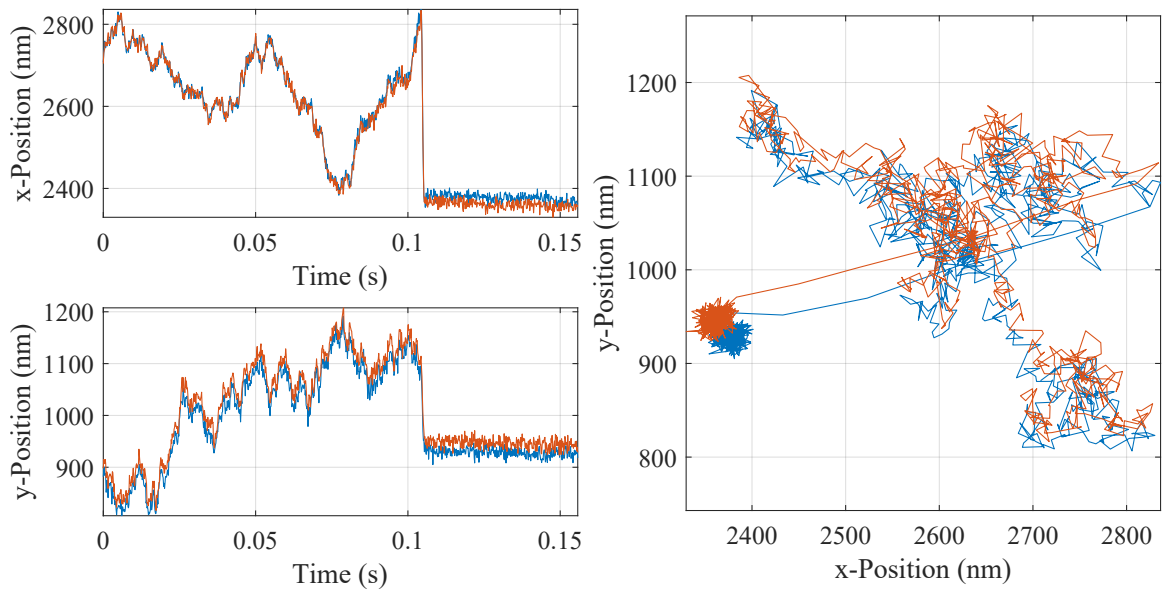


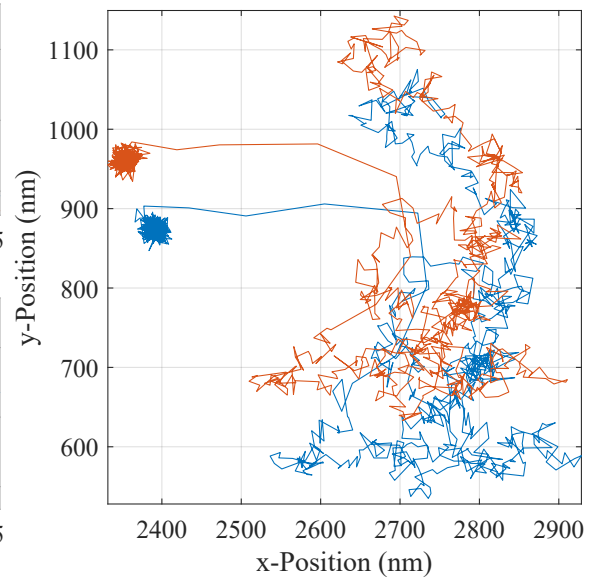
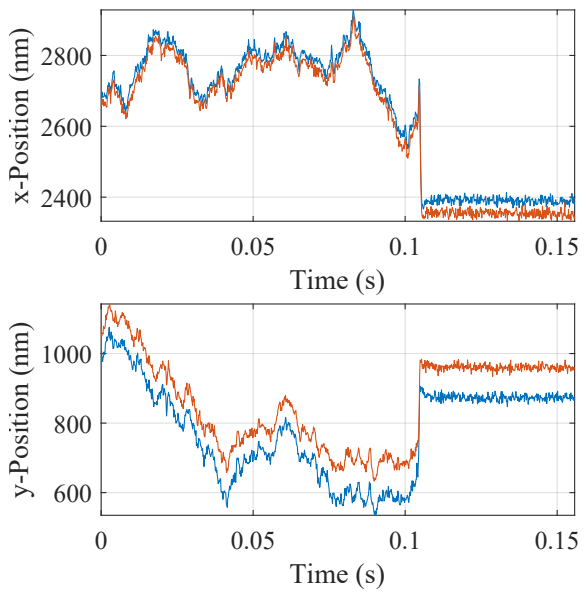
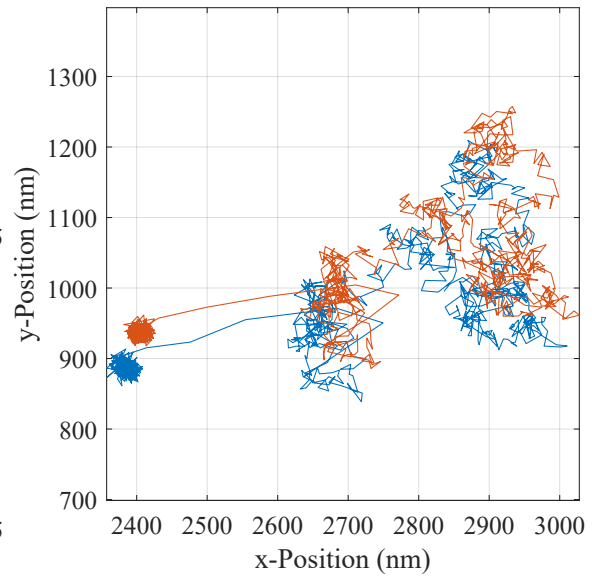
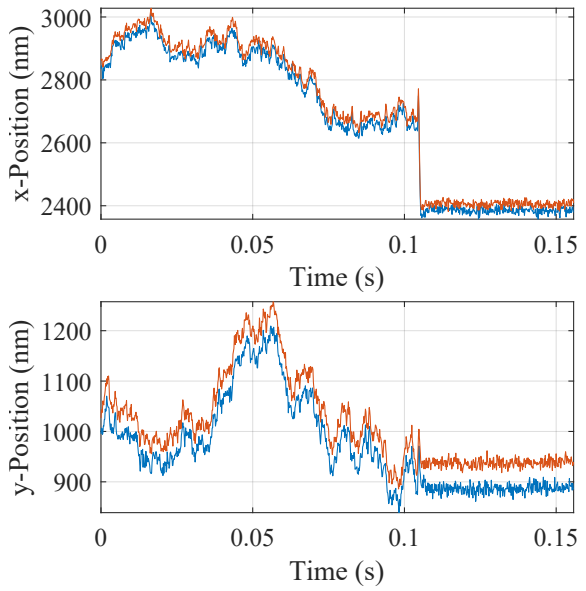


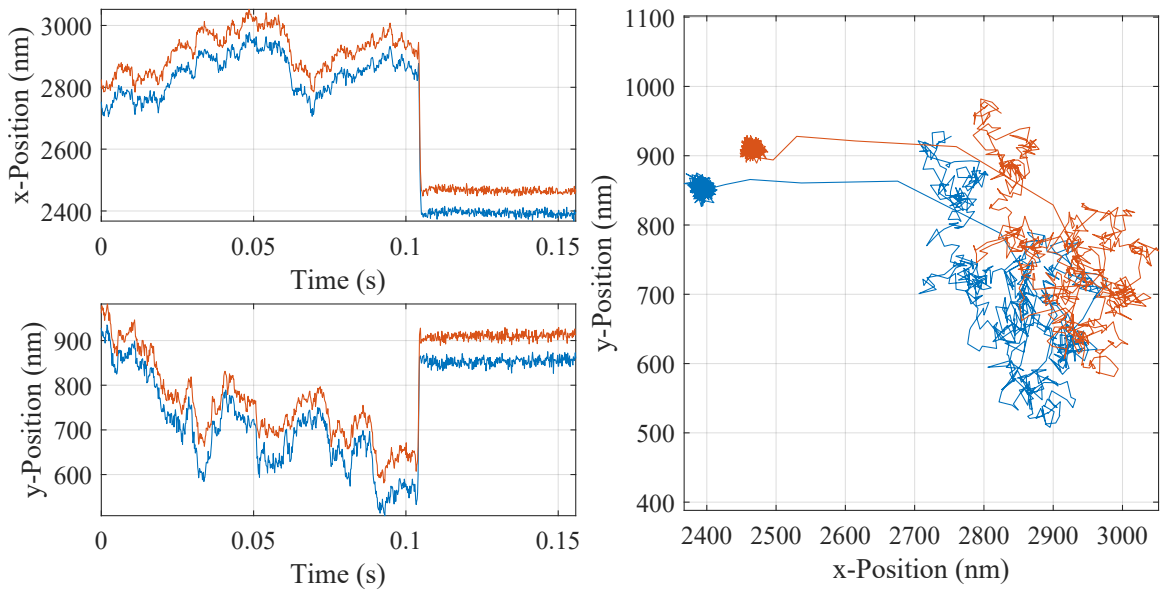
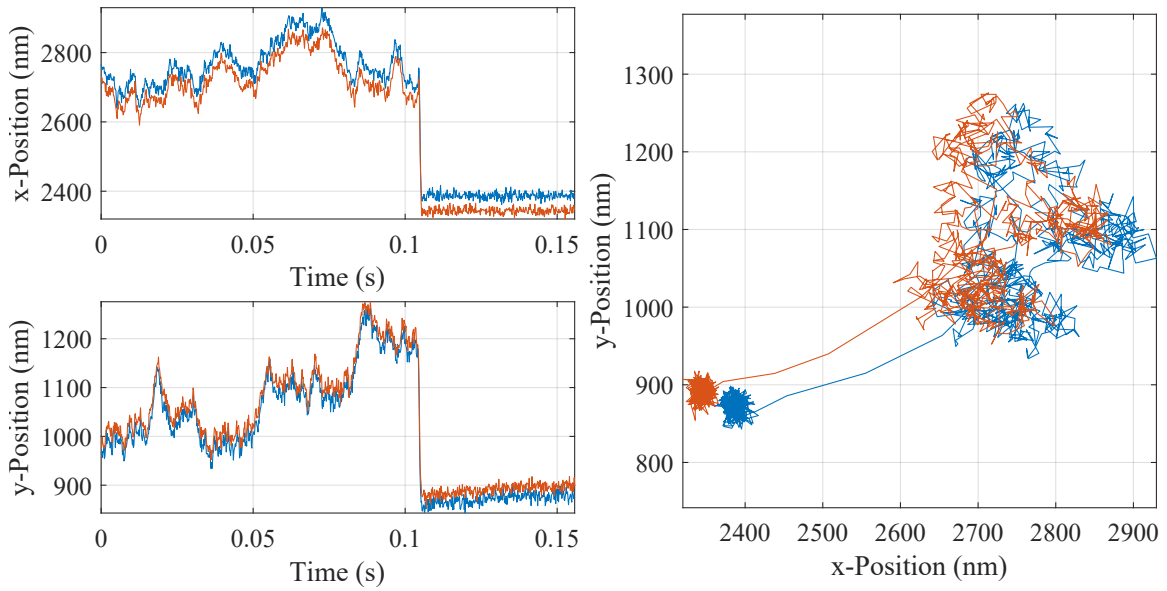


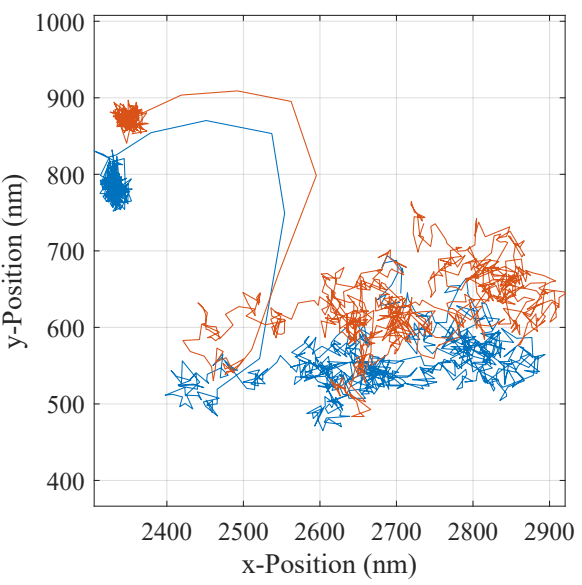
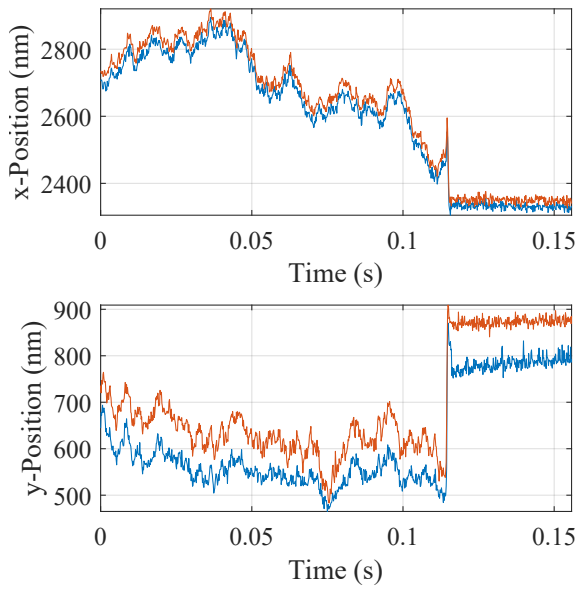
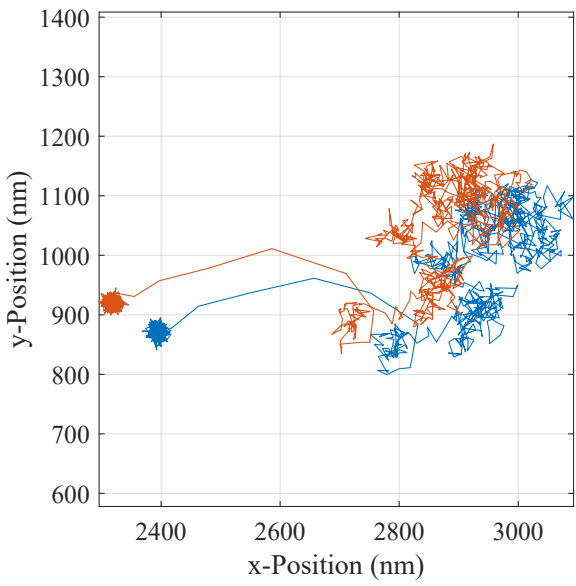
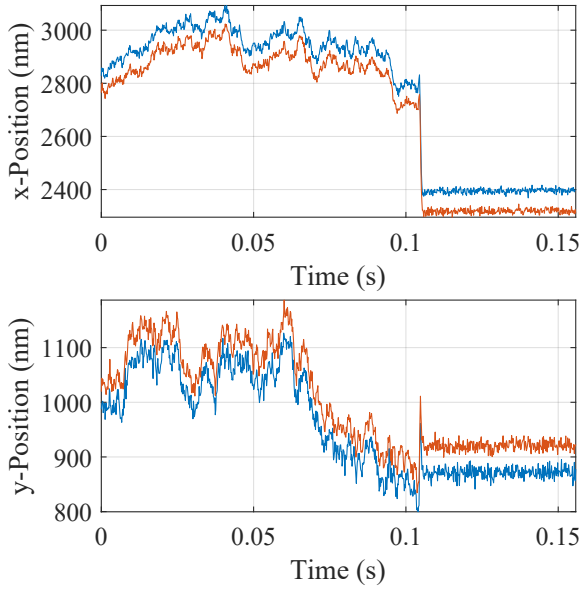


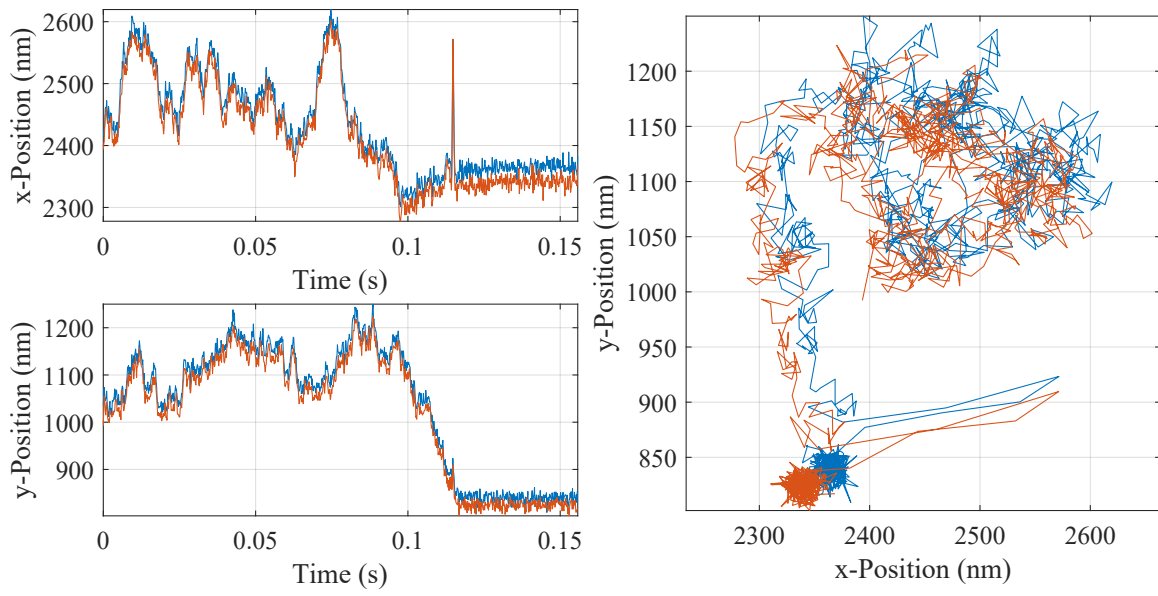








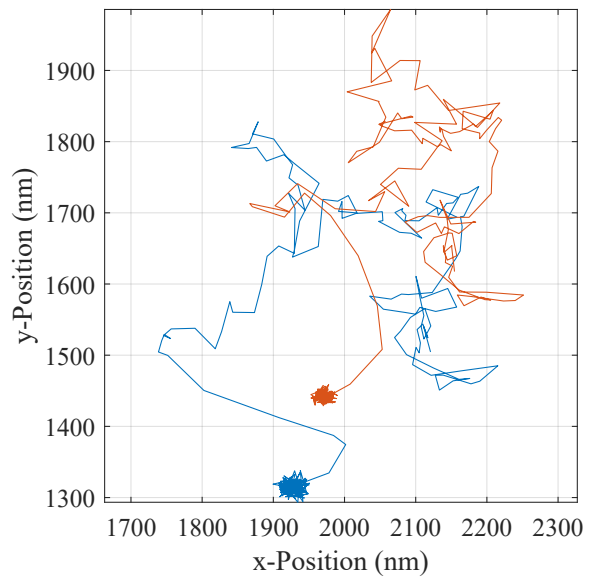
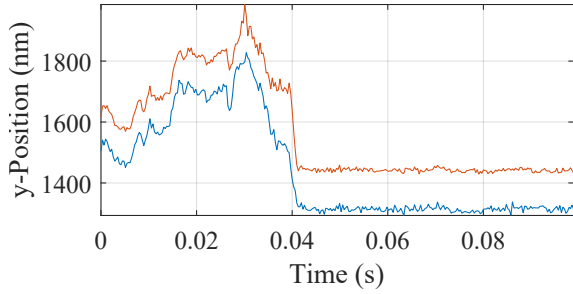
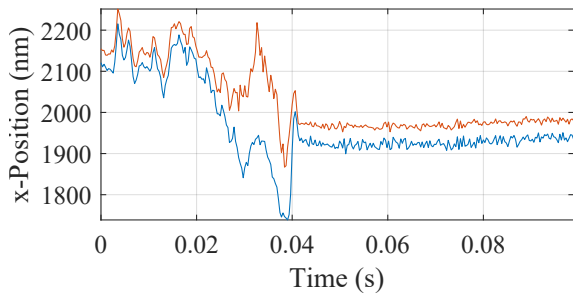
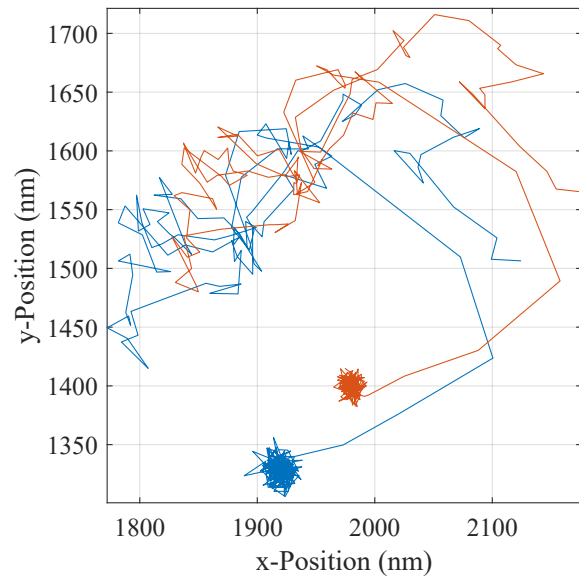
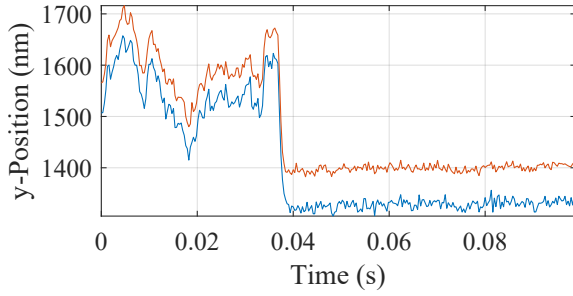
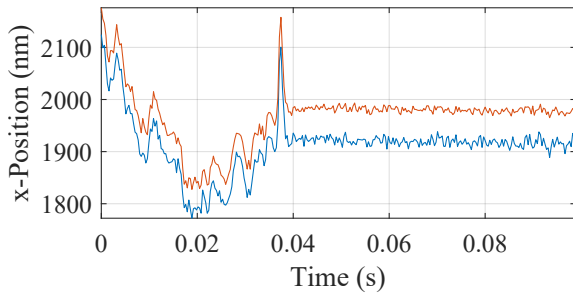


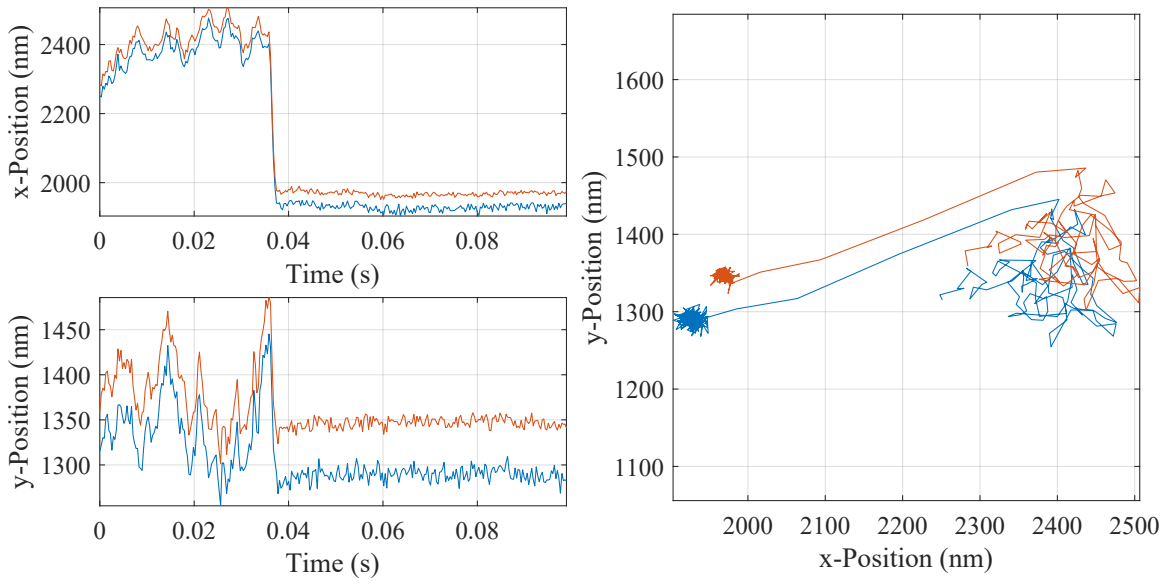
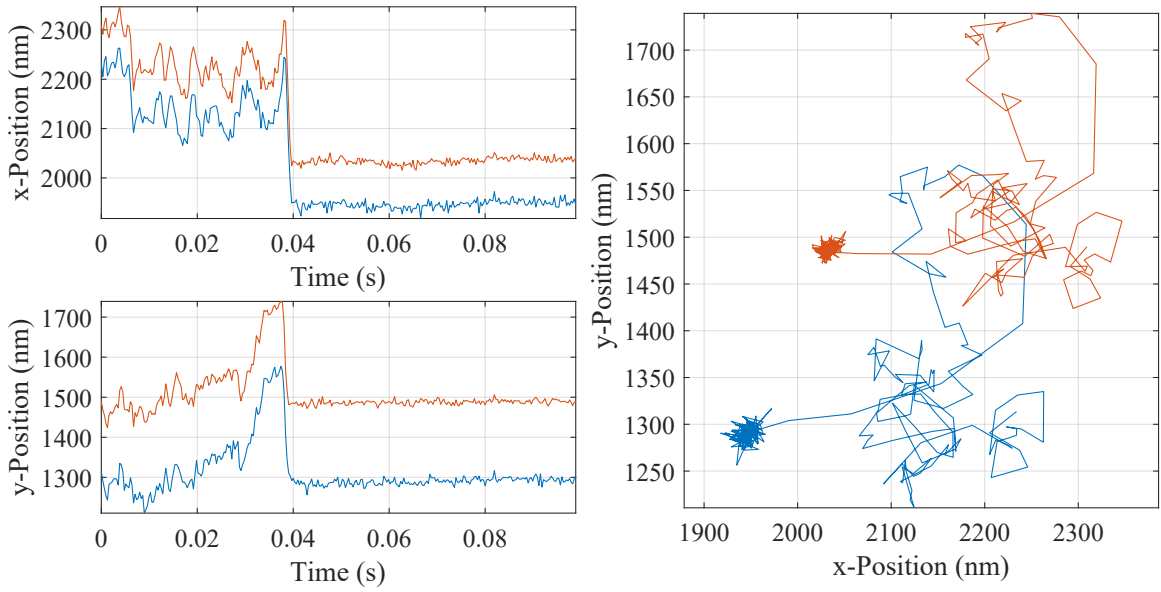


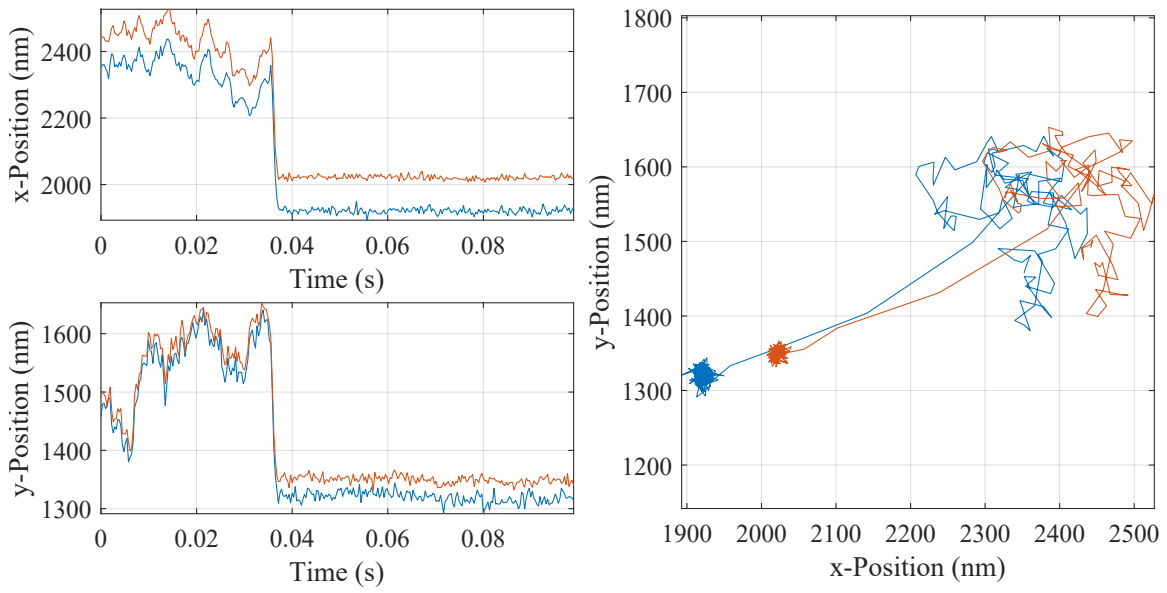
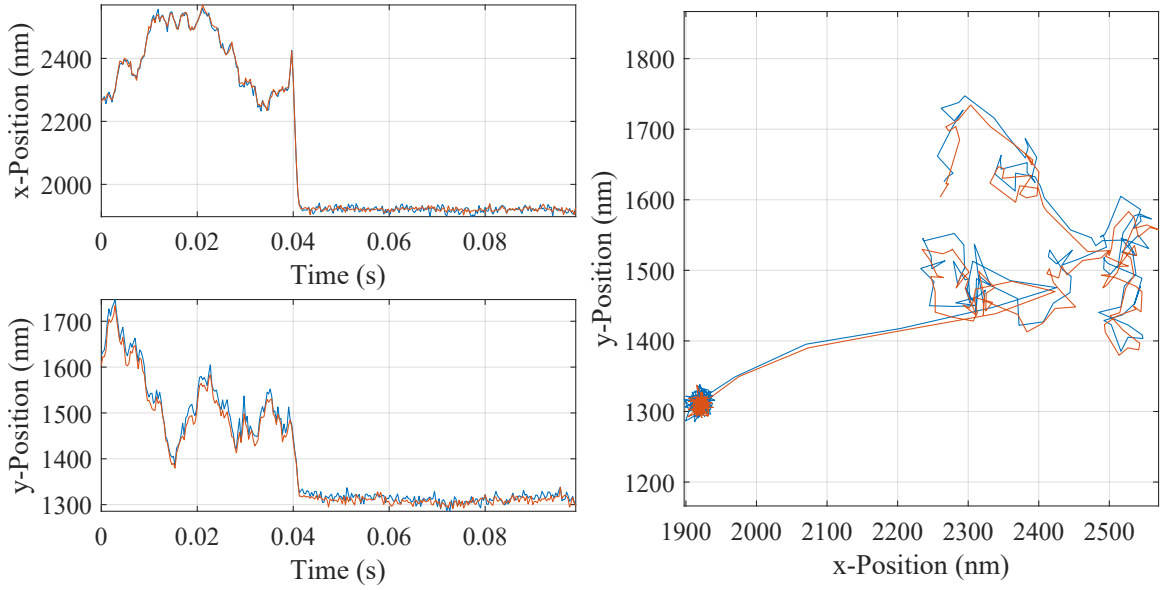
## APPENDIX E

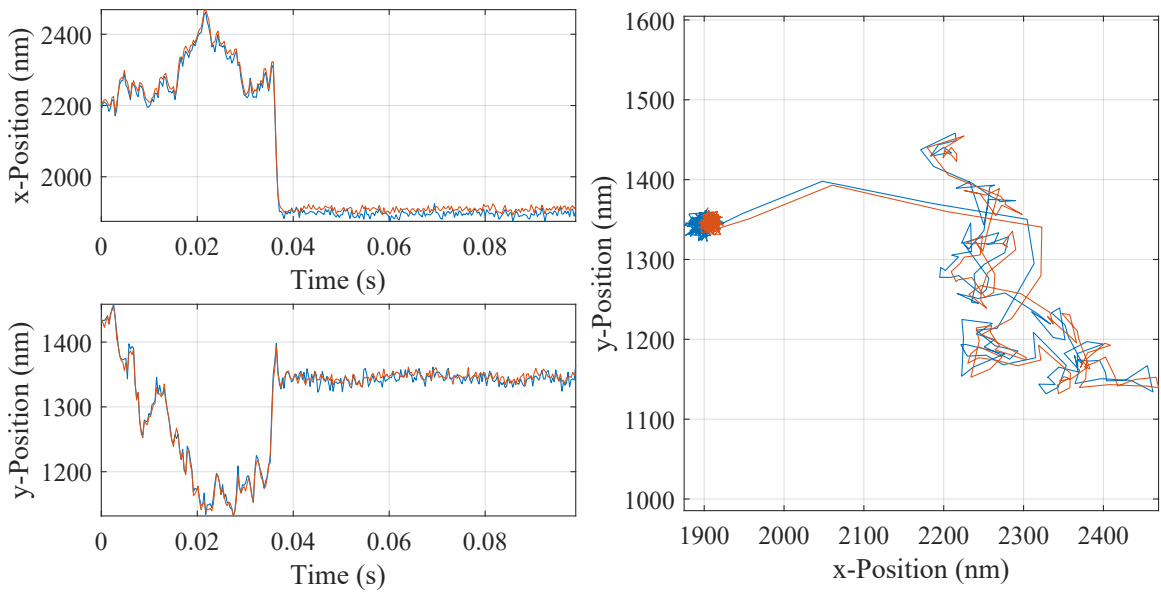
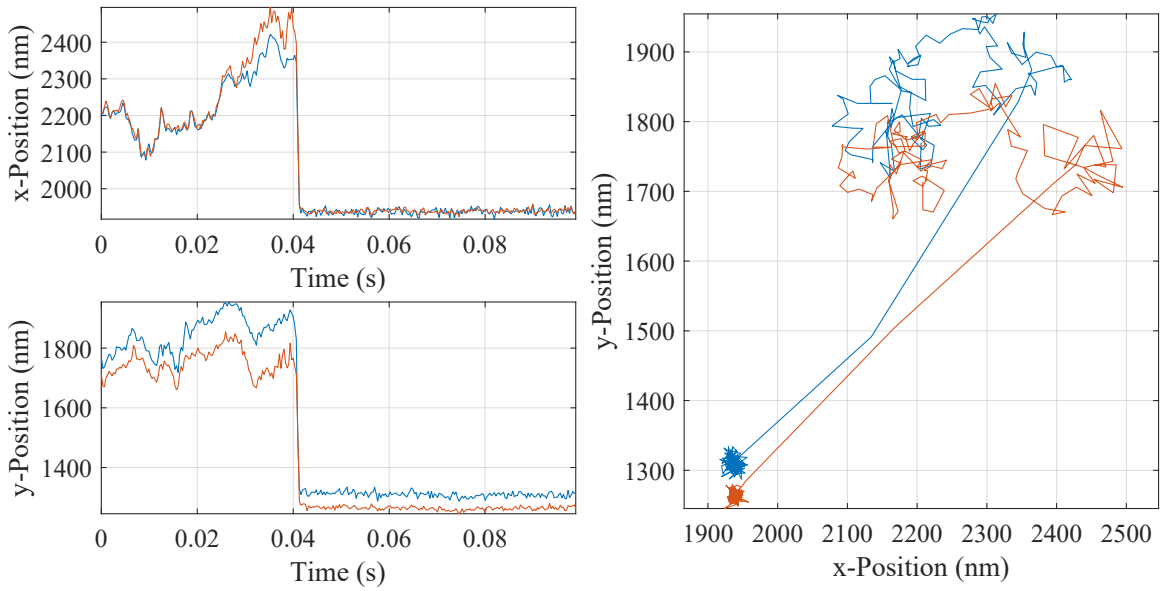
TRR Experiments Data for 500nm Diameter Particle

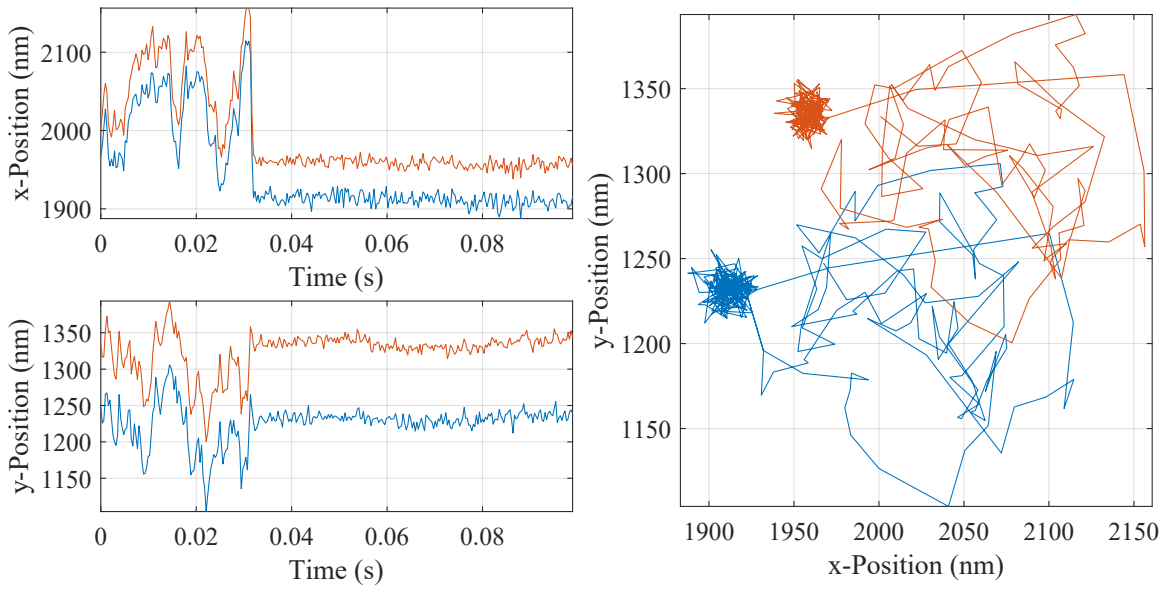
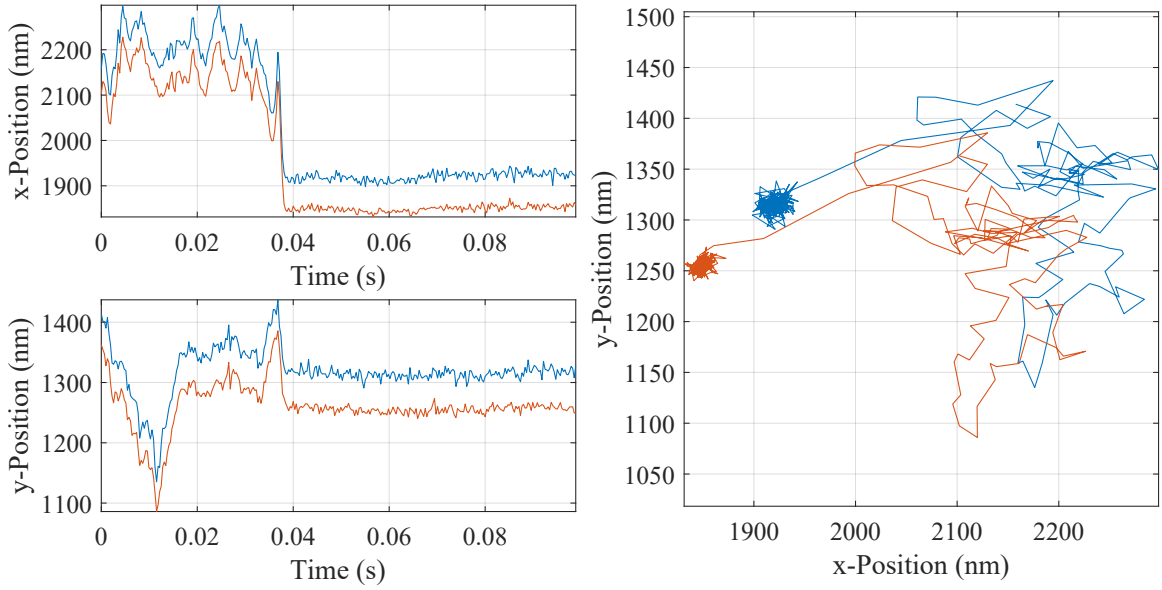


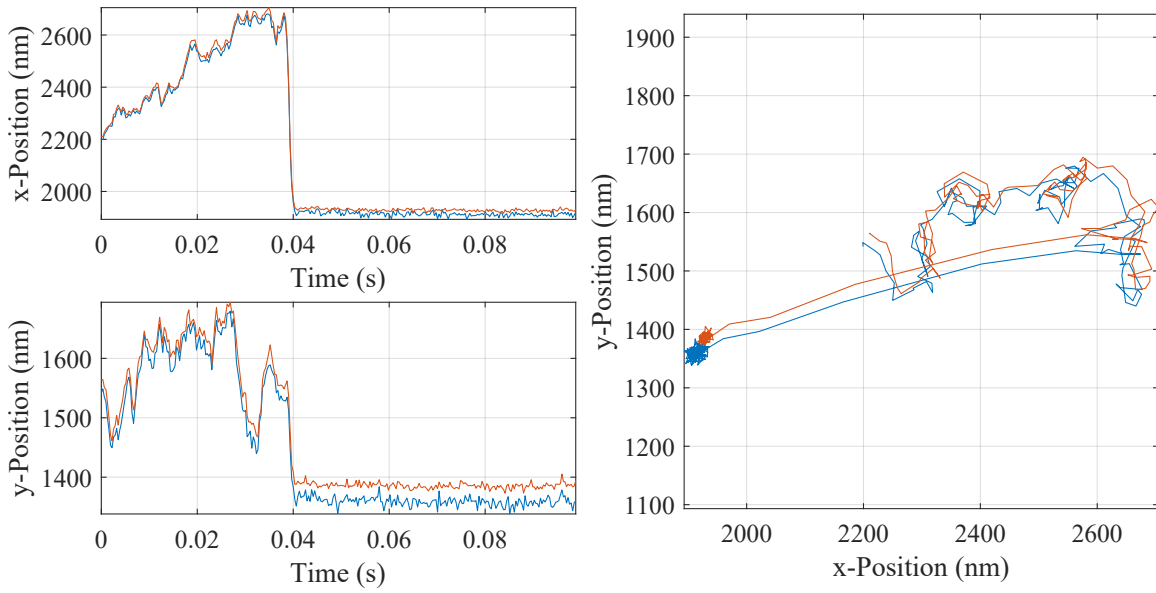
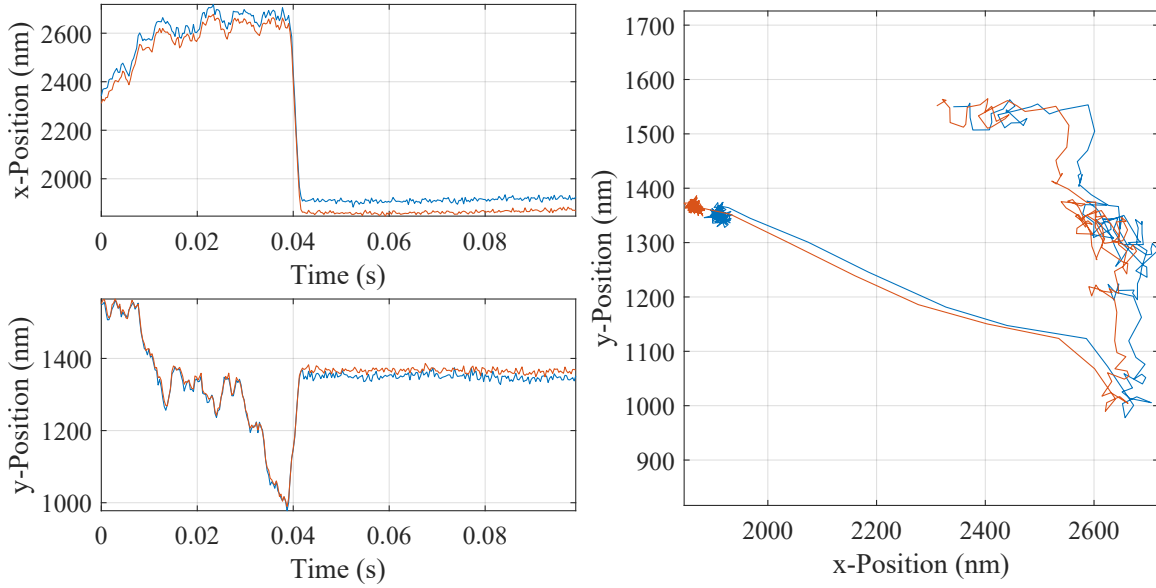


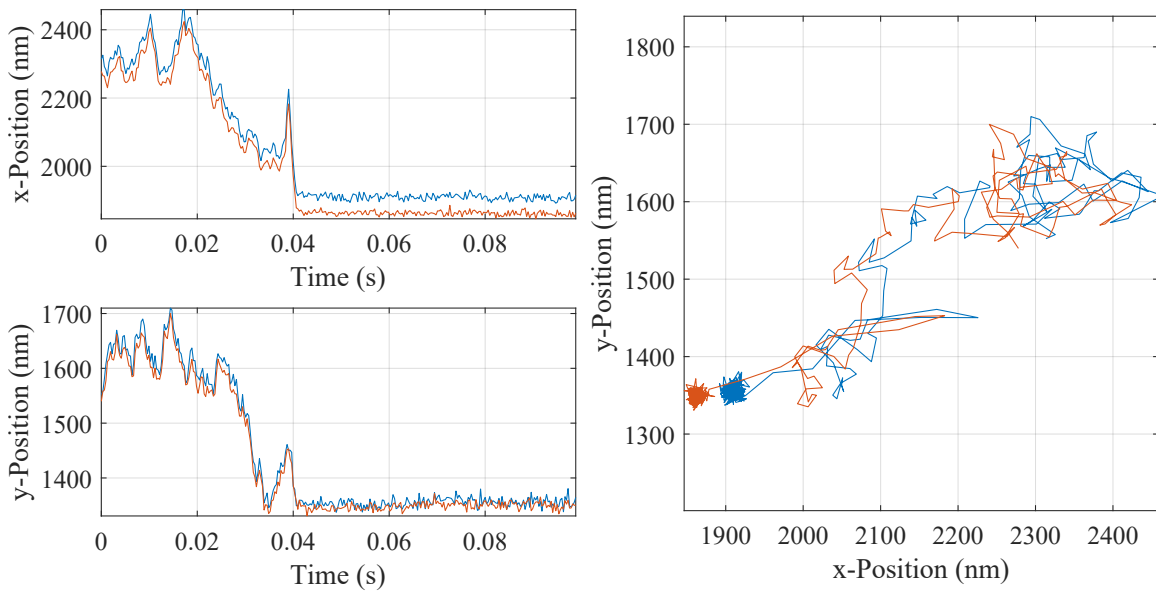
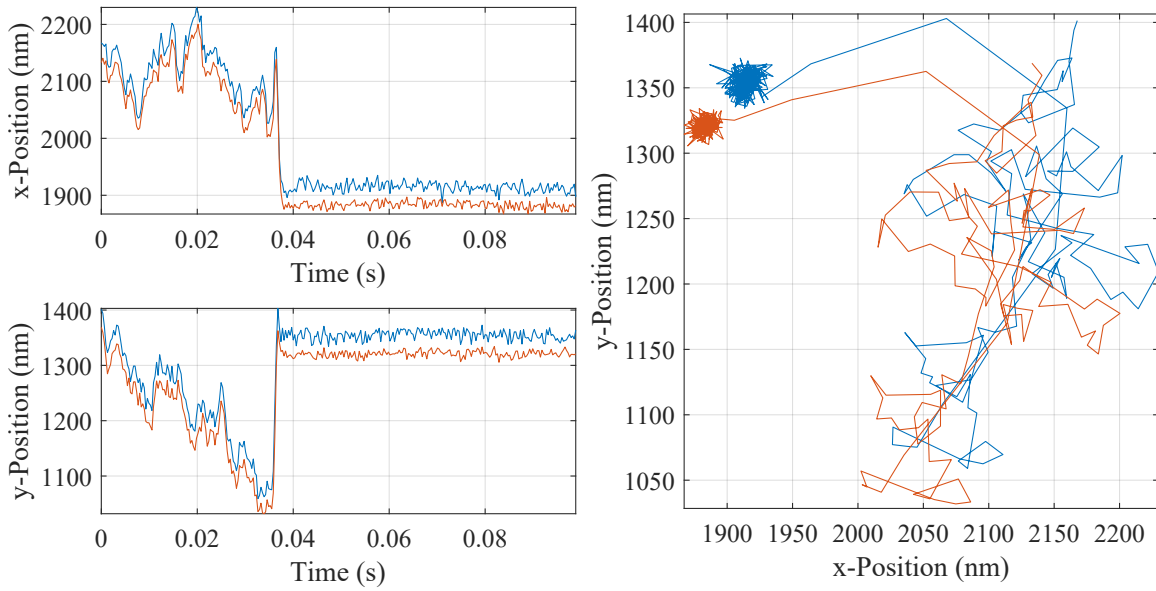


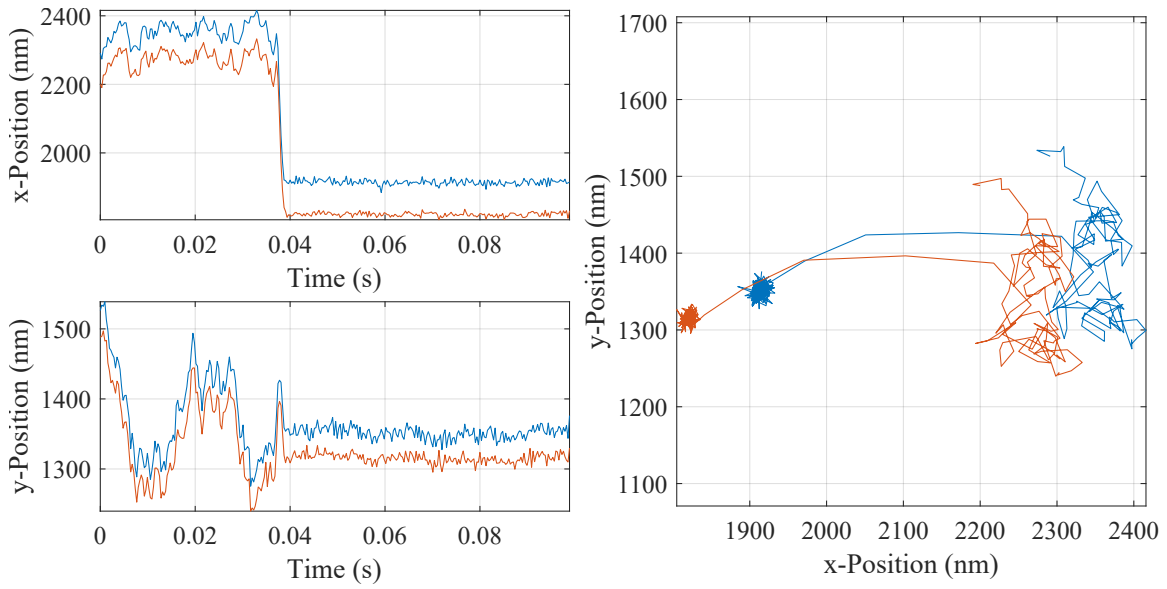
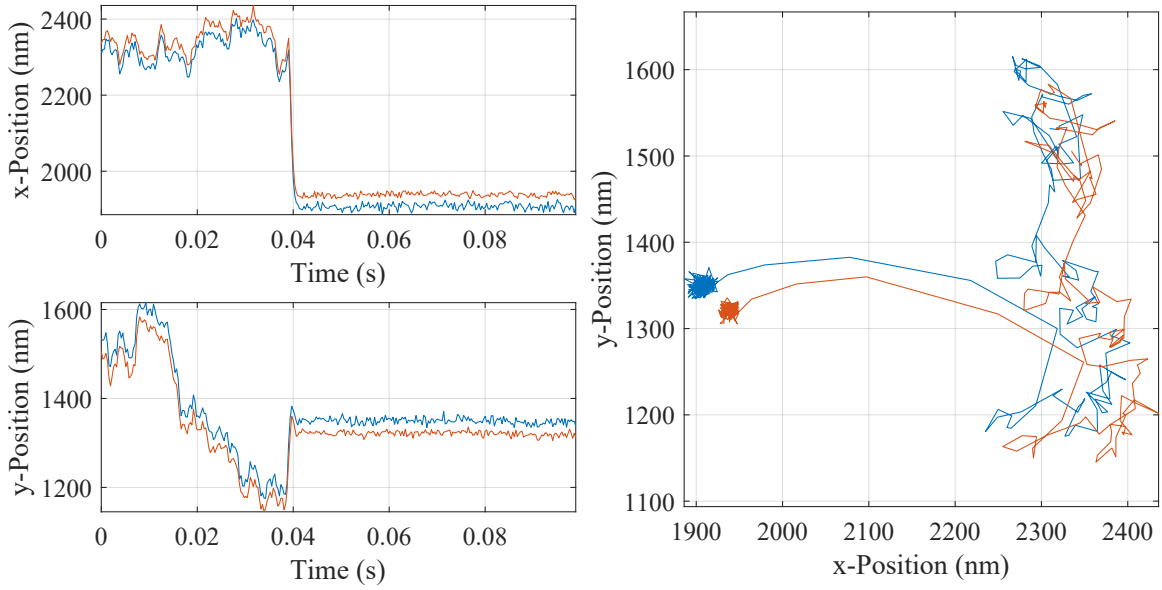




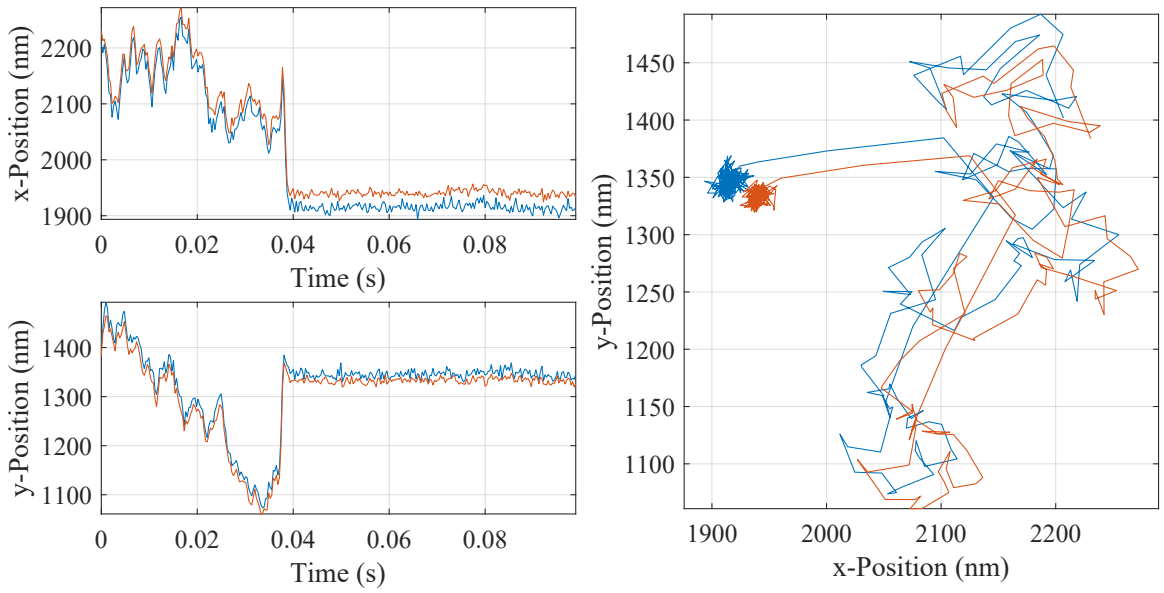
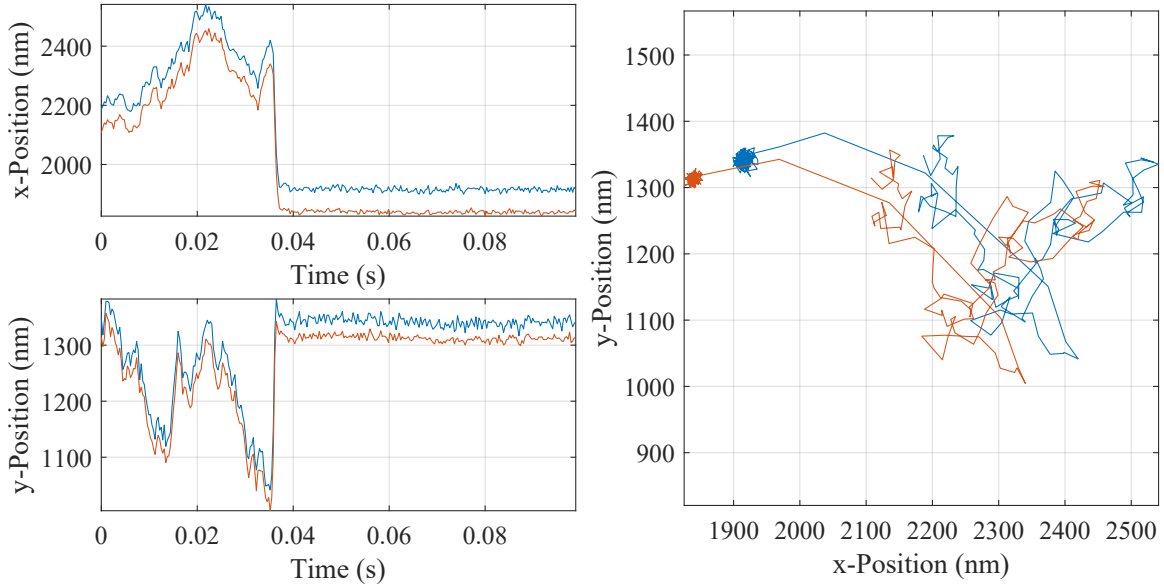


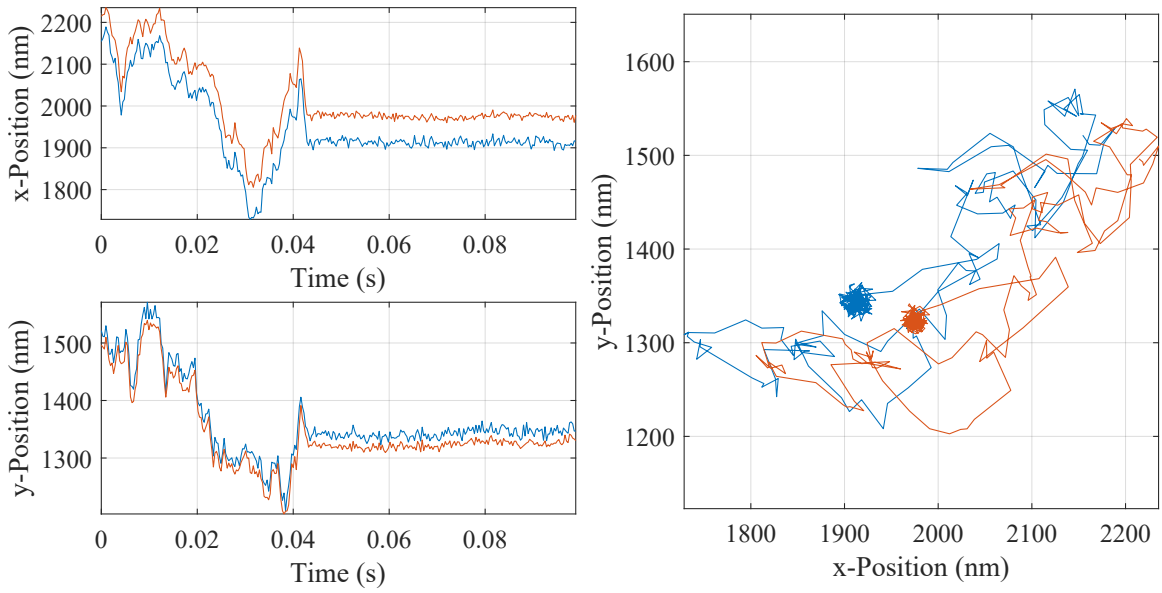
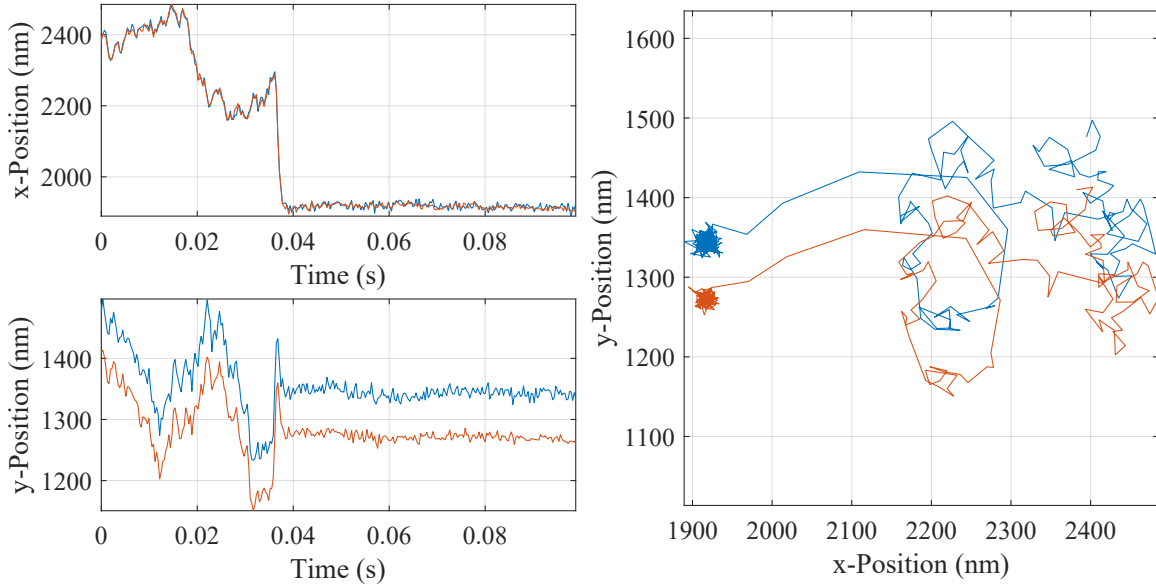


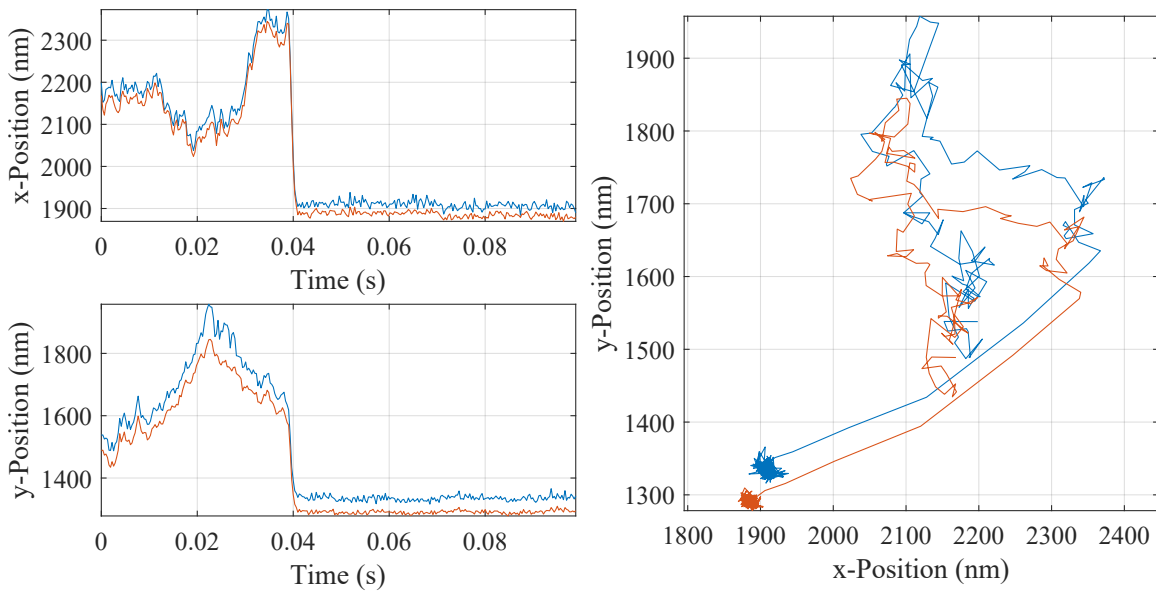
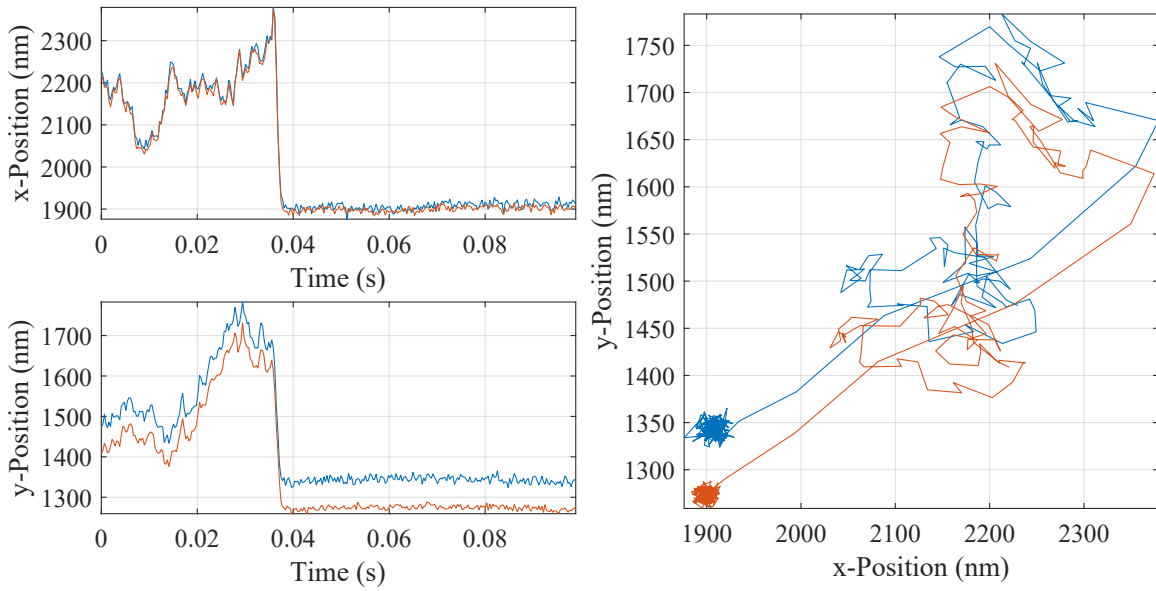


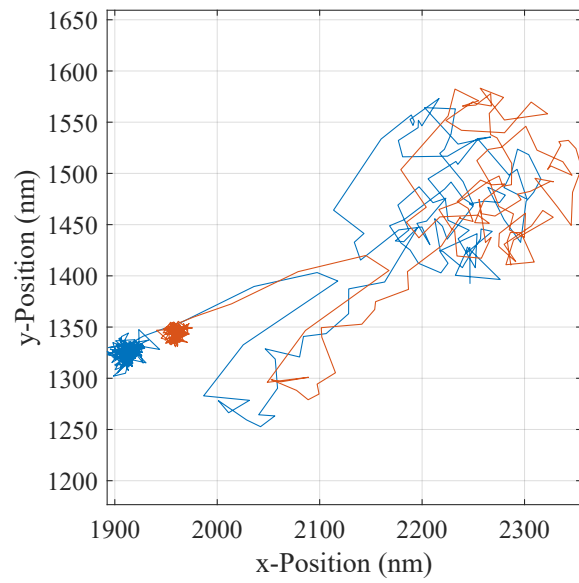
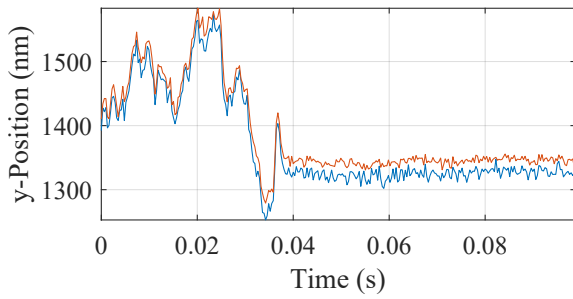
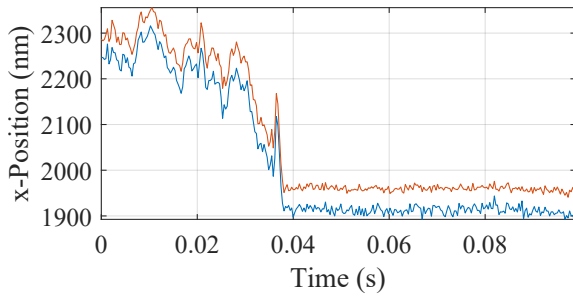
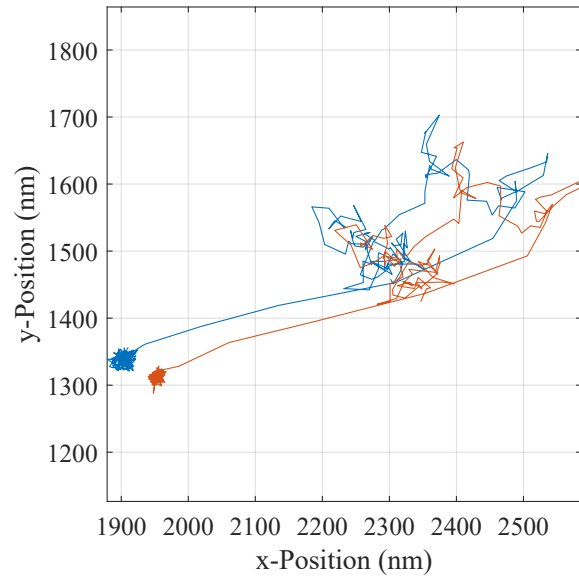
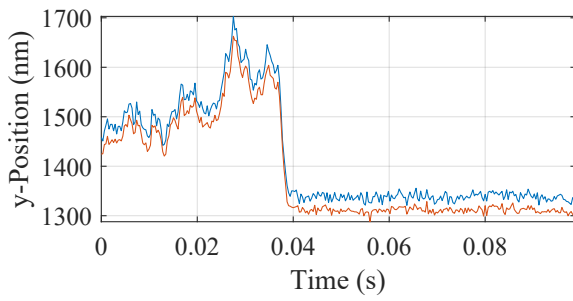
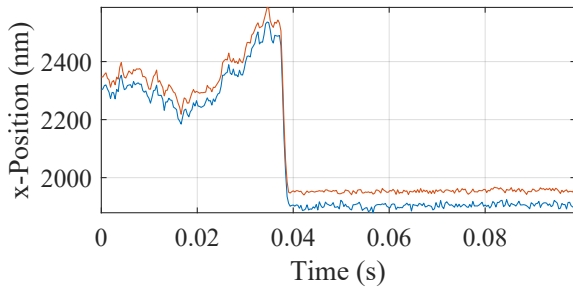


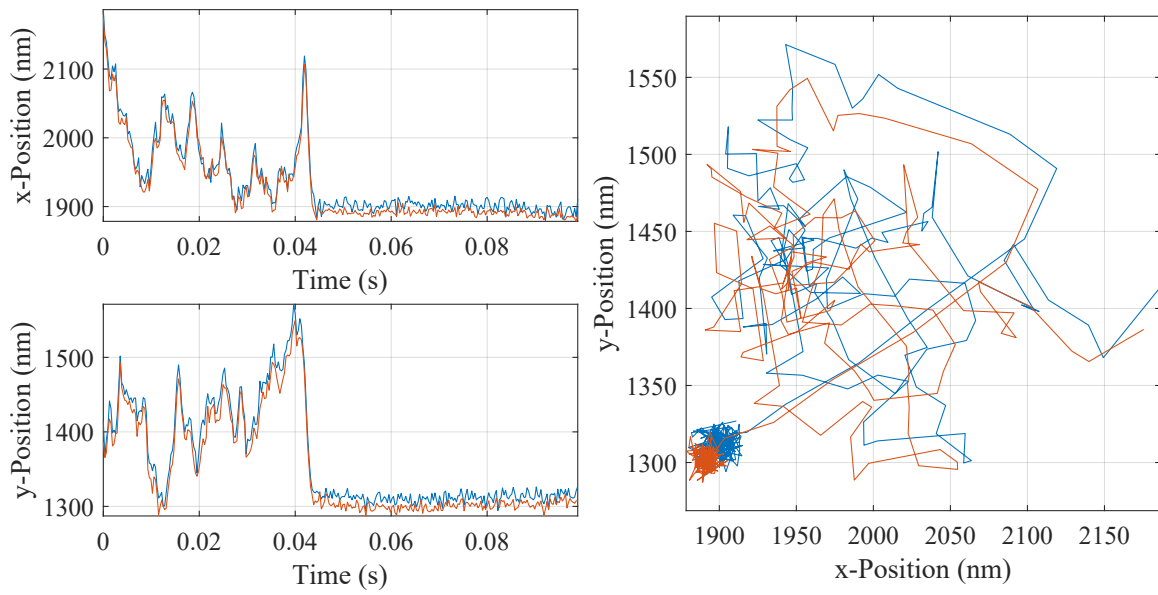
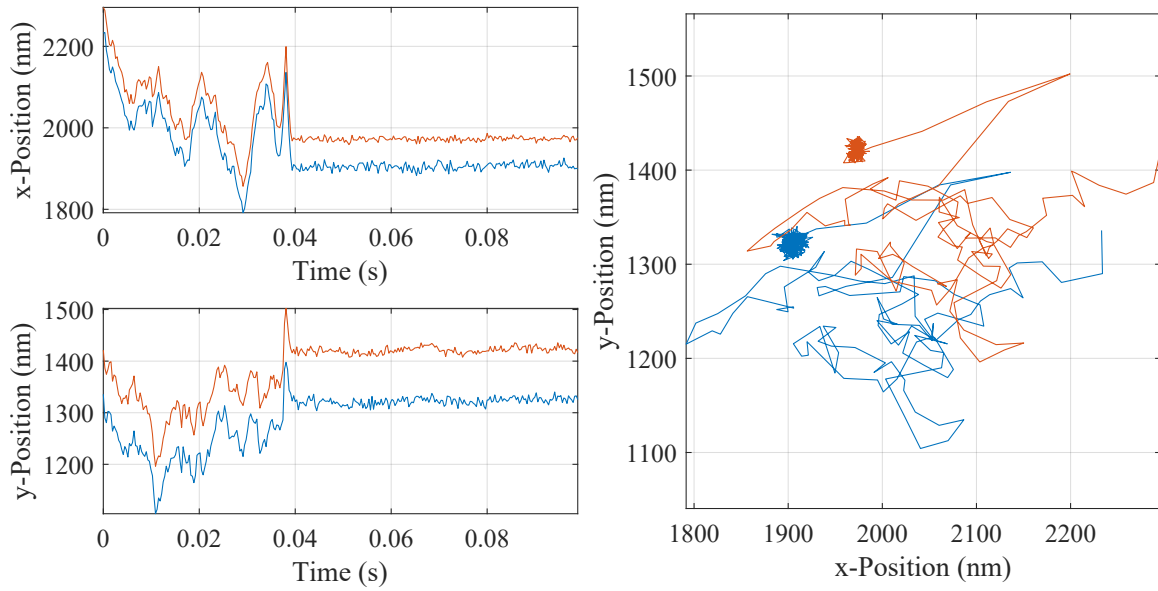


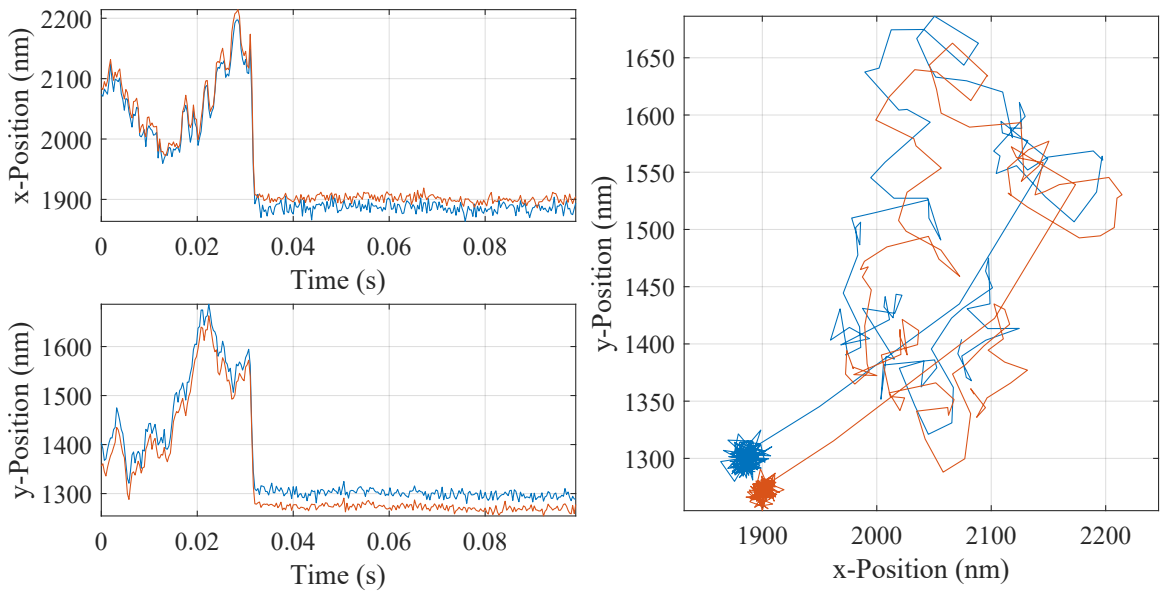
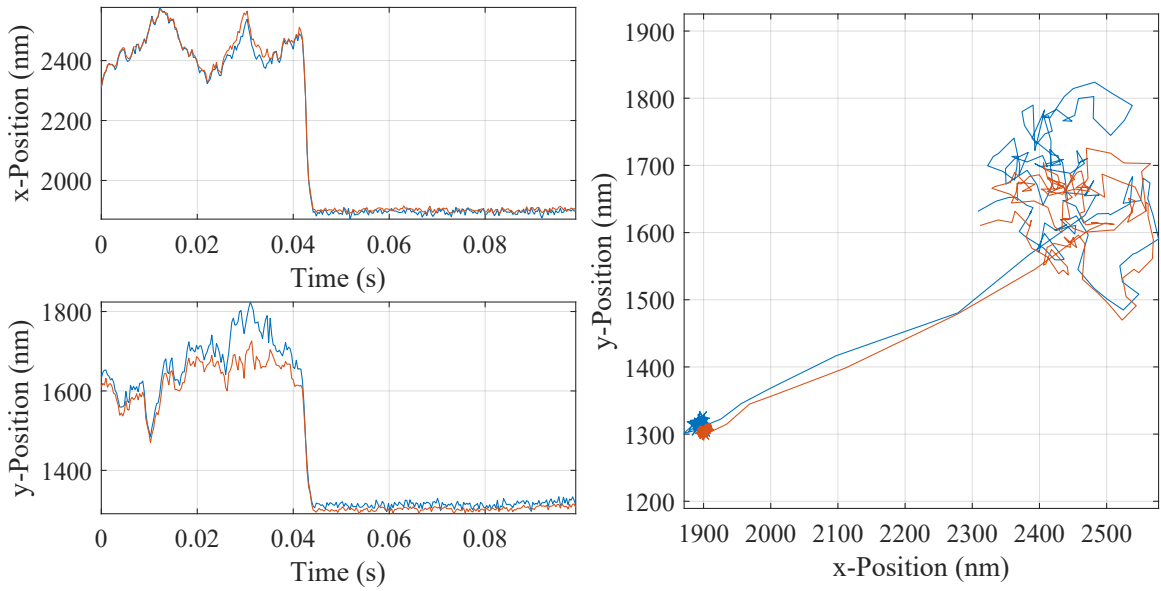


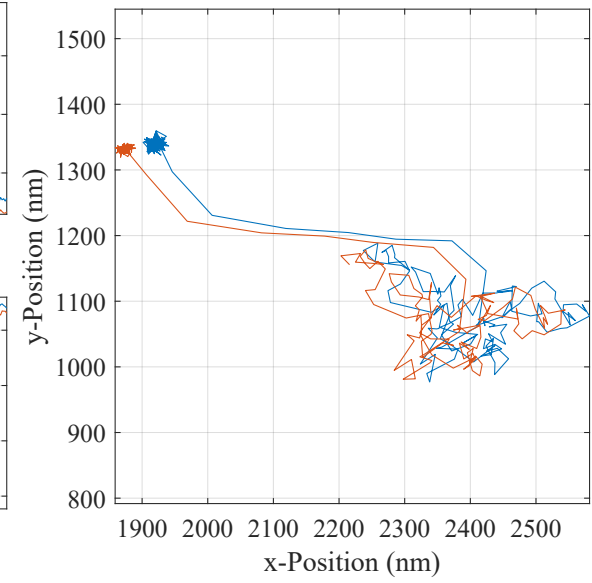
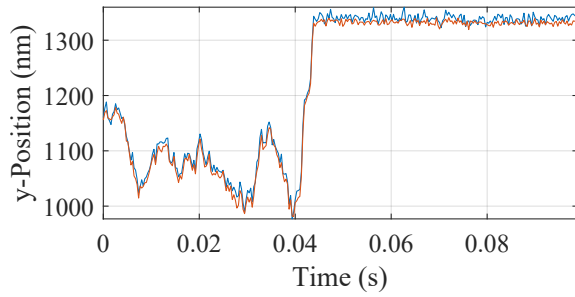
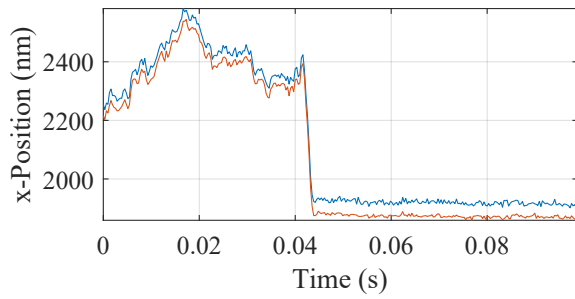
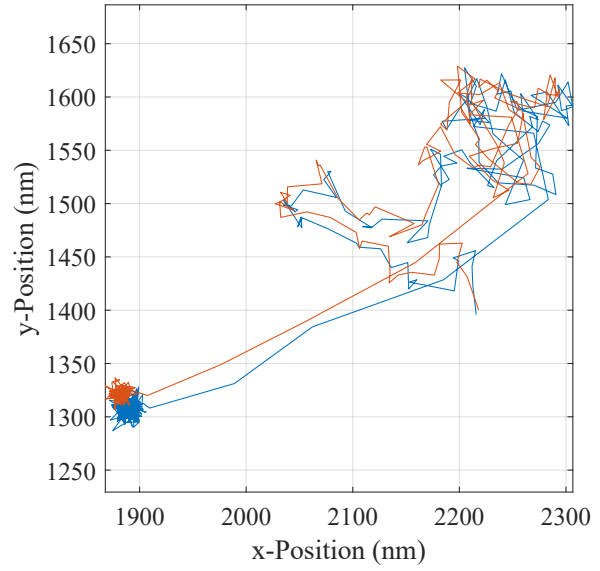
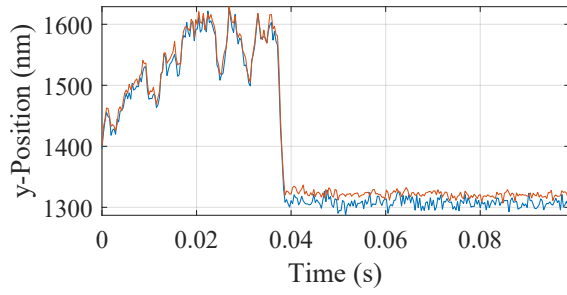
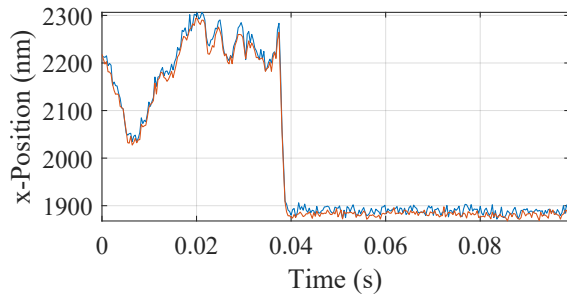


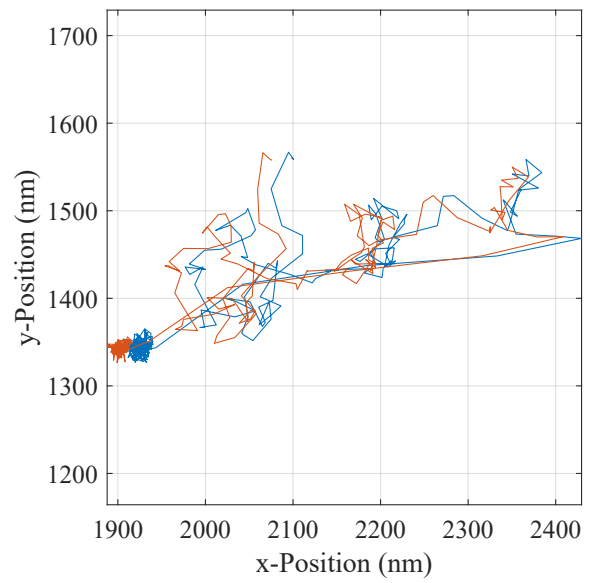
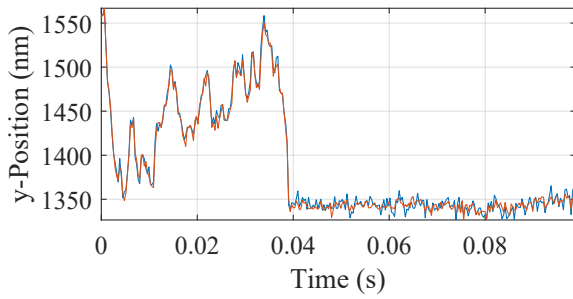
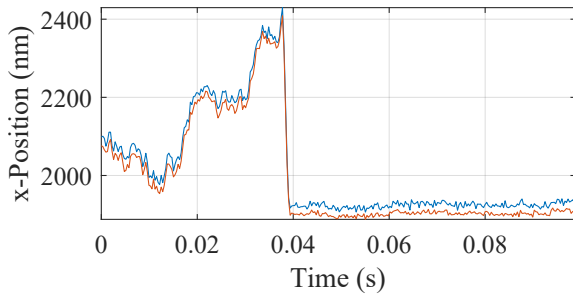
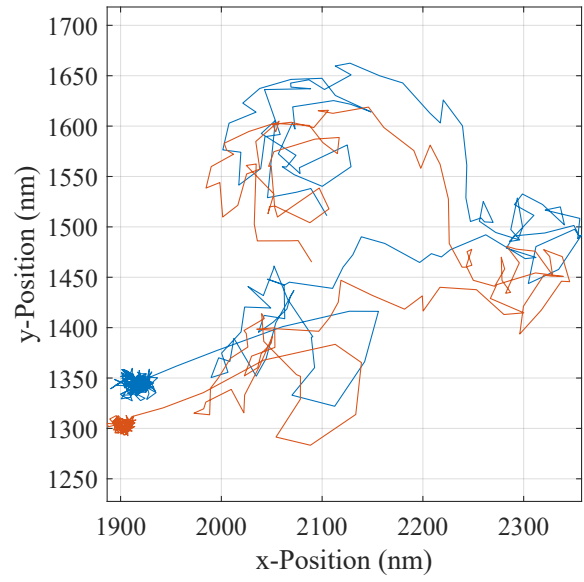
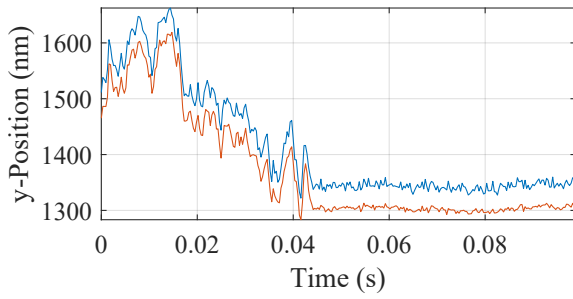
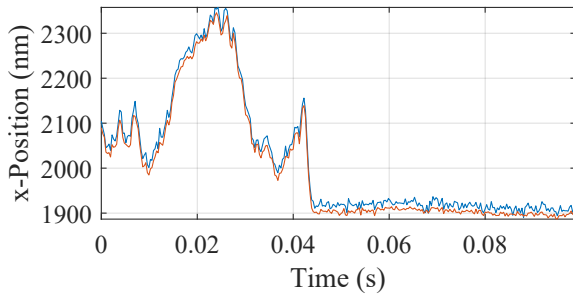




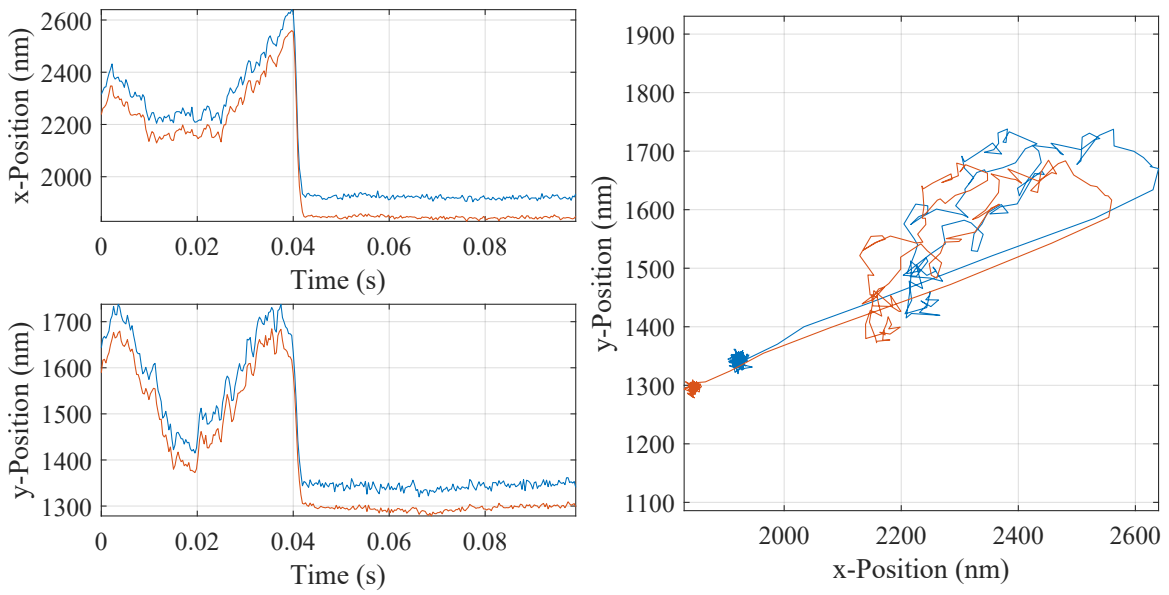
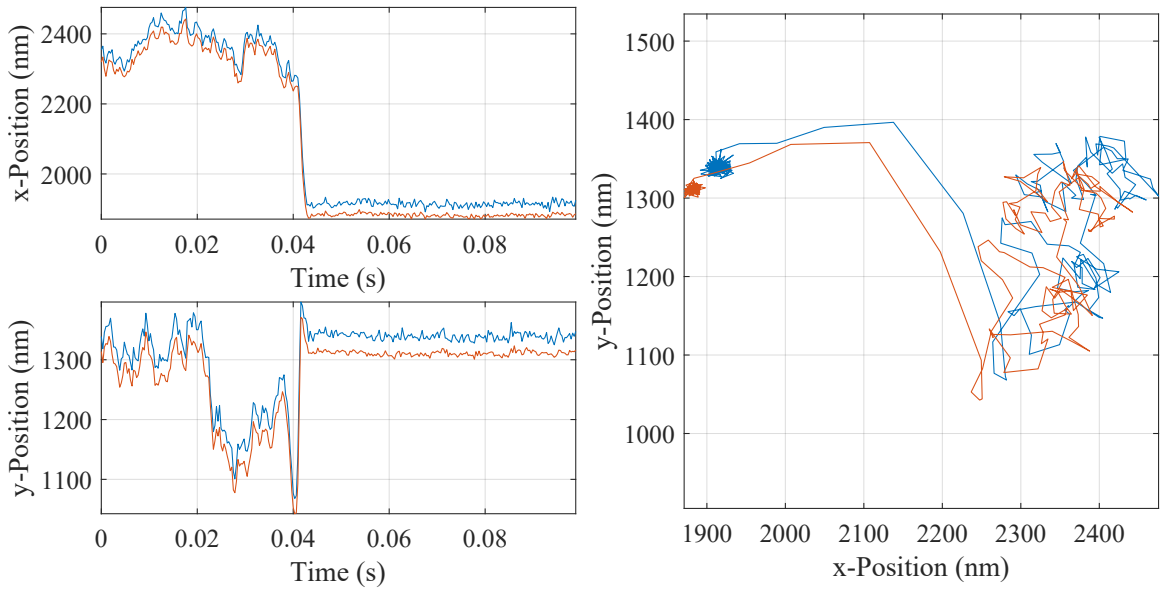


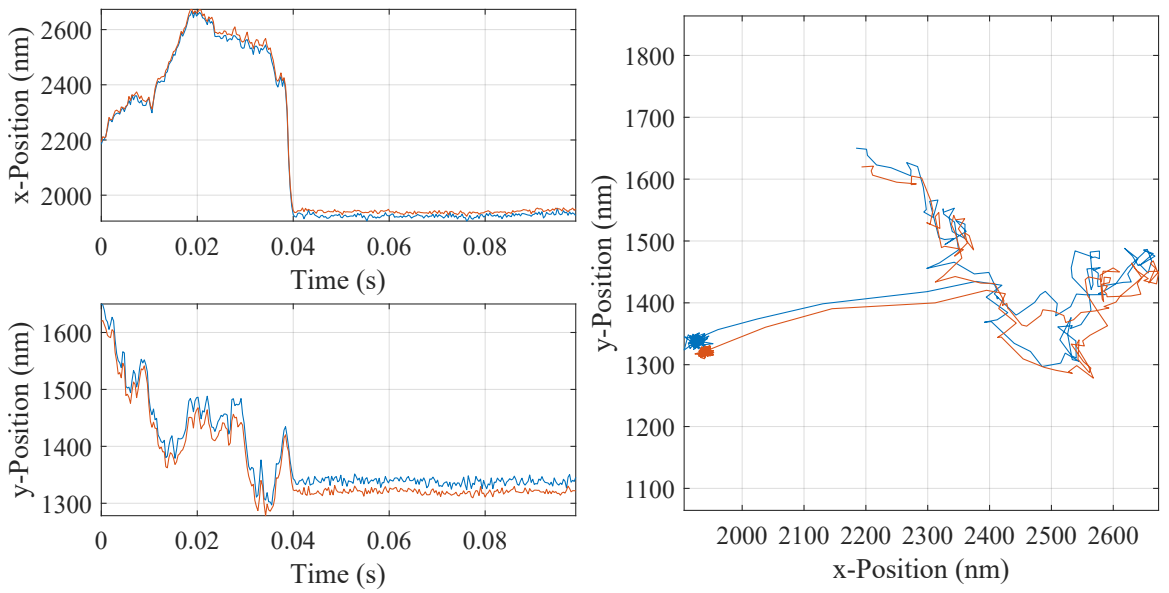
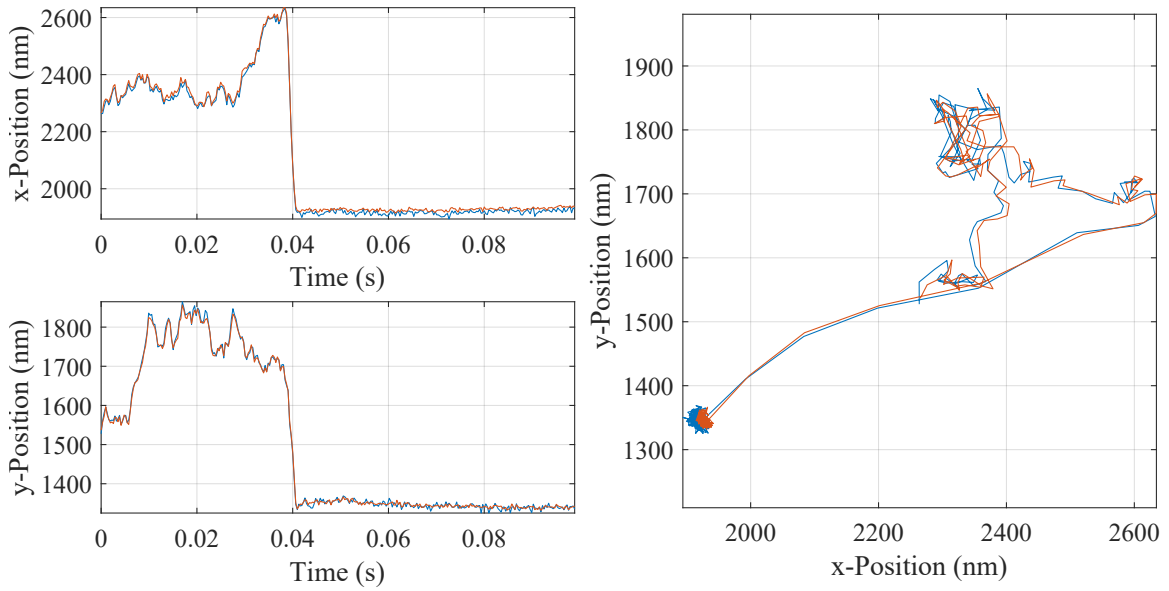


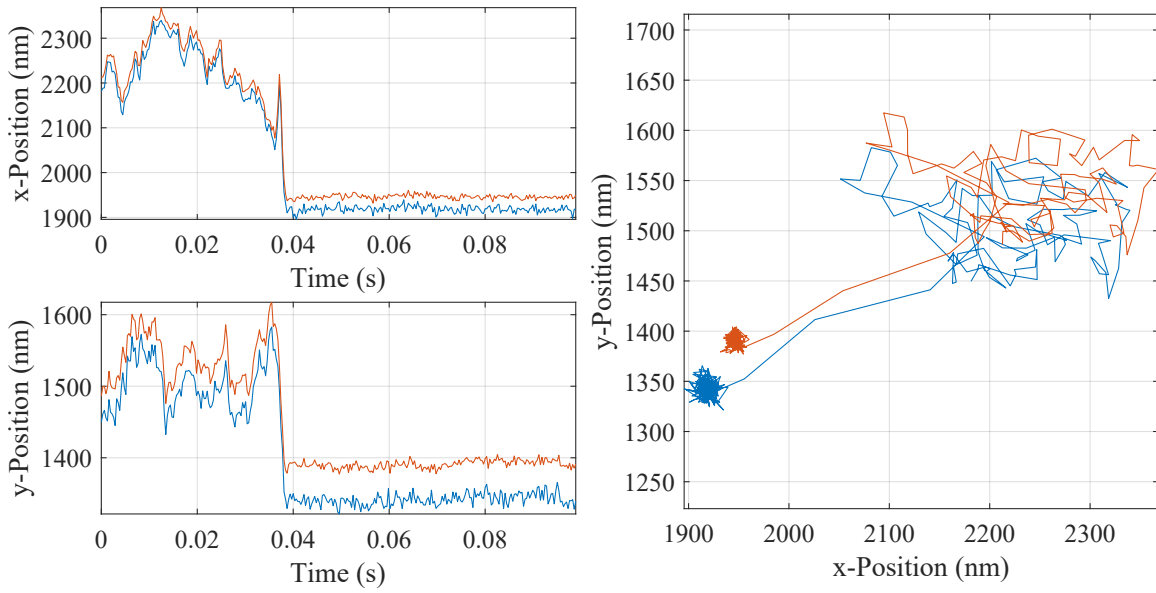
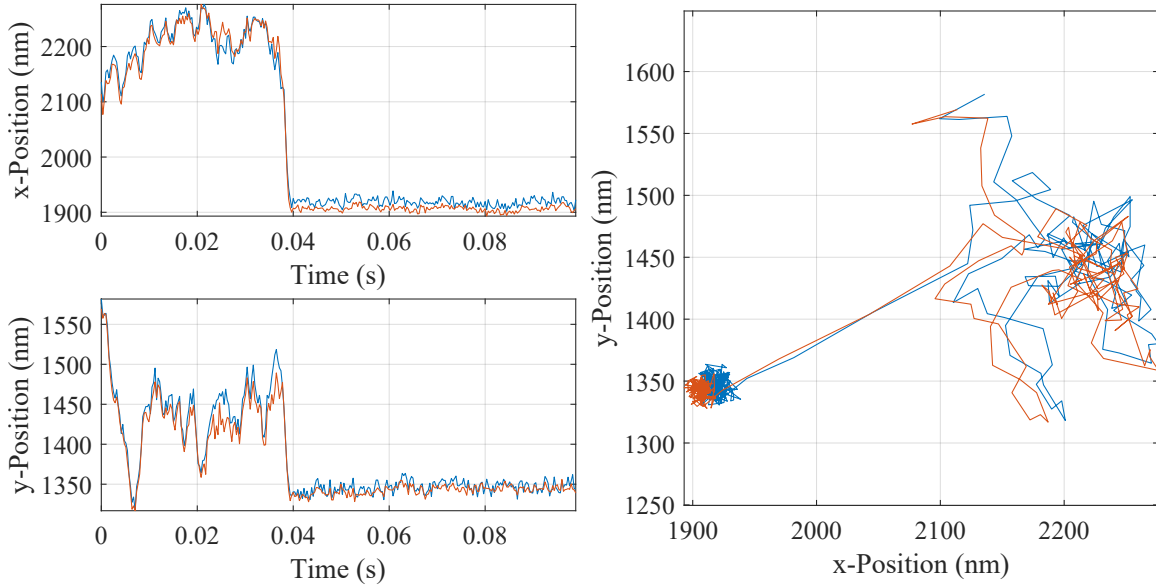


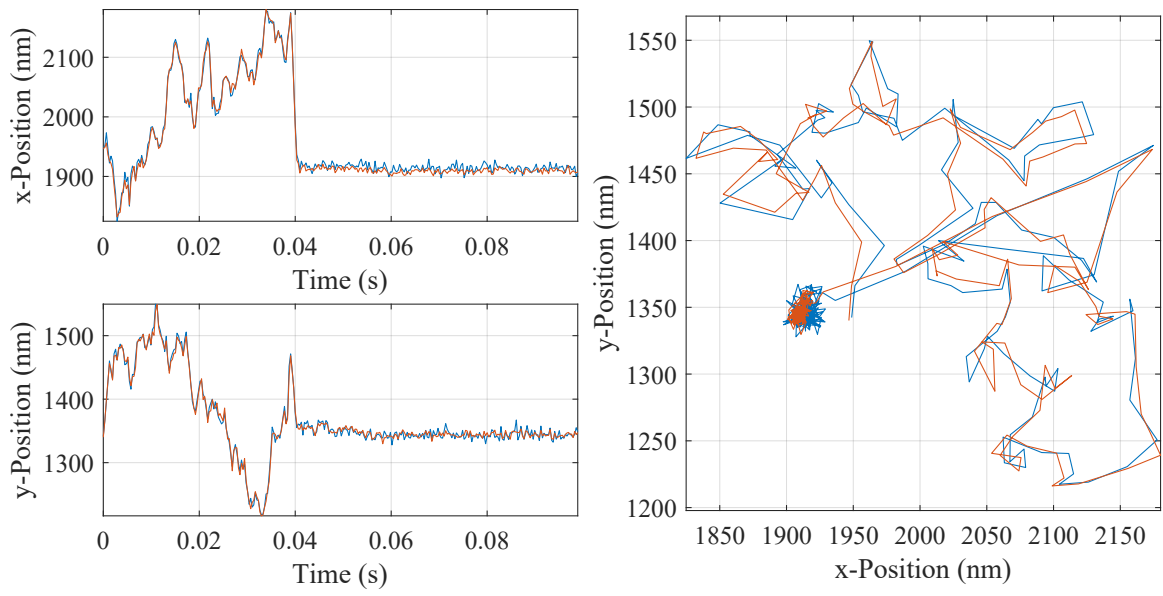












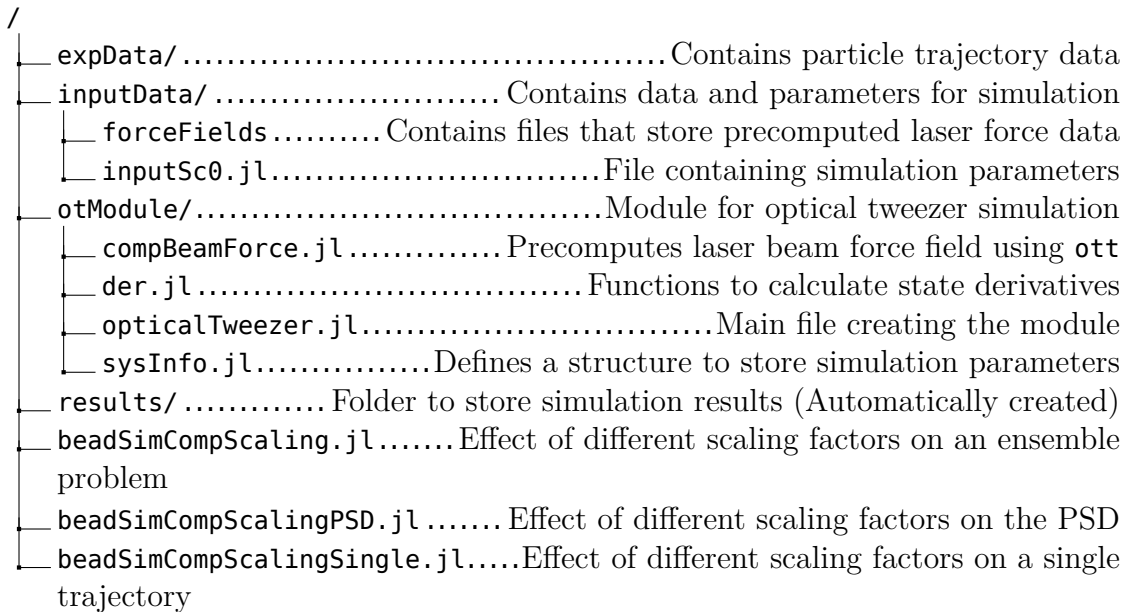
## APPENDIX F

### Code Listings for the Optical Trapping Simulation

The code presented here relies on a bunch of packages from **Julia** programming language as well as a third-party toolbox for **MATLAB**. Following is the complete list of these,

- Packages from **Julia**:
  - `DifferentialEquations.jl`: Provides a big set of Stochastic Differential Equation solvers, some of which are used here.
  - `Interpolations.jl`: Provides fast interpolation functionality, needed here to interpolate the laser force values.
  - `LinearAlgebra.jl`: Provides functions and structures for matrix operations.
  - `MATLAB.jl`: Used to communication with **MATLAB**, where the laser force calculation happens.
  - `MAT.jl`: Used to store data in a **MATLAB** readable file format, `*.mat`.
  - `Glob.jl`: Provides functionality of file name matching and pattern finding.
- Toolbox for **MATLAB**:
  - `ott`: Full name is **Optical Tweezer Toolbox**, available at <https://github.com/ilent2/ott>. It calculates the laser beam forces using T-Matrix approach of solving Mie Scattering problems. Used here to precalculate the force field created by the trapping laser.

## F.1 Directory tree



## F.2 Code Listings

### F.2.1 `inputSc0.jl`

```
1 # Load system parameters, initial conditions and experimental data
2 params = sysInfo(kgram = 1e15, meter = 1e3, second = 1e3, kelvin = 1, temp = 293.15,  $\rho_s$  =
  ↪ 2000,  $r_s$  = 1e-6,  $\rho_m$  = 998.2071,  $\mu_m$  = 0.001002,  $n_s$  = 1.45,  $n_m$  = 1.33, NA = 1.2,  $\lambda_0$  =
  ↪ 1064e-9, sf = 1);
3 P = 300 * 1e-3 * (params.kgram * params.meter^2 / params.second^3);
4 expNo = 1;
5
6 # Load experimental data
7 expFile = matopen("./expData/tek" * string(expNo, base = 10, pad = 4) * ".mat"); # The
  ↪ dataset has time in ms and displacement in mm
8 qpdTrTime = vec(read(expFile, "qpdTrTime")) * 1e-3 * params.second;
9 vidTrTime = vec(read(expFile, "vidTrTime")) * 1e-3 * params.second;
10 qpdTrData = read(expFile, "qpdTrData") * 1e-3 * params.meter;
11 vidTrData = read(expFile, "vidTrData") * 1e-3 * params.meter;
12 close(expFile);
13
14 # Define initial condition and time span
15 expInitIdx = 89;
16 #  $q_{123} = [vidTrpData(1,1) \quad vidTrpData(1,2) \quad -3.023 * \lambda_0]$ ;
17  $q_{123} = [qpdTrData[expInitIdx, 1]; \quad qpdTrData[expInitIdx, 2]; \quad -0 * \text{params}.\lambda_0]$ ;
18  $qd_{123} = [0; 0; 0] * \text{params}.\text{meter} / \text{params}.\text{second}$ ; # Initial speed
19  $w = 0$ ; # Work done
20  $q_0 = [q_{123}; \quad qd_{123}; \quad w]$ ; # Initial state vector
21  $dt = 2e-6 * \text{params}.\text{second}$ ; #  $m/\beta_v/5$ ;
```

```

22 tVals = Vector(qpdTrTime[expInitIdx]:dt:qpdTrTime[end]);
23 relaxationTime = params.ms / params.βv;
24 subInter = dt / (ceil(dt / relaxationTime * 5) - 1);

```

---

## F.2.2 compBeamForce.jl

---

```

1  struct forceVals
2      Z :: Matrix{<:Real}
3      fVals :: Array{<:Real,3}
4      tVals :: Array{<:Real,3}
5      X :: Matrix{<:Real}
6      fStiffness :: Vector{<:Real}
7      k :: Matrix{<:Real}
8      x :: Vector{<:Real}
9      z :: Vector{<:Real}
10
11  function forceVals(matPath::String)
12      matStruct = matread(matPath);
13
14      @assert haskey(matStruct,"Z") && haskey(matStruct,"fVals") &&
15      ↪ haskey(matStruct,"tVals") && haskey(matStruct,"X") &&
16      ↪ haskey(matStruct,"fStiffness") && haskey(matStruct,"k") &&
17      ↪ haskey(matStruct,"x") && haskey(matStruct,"z")
18      nx = length(matStruct["x"]); nz = length(matStruct["z"]);
19      @assert size(matStruct["Z"],1) == nz && size(matStruct["X"],1) == nz &&
20      ↪ size(matStruct["fVals"],1) == nz && size(matStruct["tVals"],1) == nz
21      @assert size(matStruct["Z"],2) == nx && size(matStruct["X"],2) == nx &&
22      ↪ size(matStruct["fVals"],2) == nx && size(matStruct["tVals"],2) == nx
23      @assert length(matStruct["fStiffness"]) == 3 && size(matStruct["k"]) == (6,6)
24
25      ↪ new(matStruct["Z"],matStruct["fVals"],matStruct["tVals"],matStruct["X"],vec(matStruct["fStiffness"]
26  end
27 end
28
29 function compBeamForce(params::sysInfo,P::Union{Vector{<:Real},Real})
30
31  if !ispath("./inputData/forceFields/")
32      mkpath("./inputData/forceFields/");
33  end
34
35  mlSessionSet = false;
36
37  for pwr in P
38      if !isfile("./inputData/forceFields/" * string(trunc(Int,params.rs*2e6)) * "." *
39      ↪ string(trunc(Int,pwr*1e-9)) * ".mat")
40          println("Computing force field for $pwr mW power.")
41          if !mlSessionSet
42              global s = MSession();

```



```

37         put_variable(s, :kgram, mxarray(params.kgram));
38         put_variable(s, :meter, mxarray(params.meter));
39         put_variable(s, :second, mxarray(params.second));
40         put_variable(s, :r, mxarray(params.r_s));
41         put_variable(s, :NA, mxarray(params.NA));
42         put_variable(s, :n_s, mxarray(params.n_s));
43         put_variable(s, :n_m, mxarray(params.n_m));
44         put_variable(s, :lambda0, mxarray(params.lambda0));
45         put_variable(s, :c, mxarray(params.c));
46         mlSessionSet = true;
47     end
48     put_variable(s, :P, mxarray(pwr));
49     mat"
50     % Generate the beam in SI units
51     beam = ott.BscPmGauss('NA',NA,'polarisation',[1
52     ↪ 1i],'power',P/(kgram*meter^2/second^3),...
53     'index_medium',n_m,'wavelength0',lambda0/(meter));
54     % Calculate T-matrix in SI units
55     shape = ott.shapes.Shape.simple('sphere',r/(meter));
56     T = ott.Tmatrix.simple(shape, 'index_medium', n_m, ...
57     'index_particle', n_s, 'wavelength0', lambda0/(meter));
58
59     % Compute the forces at different locations of the beam
60     z = (-4:0.01:1)*lambda0;
61     x = (0:0.01:3)*lambda0;
62     [X,Z] = meshgrid(x,z);
63     fVals = zeros([size(Z),3]);
64     tVals = zeros([size(Z),3]);
65     parfor j = 1:size(Z,2)
66         [force,torque] = ott.forcetorque(beam, T,'position',[X(:,j)
67         ↪ zeros(size(Z,1),1) Z(:,j)']/(meter));
68         fVals(:,j,:) = (n_m*P/c)*reshape(force',size(Z,1),1,3);
69         tVals(:,j,:) = (lambda0*P/c)*reshape(torque',size(Z,1),1,3);
70     end
71
72     % Compute trap stiffness
73     [~,~,k] = ott.trap_stiffness(beam,T);
74     fStiffness = abs(diag(-k(1:3,1:3)*(n_m*P/c)/meter)); % Unit - pN/mm
75
76     % Save data to a file
77     save(['./inputData/forceFields/' num2str(r*2e6) '.' num2str(P*1e-9)
78     ↪ '.mat'],'Z','X','z','x','fVals','tVals','k','fStiffness');
79     "
80     end
81     if mlSessionSet
82         eval_string(s,"delete(gcf('nocreate'))");
83         close(s);
84     end
85 end

```

## F.2.3 der.jl

---

```
1  """
2
3  """
4
5  function der_f!(ẋ::Vector{<:Real}, x::Vector{<:Real}, sysData::Tuple{sysInfo,Any,Any,Any},
6  ↪ t::Real)
7      params = sysData[1]
8      fx = sysData[2]
9      fy = sysData[3]
10     fz = sysData[4]
11
12     ẋ[1:3] = x[4:6]
13
14     # Convert cartesian coordinates to cylindrical
15     φ = atan(x[2], x[1])
16     rMat = [cos(φ) -sin(φ) 0; sin(φ) cos(φ) 0; 0 0 1]
17     qCyl = rMat' * x[1:3]
18
19     # Calculate the force on the particle
20     F_beam = rMat * [fx(qCyl[1], qCyl[3]); fy(qCyl[1], qCyl[3]); fz(qCyl[1], qCyl[3])]
21
22     # Calculation of translational acceleration
23     F_drag = -params.βv * x[4:6]
24     F_bg = (params.ms - params.Vs * params.ρm) * [0; 0; -params.g] #
25     ↪ [0;0;params.ρm*params.g*params.Vol] - params.m*[0;0;params.g]
26     F = F_bg + F_beam + F_drag
27     ẋ[4:6] = params.sf * params.M-1 * F
28
29     if any(isnan.(ẋ))
30         throw(error("ẋ has NaN values."))
31     end
32 end
33
34 function der_g!(ẋ::Vector{<:Real}, x::Vector{<:Real}, sysData::Tuple{sysInfo,Any,Any,Any},
35 ↪ t::Real)
36     params = sysData[1]
37
38     val = params.sf * params.σv / params.ms
39
40     ẋ[1] = 0
41     ẋ[2] = 0
42     ẋ[3] = 0
43     ẋ[4] = val
44     ẋ[5] = val
45     ẋ[6] = val
46 end
```

---

## F.2.4 opticalTweezer.jl

---

```
1 module opticalTweezer
2
3 using MATLAB
4 using MAT
5 using LinearAlgebra
6
7 export forceVals, compBeamForce, myinterp, sysInfo, der_f!, der_g!
8
9 include("myinterp.jl")
10 include("sysInfo.jl")
11 include("compBeamForce.jl")
12 include("der.jl")
13
14 end
```

---

## F.2.5 sysInfo.jl

---

```
1 struct sysInfo
2     # All parameters values are defined with SI(kgram, meter, second) unit system.
3     kgram :: Real; meter :: Real; second :: Real; kelvin :: Real;
4     temp :: Real # System Temperature
5     k_B :: Real # Boltzmann Constant
6     ρ_s :: Real # Bead density
7     r_s :: Real # Bead radius 0.5e-3*(meter);#
8     V_s :: Real # Bead volume
9     m_s :: Real # Bead mass
10    M-1 :: Matrix{<:Real} # Inverse of mass matrix
11    I :: Matrix{<:Real} # Inertia matrix
12    g :: Real # Gravitational acceleration
13    ρ_m :: Real # Density of fluid medium
14    μ_m :: Real # Dynamic viscosity of fluid medium at 20d C
15    β_v :: Real # Translational drag coefficient
16    β_ω :: Real # Rotational drag coefficient
17    σ_v :: Real # Standard deviation for translational Brownian motion
18    σ_ω :: Real # Standard deviation for rotational Brownian motion
19    n_s :: Real # Particle's refractive index
20    n_m :: Real # Medium's refractive index
21    c :: Real # Speed of light in a vaccum
22    NA :: Real # Numerical Aperture of objective
23    λ_0 :: Real # Wavelength of laser
24    sf :: Real # Scaling factor
25
26    """
27
28    sysInfo(;kgram,meter,second,kelvin,temp,ρ_s,r_s,ρ_m,μ_m,n_s,n_m,NA,λ_0,sf)
29
```

```

30     Creates a `sysInfo` structure to store all the necessary Optical Tweezer simulation
    ↪ parameters.
31
32     # Example
33     ```julia> sysInfo(kgram = 1e15, meter = 1e3, second = 1e3, kelvin = 1, temp = 293.15,
    ↪ ρs = 1050, rs = 0.25e-6, ρm = 998.2071, μm = 0.001002, ns = 1.57773, nm = 1.33, NA
    ↪ = 1.3, λ0 = 8e-7, sf = 1)```
34
35     All parameters values should be provided in SI(kgram, meter, second) unit system.
36
37     Conversion to desired unit system will be handled using `kgram`, `meter`, `second` and
    ↪ `kelvin` parameters at the time of object construction.
38
39     # Member/Parameter explanations
40     - `kgram` : Unit conversion constant for kg. If the unit system uses mg then this value
    ↪ would be 1e6.
41     - `meter` : Unit conversion constant for m. If the unit system uses nm then this value
    ↪ would be 1e9.
42     - `second` : Unit conversion constant for s. If the unit system uses μs then this value
    ↪ would be 1e6.
43     - `kelvin` : Unit conversion constant for °K. This is generally 1.
44     - `temp` : System Temperature in °K
45     - `kB` : Boltzmann Constant
46     - `ρs` : Bead density
47     - `rs` : Bead radius
48     - `Vs` : Bead volume = `4/3*π*rs*rs*rs`
49     - `ms` : Bead mass = `ρs*Vs`
50     - `M-1` : Inverse of mass matrix = `diagm([1/ms, 1/ms, 1/ms])`
51     - `I` : Inertia matrix = `diagm([2/5*ms*rs*rs, 2/5*ms*rs*rs, 2/5*ms*rs*rs])`
52     - `g` : Gravitational acceleration = `9.80665*(meter/second^2)`
53     - `ρm` : Density of fluid medium
54     - `μm` : Dynamic viscosity of fluid medium at defined `temp`
55     - `βv` : Translational drag coefficient = `6*π*μm*rs`
56     - `βw` : Rotational drag coefficient = `8*π*μm*rs^3`
57     - `σv` : Standard deviation for translational Brownian motion = `sqrt(2*βv*kB*temp)`
58     - `σw` : Standard deviation for rotational Brownian motion = `sqrt(2*βw*kB*temp)`
59     - `ns` : Particle's refractive index
60     - `nm` : Medium's refractive index
61     - `c` : Speed of light in a vacuum
62     - `NA` : Numerical Aperture of objective
63     - `λ0` : Wavelength of laser
64     - `sf` : Scaling factor
65     """
66     function
    ↪ sysInfo(;kgram::Real,meter::Real,second::Real,kelvin::Real,temp::Real,ρs::Real,rs::Real,ρm::Real,μm::R
67         temp = temp*kelvin;
68         kB = 1.38064852*1e-23*(meter^2*kgram/second^2/kelvin);
69         ρs = ρs*(kgram/meter^3);
70         rs = rs*(meter);
71         Vs = 4/3*π*rs*rs*rs;
72         ms = ρs*Vs;

```

```

73     M-1 = diagm([1/ms, 1/ms, 1/ms]);
74     I = diagm([2/5*ms*rs*rs, 2/5*ms*rs*rs, 2/5*ms*rs*rs]);
75     g = 9.80665*(meter/second^2);
76     ρm = ρm*(kgram/meter^3);
77     μm = μm*(kgram/meter/second);
78     βv = 6*π*μm*rs;
79     βω = 8*π*μm*rs3;
80     σv = sqrt(2*βv*k□*temp);
81     σω = sqrt(2*βω*k□*temp);
82
83     new(
84         kgram,meter,second,kelvin,
85         temp*kelvin,
86         k□,
87         ρs,
88         rs,
89         Vs,
90         ms,
91         M-1,
92         I,
93         g,
94         ρm,
95         μm,
96         βv,
97         βω,
98         σv,
99         σω,
100        ns,
101        nm,
102        299792458*(meter/second),
103        NA,
104        λ0*(meter),
105        sf
106    )
107 end
108 end
109
110 function Base.print(io::Core.IO, params::sysInfo)
111     for fname in fieldnames(typeof(params))
112         println("$fname = $(getfield(params, fname))")
113     end
114 end
115
116 Base.print(params::sysInfo) = print(stdout,params)
117
118 Base.println(io::Core.IO,params::sysInfo) = println(io,params)
119
120 Base.println(params::sysInfo) = println(stdout,params)

```

---

## F.2.6 beadSimCompScaling.jl

---

```

1 include("../otModule/opticalTweezer.jl")
2 using .opticalTweezer
3 using DifferentialEquations
4 using Interpolations
5 using Glob
6 using MAT
7 using DifferentialEquations.EnsembleAnalysis
8
9 for i in 0:3
10     include("../inputData/inputSc" * string(i) * ".jl")
11
12     # Compute the force field and load the data
13     compBeamForce(params, P)
14     forceData = forceVals("../inputData/forceFields/" * string(trunc(Int, params.rs * 2e6))
15     ↪ * "." * string(trunc(Int, P * 1e-9)) * ".mat")
16     fx = scale(interpolate(forceData.fVals[:, :, 1]', BSpline(Quadratic(Line(OnGrid())))),
17     ↪ (0:0.01:4) * params.λ₀, (-4:0.01:4) * params.λ₀)
18     fy = scale(interpolate(forceData.fVals[:, :, 2]', BSpline(Quadratic(Line(OnGrid())))),
19     ↪ (0:0.01:4) * params.λ₀, (-4:0.01:4) * params.λ₀)
20     fz = scale(interpolate(forceData.fVals[:, :, 3]', BSpline(Quadratic(Line(OnGrid())))),
21     ↪ (0:0.01:4) * params.λ₀, (-4:0.01:4) * params.λ₀)
22
23     # Run the SDE solver
24     prob = SDEProblem(der_f!, der_g!, q0[1:(end-1)], (tVals[1], 30), (params, fx, fy, fz))
25     ensembleprob = EnsembleProblem(prob)
26     compTime = @elapsed begin
27         sol = solve(ensembleprob, ImplicitEM(), EnsembleThreads(), trajectories=10000)
28     end
29     summ = EnsembleSummary(sol)
30     compTime = @elapsed begin
31         sol = solve(ensembleprob, ImplicitEM(), EnsembleThreads(), trajectories=10000,
32         ↪ saveat=summ.t)
33     end
34     qMean, qVar = timeseries_steps_meanvar(sol)
35     qMean = Array(qMean[:, :])'
36     qVar = Array(qVar[:, :])'
37     t = Array(sol.u[1].t)
38     println(compTime)
39
40     # Save the data to a mat file
41     if !ispath("../results/ensemble/")
42         mkpath("../results/ensemble/")
43     end
44     rm.(glob("../results/ensemble/" * "tek" * string(expNo, base=10, pad=4) * "." *
45     ↪ string(params.sf) * ".mat"))
46     matwrite("../results/ensemble/" * "tek" * string(expNo, base=10, pad=4) * "." *
47     ↪ string(params.sf) * ".mat", Dict(
48         "expNo" => expNo,
49         "kgram" => params.kgram,

```

```

43     "meter" => params.meter,
44     "second" => params.second,
45     "kelvin" => params.kelvin,
46     "temp" => params.temp,
47     "rho_s" => params. $\rho_s$ ,
48     "r_s" => params.r_s,
49     "rho_m" => params. $\rho_m$ ,
50     "mu_m" => params. $\mu_m$ ,
51     "n_s" => params.n_s,
52     "n_m" => params.n_m,
53     "NA" => params.NA,
54     "P" => P,
55     "lambda_0" => params. $\lambda_0$ ,
56     "sf" => params.sf,
57     "expInitIdx" => expInitIdx,
58     "t" => t,
59     "qMean" => qMean,
60     "qVar" => qVar,
61     "compTime" => compTime
62 ))
63
64 end

```

---

## F.2.7 beadSimCompScalingPSD.jl

---

```

1 include("./otModule/opticalTweezer.jl")
2 using .opticalTweezer
3 using DifferentialEquations
4 using Interpolations
5 using Glob
6 using MAT
7
8 for i in 0:3
9     include("./inputData/inputSc" * string(i) * ".jl")
10
11     # Compute the force field and load the data
12     compBeamForce(params, P)
13     forceData = forceVals("./inputData/forceFields/" * string(trunc(Int, params.r_s * 2e6))
14     ↪ * "." * string(trunc(Int, P * 1e-9)) * ".mat")
15     fx = scale(interpolate(forceData.fVals[:, :, 1]', BSpline(Quadratic(Line(OnGrid())))),
16     ↪ (0:0.01:4) * params. $\lambda_0$ , (-4:0.01:4) * params. $\lambda_0$ )
17     fy = scale(interpolate(forceData.fVals[:, :, 2]', BSpline(Quadratic(Line(OnGrid())))),
18     ↪ (0:0.01:4) * params. $\lambda_0$ , (-4:0.01:4) * params. $\lambda_0$ )
19     fz = scale(interpolate(forceData.fVals[:, :, 3]', BSpline(Quadratic(Line(OnGrid())))),
20     ↪ (0:0.01:4) * params. $\lambda_0$ , (-4:0.01:4) * params. $\lambda_0$ )
21
22     # Run the SDE solver
23     prob = SDEProblem(der_f!, der_g!, q0[1:(end-1)], (0, 11000), (params, fx, fy, fz))
24     compTime = @elapsed begin

```

```

21     sol = solve(prob, ImplicitEM(), dtmax=0.001, save_idx=[1, 2], saveat=0.001,
    ↪     maxiters=1e8)
22     end
23     t = sol.t[1001:end]
24     q = Array(sol[:, 1001:end]')
25     println(compTime)
26
27     # Save the data to a mat file
28     if !ispath("./results/PSD/")
29         mkpath("./results/PSD/")
30     end
31     rm.(glob("./results/PSD/" * "tek" * string(expNo, base=10, pad=4) * "." *
    ↪     string(params.sf) * ".mat"))
32     matwrite("./results/PSD/" * "tek" * string(expNo, base=10, pad=4) * "." *
    ↪     string(params.sf) * ".mat", Dict(
33         "expNo" => expNo,
34         "kgram" => params.kgram,
35         "meter" => params.meter,
36         "second" => params.second,
37         "kelvin" => params.kelvin,
38         # "temp" => params.temp,
39         # "rho_s" => params.ρs,
40         # "r_s" => params.rs,
41         # "rho_m" => params.ρm,
42         # "mu_m" => params.μm,
43         # "n_s" => params.ns,
44         # "n_m" => params.nm,
45         # "NA" => params.NA,
46         # "P" => P,
47         # "lambda_θ" => params.λθ,
48         # "sf" => params.sf,
49         # "expInitIdx" => expInitIdx,
50         "t" => t,
51         "q" => q,
52         "compTime" => compTime
53     ))
54 end

```

---

## F.2.8 beadSimCompScalingSingle.jl

---

```

1 include("./otModule/opticalTweezer.jl")
2 using .opticalTweezer
3 using DifferentialEquations
4 using Interpolations
5 using Plots
6 using Glob
7 using MAT
8
9 for i in 0:3

```



```

10 include("./inputData/inputSc" * string(i) * ".jl")
11
12 # Compute the force field and load the data
13 compBeamForce(params, P)
14 forceData = forceVals("./inputData/forceFields/" * string(trunc(Int, params.rs * 2e6))
↪ * "." * string(trunc(Int, P * 1e-9)) * ".mat")
15 fx = scale(interpolate(forceData.fVals[:, :, 1]', BSpline(Quadratic(Line(OnGrid())))),
↪ (0:0.01:4) * params.λ₀, (-4:0.01:4) * params.λ₀)
16 fy = scale(interpolate(forceData.fVals[:, :, 2]', BSpline(Quadratic(Line(OnGrid())))),
↪ (0:0.01:4) * params.λ₀, (-4:0.01:4) * params.λ₀)
17 fz = scale(interpolate(forceData.fVals[:, :, 3]', BSpline(Quadratic(Line(OnGrid())))),
↪ (0:0.01:4) * params.λ₀, (-4:0.01:4) * params.λ₀)
18
19 # Run the SDE solver
20 prob = SDEProblem(der_f!, der_g!, q0[1:(end-1)], (tVals[1], tVals[end]), (params, fx,
↪ fy, fz))
21 compTime = @elapsed begin
22     sol = solve(prob, ImplicitEM(), dtmax=0.015)
23 end
24 t = sol.t
25 q = Array(sol[:, :])'
26 println(compTime)
27
28 # Save the data to a mat file
29 if !ispath("./results/single/")
30     mkpath("./results/single/")
31 end
32 rm.(glob("./results/single/" * "tek" * string(expNo, base=10, pad=4) * "." *
↪ string(params.sf) * ".mat"))
33 matwrite("./results/single/" * "tek" * string(expNo, base=10, pad=4) * "." *
↪ string(params.sf) * ".mat", Dict(
34     "expNo" => expNo,
35     "kgram" => params.kgram,
36     "meter" => params.meter,
37     "second" => params.second,
38     "kelvin" => params.kelvin,
39     "temp" => params.temp,
40     "rho_s" => params.ρₛ,
41     "r_s" => params.rₛ,
42     "rho_m" => params.ρₘ,
43     "mu_m" => params.μₘ,
44     "n_s" => params.nₛ,
45     "n_m" => params.nₘ,
46     "NA" => params.NA,
47     "P" => P,
48     "lambda_0" => params.λ₀,
49     "sf" => params.sf,
50     "expInitIdx" => expInitIdx,
51     "t" => t,
52     "q" => q,
53     "compTime" => compTime

```

```
54     ))  
55 end
```

---

## APPENDIX G

### Code Listings for the Microcontroller

## G.1 Directory tree

```
/
├── CMakeLists.txt.....CMake configuration file
├── helpDocs.cpp.....Strings to print as help document
├── helpDocs.h.....Header file for helpDocs.cpp
├── serialCOM.cpp.....Manages USB Serial communication with the PC
├── serialCOM.h.....Header file for serialCOM.cpp
├── pico_sdk_import.cmake.....Find and configure Pico C/C++ SDK for CMake
├── serialInput.cpp.....Parses strings from the USB Serial communication
├── serialInput.h.....Header file for serialInput.cpp
└── shutter.cpp.....Main code of the microcontroller
```

## G.2 Code Listings

### G.2.1 CMakeLists.txt

---

```
1 cmake_minimum_required(VERSION 3.13)
2
3 set(ENV{PICO_SDK_PATH} "~/pico/pico-sdk/")
4 include(pico_sdk_import.cmake)
5
6 project(hddVcShutterCode C CXX ASM)
7 set(CMAKE_C_STANDARD 11)
8 set(CMAKE_CXX_STANDARD 17)
9 pico_sdk_init()
10
11 add_executable(shutter
12     serialInput.cpp
13     serialCOM.cpp
14     helpDocs.cpp
15     shutter.cpp
16 )
17
18 target_include_directories(shutter PRIVATE
19     ${CMAKE_CURRENT_SOURCE_DIR}
20 )
21
22 pico_enable_stdio_usb(shutter 1)
23 pico_enable_stdio_uart(shutter 0)
24
25 # Turn off floating point support
26 target_compile_definitions(shutter PRIVATE
27     PICO_DEFAULT_FLOAT_IMPL=pico_float_none
28     PICO_DEFAULT_DOUBLE_IMPL=pico_double_none
29 )
30
31 # Link common dependencies
```

```

32 target_link_libraries(shutter pico_stdlib hardware_timer hardware_watchdog hardware_pwm)
33
34 # Create map/bin/hex files
35 pico_add_extra_outputs(shutter)

```

---

## G.2.2 helpDocs.cpp

---

```

1 // Author: Vatsal Asitkumar Joshi
2 // Date: May 23rd, 2022
3 // This code is a set of functions to work with USB serial communication.
4 //
5 // "If you are done writing the code, now is a good time to debug it."
6 //
7
8 #include "helpDocs.h"
9
10 void help(const char *command)
11 {
12     if (!strcmp(command, NULL))
13         help_about();
14     else if (!strcmp(command, "shutterForce"))
15         help_shutterForce();
16     else if (!strcmp(command, "openingTiming"))
17         help_openingTiming();
18     else if (!strcmp(command, "closingTiming"))
19         help_closingTiming();
20     else if (!strcmp(command, "cycleTiming"))
21         help_cycleTiming();
22 }
23
24 void help_about()
25 {
26     const char *doc = "\r\n\r\n"
27         "=====>\r\n"
28         "Optical Tweezer Laser Shutter and Camera Trigger Controller\r\n"
29         "Author: Vatsal Asitkumar Joshi\r\n"
30         "=====>\r\n"
31         "\r\n"
32         "This program is developed to control the shutter made out of a\r\n"
33         "Hard Disc Drive(HDD) and sync it with a camera to record the\r\n"
34         "motion of a bead in an optical trap.\r\n"
35         "\r\n"
36         "The user has to setup timings of one \"Experiment\" (Exp). The\r\n"
37         "shutter can be in one of the following states during an Exp:\r\n"
38         "\tExp start      -> This is the default resting state of the\r\n"
39         "\t |                shutter. In this state, the shutter is\r\n"
40         "\t |                assumed to be open and the output for\r\n"
41         "\t |                camera trigger is off. From this point\r\n"

```

```

42      "\t |          onward, the user is not allowed to
      ↪ change\r\n"
43      "\t |          any parameters until the experiment\r\n"
44      "\t |          is completed.\r\n"
45      "\tClosing      -> The shutter is moving towards the closed\r\n"
46      "\t |          state. This state is sub-divided into\r\n"
47      "\t |          three more states which are start, delay\r\n"
48      "\t |          and end. In start state, a negative
      ↪ torque\r\n"
49      "\t |          is applied on the shutter to start
      ↪ moving\r\n"
50      "\t |          it in the closed state. Then the power
      ↪ to\r\n"
51      "\t |          the shutter is cut-off momentarily. And\r\n"
52      "\t |          finally, a positive torque is applied on\r\n"
53      "\t |          the shutter to stop its motion. The sum\r\n"
54      "\t |          of all 3 sub-state time periods is
      ↪ called\r\n"
55      "\t |          \"timeShutterClosing\".\r\n"
56      "\tClosed      -> Right after the \"Closing stop\" state
      ↪ of\r\n"
57      "\t |          the shutter, a very small negative
      ↪ torque\r\n"
58      "\t |          is applied on the shutter to keep it in
      ↪ the\r\n"
59      "\t |          closed sate. The time period of this
      ↪ state\r\n"
60      "\t |          is called \"timeShutterStayClosed\".
      ↪ Also,\r\n"
61      "\t |          the camera trigger will be activated
      ↪ during\r\n"
62      "\t |          this state.\r\n"
63      "\tOpening      -> This state is very similar to the
      ↪ closing\r\n"
64      "\t |          state with the only difference that the\r\n"
65      "\t |          torque directions in each sub-state
      ↪ (start,\r\n"
66      "\t |          delay and end) is reversed so that the\r\n"
67      "\t |          shutter now moves towards the opened
      ↪ state.\r\n"
68      "\t |          The sum of all 3 sub-state time periods
      ↪ is\r\n"
69      "\t |          called \"timeShutterOpening\".\r\n"
70      "\tOpened      -> In this state, the power to the shutter
      ↪ is\r\n"
71      "\t |          cut-off and the system waits for the\r\n"
72      "\t |          remaining time of one Exp. The time
      ↪ period\r\n"
73      "\t |          of one complete experiment is called\r\n"
74      "\t |          \"timeOfExperiment\".\r\n"

```

```

75         "\tExp end          -> At this point, the system moves to \"Exp
↪ start\"\\r\\n"
76         "\t                state and stays there until another Exp
↪ is\\r\\n"
77         "\t                initiated by the software.\\r\\n"
78         "\\r\\n"
79         "Following is the list of commands and their basic use,\\r\\n"
80         "\thelp            -> To show this help document and the
↪ detailed\\r\\n"
81         "\t                documentation for a specific command.\\r\\n"
82         "\tshutterForce     -> Set the shutter torque amplitude as a %%\\r\\n"
83         "\t                of maximum possible value for \"Closing\"
↪ or\\r\\n"
84         "\t                \"Opening\" and \"Closed\" states.\\r\\n"
85         "\topeningTiming     -> Set timings of the sub-states of
↪ \"Opening\"\\r\\n"
86         "\t                state.\\r\\n"
87         "\tclosingTiming       -> Set timings of the sub-states of
↪ \"Closing\"\\r\\n"
88         "\t                state.\\r\\n"
89         "\tcycleTiming        -> Set the timings of one experiment.\\r\\n";
90
91         // Print out the documentation
92         putsUSB(doc);
93     }
94
95     void help_shutterForce()
96     {
97         const char *doc = "\tshutterForce <movingForce> <hldingForce>\\r\\n"
98             "\\r\\n"
99             "This command allows the user to set %% of the max\\r\\n"
100            "possible force to be used during shutter motion\\r\\n"
101            "states which are \"Closing\" and \"Opening\" and\\r\\n"
102            "the shutter holding state which is \"Closed\".\\r\\n"
103            "These values are set with command arguments <movingForce>\\r\\n"
104            "and <hldingForce> respectively. Both of these areguments\\r\\n"
105            "must have values between 0 and 100 inclusive. And, these\\r\\n"
106            "values have to be integer. If the command is called\\r\\n"
107            "without any arguments then the current set values are\\r\\n"
108            "printed out.\\r\\n"
109            "\\r\\n"
110            "Example:\\r\\n"
111            "\t>shutterForce          This will print out current
↪ values of <movingForce> and <hldingForce>.\\r\\n"
112            "\t>shutterForce 100 10    This will make Closing/Opening
↪ torque = 100%% of max possible torque\\r\\n"
113            "\t                and Closed torque = 10%% of max
↪ possible torque.\\r\\n"
114            "\\r\\n";
115
116         // Print out the documentation

```

```

117     putsUSB(doc);
118 }
119
120 void help_openingTiming()
121 {
122     const char *doc = "\topeningTiming <start> <delay> <stop>\r\n"
123                     "\r\n"
124                     "User can set the time period of each sub-state\r\n"
125                     "of \"Opening\" state using this command. The\r\n"
126                     "<movingForce>%% of max possible power will be\r\n"
127                     "applied for <start> microseconds to make the\r\n"
128                     "shutter start moving towards \"Opened\" state.\r\n"
129                     "In \"Opening\" state, the power will be cut-off\r\n"
130                     "for <delay> microseconds. And, the negative\r\n"
131                     "<movingForce>%% of max possible power will be\r\n"
132                     "applied for <stop> microseconds to make the\r\n"
133                     "shutter stop moving. All the argument values\r\n"
134                     "have to be integers and are assumed to be in\r\n"
135                     "the units of microseconds. If the command is\r\n"
136                     "entered without any arguments then current set\r\n"
137                     "values are printed out.\r\n"
138                     "\r\n"
139                     "Example:\r\n"
140                     "\t>openingTiming                This will print out current
141                     ↪ values of <start>, <delay> and <stop>.\r\n"
142                     "\t>openingTiming 3000 2500 2000    This will make openingStart =
143                     ↪ 3ms, openingDelay = 2.5ms and openingStop = 2ms.\r\n"
144                     "\r\n";
145
146     // Print out the documentation
147     putsUSB(doc);
148 }
149
150 void help_closingTiming()
151 {
152     const char *doc = "\tclosingTiming <start> <delay> <stop>\r\n"
153                     "\r\n"
154                     "User can set the time period of each sub-state\r\n"
155                     "of \"Closing\" state using this command. The\r\n"
156                     "negative <movingForce>%% of max possible power\r\n"
157                     "will be applied for <start> microseconds to\r\n"
158                     "make the shutter start moving towards \"Closed\"\r\n"
159                     "state. In \"Closing\" state, the power will be\r\n"
160                     "cut-off for <delay> microseconds. And, the\r\n"
161                     "<movingForce>%% of max possible power will be\r\n"
162                     "applied for <stop> microseconds to make the\r\n"
163                     "shutter stop moving. All the argument values\r\n"
164                     "have to be integers and are assumed to be in\r\n"
165                     "the units of microseconds. If the command is\r\n"
166                     "entered without any arguments then current set\r\n"
167                     "values are printed out."

```



```

166         "\r\n"
167         "Example:\r\n"
168         "\t>closingTiming          This will print out current
↪ values of <start>, <delay> and <stop>.\r\n"
169         "\t>closingTiming 4000 800 2900    This will make closingStart =
↪ 4ms, closingDelay = 0.8ms and closingStop = 2.9ms.\r\n"
170         "\r\n";
171
172     // Print out the documentation
173     putsUSB(doc);
174 }
175
176 void help_cycleTiming()
177 {
178     const char *doc = "\tcycleTiming <timeShutterStayClosed> <timeCameraTrigAdv>
↪ <timeCameraRecorords> <timeOfExperiment>\r\n"
179         "\r\n"
180         "This command allows to set all the necessary\r\n"
181         "parameters for one experiment. All the values\r\n"
182         "provided here are assumed to be in microseconds\r\n"
183         "and must be integer values. If this command is\r\n"
184         "entered without any arguments then current set\r\n"
185         "values will be printed out. The time of one\r\n"
186         "experiment <timeOfExperiment> is defined as\r\n"
187         "\r\n"
188         "    timeOfExperiment = max(\r\n"
189         "                                timeShutterClosing + timeShutterStayClosed
↪ + timeShutterOpening\r\n"
190         "                                ,\r\n"
191         "                                timeShutterClosing + timeShutterStayClosed
↪ + timeShutterOpening + timeCameraRecorords -
↪ timeCameraTrigAdv\r\n"
192         "                                )\r\n"
193         "\r\n"
194         "The meaning of each variable is explained below.\r\n"
195         "\tttimeShutterClosing          Time period for which shutter is in
↪ \"Closing\" state,\r\n"
196         "\t                                i.e. sum of all sub-states of the
↪ \"Closing\" state.\r\n"
197         "\tttimeShutterStayClosed        Time period for which the shutter
↪ stays in \"Closed\" state.\r\n"
198         "\tttimeShutterOpening          Time period for which shutter is in
↪ \"Opening\" state,\r\n"
199         "\t                                i.e. sum of all sub-states of the
↪ \"Opening\" state.\r\n"
200         "\tttimeCameraTrigAdv          Time period by which the camera
↪ trigger is advanced\r\n"
201         "\t                                before the shutter's \"Opening\"
↪ state starts. This\r\n"
202         "\t                                value must be smaller than
↪ <timeShutterStayClosed>.\r\n"

```

```

203         "\tttimeCameraRecorords          Time period for which camera trigger
↪ will be active.\r\n"
204         "\tttimeOfExperiment            Total time of one experiment.\r\n"
205         "\r\n"
206         "Example:\r\n"
207         "    >cycleTiming 200000 1000 500000 1000000\r\n"
208         "\r\n"
209         "For the exaple above, the shutter will stay in \"Closed\" state for
↪ 200ms.\r\n"
210         "The camera trigger will be active 1ms befor the shutter goes into
↪ \"Opening\"\r\n"
211         "state. This trigger will last for 500ms. And, the complete
↪ experiment will\r\n"
212         "last for 1s.\r\n";
213
214     // Print out the documentation
215     putsUSB(doc);
216 }

```

---

### G.2.3 helpDocs.h

```

1 // Author: Vatsal Asitkumar Joshi
2 // Date: May 23rd, 2022
3 // This code is a set of functions to work with USB serial communication.
4 //
5 // "If you are done writing the code, now is a good time to debug it."
6 //
7
8 #ifndef __HELPDOCS_DEFINED__
9 #define __HELPDOCS_DEFINED__
10
11 #include "serialCOM.h"
12
13 void help(const char *command);
14 void help_about();
15 void help_shutterForce();
16 void help_openingTiming();
17 void help_closingTiming();
18 void help_cycleTiming();
19 void help_start();
20 void help_stop();
21 void help_status();
22 void help_reboot();
23
24 #endif

```

---

## G.2.4 serialCOM.cpp

---

```
1 // Author: Vatsal Asitkumar Joshi
2 // Date: May 23rd, 2022
3 // This code is a set of functions to work with USB serial communication.
4 //
5 // "If you are done writing the code, now is a good time to debug it."
6 //
7
8 #include "serialCOM.h"
9
10 // Blocking function, if timeput_us = 0, that returns with a character when available.
11 // Otherwise the number would be negative if no character is received within timeout.
12 int getcUSB(uint32_t timeout_us)
13 {
14     int c;
15     if (timeout_us)
16         c = getchar_timeout_us(timeout_us);
17     else
18         while ((c = getchar_timeout_us(0)) < 0);
19     return c;
20 }
21
22 // Blocking function, if timeput_us = 0, that reads a string when available.
23 // The timeout_us value is used for each character.
24 bool getsUSB(struct serialInput *userInput, uint32_t timeout_us)
25 {
26     uint8_t count = 0;
27     int c;
28
29     while (count < MAX_CHARS)
30     {
31         c = getcUSB(timeout_us);
32         if (c == 8 || c == 127)
33         {
34             if (count == 0)
35                 continue;
36             count--;
37         }
38         else if (c == 10 || c == 13) // Putty generally sends '\r' on Enter key
39         {
40             userInput->str[count] = '\0'; // Add Null character
41             c = getcUSB(1000); // Flush out the expected '\n' character if received within
42             ↪ 1ms
43             break;
44         }
45         else if (c > 31 && c < 127)
46             userInput->str[count++] = c;
47         else if (!timeout_us)
48             continue;
49         else
```

```

49         return 0;
50     }
51     parseString(userInput);
52     return 1;
53 }
54
55 uint32_t intPow(uint32_t x, uint32_t p)
56 {
57     uint32_t i = 1;
58     for (uint32_t j = 0; j < p; j++)
59         i *= x;
60     return i;
61 }
62
63 // void itoa(uint32_t iVal, char *str, uint8_t strLength)
64 // {
65 //     uint8_t p = 255;
66 //     while (iVal / intPow(10, ++p) != 0)
67 //         ;
68 //     if (p)
69 //     {
70 //         str[p] = '\0';
71 //         for (uint8_t i = 1; i < strLength - 1; ++i)
72 //         {
73 //             str[p - i] = '0' + (iVal % 10);
74 //             iVal /= 10;
75 //             if (i == p)
76 //             {
77 //                 strLength = p;
78 //                 break;
79 //             }
80 //         }
81 //     }
82 //     else
83 //     {
84 //         str[0] = '0';
85 //         str[1] = '\0';
86 //     }
87 //     str[strLength] = '\0';
88 // }
89
90 void getPercentage(uint32_t iVal, char *str, uint8_t strLength)
91 {
92     str[4] = iVal % 10 + '0';
93     iVal /= 10;
94     str[3] = iVal % 10 + '0';
95     iVal /= 10;
96     str[2] = '.';
97     str[1] = iVal % 10 + '0';
98     iVal /= 10;
99     str[0] = iVal % 10 + '0';

```

```

100     iVal /= 10;
101     str[5] = '\0';
102 }

```

---

## G.2.5 serialCOM.h

---

```

1 // Author: Vatsal Asitkumar Joshi
2 // Date: May 23rd, 2022
3 // This code is a set of functions to work with USB serial communication.
4 //
5 // "If you are done writing the code, now is a good time to debug it."
6 //
7
8 #ifndef __SERIALCOM_DEFINED__
9 #define __SERIALCOM_DEFINED__
10
11 #include <stdio.h>
12 #include <string.h>
13 #include "pico/stdlib.h"
14 #include "serialInput.h"
15
16 // Define tokens used for communication
17 #define sndToken "115104117116116101114067111110116114111108108101114\r\n" // Each 3 digits
18     ↳ converted to chars results in 'shutterController'
19 #define recToken "116119101101122101114083111102116119097114101" // Each 3 digits
20     ↳ converted to chars results in 'tweezerSoftware'
21 #define endRspToken "101110100067109100082115112\r\n" // Each 3 digits
22     ↳ converted to chars results in 'endCmdRsp'
23
24 #define putcUSB(c) printf("%c", c) // Blocking function that writes a character
25 #define putsUSB(str_ptr) printf("%s", str_ptr) // Blocking function that writes a string
26
27 int getcUSB(uint32_t timeout_us = 0);
28 bool getsUSB(struct serialInput *userInput, uint32_t timeout_us = 0);
29 // void itoa(uint32_t iVal, char *str, uint8_t strLength);
30 void getPercentage(uint32_t iVal, char *str, uint8_t strLength);
31
32 #endif

```

---

## G.2.6 pico\_sdk\_import.cmake

---

```

1 # This is a copy of <PICO_SDK_PATH>/external/pico_sdk_import.cmake
2
3 # This can be dropped into an external project to help locate this SDK
4 # It should be include()ed prior to project()
5
6 if (DEFINED ENV{PICO_SDK_PATH} AND (NOT PICO_SDK_PATH))

```

```

7     set(PICO_SDK_PATH $ENV{PICO_SDK_PATH})
8     message("Using PICO_SDK_PATH from environment ('${PICO_SDK_PATH}')" )
9 endif ()
10
11 if (DEFINED ENV{PICO_SDK_FETCH_FROM_GIT} AND (NOT PICO_SDK_FETCH_FROM_GIT))
12     set(PICO_SDK_FETCH_FROM_GIT $ENV{PICO_SDK_FETCH_FROM_GIT})
13     message("Using PICO_SDK_FETCH_FROM_GIT from environment
14     ↪ ('${PICO_SDK_FETCH_FROM_GIT}')" )
15 endif ()
16
17 if (DEFINED ENV{PICO_SDK_FETCH_FROM_GIT_PATH} AND (NOT PICO_SDK_FETCH_FROM_GIT_PATH))
18     set(PICO_SDK_FETCH_FROM_GIT_PATH $ENV{PICO_SDK_FETCH_FROM_GIT_PATH})
19     message("Using PICO_SDK_FETCH_FROM_GIT_PATH from environment
20     ↪ ('${PICO_SDK_FETCH_FROM_GIT_PATH}')" )
21 endif ()
22
23 set(PICO_SDK_PATH "${PICO_SDK_PATH}" CACHE PATH "Path to the Raspberry Pi Pico SDK")
24 set(PICO_SDK_FETCH_FROM_GIT "${PICO_SDK_FETCH_FROM_GIT}" CACHE BOOL "Set to ON to fetch
25 ↪ copy of SDK from git if not otherwise locatable")
26 set(PICO_SDK_FETCH_FROM_GIT_PATH "${PICO_SDK_FETCH_FROM_GIT_PATH}" CACHE FILEPATH "location
27 ↪ to download SDK")
28
29 if (NOT PICO_SDK_PATH)
30     if (PICO_SDK_FETCH_FROM_GIT)
31         include(FetchContent)
32         set(FETCHCONTENT_BASE_DIR_SAVE ${FETCHCONTENT_BASE_DIR})
33         if (PICO_SDK_FETCH_FROM_GIT_PATH)
34             get_filename_component(FETCHCONTENT_BASE_DIR "${PICO_SDK_FETCH_FROM_GIT_PATH}"
35             ↪ REALPATH BASE_DIR "${CMAKE_SOURCE_DIR}")
36         endif ()
37         FetchContent_Declare(
38             pico_sdk
39             GIT_REPOSITORY https://github.com/raspberrypi/pico-sdk
40             GIT_TAG master
41         )
42         if (NOT pico_sdk)
43             message("Downloading Raspberry Pi Pico SDK")
44             FetchContent_Populate(pico_sdk)
45             set(PICO_SDK_PATH ${pico_sdk_SOURCE_DIR})
46         endif ()
47         set(FETCHCONTENT_BASE_DIR ${FETCHCONTENT_BASE_DIR_SAVE})
48     else ()
49         message(FATAL_ERROR
50             "SDK location was not specified. Please set PICO_SDK_PATH or set
51             ↪ PICO_SDK_FETCH_FROM_GIT to on to fetch from git."
52         )
53     endif ()
54 endif ()
55
56 get_filename_component(PICO_SDK_PATH "${PICO_SDK_PATH}" REALPATH BASE_DIR
57 ↪ "${CMAKE_BINARY_DIR}")

```

```

51 if (NOT EXISTS ${PICO_SDK_PATH})
52     message(FATAL_ERROR "Directory '${PICO_SDK_PATH}' not found")
53 endif ()
54
55 set(PICO_SDK_INIT_CMAKE_FILE ${PICO_SDK_PATH}/pico_sdk_init.cmake)
56 if (NOT EXISTS ${PICO_SDK_INIT_CMAKE_FILE})
57     message(FATAL_ERROR "Directory '${PICO_SDK_PATH}' does not appear to contain the
    ↪ Raspberry Pi Pico SDK")
58 endif ()
59
60 set(PICO_SDK_PATH ${PICO_SDK_PATH} CACHE PATH "Path to the Raspberry Pi Pico SDK" FORCE)
61
62 include(${PICO_SDK_INIT_CMAKE_FILE})

```

---

## G.2.7 serialInput.cpp

---

```

1 // Author: Vatsal Asitkumar Joshi
2 // Date: May 23rd, 2022
3 // This code is a set of functions to work with USB serial communication.
4 //
5 // "If you are done writing the code, now is a good time to debug it."
6 //
7
8 #include "serialInput.h"
9
10 void parseString(struct serialInput *userInput)
11 {
12     bool prevCharState = 0; // Previous Character state. 0 = Character not useful, 1 =
    ↪ Character is useful
13     userInput->argCount = 0;
14     uint8_t length = strlen(userInput->str);
15     for (uint8_t i = 0; i < length; ++i)
16     {
17         char c = userInput->str[i];
18         if ((c == 43 || c == 46 || c == 38) || (c > 47 && c < 58) || (c > 64 && c < 91) ||
    ↪ (c > 96 && c < 123))
19         {
20             if (prevCharState == 0)
21             {
22                 if (userInput->argCount == MAX_FIELDS)
23                 {
24                     putsUSB("\r\n> Number of arguments entered is more than maximum
    ↪ limit.");
25                     putsUSB("\r\n> All the arguments after maximum limit will be
    ↪ ignored.");
26                     break;
27                 }
28                 userInput->pos[userInput->argCount++] = i;
29                 prevCharState = 1;

```

```

30         }
31         // if (c>64 && c<91)
32         // {
33         //     userInput->str[i] += 32;
34         // }
35     }
36     else
37     {
38         prevCharState = 0;
39         userInput->str[i] = '\0';
40     }
41 }
42 }
43
44 const char *getArgString(struct serialInput *userInput, uint8_t argNumber)
45 {
46     if (argNumber + 1 <= userInput->argCount)
47         return userInput->str + userInput->pos[argNumber];
48     else
49         return NULL;
50 }

```

---

## G.2.8 serialInput.h

---

```

1 // Author: Vatsal Asitkumar Joshi
2 // Date: May 23rd, 2022
3 // This code is a set of functions to work with USB serial communication.
4 //
5 // "If you are done writing the code, now is a good time to debug it."
6 //
7
8 #ifndef __SERIAL_INPUT__
9 #define __SERIAL_INPUT__
10
11 #include <stdint.h>
12 #include <stdbool.h>
13 #include <string.h>
14 #include "serialCOM.h"
15
16 #define MAX_CHARS 80
17 #define MAX_FIELDS 6
18
19 struct serialInput
20 {
21     char str[MAX_CHARS + 1];
22     uint8_t pos[MAX_FIELDS], argCount;
23 };
24
25 void parseString(struct serialInput *userInput);

```



```

26 const char *getArgString(struct serialInput *userInput, uint8_t argNumber);
27
28 #endif

```

---

## G.2.9 shutter.cpp

---

```

1 // Author: Vatsal Asitkumar Joshi
2 // Date: May 23rd, 2022
3 // This code is a set of functions to work with USB serial communication.
4 //
5 // "If you are done writing the code, now is a good time to debug it."
6 //
7
8 #include <stdio.h>
9 #include <stdlib.h>
10 #include "pico/stdlib.h"
11 #include "hardware/pwm.h"
12 #include "hardware/timer.h"
13 #include "hardware/watchdog.h"
14 #include "serialCOM.h"
15 #include "helpDocs.h"
16
17 // Define alarm numbers and IRQ vector numbers for shutter and camera timing
18 #define SHTR_ALARM_NUM 0
19 #define CMRA_ALARM_NUM 1
20
21 // Define the necessary pins
22 const uint blueLED = 14;
23 const uint shutterInA = 16;
24 const uint shutterInB = 17;
25 const uint camTrigger = 9;
26 const uint centrifuge = 2;
27
28 // Shutter PWM specific definitions
29 #define SYSTEM_FREQ 125000000 // RP2040 clock frequency 125MHz
30 #define SHTR_PWM_FREQ 20000 // Desired PWM output frequency 20kHz
31 #define SHTR_PWM_TOP (SYSTEM_FREQ / SHTR_PWM_FREQ) // Value that TOP register should have
32 // ↳ to get desired PWM frequency
33
34 // Blue LED PWM specific definitions
35 #define BLEP_PWM_FREQ 125000 // Desired PWM output frequency 125kHz
36 #define BLEP_PWM_TOP (SYSTEM_FREQ / BLEP_PWM_FREQ) // Value that TOP register should have
37 // ↳ to get desired PWM frequency
38
39 // Structures to hold shutter timings
40 struct motion
41 {
42     uint start_us; // Time for which power will be applied in one direction during motion
43     uint delay_us; // Time for which power will be off during motion

```

```

42     uint stop_us; // Time for which power will be in the opposite direction to stop the
    ↪ motion
43 };
44 struct _cycle
45 {
46     struct motion opening; // Shutter opening motion timings
47     struct motion closing; // Shutter closing motion timings
48     uint closed_us;        // Time for which shutter stay closed
49     uint camTrigAdv_us;    // Camera trigger applied before this many microseconds of
    ↪ shutter start opening
50     uint camTrigLen_us;    // Time period for which the camera will be recording
51     // Length of one cycle = closing + closed_us + opening + camTrigLen_us - camTrigAdv_us
52 } shutter = {4500, 800, 2500, 4500, 800, 2500, 200000, 1000, 500000};
53 struct _pwm
54 {
55     uint movingDutyCycle;    // PWM CC register value while the shutter is
    ↪ moving
56     uint hldingDutyCycle;    // PMW CC register value while the shutter is
    ↪ not moving
57 } pwm = {SHTR_PWM_TOP + 1, SHTR_PWM_TOP * 10 / 100}; // Default values: movingDutyCycle =
    ↪ 100%, hldingDutyCycle = 1%
58
59 // Define necessary global variables
60 struct serialInput usbSerialIn; // Container to hold user input
61 volatile bool expInProgress = false; // States whether some experiments are currently
    ↪ hapenning or not
62 volatile bool camRecording = false; // Status whether camera is currently recording or not
63 uint expLen_us = shutter.closing.start_us + shutter.closing.delay_us +
    ↪ shutter.closing.stop_us
64     + shutter.closed_us
65     + shutter.opening.start_us + shutter.opening.delay_us +
    ↪ shutter.opening.stop_us
66     + shutter.camTrigLen_us - shutter.camTrigAdv_us;
67
68 // Define different states the shutter could be in
69 enum shutterState
70 {
71     opened,
72     closing_start,
73     closing_delay,
74     closing_stop,
75     closed,
76     opening_start,
77     opening_delay,
78     opening_stop
79 };
80
81 void shutterISR(uint alarmNum)
82 {
83     static enum shutterState lastState = opened; // Assume that initially the shutter is
    ↪ open

```

```

84     static absolute_time_t expStartTime;           // Time at which the experiment was
      ↪ started
85
86     // Get current time
87     absolute_time_t t = get_absolute_time();
88
89     // State that experiments are hapenning
90     expInProgress = true;
91
92     // Do stuff based on what the last state of the shutter was
93     switch (lastState)
94     {
95     case opened: // If the shutter was opened then start closing it
96         // Make INA = 100% and INB = 0%
97         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
98             ↪ pwm_gpio_to_channel(shutterInA), pwm.movingDutyCycle);
99         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
100            ↪ pwm_gpio_to_channel(shutterInB), 0);
101
102         // Call this ISR after shutter closing start
103         hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
104            ↪ shutter.closing.start_us));
105
106         // Save experiment start time
107         expStartTime = t;
108
109         // Make shutter state closing_start
110         lastState = closing_start;
111         break;
112     case closing_start: // If the shutter has started closing then cut off the power
113         // Make INA = 0% and INB = 0%
114         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
115            ↪ pwm_gpio_to_channel(shutterInA), 0);
116         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
117            ↪ pwm_gpio_to_channel(shutterInB), 0);
118
119         // Call this ISR after shutter closing delay
120         hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
121            ↪ shutter.closing.delay_us));
122
123         // Make shutter state closing_delay
124         lastState = closing_delay;
125         break;
126     case closing_delay: // If the shutter was in the closing delay then supply power in
127         ↪ opposite direction to stop the motion
128         // Make INA = 0% and INB = 100%
129         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
130            ↪ pwm_gpio_to_channel(shutterInA), 0);
131         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
132            ↪ pwm_gpio_to_channel(shutterInB), pwm.movingDutyCycle);
133
134

```

```

125     // Call this ISR after shutter closing stop
126     hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
    ↪ shutter.closing.stop_us));
127
128     // Make shutter state closing_stop
129     lastState = closing_stop;
130     break;
131     case closing_stop: // If the shutter was stopping the closing motion then keep it in
    ↪ the closed position
132     // Make INA = 1% and INB = 0
133     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
    ↪ pwm_gpio_to_channel(shutterInA), pwm.hldingDutyCycle);
134     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
    ↪ pwm_gpio_to_channel(shutterInB), 0);
135
136     // Call this ISR after the time shutter should stay closed
137     hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t, shutter.closed_us));
138
139     // Call cameraISR slightly before shutter starts to open
140     hardware_alarm_set_target(CMRA_ALARM_NUM, delayed_by_us(t, shutter.closed_us -
    ↪ shutter.camTrigAdv_us));
141
142     // Make shutter state closed
143     lastState = closed;
144     break;
145     case closed: // If the shutter was closed then start opening it
146     // Make INA = 0% and INB = 100%
147     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
    ↪ pwm_gpio_to_channel(shutterInA), 0);
148     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
    ↪ pwm_gpio_to_channel(shutterInB), pwm.movingDutyCycle);
149
150     // Call this ISR after timeShutterMoving
151     hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
    ↪ shutter.opening.start_us));
152
153     // Make shutter state opening_start
154     lastState = opening_start;
155     break;
156     case opening_start: // If the shutter has started opening then cut off the power
157     // Make INA = 0% and INB = 0%
158     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
    ↪ pwm_gpio_to_channel(shutterInA), 0);
159     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
    ↪ pwm_gpio_to_channel(shutterInB), 0);
160
161     // Call this ISR after timeShutterMoving
162     hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
    ↪ shutter.opening.delay_us));
163
164     // Make shutter state opening_delay

```

```

165     lastState = opening_delay;
166     break;
167     case opening_delay: // If the shutter was in the opening delay then supply power in
↪ opposite direction to stop the motion
168         // Make INA = 100% and INB = 0%
169         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
↪ pwm_gpio_to_channel(shutterInA), pwm.movingDutyCycle);
170         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
↪ pwm_gpio_to_channel(shutterInB), 0);
171
172         // Call this ISR after timeShutterMoving
173         hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
↪ shutter.opening.stop_us));
174
175         // Make shutter state opening_stop
176         lastState = opening_stop;
177         break;
178     default: // If the shutter was stopping the opening motion then cut off the power
179         // Make INA = 0% and INB = 1%
180         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
↪ pwm_gpio_to_channel(shutterInA), 0);
181         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
↪ pwm_gpio_to_channel(shutterInB), 0);
182
183         if (shutter.closed_us + shutter.opening.start_us + shutter.opening.delay_us +
↪ shutter.opening.stop_us >= shutter.closed_us - shutter.camTrigAdv_us +
↪ shutter.camTrigLen_us)
184             expInProgress = false; // State that the experiment is done if the camera
↪ trigger end happens before shutter is opened
185
186         // Make shutter state opened
187         lastState = opened;
188         break;
189     }
190 }
191
192 void cameraISR(uint alarmNum)
193 {
194     // Get current time
195     absolute_time_t t = get_absolute_time();
196
197     if (camRecording) // If camera was recording previously then
198     {
199         gpio_put(camTrigger, 0); // Make camera trigger pin = 0
200         camRecording = false; // State that camera has stopped recording
201         if (shutter.closed_us + shutter.opening.start_us + shutter.opening.delay_us +
↪ shutter.opening.stop_us <= shutter.closed_us - shutter.camTrigAdv_us +
↪ shutter.camTrigLen_us)
202             expInProgress = false; // State that the experiment is done if the camera
↪ trigger end happens after shutter is opened
203     }

```

```

204     else // If camera was not recording previously then start recording
205     {
206         gpio_put(camTrigger, 1);
207         ↪ // Make camera trigger pin = 1
208         camRecording = true;
209         ↪ // State that camera has stopped recording
210         hardware_alarm_set_target(CMRA_ALARM_NUM, delayed_by_us(t, shutter.camTrigLen_us));
211         ↪ // Call this ISR when camera has to stop recording
212     }
213 }
214 // Function that initializes all the pins and hardware
215 void setup()
216 {
217     stdio_init_all();
218
219     // Initialize GPIOs for shutter as output
220     gpio_init(shutterInA);
221     gpio_set_dir(shutterInA, GPIO_OUT);
222     // gpio_set_slew_rate(shutterInA, GPIO_SLEW_RATE_FAST);
223     // gpio_set_drive_strength(shutterInA, GPIO_DRIVE_STRENGTH_8MA);
224     gpio_init(shutterInB);
225     gpio_set_dir(shutterInB, GPIO_OUT);
226     // gpio_set_slew_rate(shutterInB, GPIO_SLEW_RATE_FAST);
227     // gpio_set_drive_strength(shutterInB, GPIO_DRIVE_STRENGTH_8MA);
228
229     // Initialize GPIOs for shutter as PWM
230     gpio_set_function(shutterInA, GPIO_FUNC_PWM);
231     gpio_set_function(shutterInB, GPIO_FUNC_PWM);
232     pwm_set_wrap(pwm_gpio_to_slice_num(shutterInA), SHTR_PWM_TOP);
233     ↪ // Set the counter wrap value based on the desired PWM frequency
234     pwm_set_wrap(pwm_gpio_to_slice_num(shutterInB), SHTR_PWM_TOP);
235     ↪ // Set the counter wrap value based on the desired PWM frequency
236     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA), pwm_gpio_to_channel(shutterInA),
237     ↪ 0); // Chan A (GPIO 0) counter compare value (Decides duty cycle)
238     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB), pwm_gpio_to_channel(shutterInB),
239     ↪ 0); // Chan B (GPIO 1) counter compare value (Decides duty cycle)
240     pwm_set_enabled(pwm_gpio_to_slice_num(shutterInA), true);
241     ↪ // Enable the PWM counter for InA
242     pwm_set_enabled(pwm_gpio_to_slice_num(shutterInB), true);
243     ↪ // Enable the PWM counter for InA
244
245     // Initialize GPIO for blue LED
246     gpio_set_function(blueLED, GPIO_FUNC_PWM);
247     pwm_set_wrap(pwm_gpio_to_slice_num(blueLED), BLED_PWM_TOP); //
248     ↪ Set the counter wrap value based on the desired PWM frequency
249     pwm_set_chan_level(pwm_gpio_to_slice_num(blueLED), pwm_gpio_to_channel(blueLED), 0); //
250     ↪ Chan A (GPIO 14) counter compare value (Decides duty cycle)
251     pwm_set_enabled(pwm_gpio_to_slice_num(blueLED), true); //
252     ↪ Enable the PWM counter for Blue LED

```

```

243 // Initialize GPIO for camera as output
244 gpio_init(camTrigger);
245 gpio_set_dir(camTrigger, GPIO_OUT);
246 gpio_put(shutterInB, 0);
247
248 // Initialize GPIO for centrifuge as output
249 gpio_init(centrifuge);
250 gpio_set_dir(centrifuge, GPIO_OUT);
251
252 // Initialize GPIO for centrifuge as PWM
253 gpio_set_function(centrifuge, GPIO_FUNC_PWM);
254 pwm_set_clkdiv_int_frac(pwm_gpio_to_slice_num(centrifuge), 125, 0); // Set the clock
↪ divider to 125 to get 1us reference
255 pwm_set_wrap(pwm_gpio_to_slice_num(centrifuge), 10000); // Set the counter wrap value
↪ to 10000 to get 10ms cycle time
256 pwm_set_gpio_level(centrifuge, 1000); // Set default pulse width to 1ms
257 pwm_set_enabled(pwm_gpio_to_slice_num(centrifuge), true); // Enable the pwm output
258
259 // Make sure the shutter is in open position by setting shutterInA = 0% and shutterInB
↪ = movingDutyCycle/2% duty cycle
260 pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA), pwm_gpio_to_channel(shutterInA),
↪ 0);
261 pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB), pwm_gpio_to_channel(shutterInB),
↪ pwm.movingDutyCycle/2);
262 sleep_ms(500);
263 pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA), pwm_gpio_to_channel(shutterInA),
↪ 0);
264 pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB), pwm_gpio_to_channel(shutterInB),
↪ 0);
265
266 // Make sure camera trigger is off
267 gpio_put(camTrigger, 0);
268
269 // Set up ISRs and enable IRQs for shutter and camera alarms
270 hardware_alarm_claim(SHTR_ALARM_NUM); // Claim an alarm for
↪ shutter and enable IRQ
271 hardware_alarm_set_callback(SHTR_ALARM_NUM, shutterISR); // Define the callback
↪ function for shutter alarm
272 hardware_alarm_claim(CMRA_ALARM_NUM); // Claim an alarm for
↪ camera trigger and enable IRQ
273 hardware_alarm_set_callback(CMRA_ALARM_NUM, cameraISR); // Define the callback
↪ function for camera trigger
274
275 // Wait for the USB serial connection to be activated
276 while (!stdio_usb_connected());
277
278 // Keep sending the secret message until a correct response is received
279 char s[80];
280 bool strRcv = 0;
281 do
282 {

```

```

283     putsUSB(sndToken); // Send a token for handshake
284     strRcv = getsUSB(&usbSerialIn, 200e3);
285     strcpy(s, getArgString(&usbSerialIn, 0));
286 } while (!strRcv || strcmp(s, recToken)); // Check if the received token is correct or
    ↪ not
287 }
288
289 void loop()
290 {
291     // Read user input
292     getsUSB(&usbSerialIn);
293     const char *command = getArgString(&usbSerialIn, 0);
294
295     // Execute specific command based on user input
296     if (!strcmp(command, "help") && usbSerialIn.argCount < 3)
297     {
298         // Provide general discussion on how the shutter and this program works
299         help(getArgString(&usbSerialIn, 1));
300     }
301     else if (!strcmp(command, "shutterForce") && usbSerialIn.argCount == 1)
302     {
303         char outNumber[11];
304         putsUSB("Shutter force when it is moving = ");
305         itoa(pwm.movingDutyCycle * 100 / SHTR_PWM_TOP, outNumber, 10);
306         putsUSB(outNumber);
307         putsUSB(" %%\r\n");
308         putsUSB("Shutter force when it is closed = ");
309         itoa(pwm.hldingDutyCycle * 100 / SHTR_PWM_TOP, outNumber, 10);
310         putsUSB(outNumber);
311         putsUSB(" %%\r\n");
312     }
313     else if (!strcmp(command, "shutterForce") && usbSerialIn.argCount == 3)
314     {
315         uint movingForce = atoi(getArgString(&usbSerialIn, 1));
316         uint hldingForce = atoi(getArgString(&usbSerialIn, 2));
317
318         if (movingForce < 101 && hldingForce < 101)
319         {
320             pwm.movingDutyCycle = movingForce == 100 ? SHTR_PWM_TOP + 1 : SHTR_PWM_TOP *
    ↪ movingForce / 100;
321             pwm.hldingDutyCycle = hldingForce == 100 ? SHTR_PWM_TOP + 1 : SHTR_PWM_TOP *
    ↪ hldingForce / 100;
322
323             putsUSB("Warning: Choose the shutter moving and holding force
    ↪ carefully.\r\nContinuous high force can damage the shutter.\r\n");
324         }
325         else
326         {
327             putsUSB("The shutter force values have to be between 0%% and 100%%
    ↪ inclusive.\r\n");
328         }

```



```

329     }
330     else if (!strcmp(command, "openingTiming") && usbSerialIn.argCount == 1)
331     {
332         char outNumber[11];
333         putsUSB("Power supplied for ");
334         itoa(shutter.opening.start_us, outNumber, 10);
335         putsUSB(outNumber);
336         putsUSB(" us to start opening the shutter.\r\n");
337         putsUSB("Power cut off for ");
338         itoa(shutter.opening.delay_us, outNumber, 10);
339         putsUSB(outNumber);
340         putsUSB(" us. \r\n");
341         putsUSB("Power supplied in opposite direction for ");
342         itoa(shutter.opening.stop_us, outNumber, 10);
343         putsUSB(outNumber);
344         putsUSB(" us to stop shutter motion.\r\n");
345     }
346     else if (!strcmp(command, "openingTiming") && usbSerialIn.argCount == 4)
347     {
348         uint start = atoi(getArgString(&usbSerialIn, 1));
349         uint delay = atoi(getArgString(&usbSerialIn, 2));
350         uint stop = atoi(getArgString(&usbSerialIn, 3));
351
352         if (start && delay && stop)
353         {
354             shutter.opening.start_us = start;
355             shutter.opening.delay_us = delay;
356             shutter.opening.stop_us = stop;
357         }
358         else
359         {
360             putsUSB("Motion timing values cannot be 0.\r\n");
361         }
362     }
363     else if (!strcmp(command, "closingTiming") && usbSerialIn.argCount == 1)
364     {
365         char outNumber[11];
366         putsUSB("Power supplied for ");
367         itoa(shutter.closing.start_us, outNumber, 10);
368         putsUSB(outNumber);
369         putsUSB(" us to start closing the shutter.\r\n");
370         putsUSB("Power cut off for ");
371         itoa(shutter.closing.delay_us, outNumber, 10);
372         putsUSB(outNumber);
373         putsUSB(" us. \r\n");
374         putsUSB("Power supplied in opposite direction for ");
375         itoa(shutter.closing.stop_us, outNumber, 10);
376         putsUSB(outNumber);
377         putsUSB(" us to stop shutter motion.\r\n");
378     }
379     else if (!strcmp(command, "closingTiming") && usbSerialIn.argCount == 4)

```

```

380     {
381         uint start = atoi(getArgString(&usbSerialIn, 1));
382         uint delay = atoi(getArgString(&usbSerialIn, 2));
383         uint stop = atoi(getArgString(&usbSerialIn, 3));
384
385         if (start && delay && stop)
386         {
387             shutter.closing.start_us = start;
388             shutter.closing.delay_us = delay;
389             shutter.closing.stop_us = stop;
390         }
391         else
392         {
393             putsUSB("Motion timing values cannot be 0.\r\n");
394         }
395     }
396     else if (!strcmp(command, "cycleTiming") && usbSerialIn.argCount == 4)
397     {
398         // Update the values of experiment parameters
399         shutter.closed_us = atoi(getArgString(&usbSerialIn, 1));
400         shutter.camTrigAdv_us = atoi(getArgString(&usbSerialIn, 2));
401         shutter.camTrigLen_us = atoi(getArgString(&usbSerialIn, 3));
402
403         if (shutter.camTrigAdv_us >= shutter.closed_us)
404         {
405             putsUSB("The camera trigger can be advanced at max till the shutter closes.
406             ↪ Make sure that\r\n");
407             putsUSB("    timeCameraTrigAdv < timeShutterStayClosed\r\n");
408             putsUSB("For now timeCameraTrigAdv is set to 0 us.\r\n");
409             shutter.camTrigAdv_us = 0; // Set timeCameraTrigAdv to 0
410         }
411
412         explen_us = shutter.closing.start_us + shutter.closing.delay_us +
413             ↪ shutter.closing.stop_us + shutter.closed_us + shutter.opening.start_us +
414             ↪ shutter.opening.delay_us + shutter.opening.stop_us;
415         if (shutter.closed_us + shutter.opening.start_us + shutter.opening.delay_us +
416             ↪ shutter.opening.stop_us <= shutter.closed_us - shutter.camTrigAdv_us +
417             ↪ shutter.camTrigLen_us)
418             explen_us += shutter.camTrigLen_us - shutter.camTrigAdv_us;
419     }
420     else if (!strcmp(command, "cycleTiming") && usbSerialIn.argCount == 1)
421     {
422         char outNumber[11];
423         putsUSB("Shutter will stay closed for ");
424         itoa(shutter.closed_us, outNumber, 10);
425         putsUSB(outNumber);
426         putsUSB(" us.\r\n");
427         putsUSB("Camera will be triggered ");
428         itoa(shutter.camTrigAdv_us, outNumber, 10);
429         putsUSB(outNumber);
430         putsUSB(" us before shutter starts opening. \r\n");

```

```

426     putsUSB("Camera will record for ");
427     itoa(shutter.camTrigLen_us, outNumber, 10);
428     putsUSB(outNumber);
429     putsUSB(" us.\r\n");
430     putsUSB("One complete experiment will be ");
431     itoa(explLen_us, outNumber, 10);
432     putsUSB(outNumber);
433     putsUSB(" us long.\r\n");
434 }
435 else if (!strcmp(command, "centrifuge") && usbSerialIn.argCount == 2)
436 {
437     // Update the centrifuge pulse-width
438     int cc = atoi(getArgString(&usbSerialIn, 1));
439     if (cc >= 0 && cc <= 9)
440         pwm_set_gpio_level(centrifuge, cc * 100 + 1000);
441     else
442         pwm_set_gpio_level(centrifuge, 1000);
443 }
444 else if (!strcmp(command, "led") && usbSerialIn.argCount == 2)
445 {
446     // Update the blue LED duty cycle
447     int cc = atoi(getArgString(&usbSerialIn, 1));
448     if (cc >= 0 && cc <= 100)
449         pwm_set_gpio_level(blueLED, BLED_PWM_TOP * cc / 100);
450     else
451         pwm_set_gpio_level(blueLED, 0);
452 }
453 else if (!strcmp(command, "shtrOpen") && usbSerialIn.argCount == 1)
454 {
455     // Open the shutter
456     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
457         ↪ pwm_gpio_to_channel(shutterInA), 0);
457     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
458         ↪ pwm_gpio_to_channel(shutterInB), pwm.movingDutyCycle/2);
458     sleep_ms(500);
459     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
460         ↪ pwm_gpio_to_channel(shutterInA), 0);
460     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
461         ↪ pwm_gpio_to_channel(shutterInB), 0);
461 }
462 else if (!strcmp(command, "shtrClose") && usbSerialIn.argCount == 1)
463 {
464     // Close the shutter
465     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
466         ↪ pwm_gpio_to_channel(shutterInA), pwm.movingDutyCycle/2);
466     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
467         ↪ pwm_gpio_to_channel(shutterInB), 0);
467     sleep_ms(500);
468     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
469         ↪ pwm_gpio_to_channel(shutterInA), 0);

```

```

469     pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
↪     pwm_gpio_to_channel(shutterInB), 0);
470 }
471 else if (!strcmp(command, "++explen") && usbSerialIn.argCount == 1)
472 {
473     char outNumber[11];
474     itoa(explen_us, outNumber, 10);
475     putsUSB(outNumber);
476     putsUSB("\r\n");
477 }
478 else if (!strcmp(command, "++camTrigLen") && usbSerialIn.argCount == 1)
479 {
480     char outNumber[11];
481     itoa(shutter.camTrigLen_us, outNumber, 10);
482     putsUSB(outNumber);
483     putsUSB("\r\n");
484 }
485 else if (!strcmp(command, "++start") && usbSerialIn.argCount < 3)
486 {
487     // // Make sure that the shutter is in open state
488     // pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
↪     pwm_gpio_to_channel(shutterInA), 0);
489     // pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
↪     pwm_gpio_to_channel(shutterInB), pwm.hldingDutyCycle);
490     // sleep_ms(500);
491     // pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
↪     pwm_gpio_to_channel(shutterInA), 0);
492     // pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
↪     pwm_gpio_to_channel(shutterInB), 0);
493
494     // Call the shutter alarm function after 1ms to initiate the experiment
495     hardware_alarm_set_target(SHTR_ALARM_NUM, make_timeout_time_ms(1));
496     // shutterISR(SHTR_ALARM_NUM);
497
498     // Block all the other operations untill the experiment ends
499     while (expInProgress);
500 }
501 else if (!strcmp(command, "++reboot") && usbSerialIn.argCount)
502 {
503     putsUSB("The shutter controller is set to reboot.");
504     putsUSB("\r\n");
505     watchdog_reboot(0, 0, 100);
506 }
507 else if (!usbSerialIn.argCount)
508 {
509 }
510 else
511 {
512     putsUSB("\tInvalid Command: ");
513     putsUSB(command);
514     putsUSB("\r\n");

```

```
515     putsUSB("\tType \"help\" for list of commands.\r\n");
516     putsUSB("\tType \"help command\" for documentation of a specific command.\r\n");
517 }
518 // Send CR/LF pair to be on safe side
519 putsUSB("\r\n");
520
521 // Output a token to indicate end of command response
522 putsUSB(endRspToken);
523 }
524
525 int main()
526 {
527     setup();
528
529     while (true)
530     {
531         loop();
532     }
533 }
```

---

## APPENDIX H

Code Listings for the Host-PC software

The code provided here relies on many other pieces of software listed below:

- **cli**: A library to create command line interface. It is available at <https://github.com/daniele77/cli>.
- **DCAM-API**: Primarily provides a driver for the frame grabber card (FireBird 1XCLD-2PE8) from Active Silicon. It also has other tools that can be used for testing and configuration purposes, like ExCap4 and DCAM Configurator. It can be downloaded from <https://dcam-api.com/downloads/>
- **DCAM-SDK**: This is the software development kit provided by Hamamatsu. It provides important functions and headers to properly communicate with the camera. It can be downloaded from <https://dcam-api.com/dcam-sdk-login/>.
- **glew, glfw and glm**: These are OpenGL libraries that facilitate the live view functionality for the camera. Only certain files from each library are required, take a look at the directory tree and `CMakeLists.txt` for help. Following are the download links for each
  - **glew**: <https://sourceforge.net/projects/glew/files/glew/2.1.0/glew-2.1.0-win32.zip/download>
  - **glfw**: <https://www.glfw.org/download>
  - **glm**: <https://github.com/g-truc/glm>
- **serialib**: This library facilitates the communication between the host pc and the microcontroller. It can be downloaded from <https://github.com/imabot2/serialib>

## H.1 Directory tree

```
/
├── cli/
├── dcamMisc/ ..... Modified versions of some files in dcamsdk4/samples/cpp/misc
│   ├── common.cpp
│   ├── common.h
│   └── console4.h
├── dcamsdk4/ ..... Everything else is the same except the following
│   └── inc/
│       └── dcamapi4.h ..... Modified version of dcamsdk4/inc/dcamapi4.h
├── glew-2.1.0/
├── glfw-3.3.8.bin.WIN64/
├── glm/
├── serialib/
├── camProp.cpp ..... Get and Set Camera Properties
├── camProp.h ..... Header file for camProp.cpp
├── camRec.cpp ..... Records images from camera
├── camRec.h ..... Header file for camRec.cpp
├── camSoft.cpp ..... Main code of the host pc software
├── camSoft.h ..... Header file for camSoft.cpp
├── CMakeLists.txt ..... CMake configuration file
├── condExps.cpp ..... Automates conducting experiments
├── condExps.h ..... Header file for condExps.cpp
├── liveCap.cpp ..... Provides live camera stream
├── liveCap.h ..... Header file for liveCap.cpp
├── shtrCtrl.cpp ..... Communicates with the microcontroller
└── shtrCtrl.h ..... Header file for shtrCtrl.cpp
```

## H.2 Code Listings

### H.2.1 common.cpp

Make the following changes to the original file, remove the lines that are highlighted in **red** and add the lines that are highlighted in **green**.

```
1 // console/misc/common.cpp
2 //
3
4 #include "console4.h"
5 #include "common.h"
6
7 #include <stdarg.h>
```



```

8
9 #ifndef ASSERT
10 #define ASSERT(c)
11 #endif
12
13 // -----

```

## H.2.2 common.h

Make the following changes to the original file, remove the lines that are highlighted in **red** and add the lines that are highlighted in **green**.

```

1 // console/misc/common.h
2 //
3
4 #include "console4.h"
5 #include <stdarg.h>
6
7 #ifndef ASSERT
8 #define ASSERT(c)
9 #endif
10
11 void dcamcon_show_dcamerr( HDCAM hdcam, DCAMERR errid, const char* apiname, const char*
↳ fmt=0, ... );

```

## H.2.3 console4.h

Make the following changes to the original file, remove the lines that are highlighted in **red** and add the lines that are highlighted in **green**.

```

50 #if defined( LINUX )
51 #include "dcamapi4.h"
52 #include "dcamprop.h"
53 #else
54 #include "../inc/dcamapi4.h"
54 #include "../dcamsdk4/inc/dcamapi4.h"
55 #include "../inc/dcamprop.h"
55 #include "../dcamsdk4/inc/dcamprop.h"
56 #endif
57
58 #if defined(_WIN64)
59 #pragma comment(lib, "../inc/lib/win64/dcamapi.lib")
60 #elif defined(WIN32)
61 #pragma comment(lib, "../inc/lib/win32/dcamapi.lib")
62 #endif

```

```
63
64 #endif // _NO_DCAMAPI
```

---

## H.2.4 dcamapi4.h

Make the following changes to the original file, remove the lines that are highlighted in **red** and add the lines that are highlighted in **green**.

---

```
1122 inline int failed( DCAMERR err )
1123 {
1124     return int(err) < 0;
1125 }
1126
1127 #endif
1128
1129 #if (defined(_MSC_VER)&&defined(_LINK_DCAMAPI_LIB))
1130 #pragma comment(lib, "dcamapi.lib")
1131 #endif
1132
1133 #pragma pack()
1134
1135 #define _INCLUDE_DCAMAPI4_H_
1136 #endif
```

---

## H.2.5 camProp.cpp

---

```
1 #include "camProp.h"
2
3 // Define global variables
4 std::vector<camPropInfo> camProps; // Array to store camera properties
5
6 camPropInfo get_camPropInfo(HDCAM hdcam, int32 propID)
7 {
8     camPropInfo propInfo;
9     DCAMERR err;
10
11     propInfo.propID = propID;
12     memset(&propInfo.propAttr, 0, sizeof(propInfo.propAttr));
13     propInfo.propAttr.cbSize = sizeof(propInfo.propAttr);
14     propInfo.propAttr.iProp = propID;
15
16     dcamprop_getname(hdcam, propID, propInfo.propName, propNameSize); // get
    ↪ property name
17     err = dcamprop_getattr(hdcam, &propInfo.propAttr); // get property attribute
18
19     // Collect prop attribute info
```

```

20     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASCHANNEL)
21         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "HASCHANNEL");
22     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_AUTOROUNDING)
23         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
24             ↪ "AUTOROUNDING");
25     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_STEPPING_INCONSISTENT)
26         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
27             ↪ "STEPPING_INCONSISTENT");
28     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_DATASTREAM)
29         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "DATASTREAM");
30     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASRATIO)
31         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "HASRATIO");
32     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_VOLATILE)
33         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "VOLATILE");
34     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_WRITABLE)
35         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "WRITABLE");
36     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_READABLE)
37         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "READABLE");
38     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_ACCESSREADY)
39         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
40             ↪ "ACCESSREADY");
41     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_ACCESSBUSY)
42         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "ACCESSBUSY");
43     if (propInfo.propAttr.attribute & DCAMPROP_ATTR_EFFECTIVE)
44         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "EFFECTIVE");
45
46     // Collect prop attribute2 info
47     if (propInfo.propAttr.attribute & DCAMPROP_ATTR2_ARRAYBASE)
48         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "ARRAYBASE");
49     if (propInfo.propAttr.attribute & DCAMPROP_ATTR2_ARRAYELEMENT)
50         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
51             ↪ "ARRAYELEMENT");
52     if (propInfo.propAttr.attribute & DCAMPROP_ATTR2_INITIALIZEIMPROPER)
53         strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
54             ↪ "INITIALIZEIMPROPER");
55
56     // Get the datatype of the property
57     switch (propInfo.propAttr.attribute & DCAMPROP_TYPE_MASK)
58     {
59     case DCAMPROP_TYPE_MODE:         strcpy_s(propInfo.dataType, datatypeNameSize,
60         ↪ "MODE");             break;
61     case DCAMPROP_TYPE_LONG:         strcpy_s(propInfo.dataType, datatypeNameSize,
62         ↪ "LONG");             break;
63     case DCAMPROP_TYPE_REAL:        strcpy_s(propInfo.dataType, datatypeNameSize,
64         ↪ "REAL");             break;
65     default:                         strcpy_s(propInfo.dataType,
66         ↪ datatypeNameSize, "NONE");         break;
67     }
68
69     // Extract the range of property values

```

```

61     propInfo.min = (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASRANGE) ?
        ↪ propInfo.propAttr.valuemin : std::numeric_limits<double>::quiet_NaN();
62     propInfo.max = (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASRANGE) ?
        ↪ propInfo.propAttr.valuemax : std::numeric_limits<double>::quiet_NaN();
63
64     // Extract the step size of property value
65     propInfo.step = (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASSTEP) ?
        ↪ propInfo.propAttr.valuestep : std::numeric_limits<double>::quiet_NaN();
66
67     // Extract the default value of the property
68     propInfo.defaultVal = (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASDEFAULT) ?
        ↪ propInfo.propAttr.valuedefault : std::numeric_limits<double>::quiet_NaN();
69
70     // Extract the current value of the property
71     dcamprop_getvalue(hdcam, propID, &propInfo.currentVal);
72
73     // Get all possible values if the datatype is MODE
74     if ((propInfo.propAttr.attribute & DCAMPROP_TYPE_MASK) == DCAMPROP_TYPE_MODE) //
        ↪ List possible values for the property
75     {
76         double lastValue = propInfo.min;
77         ++propInfo.nSuppVals;
78         do {
79             DCAMPROP_VALUETEXT pvt;
80             memset(&pvt, 0, sizeof(pvt));
81             pvt.cbSize = sizeof(pvt);
82             pvt.iProp = propID;
83             pvt.value = lastValue;
84             propInfo.suppVals[propInfo.nSuppVals] = int32(lastValue);
85             pvt.text = propInfo.suppValNames[propInfo.nSuppVals++];
86             pvt.textbytes = suppValNameSize;
87             dcamprop_getvaluetext(hdcam, &pvt);
88             } while (!failed(dcamprop_queryvalue(hdcam, propID, &lastValue,
        ↪ DCAMPROP_OPTION_NEXT)));
89     }
90
91     // Get the unit of property value
92     switch (propInfo.propAttr.iUnit)
93     {
94     case DCAMPROP_UNIT_SECOND:                strcpy_s(propInfo.unit,
        ↪ unitNameSize, "SECOND");                break;
95     case DCAMPROP_UNIT_CELSIUS:              strcpy_s(propInfo.unit,
        ↪ unitNameSize, "CELSIUS");                break;
96     case DCAMPROP_UNIT_KELVIN:               strcpy_s(propInfo.unit,
        ↪ unitNameSize, "KELVIN");                 break;
97     case DCAMPROP_UNIT_METERPERSECOND:      strcpy_s(propInfo.unit, unitNameSize,
        ↪ "METERPERSECOND");                       break;
98     case DCAMPROP_UNIT_PERSECOND:           strcpy_s(propInfo.unit, unitNameSize,
        ↪ "PERSECOND");                             break;
99     case DCAMPROP_UNIT_DEGREE:              strcpy_s(propInfo.unit,
        ↪ unitNameSize, "DEGREE");                  break;

```

```

100     case DCAMPROP_UNIT_MICROMETER:                strcpy_s(propInfo.unit, unitNameSize,
↳ "MICROMETER");                                break;
101     default:                                       strcpy_s(propInfo.unit,
↳ unitNameSize, "NONE");                            break;
102     }
103
104     return propInfo;
105 }
106
107 void fillCamProps(HDCAM hdcam)
108 {
109     camProps.clear(); // Empty out the array
110     int32 iProp = 0; // property IDs
111     DCAMPROPOPTION opt = DCAMPROP_OPTION_SUPPORT;
112     while (!failed(dcamprop_getnextid(hdcam, &iProp, opt)))
113     {
114         camPropInfo propInfo = get_camPropInfo(hdcam, iProp);
115         camProps.push_back(propInfo);
116         if (propInfo.propAttr.attribute2 & DCAMPROP_ATTR2_ARRAYBASE)
117         {
118             double nElem;
119             int32 iPropElemStep = propInfo.propAttr.iPropStep_Element;
120             dcamprop_getvalue(hdcam, propInfo.propAttr.iProp_NumberOfElement,
↳ &nElem);
121             for (int32 i = 1; i < int32(nElem); ++i)
122             {
123                 camPropInfo propElemInfo = get_camPropInfo(hdcam, iProp + i
↳ * iPropElemStep);
124                 camProps.push_back(propElemInfo);
125             }
126         }
127     }
128 }
129
130 void printCamPropsArray(std::ostream& out)
131 {
132     out << std::setw(102) << std::setfill('-') << "" << std::endl << std::setfill(' ');
133     out << "| " << std::right << std::setw(11) << "Property ID" << " | ";
134     out << std::left << std::setw(propNameSize) << "Property Name" << " | ";
135     out << std::right << std::setw(datatypeNameSize) << "Datatype" << " | ";
136     out << std::right << std::setw(11) << "Value" << " | ";
137     out << std::right << std::setw(unitNameSize) << "Unit" << " |" << std::endl;
138     out << std::setw(102) << std::setfill('-') << "" << std::endl << std::setfill(' ');
139
140     for (size_t i = 0; i < camProps.size(); ++i)
141     {
142         out << "| " << std::right << std::setw(11) << camProps[i].propID << " | ";
143         out << std::left << std::setw(propNameSize) << camProps[i].propName << " |
↳ ";
144         out << std::right << std::setw(datatypeNameSize) << camProps[i].dataType <<
↳ " | ";

```

```

145         if (trunc(camProps[i].currentVal) == camProps[i].currentVal)
146             out << std::right << std::setw(11) << camProps[i].currentVal << " |
            ↳ ";
147         else
148             out << std::right << std::setw(11) << std::setprecision(4) <<
            ↳ std::scientific << camProps[i].currentVal << std::defaultfloat
            ↳ << " | ";
149         out << std::right << std::setw(unitNameSize) << camProps[i].unit << " |" <<
            ↳ std::endl;
150     }
151     out << std::setw(102) << std::setfill('-') << "" << std::endl << std::setfill(' ');
152 }
153
154 void printCamPropInfo(std::ostream& out, size_t camPropsIdx)
155 {
156     // Make sure that the idx is within the array size
157     if (camPropsIdx < camProps.size())
158     {
159         out << "\tID: " << camProps[camPropsIdx].propID << std::endl; // Print out
            ↳ the ID of the property
160         out << "\tName: " << camProps[camPropsIdx].propName << std::endl; // Print
            ↳ out the name of the property
161         out << "\tAttributes: " << std::endl; // Print out the property attributes
162         for (int i = 0; i < camProps[camPropsIdx].nAttr; ++i)
163             out << "\t\t" << camProps[camPropsIdx].attrNames[i] << std::endl;
164         out << "\tDatatype: " << camProps[camPropsIdx].dataType << std::endl; //
            ↳ Print out the property datatype
165         if (camProps[camPropsIdx].nSuppVals >= 0) // List possible values for the
            ↳ property
166         {
167             out << "\tSupported Values: " << std::endl;
168             for (int i = 0; i < camProps[camPropsIdx].nSuppVals; ++i)
169                 out << "\t\t" << std::setw(8) << std::left <<
                    ↳ camProps[camPropsIdx].suppVals[i] << " " <<
                    ↳ camProps[camPropsIdx].suppValNames[i] << std::endl;
170         }
171         if (!std::isnan(camProps[camPropsIdx].min)) // Print out the minimum
            ↳ possible property values
172             out << "\tMinimum: " << camProps[camPropsIdx].min << std::endl;
173         if (!std::isnan(camProps[camPropsIdx].max)) // Print out the maximum
            ↳ possible property values
174             out << "\tMaximum: " << camProps[camPropsIdx].max << std::endl;
175         if (!std::isnan(camProps[camPropsIdx].step)) // Print out the step size of
            ↳ property values
176             out << "\tStep: " << camProps[camPropsIdx].step << std::endl;
177         if (!std::isnan(camProps[camPropsIdx].defaultVal)) // Print out the default
            ↳ property value
178             out << "\tDefault Value: " << camProps[camPropsIdx].defaultVal <<
                ↳ std::endl;
179         if (!std::isnan(camProps[camPropsIdx].currentVal)) // Print out current
            ↳ property value

```

```

180         out << "\tCurrent Value: " << camProps[camPropsIdx].currentVal <<
        ↪ std::endl;
181     }
182     else
183         out << "Invalid Camera Property Index. Update the camera property list to
        ↪ make sure that the property exists." << std::endl;
184 }
185
186 int getCamPropsIdxByName(std::string& propName)
187 {
188     int propListIdx = -1;
189     for (int i = 0; i < camProps.size(); ++i)
190         if (propName.compare(camProps[i].propName) == 0)
191             {
192                 propListIdx = i;
193                 break;
194             }
195     return propListIdx;
196 }
197
198 int getCamPropsIdxByID(int32 propID)
199 {
200     int propListIdx = -1;
201     for (int i = 0; i < camProps.size(); ++i)
202         if (camProps[i].propID == propID)
203             {
204                 propListIdx = i;
205                 break;
206             }
207     return propListIdx;
208 }
209
210 void setCamPropValue(HDCAM hdcam, std::ostream& out, size_t camPropsIdx, double val)
211 {
212     DCAMERR err = DCAMERR_SUCCESS;
213     double reqVal = val;
214     do
215     {
216         val = reqVal;
217         err = dcamprop_setgetvalue(hdcam, camProps[camPropsIdx].propID, &val);
218         fillCamProps(hdcam);
219     } while (err == DCAMERR_SUCCESS && camProps[camPropsIdx].currentVal != val);
220
221     if (failed(err))
222     {
223         out << "Failed setting " << camProps[camPropsIdx].propName << " = " <<
        ↪ reqVal << std::endl
        ↪ << "Make sure that the value provided is valid." << std::endl;
224         camProps[camPropsIdx] = get_camPropInfo(hdcam,
        ↪ camProps[camPropsIdx].propID);
225         printCamPropInfo(out, camPropsIdx);
226

```

```

227         return;
228     }
229 }

```

---

## H.2.6 camProp.h

---

```

1  #pragma once
2
3  #define NOMINMAX
4  #include "dcamMisc/console4.h"
5  #include <limits>
6  #include <iomanip>
7  #include <vector>
8
9  constexpr int propNameSize = 40;
10 constexpr int attrNameSize = 24;
11 constexpr int dataTypeNameSize = 8;
12 constexpr int suppValNameSize = 24;
13 constexpr int unitNameSize = 16;
14
15 typedef struct _camPropInfo
16 {
17     int32 propID = 0;
18     DCAMPROP_ATTR propAttr;
19     char propName[propNameSize];
20     int nAttr = 0;
21     char attrNames[16][attrNameSize];
22     char dataType[dataTypeNameSize];
23     int nSuppVals = -1;
24     int32 suppVals[32];
25     char suppValNames[32][suppValNameSize];
26     char unit[unitNameSize];
27     double min = std::numeric_limits<double>::quiet_NaN();
28     double max = std::numeric_limits<double>::quiet_NaN();
29     double step = std::numeric_limits<double>::quiet_NaN();
30     double defaultVal = std::numeric_limits<double>::quiet_NaN();
31     double currentVal = std::numeric_limits<double>::quiet_NaN();
32 } camPropInfo;
33
34 extern std::vector<camPropInfo> camProps; // Array to store camera properties
35
36 camPropInfo get_camPropInfo(HDCAM hdcam, int32 propID);
37 void fillCamProps(HDCAM hdcam);
38 void printCamPropsArray(std::ostream& out);
39 void printCamPropInfo(std::ostream& out, size_t camPropsIdx);
40 int getCamPropsIdxByName(std::string& propName);
41 int getCamPropsIdxByID(int32 propID);
42 void setCamPropValue(HDCAM hdcam, std::ostream& out, size_t camPropsIdx, double val);

```

---



## H.2.7 camRec.cpp

---

```
1 #include "camRec.h"
2
3 // Variable to indicate the current state of recording
4 std::atomic<bool> camRcrdng = false;
5
6 // Variable to indicate whether the camera is ready to start recording
7 std::atomic<bool> camRdy2Capt = false;
8
9 void recordFrames(std::ostream& out, HDCAM hdcam, HDCAMWAIT hwait)
10 {
11     DCAMERR err;
12
13     // start capture
14     err = dcamcap_start(hdcam, DCAMCAP_START_SNAP);
15     if (failed(err))
16     {
17         out << "Could not start camera recording." << std::endl;
18         return;
19     }
20     else
21     {
22         // State that the camera is ready to capture frames
23         camRdy2Capt = true;
24
25         // set wait param
26         DCAMWAIT_START waitstart;
27         memset(&waitstart, 0, sizeof(waitstart));
28         waitstart.size = sizeof(waitstart);
29         waitstart.eventmask = DCAMWAIT_CAPEVENT_STOPPED | DCAMWAIT_CAPEVENT_RELOADFRAME;
30         waitstart.timeout = 1000;
31
32         // Wait for capture to complete
33         bool bStop = false;
34         while (!bStop)
35         {
36             err = dcamwait_start(hwait, &waitstart);
37             if (!failed(err) && (waitstart.eventhappened & DCAMWAIT_CAPEVENT_STOPPED))
38                 bStop = true;
39             if (!failed(err) && (waitstart.eventhappened & DCAMWAIT_CAPEVENT_RELOADFRAME))
40                 out << "DCAMWAIT_CAPEVENT_RELOADFRAME" << std::endl;
41
42             // get capture and transfer status
43             int32 capStatus = 0;
44             err = dcamcap_status(hdcam, &capStatus);
45             out << "Capture Status: ";
46             if (failed(err))
47                 out << "Can't retrieve";
48             else
49                 switch (capStatus)
```

```

50         {
51             case DCAMCAP_STATUS_BUSY: out << "BUSY"; break;
52             case DCAMCAP_STATUS_ERROR: out << "ERROR"; break;
53             case DCAMCAP_STATUS_READY: out << "READY"; break;
54             case DCAMCAP_STATUS_STABLE: out << "STABLE"; break;
55             case DCAMCAP_STATUS_UNSTABLE: out << "UNSTABLE"; break;
56             default: break;
57         }
58         out << ", ";
59         DCAMCAP_TRANSFERINFO transInfo;
60         memset(&transInfo, 0, sizeof(transInfo));
61         transInfo.size = sizeof(transInfo);
62         transInfo.iKind = DCAMCAP_TRANSFERKIND_FRAME;
63         err = dcamcap_transferinfo(hdcam, &transInfo);
64         if (failed(err))
65             out << "Frames Captured: Unavailable, Newest Frame Index: Unavailable" <<
66                 ↪ std::endl;
67         else
68             out << "Frames Captured: " << transInfo.nFrameCount << ", "
69                 << "Newest Frame Index: " << transInfo.nNewestFrameIndex << std::endl;
70     }
71     // stop capture
72     dcamcap_stop(hdcam);
73
74     // State that the camera is not ready to capture frames
75     camRdy2Capt = false;
76 }
77
78 void startCamRecording(std::ostream& out, HDCAM hdcam, camRecInfo recInfo)
79 {
80     // State that this function is called
81     camRcrdng = true;
82
83     // Variable for string error codes
84     DCAMERR err;
85
86     // open wait handle
87     DCAMWAIT_OPEN waitopen;
88     memset(&waitopen, 0, sizeof(waitopen));
89     waitopen.size = sizeof(waitopen);
90     waitopen(hdcam);
91
92     err = dcamwait_open(&waitopen);
93     if (failed(err))
94         out << "Could not create DCAMWAIT_OPEN object." << std::endl;
95     else
96     {
97         HDCAMWAIT hwait = waitopen.hwait;
98
99         // Get frame size in bytes

```

```

100     double bufframebytes;
101     err = dcamprop_getvalue(hdcam, DCAM_IDPROP_BUFFER_FRAMEBYTES, &bufframebytes);
102     if (failed(err))
103         out << "Could not retrieve DCAM_IDPROP_BUFFER_FRAMEBYTES value." << std::endl;
104     else
105     {
106         // Check if enough memory is available
107         MEMORYSTATUSEX mem;
108         mem.dwLength = sizeof(mem);
109         if (!GlobalMemoryStatusEx(&mem))
110             out << "Failed to retrieve physical memory info." << std::endl;
111         else
112         {
113             size_t frameSize = (size_t)bufframebytes;
114             int number_of_buffer = recInfo.nFrames;
115             if (mem.ullAvailPhys < frameSize * number_of_buffer)
116                 out << "Not enough memory. Required: " << frameSize * number_of_buffer
117                     << " GB, Available: " << mem.ullAvailPhys / 1024.0 / 1024.0 /
118                     << " GB" << std::endl;
119             else
120             {
121                 // allocate buffer
122                 void** pFrames = new void* [number_of_buffer];
123                 char* buf = new char[frameSize * number_of_buffer];
124                 memset(buf, 0, frameSize * number_of_buffer);
125
126                 int i;
127                 for (i = 0; i < number_of_buffer; i++)
128                 {
129                     pFrames[i] = buf + frameSize * i;
130                 }
131
132                 DCAMBUF_ATTACH bufattach;
133                 memset(&bufattach, 0, sizeof(bufattach));
134                 bufattach.size = sizeof(bufattach);
135                 bufattach.iKind = DCAMBUF_ATTACHKIND_FRAME;
136                 bufattach.buffer = pFrames;
137                 bufattach.buffercount = number_of_buffer;
138
139                 // attach user buffer
140                 err = dcambuf_attach(hdcam, &bufattach);
141                 if (failed(err))
142                     out << "Could not attach frame buffer." << std::endl;
143                 else
144                 {
145                     // Start recording
146                     recordFrames(out, hdcam, hwait);
147
148                     // release buffer
149                     dcambuf_release(hdcam);

```

```

149
150 // Save images
151 std::ofstream imgsFile(recInfo.filePath, std::ios::out |
↳ std::ios::binary);
152 if (!imgsFile)
153     out << "Could not open file: " << recInfo.filePath <<
↳ std::endl;
154 else
155 {
156     double imgWidth_d = 0, imgHeight_d = 0;
157     DCAMERR errW = dcamprop_getvalue(hdcam,
↳ DCAM_IDPROP_IMAGE_WIDTH, &imgWidth_d);
158     DCAMERR errH = dcamprop_getvalue(hdcam,
↳ DCAM_IDPROP_IMAGE_HEIGHT, &imgHeight_d);
159     if (failed(errW) || failed(errH))
160         out << "Could not retrieve image width and height
↳ properties." << std::endl;
161     else
162     {
163         uint32_t imgWidth = (uint32_t)imgWidth_d, imgHeight =
↳ (uint32_t)imgHeight_d, nFrames =
↳ (uint32_t)recInfo.nFrames;
164         imgsFile.write((char*)&imgWidth, sizeof(imgWidth));
165         imgsFile.write((char*)&imgHeight, sizeof(imgHeight));
166         imgsFile.write((char*)&nFrames, sizeof(nFrames));
167         imgsFile.write(buf, frameSize * number_of_buffer);
168     }
169     imgsFile.close();
170     if (!imgsFile.good())
171         out << "Error occurred at writing time!" << std::endl;
172     }
173 }
174 // free buffer
175 delete[] buf;
176 delete[] pFrames;
177 }
178 }
179 }
180 // close wait handle
181 dcamwait_close(hwait);
182 }
183
184 // State that this function call has ended
185 camRcrdng = false;
186 }
187
188 void waitFinishCamRcrdng(std::ostream &out)
189 {
190     if (camRcrdng)
191         out << "Waiting for the camera to finish recording." << std::endl;

```

```
192     while (camRcrdng);
193 }
```

---

## H.2.8 camRec.h

---

```
1  #pragma once
2
3  #define NOMINMAX
4  #include "dcamMisc/console4.h"
5
6  #include <iostream>
7  #include <filesystem>
8  #include <fstream>
9
10 struct camRecInfo
11 {
12     std::filesystem::path filePath;
13     unsigned int nFrames = 0;
14 };
15
16 // Variable to indicate whether startCamRecording is currently executing
17 extern std::atomic<bool> camRcrdng;
18
19 // Variable to indicate whether the camera is ready to capture frames
20 extern std::atomic<bool> camRdy2Capt;
21
22 void startCamRecording(std::ostream& out, HDCAM hdcam, camRecInfo recInfo);
23 void waitFinishCamRcrdng(std::ostream &out);
```

---

## H.2.9 camSoft.cpp

---

```
1 // camSoft.cpp : Defines the entry point for the application.
2 //
3
4 #include "camSoft.h"
5
6 HDCAM hdcam = NULL;
7
8 bool initCam()
9 {
10     std::cout << "Looking for Hamamatsu C11440-22C camera." << std::endl;
11     hdcam = dcamcon_init_open(); // Unitialize DCAM-API and open device
12     if (hdcam != NULL)
13         std::cout << "Camera initialization sucessful." << std::endl;
14     else
15         dcamapi_uninit(); // Uninitialize DCAM-API
16     return (hdcam != NULL);
```

```

17 }
18
19 void otSoftCamRec(std::ostream& out, unsigned int nFrames, std::filesystem::path filePath)
20 {
21     filePath.make_preferred();
22     if (liveCapOn)
23         out << "Live feed must be turned off before recording." << std::endl;
24     else if (expsUnderProgress)
25         out << "The ongoing experiments must be stopped before recording is
        ↳ started." << std::endl;
26     else if (camRcrdng)
27         out << "The camera is already recording something." << std::endl;
28     else
29     {
30         if (filePath.extension() == ".bin")
31         {
32             camRecInfo recInfo;
33             if (filePath.parent_path() == "")
34             {
35                 recInfo.nFrames = nFrames;
36                 recInfo.filePath = filePath.filename();
37                 std::thread camRecThread(startCamRecording, std::ref(out),
        ↳ hdcam, recInfo);
38                 camRecThread.detach();
39             }
40             else if (std::filesystem::is_directory(filePath.parent_path()) ||
        ↳ std::filesystem::create_directories(filePath.parent_path()))
41             {
42                 recInfo.nFrames = nFrames;
43                 recInfo.filePath = filePath.parent_path() /=
        ↳ filePath.filename();
44                 std::thread camRecThread(startCamRecording, std::ref(out),
        ↳ hdcam, recInfo);
45                 camRecThread.detach();
46             }
47             else
48                 out << "Failed to create the path specified." << std::endl;
49         }
50         else
51             out << "Recording file name must contain \".bin\" extension." <<
        ↳ std::endl;
52     }
53 }
54
55 void otSoftShtrCtrl(std::ostream& out, std::string cmd)
56 {
57     sndShtrCmd(out, cmd);
58 }
59
60 void camPropsUpdate(std::ostream& out)
61 {

```

```

62     fillCamProps(hdcam);
63 }
64
65 void camPropList(std::ostream& out)
66 {
67     fillCamProps(hdcam);
68     printCamPropsArray(out);
69 }
70
71 void camPropInfoByName(std::ostream& out, std::string propName)
72 {
73     fillCamProps(hdcam);
74     int propListIdx = getCamPropsIdxByName(propName);
75     if (propListIdx >= 0)
76         printCamPropInfo(out, propListIdx);
77     else
78         out << "Property with name \"" << propName << "\" doesn't exist." <<
        ↪ std::endl;
79 }
80
81 void camPropInfoByID(std::ostream& out, int32 propID)
82 {
83     fillCamProps(hdcam);
84     int propListIdx = getCamPropsIdxByID(propID);
85     if (propListIdx >= 0)
86         printCamPropInfo(out, propListIdx);
87     else
88         out << "Property with ID \"" << propID << "\" doesn't exist." << std::endl;
89 }
90
91 void camPropSetByName(std::ostream& out, std::string propName, double val)
92 {
93     if (liveCapOn || camRcrdng || expsUnderProgress)
94         out << "Camera properties cannot be set while live feed is on, camera is
        ↪ recording or experiments are being conducted." << std::endl;
95     else
96     {
97         fillCamProps(hdcam);
98         int propListIdx = getCamPropsIdxByName(propName);
99         if (propListIdx >= 0)
100             setCamPropValue(hdcam, out, propListIdx, val);
101         else
102             out << "Property with name \"" << propName << "\" doesn't exist."
            ↪ << std::endl;
103     }
104 }
105
106 void camPropSetByID(std::ostream& out, int32 propID, double val)
107 {
108     if (liveCapOn || camRcrdng || expsUnderProgress)

```

```

109         out << "Camera properties cannot be set while live feed is on, camera is
           ↪ recording or experiments are being conducted." << std::endl;
110     else
111     {
112         fillCamProps(hdcam);
113         int propListIdx = getCamPropsIdxByID(propID);
114         if (propListIdx >= 0)
115             setCamPropValue(hdcam, out, propListIdx, val);
116         else
117             out << "Property with ID \"" << propID << "\" doesn't exist." <<
           ↪ std::endl;
118     }
119 }
120
121 void liveCapStart(std::ostream& out)
122 {
123     if (liveCapOn)
124         out << "Live feed from camera is already on." << std::endl;
125     else if (expsUnderProgress)
126         out << "Live feed cannot be started while experiments are being conducted."
           ↪ << std::endl;
127     else if (camRcrdng)
128         out << "Live feed cannot be started while the camera is recording." <<
           ↪ std::endl;
129     else
130     {
131         fillCamProps(hdcam);
132         int propListWidthIdx = getCamPropsIdxByID(DCAM_IDPROP_IMAGE_WIDTH);
133         int propListHeightIdx = getCamPropsIdxByID(DCAM_IDPROP_IMAGE_HEIGHT);
134         setCamCapImgSize(camProps[propListWidthIdx].currentVal,
           ↪ camProps[propListHeightIdx].currentVal);
135         std::thread liveCapThread(startCamCap, std::ref(out), hdcam);
136         liveCapThread.detach();
137     }
138 }
139
140 void liveCapStop(std::ostream& out)
141 {
142     if (!liveCapOn)
143         out << "Live feed from camera is already off." << std::endl;
144     else
145         stopCamCap(out);
146 }
147
148 void liveCapLUT(std::ostream& out, int lutMin, int lutMax)
149 {
150     if (lutMax > 65535 || lutMax <= lutMin || lutMin < 0)
151         out << "Make sure that lutMin >= 0, lutMax <= 65535 and lutMax > lutMin."
           ↪ << std::endl;
152     else
153         setCamCapLUT(lutMin, lutMax);

```



```

154 }
155
156 void condExpsStart(std::ostream &out, size_t numExps)
157 {
158     if (liveCapOn)
159         out << "Live feed must be turned off before conducting experiments." <<
160             ↪ std::endl;
161     else if (expsUnderProgress)
162         out << "Experiments are already being conducted." << std::endl;
163     else if (camRcrdng)
164         out << "Experiments cannot be conducted while the camera is recording." <<
165             ↪ std::endl;
166     else
167     {
168         std::thread condExpsThread(startConductingExps, std::ref(out), numExps,
169             ↪ hdcam);
170         condExpsThread.detach();
171     }
172 }
173
174 void condExpsStop(std::ostream &out)
175 {
176     stopConductingExps(out);
177 }
178
179 void condExpsStatus(std::ostream &out)
180 {
181     expsStatus(out);
182 }
183
184 int main(int argc, char* const argv[])
185 {
186     // Roll the intro
187     std::cout <<
188     ↪ "/////////////////////////////////////"
189     ↪ << std::endl;
190     std::cout << "// otSoft: A Command Line Program to perform optical tweezer
191     ↪ experiments //" << std::endl;
192     std::cout << "// Developed by: Vatsal Asitkumar Joshi
193     ↪ //" << std::endl;
194     std::cout << "// Hardware required: Hamamatsu C11440-22C Camera,
195     ↪ //" << std::endl;
196     std::cout << "//                                     Raspberry Pi Pico Microcontroller,
197     ↪ //" << std::endl;
198     std::cout << "//                                     1064nm Laser
199     ↪ //" << std::endl;
200     std::cout << "// Date last updated: 03/09/2022
201     ↪ //" << std::endl;
202     std::cout <<
203     ↪ "/////////////////////////////////////"
204     ↪ << std::endl << std::endl;

```

```

192
193     if (initShtr() && initCam())
194     {
195         fillCamProps(hdcam); // Get a list of camera properties
196         std::cout << std::endl;
197
198         // Create a root menu of our cli
199         auto otSoft = std::make_unique<cli::Menu>("otSoft", "Main menu of this
200         ↪ application.");
201         otSoft->Insert("camRec", otSoftCamRec, "Start camera recording.");
202         otSoft->Insert("shtrCtrl", otSoftShtrCtrl, "Passthrough for setting up
203         ↪ shutter controller. Type 'shtrCtrl help' for more information.");
204
205         // Create a submenu for camera properties
206         auto camProp = std::make_unique<cli::Menu>("camProp", "Menu to access
207         ↪ camera properties.");
208         camProp->Insert("update", camPropsUpdate, "Update the list of all the
209         ↪ properties of Hamamatsu C11440-22C camera.");
210         camProp->Insert("list", camPropList, "List all the properties of Hamamatsu
211         ↪ C11440-22C camera.");
212         camProp->Insert("infoByName", camPropInfoByName, "Get info regarding a
213         ↪ certain property by name.");
214         camProp->Insert("infoByID", camPropInfoByID, "Get info regarding a certain
215         ↪ property by ID.");
216         camProp->Insert("setByName", camPropSetByName, "Set camera property
217         ↪ value.");
218         camProp->Insert("setByID", camPropSetByID, "Set camera property value.");
219         otSoft->Insert(std::move(camProp));
220
221         // Create a submenu for camera live feed
222         auto liveCap = std::make_unique<cli::Menu>("liveCap", "Menu to show live
223         ↪ feed from the camera.");
224         liveCap->Insert("start", liveCapStart, "Start the camera live feed.");
225         liveCap->Insert("stop", liveCapStop, "Stop the camera live feed.");
226         liveCap->Insert("lut", liveCapLUT, "Update input-output mapping of the
227         ↪ camera pixel values.");
228         otSoft->Insert(std::move(liveCap));
229
230         // Create a submenu for conducting experiments
231         auto condExps = std::make_unique<cli::Menu>("condExps", "Menu for
232         ↪ conducting experiments.");
233         condExps->Insert("start", condExpsStart, "Start conducting n
234         ↪ experiments.");
235         condExps->Insert("stop", condExpsStop, "Stop ongoing experiments.");
236         condExps->Insert("status", condExpsStatus, "Status of the ongoing
237         ↪ experiments.");
238         otSoft->Insert(std::move(condExps));
239
240         // create the cli with the root menu
241         cli::Cli cli(std::move(otSoft));
242
243

```

```

230         // global exit action
231         cli.ExitAction([](auto &out)
232             {
233             stopConductingExps(out);           // Make
                ↳ sure no experiment is being
                ↳ conducted
234             stopCamCap(out);
                ↳ // Make sure the camera is not
                ↳ capturing
235             waitFinishCamRcrdng(out); // Wait for
                ↳ the camera to finish recording
236             deinitShtr(out);
                ↳ // Reboot the shutter controller
237             dcamdev_close(hdcam);           // close
                ↳ DCAM handle
238             dcamapi_uninit();               //
                ↳ Uninit DCAM-API
239             out << "Camera uninitialized properly."
                ↳ << std::endl;
                out << "Press Enter to exit...";
241         });
242
243         cli::LoopScheduler scheduler;
244         cli::CliLocalTerminalSession localSession(cli, scheduler, std::cout, 200);
245
246         localSession.ExitAction(
247             [&scheduler](auto &out) // session exit action
248             {
249                 scheduler.Stop();
250             });
251
252         scheduler.Run();
253     }
254     else
255         std::cout << "Shutter or Camera initialization unsuccessful. Try again
                ↳ later." << std::endl;
256 }

```

---

## H.2.10 camSoft.h

```

1 // camSoft.h : Include file for standard system include files,
2 // or project specific include files.
3
4 #pragma once
5
6 #include <iostream>
7
8 // TODO: Reference additional headers your program requires here.
9 #include "dcamMisc/common.h"

```

```

10 #include "camProp.h"
11 #include "liveCap.h"
12 #include "camRec.h"
13 #include "shtrCtrl.h"
14 #include "condExps.h"
15 #include "cli/include/cli/cli.h"
16 #include "cli/include/cli/loopscheduler.h"
17 #include "cli/include/cli/clilocalsession.h"
18 #include <iomanip>
19 #include <thread>
20 #include <filesystem>

```

---

## H.2.11 CMakeLists.txt

---

```

1 # CMakeList.txt : CMake project for camSoft, include source and define
2 # project specific logic here.
3 #
4 cmake_minimum_required (VERSION 3.15)
5
6 project ("camSoft")
7
8 # Make sure that dll runtime libraries are used
9 set(CMAKE_MSVC_RUNTIME_LIBRARY "MultiThreaded$<$<CONFIG:Debug>;Debug")
10
11 # Add source to this project's executable.
12 add_executable (camSoft
13 "dcamsdk4/inc/dcamapi4.h" "dcamsdk4/inc/dcamprop.h"
14 "dcamMisc/common.cpp" "dcamMisc/common.h" "dcamMisc/console4.h"
15 "serialib/lib/serialib.cpp" "serialib/lib/serialib.h"
16
17 "camProp.cpp" "camProp.h"
18 "camRec.cpp" "camRec.h"
19 "liveCap.cpp" "liveCap.h"
20 "shtrCtrl.cpp" "shtrCtrl.h"
21 "condExps.cpp" "condExps.h"
22 "camSoft.cpp" "camSoft.h"
23 )
24
25 if (CMAKE_VERSION VERSION_GREATER 3.15)
26     set_property(TARGET camSoft PROPERTY CXX_STANDARD 17)
27 endif()
28
29 # Define locations to the header files of different libraries
30 target_include_directories(camSoft PUBLIC
31 "${CMAKE_SOURCE_DIR}/glm"
32 "${CMAKE_SOURCE_DIR}/glew-2.1.0/include"
33 "${CMAKE_SOURCE_DIR}/glfw-3.3.8.bin.WIN64/include"
34 )
35

```

```

36 # Look for DCAMAPI library
37 find_library(
38     DCAMAPI_LIB REQUIRED
39     NAMES dcamapi # Name of the file to look for
40     PATHS "${CMAKE_SOURCE_DIR}/dcamsdk4/lib/win64" # Folder to look into
41     NO_DEFAULT_PATH # Do not search system default paths
42 )
43 message(STATUS "DCAMAPI_LIB: [${DCAMAPI_LIB}]")
44
45 # Look for OPENGL library
46 find_package(OpenGL REQUIRED)
47
48 # Look for GLEW library
49 find_library(
50     GLEW_LIB REQUIRED
51     NAMES glew32s # Name of the file to look for
52     PATHS "${CMAKE_SOURCE_DIR}/glew-2.1.0/lib/Release/x64" # Folder to look into
53     NO_DEFAULT_PATH # Do not search system default paths
54 )
55 message(STATUS "GLEW_LIB: [${GLEW_LIB}]")
56
57 # Look for GLFW library
58 find_library(
59     GLFW_LIB REQUIRED
60     NAMES glfw3_mt # Name of the file to look for
61     PATHS "${CMAKE_SOURCE_DIR}/glfw-3.3.8.bin.WIN64/lib-vc2022" # Folder to look into
62     NO_DEFAULT_PATH # Do not search system default paths
63 )
64 message(STATUS "GLFW_LIB: [${GLFW_LIB}]")
65
66 # Link all the libraries
67 target_link_libraries(camSoft PUBLIC
68     ${DCAMAPI_LIB}
69     ${GLEW_LIB}
70     ${GLFW_LIB}
71     OpenGL::GL
72 )

```

---

## H.2.12 condExps.cpp

---

```

1 #include "condExps.h"
2
3 // Define globals for this file only
4 size_t nExps = 0; // Number of experiments to be conducted
5 size_t expLen_us = 0; // Length of one experiment in us
6
7 // Define globals that are 'extern' in the header file
8 std::atomic<bool> expsUnderProgress = false; // Whether the experiments are currently being
↪   conducted or not

```

```

9
10 void startConductingExps(std::ostream &out, size_t numExps, HDCAM hdcam)
11 {
12     // State that some experiments are being conducted
13     expsUnderProgress = true;
14
15     // Get some info from the shutter controller
16     size_t expLen_us = std::stoull(sndCmdRecRspShtr(std::string("++expLen"))); // Length of
    ↪ one experiment in us
17     size_t camTrigLen_us = std::stoull(sndCmdRecRspShtr(std::string("++camTrigLen"))); //
    ↪ Length of time the camera should be recording in us
18
19     // Define the number of experiments
20     nExps = numExps;
21
22     // Get some camera info
23     size_t exposureTime_ns = (size_t)(get_camPropInfo(hdcam,
    ↪ DCAM_IDPROP_EXPOSURETIME).currentVal * 1e9);
24     size_t nFrames = camTrigLen_us * 1000 / exposureTime_ns;
25
26     // Get camera property values so that we can reset it after conducting experiments
27     double trigSource = get_camPropInfo(hdcam, DCAM_IDPROP_TRIGGERSOURCE).currentVal;
28     double trigMode = get_camPropInfo(hdcam, DCAM_IDPROP_TRIGGER_MODE).currentVal;
29     double trigPolarity = get_camPropInfo(hdcam, DCAM_IDPROP_TRIGGERPOLARITY).currentVal;
30
31     // Name a folder with current date and time
32     char fldrName[80];
33     time_t t = std::time(nullptr);
34     struct tm timeInfo;
35     localtime_s(&timeInfo, &t);
36     std::strftime(fldrName, sizeof(fldrName), "%Y%m%d%H%M%S", &timeInfo);
37     std::filesystem::path folderPath = std::string(fldrName);
38
39     // If the folder exists then delete it, probably won't ever happen.
40     if (std::filesystem::is_directory(folderPath))
41         std::filesystem::remove_all(folderPath);
42
43     // Create a folder
44     std::filesystem::create_directories(folderPath);
45
46     // Start conducting experiments
47     while (nExps && expsUnderProgress)
48     {
49         // Set up the recording stuff
50         std::filesystem::path filePath = (folderPath / (std::to_string(numExps - nExps) +
    ↪ std::string(".bin"))).make_preferred();
51         if (std::filesystem::is_directory(filePath.parent_path())) // Make sure if the
    ↪ folder was created
52         {
53             camRecInfo recInfo;
54             recInfo.nFrames = (unsigned int) nFrames;

```

```

55     recInfo.filePath = filePath.parent_path() /= filePath.filename();
56     // Set camera trigger source to external
57     setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM_IDPROP_TRIGGERSOURCE),
58     ↪ DCAMPROP_TRIGGERSOURCE__EXTERNAL);
59     setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM_IDPROP_TRIGGER_MODE),
60     ↪ DCAMPROP_TRIGGER_MODE__START);
61     setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM_IDPROP_TRIGGERPOLARITY),
62     ↪ DCAMPROP_TRIGGERPOLARITY__POSITIVE);
63     // Initiate the camera capture
64     std::thread camRecThread(startCamRecording, std::ref(out), hdcam, recInfo);
65     while (!camRcrdng); // Wait for the thread to actually start executing
66     camRecThread.detach();
67 }
68 else
69     out << "Failed to create the folder." << std::endl;
70
71 // Wait till camera is ready to capture or an error occurred
72 while (camRcrdng && !camRdy2Capt);
73
74 // Make the shutter controller execute one experiment
75 if (camRcrdng)
76     sndShtrCmd(out, std::string("++start"));
77 else
78     out << "Seems like the camera could not be set up properly for Exp No.: " <<
79     ↪ nExps << std::endl;
80
81 // If an experiment is started then code should not reach here before it is
82 ↪ completed.
83 // Wait for the camera to finish recording, transferring images to SSD/HDD should
84 ↪ be remaining at this point.
85 waitFinishCamRcrdng(out);
86
87 // Reduce nExps by one only if it is grather than 0
88 nExps += nExps ? -1 : 0;
89 }
90
91 // Reset Camera properties to the original values
92 setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM_IDPROP_TRIGGERSOURCE), trigSource);
93 setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM_IDPROP_TRIGGER_MODE), trigMode);
94 setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM_IDPROP_TRIGGERPOLARITY),
95 ↪ trigPolarity);
96
97 // State that the experiments are done
98 expsUnderProgress = false;
99
100 // Make sure that the number of experiments is zero
101 nExps = 0;
102 }
103
104 void stopConductingExps(std::ostream &out)
105 {

```

```

99     nExps = 0;
100     if (expsUnderProgress)
101         out << "The system will stop after finishing the ongoing experiment." << std::endl;
102     while (expsUnderProgress);
103 }
104
105 void expsStatus(std::ostream &out)
106 {
107     if (expsUnderProgress)
108     {
109         out << "Number of experiments remaining to be conducted:    " << nExps <<
110             ↪ std::endl;
111         out << "Time required to finish remaining experiments:      " << expLen_us * nExps
112             ↪ / 1000 << " ms at least" << std::endl;
113     }
114     else
115         out << "No experiments are under process." << std::endl;
116 }

```

---

### H.2.13 condExps.h

```

1  #pragma once
2
3  #define NOMINMAX
4  #include <iostream>
5  #include <ctime>
6  #include <thread>
7  #include "camProp.h"
8  #include "shtrCtrl.h"
9  #include "camRec.h"
10
11 extern std::atomic<bool> expsUnderProgress; // Whether the experiments are currently being
12     ↪ conducted or not
13
14 void startConductingExps(std::ostream &out, size_t numExps, HDCAM hdcam);
15 void stopConductingExps(std::ostream &out);
16 void expsStatus(std::ostream &out);

```

---

### H.2.14 liveCap.cpp

```

1  #include "liveCap.h"
2
3  // Define globals for this file only
4  GLsizei liveCapImgWidth = 2048, liveCapImgHeight = 2048;
5  ↪ // Global variables to store image size
6  double liveCapOffsetX = 0, liveCapOffsetY = 0, liveCapScale = 1, liveCapWinPxPerImPx = 1;
7  ↪ // Global variables for pan and zoom

```



```

6  GLfloat liveCapLutMin = 0, liveCapLutMax = 65535;
   ↪ // Global variables to define LUT
7  GLint liveCapLutMinUniformLoc = -1, liveCapLutMaxUniformLoc = -1;
   ↪ // Global uniform location for LUT values
8  GLint liveCapMVPUniformLoc = -1;
   ↪ // Global uniform location for MVP matrix
9  bool liveFeedStopped = true;
   ↪ // Variable stating whether the live feed is stopped or not
10
11 // Define globals that are 'extern' in the header file
12 std::atomic<bool> liveCapOn = false; // Global variable to indicate live capture state
13
14 GLuint CompileShader(GLuint type, const std::string& source)
15 {
16     GLuint id = glCreateShader(type);
17     const char* src = source.c_str();
18     GLCall(glShaderSource(id, 1, &src, nullptr));
19     GLCall(glCompileShader(id));
20
21     int result;
22     GLCall(glGetShaderiv(id, GL_COMPILE_STATUS, &result));
23     if (result == GL_FALSE)
24     {
25         int length;
26         GLCall(glGetShaderiv(id, GL_INFO_LOG_LENGTH, &length));
27         char* message = (char*)_alloca(length * sizeof(char));
28         GLCall(glGetShaderInfoLog(id, length, &length, message));
29         std::cout << "Failed to compile " << (type == GL_VERTEX_SHADER ? "vertex" :
   ↪ "fragment") << " shader: " << message << std::endl;
30         GLCall(glDeleteShader(id));
31         return 0;
32     }
33
34     return id;
35 }
36
37 GLuint CreateShader()
38 {
39     std::string vertexShader =
40         "#version 330 core\n"
41         "\n"
42         "layout(location = 0) in vec2 position;\n"
43         "layout(location = 1) in vec2 texCoord;\n"
44         "uniform mat4 u_MVP;\n"
45         "\n"
46         "out vec2 v_TexCoord;\n"
47         "void main()\n"
48         "{\n"
49         "    gl_Position = u_MVP * vec4(position,0.0,1.0);\n"
50         "    v_TexCoord = texCoord;\n"
51         "}\n";

```

```

52
53     std::string fragmentShader =
54         "#version 330 core\n"
55         "\n"
56         "out vec3 FragColor;\n"
57         "in vec2 v_TexCoord;\n"
58         "uniform sampler2D u_imgTex;\n"
59         "uniform float u_lutMin;\n"
60         "uniform float u_lutMax;\n"
61         "void main()\n"
62         "{\n"
63         "    float colorVal = texture(u_imgTex,v_TexCoord).r;\n"
64         // "    colorVal *= 600;\n"
65         "    colorVal = (65535*colorVal - u_lutMin) / (u_lutMax - u_lutMin);\n"
66         "    colorVal = colorVal > 1 ? 1 : colorVal < 0 ? 0 : colorVal;\n"
67         "    FragColor = vec3(colorVal);\n"
68         "}\n";
69
70     GLuint program = glCreateProgram();
71     GLuint vs = CompileShader(GL_VERTEX_SHADER, vertexShader);
72     GLuint fs = CompileShader(GL_FRAGMENT_SHADER, fragmentShader);
73
74     GLCall(glAttachShader(program, vs));
75     GLCall(glAttachShader(program, fs));
76     GLCall(glLinkProgram(program));
77     GLCall(glValidateProgram(program));
78
79     GLCall(glDeleteShader(vs));
80     GLCall(glDeleteShader(fs));
81
82     return program;
83 }
84
85 void applyMVP(float width, float height)
86 {
87     glm::mat4 mvp = glm::ortho(-width / 2, width / 2, height / 2, -height / 2); // Create
88     ↪ orthogonal Projection matrix
89     glm::mat4 model = glm::mat4(1.0);
90     model = glm::scale(model, glm::vec3(liveCapScale * liveCapWinPxPerImpX, liveCapScale *
91     ↪ liveCapWinPxPerImpX, 1.0f));
92     model = glm::translate(model, glm::vec3(liveCapOffsetX, liveCapOffsetY, 0.0f));
93     mvp = mvp * model;
94     if (liveCapMVPUniformLoc >= 0)
95         GLCall(glUniformMatrix4fv(liveCapMVPUniformLoc, 1, GL_FALSE, &mvp[0][0]));
96 }
97
98 void applyLUT()
99 {
100     static float lutMinLast = 0, lutMaxLast = 0;
101     if ((lutMinLast != liveCapLutMin || lutMaxLast != liveCapLutMax) &&
102     ↪ liveCapLutMinUniformLoc >= 0 && liveCapLutMaxUniformLoc >= 0)

```

```

100     {
101         GLCall(glUniform1f(liveCapLutMinUniformLoc, liveCapLutMin));
102         GLCall(glUniform1f(liveCapLutMaxUniformLoc, liveCapLutMax));
103         lutMinLast = liveCapLutMin; lutMaxLast = liveCapLutMax;
104     }
105 }
106
107 void handleWindowResize(GLFWwindow* window, int width, int height)
108 {
109     double liveCapImgAspRatio = liveCapImgWidth / (float)liveCapImgHeight;
110     double liveCapWinAspRatio = width / (float)height;
111     if (liveCapImgAspRatio > liveCapWinAspRatio)
112         liveCapWinPxPerImpX = width / (float)liveCapImgWidth;
113     else
114         liveCapWinPxPerImpX = height / (float)liveCapImgHeight;
115     liveCapOffsetX = 0; liveCapOffsetY = 0; liveCapScale = 1;
116
117     applyMVP((float)width, (float)height);
118
119     GLCall(glViewport(0, 0, width, height));
120 }
121
122 void handleCursorPosition(GLFWwindow* window, double xpos, double ypos)
123 {
124     static bool buttonPressed = false;
125     static double dragX = 0, dragY = 0;
126
127     int width, height;
128     glfwGetWindowSize(window, &width, &height);
129     //ypos = height - ypos;
130
131     if (!buttonPressed && glfwGetMouseButton(window, GLFW_MOUSE_BUTTON_LEFT) == GLFW_PRESS)
132     {
133         dragX = xpos; dragY = ypos;
134         buttonPressed = true;
135     }
136     else if (buttonPressed && glfwGetMouseButton(window, GLFW_MOUSE_BUTTON_LEFT) ==
137     ↪ GLFW_PRESS)
138     {
139         liveCapOffsetX += (xpos - dragX) / liveCapScale / liveCapWinPxPerImpX;
140         liveCapOffsetY += (ypos - dragY) / liveCapScale / liveCapWinPxPerImpX;
141         applyMVP((float)width, (float)height);
142         dragX = xpos; dragY = ypos;
143     }
144     else if (glfwGetMouseButton(window, GLFW_MOUSE_BUTTON_LEFT) == GLFW_RELEASE)
145     {
146         dragX = 0; dragY = 0;
147         buttonPressed = false;
148     }
149 }

```

```

150 void handleMouseScroll(GLFWwindow* window, double xoffset, double yoffset)
151 {
152     double xpos, ypos;
153     glfwGetCursorPos(window, &xpos, &ypos);
154
155     int width, height;
156     glfwGetWindowSize(window, &width, &height);
157     //ypos = height - ypos;
158
159     glm::mat4 projection = glm::ortho(-(float)width / 2, (float)width / 2, -(float)height /
    ↪ 2, (float)height / 2); // Create orthogonal Projection matrix
160     glm::vec3 imgPx = glm::unProject(glm::vec3(xpos, ypos, 0.0f), glm::mat4(1.0),
    ↪ projection, glm::vec4(0, 0, width, height));
161
162     liveCapOffsetX += -imgPx.x / liveCapScale / liveCapWinPxPerImPx;
163     liveCapOffsetY += -imgPx.y / liveCapScale / liveCapWinPxPerImPx;
164     liveCapScale += 0.1 * liveCapScale * yoffset;
165     liveCapScale = liveCapScale < 0.1 ? 0.1 : liveCapScale;
166     liveCapScale = liveCapScale > 100 ? 100 : liveCapScale;
167     liveCapOffsetX += imgPx.x / liveCapScale / liveCapWinPxPerImPx;
168     liveCapOffsetY += imgPx.y / liveCapScale / liveCapWinPxPerImPx;
169
170     applyMVP((float)width, (float)height);
171 }
172
173 void handleWindowClose(GLFWwindow* window)
174 {
175     glfwSetWindowShouldClose(window, GLFW_FALSE);
176 }
177
178 void handleKeyPress(GLFWwindow* window, int key, int scancode, int action, int mods)
179 {
180     if (key == GLFW_KEY_SPACE)
181     {
182         int width, height;
183         glfwGetWindowSize(window, &width, &height);
184         liveCapOffsetX = 0; liveCapOffsetY = 0; liveCapScale = 1;
185         applyMVP((float)width, (float)height);
186         GLCall(glViewport(0, 0, width, height));
187     }
188 }
189 }
190
191 void liveCapShow(std::ostream& out, HDCAM hdcam)
192 {
193     DCAMERR camErr;
194
195     // prepare frame param
196     DCAMBUF_FRAME bufframe;
197     memset(&bufframe, 0, sizeof(bufframe));
198     bufframe.size = sizeof(bufframe);

```

```

199     bufframe.iFrame = 0;
200
201     GLFWwindow* window;
202
203     /* Initialize the library */
204     if (!glfwInit())
205     {
206         out << "GLFW initialization failed." << std::endl << "otSoft> ";
207         return;
208     }
209
210     glfwWindowHint(GLFW_CONTEXT_VERSION_MAJOR, 3);
211     glfwWindowHint(GLFW_CONTEXT_VERSION_MINOR, 3);
212     glfwWindowHint(GLFW_OPENGL_PROFILE, GLFW_OPENGL_CORE_PROFILE);
213
214     // Get Monitor information to set correct window size
215     GLFWmonitor* primaryMonitor = glfwGetPrimaryMonitor();
216     const GLFWvidmode* mode = glfwGetVideoMode(primaryMonitor);
217     int liveCapWindowWidth = (int)(mode->width / 1.5);
218     int liveCapWindowHeight = (int)(mode->height / 1.5);
219
220     /* Create a windowed mode window and its OpenGL context */
221     window = glfwCreateWindow(liveCapWindowWidth, liveCapWindowHeight, "liveCam", NULL,
222     ↪ NULL);
223     if (!window)
224     {
225         out << "GLFW window creation failed." << std::endl;
226         glfwTerminate();
227         return;
228     }
229
230     // Set necessary callbacks
231     glfwSetWindowSizeCallback(window, handleWindowResize);
232     glfwSetCursorPosCallback(window, handleCursorPosition);
233     glfwSetScrollCallback(window, handleMouseScroll);
234     glfwSetWindowCloseCallback(window, handleWindowClose);
235     glfwSetKeyCallback(window, handleKeyPress);
236
237     /* Make the window's context current */
238     glfwMakeContextCurrent(window);
239     glfwSwapInterval(1);
240
241     GLError err = glewInit();
242     if (GLEW_OK != err)
243     {
244         out << "GLEW initialization failed." << std::endl;
245         out << "Error: " << glewGetErrorString(err) << std::endl;
246         glfwTerminate();
247         return;
248     }

```

```

249
250 // Dark blue background
251 glClearColor(0.251f, 0.259f, 0.345f, 1.0f);
252
253 float vertices[16] = {
254     -(liveCapImgWidth / 2.0f), -(liveCapImgHeight / 2.0f), 0.0f, 0.0f,
255     (liveCapImgWidth / 2.0f), -(liveCapImgHeight / 2.0f), 1.0f, 0.0f,
256     (liveCapImgWidth / 2.0f), (liveCapImgHeight / 2.0f), 1.0f, 1.0f,
257     -(liveCapImgWidth / 2.0f), (liveCapImgHeight / 2.0f), 0.0f, 1.0f
258 };
259
260 GLuint indices[16] = {
261     0, 1, 2,
262     2, 3, 0
263 };
264
265 GLuint vao;
266 GLCall(glGenVertexArrays(1, &vao));
267 GLCall(glBindVertexArray(vao));
268
269 GLuint vbo;
270 GLCall(glGenBuffers(1, &vbo));
271 GLCall(glBindBuffer(GL_ARRAY_BUFFER, vbo));
272 GLCall(glBufferData(GL_ARRAY_BUFFER, sizeof(float) * 16, vertices, GL_STATIC_DRAW));
273
274 GLCall(glEnableVertexAttribArray(0));
275 GLCall(glVertexAttribPointer(0, 2, GL_FLOAT, GL_FALSE, 4 * sizeof(float), 0));
276 GLCall(glEnableVertexAttribArray(1));
277 GLCall(glVertexAttribPointer(1, 2, GL_FLOAT, GL_FALSE, 4 * sizeof(float), (void*)(2 *
↪ sizeof(float))));
278
279 GLuint ibo;
280 GLCall(glGenBuffers(1, &ibo));
281 GLCall(glBindBuffer(GL_ELEMENT_ARRAY_BUFFER, ibo));
282 GLCall(glBufferData(GL_ELEMENT_ARRAY_BUFFER, sizeof(GLuint) * 6, indices,
↪ GL_STATIC_DRAW));
283
284 GLuint shader = CreateShader();
285 GLCall(glUseProgram(shader));
286
287 /* OpenGL texture binding of the image from the camera */
288 GLuint imgTexID;
289 GLCall(glGenTextures(1, &imgTexID)); /* Texture name generation */
290 GLCall(glActiveTexture(GL_TEXTURE0)); // Activate texture unit 0 to store the image
291 GLCall(glBindTexture(GL_TEXTURE_2D, imgTexID)); /* Binding of texture name */
292 GLCall(glTexParameterf(GL_TEXTURE_2D, GL_TEXTURE_MAG_FILTER, GL_NEAREST)); /* We will
↪ use linear interpolation for magnification filter */
293 GLCall(glTexParameterf(GL_TEXTURE_2D, GL_TEXTURE_MIN_FILTER, GL_NEAREST)); /* We will
↪ use linear interpolation for minifying filter */
294 GLCall(glTexParameterf(GL_TEXTURE_2D, GL_TEXTURE_WRAP_S, GL_CLAMP_TO_EDGE));
295 GLCall(glTexParameterf(GL_TEXTURE_2D, GL_TEXTURE_WRAP_T, GL_CLAMP_TO_EDGE));

```

```

296     GLCall(glTexImage2D(GL_TEXTURE_2D, 0, GL_R16, liveCapImgWidth, liveCapImgHeight, 0,
↪     GL_RED, GL_UNSIGNED_SHORT, bufframe.buf));
297
298     // Get uniform location to update MVP matrix
299     liveCapMVPUniformLoc = glGetUniformLocation(shader, "u_MVP");
300
301     // Get uniform location to update lutMin and lutMax
302     liveCapLutMinUniformLoc = glGetUniformLocation(shader, "u_lutMin");
303     liveCapLutMaxUniformLoc = glGetUniformLocation(shader, "u_lutMax");
304     GLCall(glUniform1f(liveCapLutMinUniformLoc, liveCapLutMin));
305     GLCall(glUniform1f(liveCapLutMaxUniformLoc, liveCapLutMax));
306
307     // Call window resize function to correctly initialize MVP
308     handleWindowResize(window, liveCapWindowWidth, liveCapWindowHeight);
309
310     // State that the live feed is started
311     liveFeedStopped = false;
312
313     /* Loop until the user closes the window */
314     while (!liveFeedStopped)
315     {
316         /* Render here */
317         GLCall(glClear(GL_COLOR_BUFFER_BIT));
318
319         // Update the texture
320         // access image
321         camErr = dcambuf_lockframe(hdcam, &bufframe);
322         if (!failed(camErr) && bufframe.type == DCAM_PIXELTYPE_MONO16)
323             GLCall(glTexSubImage2D(GL_TEXTURE_2D, 0, 0, 0, liveCapImgWidth,
↪             liveCapImgHeight, GL_RED, GL_UNSIGNED_SHORT, bufframe.buf));
324         else
325             out << std::endl << "Error locking the camera frame." << std::endl;
326
327         // Update LUT
328         applyLUT();
329
330         // Draw the triangles
331         GLCall(glDrawElements(GL_TRIANGLES, 6, GL_UNSIGNED_INT, nullptr));
332
333         /* Swap front and back buffers */
334         glfwSwapBuffers(window);
335
336         /* Poll for and process events */
337         glfwPollEvents();
338     }
339
340     // Cleanup VBO
341     GLCall(glDeleteTextures(1, &imgTexID));
342     GLCall(glDeleteBuffers(1, &vbo));
343     GLCall(glDeleteBuffers(1, &ibo));
344     GLCall(glDeleteVertexArrays(1, &vao));

```

```

345     GLCall(glDeleteProgram(shader));
346
347     glfwTerminate();
348     return;
349 }
350
351 void setCamCapImgSize(double width, double height)
352 {
353     liveCapImgWidth = (GLsizei)width;
354     liveCapImgHeight = (GLsizei)height;
355 }
356
357 void setCamCapLUT(int min, int max)
358 {
359     liveCapLutMin = (GLfloat)min;
360     liveCapLutMax = (GLfloat)max;
361 }
362
363 void startCamCap(std::ostream& out, HDCAM hdcam)
364 {
365     // State that live capture has started
366     liveCapOn = true;
367
368     // Variable to hold camera errors
369     DCAMERR err;
370
371     // open wait handle
372     DCAMWAIT_OPEN waitopen;
373     memset(&waitopen, 0, sizeof(waitopen));
374     waitopen.size = sizeof(waitopen);
375     waitopen.hdcam = hdcam;
376
377     err = dcamwait_open(&waitopen);
378     if (failed(err))
379     {
380         out << "Could not create DCAMWAIT_OPEN object." << std::endl;
381         return;
382     }
383     else
384     {
385         HDCAMWAIT hwait = waitopen.hwait;
386
387         // allocate buffer
388         int32 number_of_buffer = 1;
389         err = dcambuf_alloc(hdcam, number_of_buffer);
390         if (failed(err))
391         {
392             out << "Could not allocate frame buffer in the DCAM module." << std::endl;
393             return;
394         }
395         else

```



```

396     {
397         // start capture
398         err = dcamcap_start(hdcam, DCAMCAP_START_SEQUENCE);
399         if (failed(err))
400         {
401             out << "Could not start camera capture." << std::endl;
402             return;
403         }
404         else
405         {
406             // set wait param
407             DCAMWAIT_START waitstart;
408             memset(&waitstart, 0, sizeof(waitstart));
409             waitstart.size = sizeof(waitstart);
410             waitstart.eventmask = DCAMWAIT_CAPEVENT_FRAMEREADY;
411             waitstart.timeout = 11000;
412
413             // wait image
414             err = dcamwait_start(hwait, &waitstart);
415             if (failed(err))
416             {
417                 out << "Could not detect frame ready event." << std::endl;
418                 return;
419             }
420
421             // Start the live feed
422             liveCapShow(out, hdcam);
423
424             // stop capture
425             dcamcap_stop(hdcam);
426         }
427
428         // release buffer
429         dcambuf_release(hdcam);
430     }
431
432     // close wait handle
433     dcamwait_close(hwait);
434 }
435
436 // State that the liveCap is stopped
437 liveCapOn = false;
438 }
439
440 void stopCamCap(std::ostream &out)
441 {
442     if (liveCapOn)
443     {
444         out << "Stopping the live feed." << std::endl;
445         liveFeedStopped = true;
446         setCamCapLUT();

```

```

447     }
448
449     while (liveCapOn);
450 }

```

---

## H.2.15 liveCap.h

---

```

1  #pragma once
2
3  #define NOMINMAX
4  #include "dcamMisc/console4.h"
5
6  #define GLEW_STATIC
7  #include <GL/glew.h>
8
9  #include <GLFW/glfw3.h>
10 #include "glm/glm.hpp"
11 #include "glm/gtc/matrix_transform.hpp"
12
13 #include <iostream>
14 #include <vector>
15
16 // Macro to print opengl errors
17 #define GLCall(x) |
18 do { |
19     while(glGetError()); |
20     x; |
21     while(GLenum err = glGetError()) |
22     { |
23         std::cout << "[OpenGL Error] " |
24                 << err << ": " |
25                 << #x << " in " |
26                 << __FILE__ << " at " |
27                 << __LINE__ |
28                 << std::endl; |
29         __debugbreak(); |
30     } |
31 } while (0)
32
33 // Global variable to indicate live capture state
34 extern std::atomic<bool> liveCapOn;
35
36 void setCamCapImgSize(double width, double height);
37 void setCamCapLUT(int min = 0, int max = 65535);
38 void startCamCap(std::ostream &out, HDCAM hdcam);
39 void stopCamCap(std::ostream &out);

```

---

## H.2.16 shtrCtrl.cpp

---

```
1 #include "shtrCtrl.h"
2
3 seriallib shtrPort; // Serial connection to the uC that controls the shutter and camera
4 ↪ trigger
5
6 // Blocking function, if timeout_ms = 0, that returns with a character when available.
7 // Otherwise the number would be negative if no character is received within timeout.
8 int getcShtr(unsigned int timeout_ms)
9 {
10     char c;
11     int retCode = 0;
12     if (timeout_ms)
13         retCode = shtrPort.readChar(&c, timeout_ms);
14     else
15         while ((retCode = shtrPort.readChar(&c, 0)) < 1);
16     if (retCode == 1)
17         return c;
18     else
19         return -2;
20 }
21
22 // Blocking function, if timeout_ms = 0, that reads a string when available.
23 // The timeout_ms value is used for each character.
24 bool getsShtr(char *s, unsigned int timeout_ms)
25 {
26     uint8_t count = 0;
27     int c;
28
29     while (count < MAX_CHARS)
30     {
31         c = getcShtr(timeout_ms);
32         if (c == 8 || c == 127)
33         {
34             if (count == 0)
35                 continue;
36             count--;
37         }
38         else if (c == 10 || c == 13) // Putty generally sends '\r' on Enter key
39         {
40             s[count] = '\0'; // Add Null character
41             c = getcShtr(1000); // Flush out the expected '\n' character if
42             ↪ received within 1ms
43             break;
44         }
45         else if (c > 31 && c < 127)
46             s[count++] = c;
47         else if (!timeout_ms)
48             continue;
49         else
50             break;
51     }
52 }
```

```

48         return 0;
49     }
50     return 1;
51 }
52
53 void flshShtrCommBuffer()
54 {
55     shtrPort.flushReceiver();
56 }
57
58 bool initShtr()
59 {
60     // Look for the ports
61     std::string devName;
62     bool correctToken = false;
63     char token[MAX_CHARS];
64
65     for (int i = 1; i < 99; i++)
66     {
67         // Prepare the port name (Windows)
68         devName = "\\.\COM" + std::to_string(i);
69
70         // try to connect to the device
71         if (shtrPort.openDevice(devName.c_str(), 115200) == 1)
72         {
73             // Set DTR and unset RTS
74             shtrPort.DTR(true);
75             shtrPort.RTS(false);
76
77             // Read string from the port
78             shtrPort.flushReceiver();
79             for (size_t j = 0; j < 5; ++j)
80             {
81                 int nBytes = getsShtr(token,200);
82                 correctToken = !strcmp(token, recToken);
83                 if (correctToken)
84                     break;
85             }
86
87             if (correctToken)
88             {
89                 shtrPort.writeString(sndToken);
90                 break;
91             }
92             else
93                 shtrPort.closeDevice();
94         }
95     }
96     if (correctToken)
97         std::cout << "Shutter controller detected on " << devName << "." << std::endl;
98     else

```

```

99         std::cout << "No shutter controller was detected." << std::endl;
100
101     return correctToken;
102 }
103
104 void sndShtrCmd(std::ostream &out, std::string& s)
105 {
106     // Flush the input buffer
107     shtrPort.flushReceiver();
108
109     // Append CR/LF combo to the string
110     s = s + "\r\n";
111
112     // Send the command
113     putsShtr(s.c_str());
114
115     // Receive the response
116     char response[MAX_CHARS];
117     while (getsShtr(response))
118     {
119         if (!strcmp(response, endRspToken))
120             break;
121         else
122             out << std::string(response) << std::endl;
123     }
124 }
125
126 std::string sndCmdRecRspShtr(std::string& s)
127 {
128     // Flush the input buffer
129     shtrPort.flushReceiver();
130
131     // Append CR/LF combo to the string
132     s = s + "\r\n";
133
134     // Send the command
135     putsShtr(s.c_str());
136
137     // Receive the response and append it to a string
138     char response[MAX_CHARS];
139     std::string rsp;
140     while (getsShtr(response))
141     {
142         if (!strcmp(response, endRspToken))
143             break;
144         else
145             rsp += response;
146     }
147
148     return rsp;
149 }

```

```

150
151 void deinitShtr(std::ostream &out)
152 {
153     sndShtrCmd(out, std::string("++reboot"));
154     shtrPort.closeDevice();
155 }

```

---

## H.2.17 shtrCtrl.h

---

```

1  #pragma once
2
3  #define NOMINMAX
4  #include <iostream>
5  #include <string>
6  #include "serialib/lib/serialib.h"
7
8  #define MAX_CHARS 80
9
10 // Define tokens used for communication
11 #define sndToken "116119101101122101114083111102116119097114101\r\n" // Each 3 digits
12 ↪ converted to chars results in 'tweezerSoftware'
13 #define recToken "115104117116116101114067111110116114111108108101114" // Each 3 digits
14 ↪ converted to chars results in 'shutterController'
15 #define endRspToken "101110100067109100082115112" // Each 3 digits
16 ↪ converted to chars results in 'endCmdRsp'
17
18 extern serialib shtrPort; // Serial connection to the uC that controls the shutter and
19 ↪ camera trigger
20
21 #define putcShtr(c) shtrPort.writeChar(c) // Blocking function that writes a character
22 #define putsShtr(s) shtrPort.writeString(s) // Blocking function that writes a string
23
24 void flshShtrCommBuffer();
25 int getcShtr(unsigned int timeout_ms = 0);
26 bool getsShtr(char *s, unsigned int timeout_ms = 0);
27
28 bool initShtr();
29 void sndShtrCmd(std::ostream &out, std::string& s);
30 std::string sndCmdRecRspShtr(std::string &s);
31 void deinitShtr(std::ostream &out);

```

---

## APPENDIX I

Code Listings for the Image/Video Handling

## I.1 Directory tree

```
/.....Add this folder to MATLAB path for easy access
├── bin2avi.m.....Compress raw data from the camera into an AVI video
├── bin2jp2.m.....Compress raw data from the camera into a SuperFrame image
├── bin2mj2.m.....Compress raw data from the camera into an MJ2 video
├── jp2ToAvi.m.....Create playable video from the SuperFrame image
├── mj2ToAvi.m.....Create playable video from the MJ2 video
├── readImgsBin.m.....Load images from the raw camera data
├── readImgsJp2.m.....Load images from the SuperFrame image
└── readImgsMj2.m.....Load images from the MJ2 video
```

## I.2 Code Listings

### I.2.1 bin2avi.m

---

```
1 function bin2avi(binFilePath,slowness,videoFilePath)
2 % This function assumes that the camera is capturing in the center of the
3 % sensor. If this is not the case then the calculation of the exposure time
4 % will be wrong.
5 arguments
6     binFilePath {mustBeFile}
7     slowness (1,1) double = 1
8     videoFilePath (1,1) string = binFilePath
9 end
10
11 % Read jp2 files
12 imgs = readImgsBin(binFilePath);
13
14 % Adjust the contrast
15 imgs = imadjustn(imgs,stretchlim(imgs(:),0.01));
16
17 % Create an output file
18 [fPath,fName,~] = fileparts(videoFilePath);
19 vOut = VideoWriter(fullfile(fPath,fName),"Grayscale AVI");
20 vOut.FrameRate = 60;
21 open(vOut);
22
23 expTime = size(imgs,1)/2*9.74e-6; % Taken from camera datasheet
24 for i = 1:max(ceil(1/expTime/60/slowness),1):size(imgs,3)
25     writeVideo(vOut,im2uint8(imgs(:,:,i)));
26 end
27
28 close(vOut);
29
30 end
```

---



## I.2.2 bin2jp2.m

```
1 function bin2jp2(filePath)
2 arguments
3     filePath {mustBeFile}
4 end
5
6 % Load the images from binary file
7 imgs = readImgsBin(filePath);
8
9 if ~isempty(imgs)
10     % Create a folder with the name of the file
11     [fPath,fName,~] = fileparts(filePath);
12     folderPath = fullfile(fPath,fName);
13     if ~exist(folderPath, "dir")
14         mkdir(folderPath);
15     end
16     if ~isempty(dir(fullfile(fPath,fName,fName + "*.jp2")))
17         delete(fullfile(fPath,fName,fName + "*.jp2"));
18     end
19
20     % Determine how many output files will be generated since the raw data
21     % for compression cannot be larger than 2^32 - 1 bytes
22     nPixV = size(imgs,1); nPixH = size(imgs,2); nImgs = size(imgs,3);
23     pixPerImg = nPixV*nPixH;
24     bytesPerImg = pixPerImg*2;
25     nImgsPer4Gb = floor((2^32-1)/bytesPerImg);
26     nOutputFiles = ceil(nImgs/nImgsPer4Gb);
27     nImgsPerFile = ceil(nImgs/nOutputFiles);
28     imgsInEachFile = min((1:nOutputFiles)*nImgsPerFile,nImgs) -
29     ↪ ((1:nOutputFiles)-1)*nImgsPerFile;
30
31     splitVals = [0 cumsum(imgsInEachFile)];
32
33     % Save each chunk of images
34     for i = 1:numel(splitVals)-1
35
36         % This section is required for now because MATLAB crashes while
37         % reading jp2 images of certain size, e.g. 16384x100000. Following code
38         % will prove this. If MATLAB doesn't crash while running following code
39         % then the bug is probably resolved. In that case delete this section
40         % since it won't be necessary to do this anymore.
41         % img = zeros(100000,16384,"uint16");
42         % imwrite(img,"test.jp2","Mode","lossless");
43         % imgComp = imread("test.jp2");
44         %
45         % Find appropriate height and width for each cell element
46         nPix = numel(img);
47         % Can be done in two ways
48         % 1. Try to make number of rows a multiple of pixPerImg and fit
```

```

49     % multiple images in one column, gives better compression but may
50     % cause crash
51     nRows = ceil(sqrt(nPix)/pixPerImg)*pixPerImg;
52     while mod(nPix,nRows)
53         nRows = nRows + pixPerImg;
54     end
55     nCols = nPix/nRows;
56     % 2. Aim for number of rows and number of columns being as close as
57     % possible, will avoid crash better but provides poor compression
58     nRows = ceil(sqrt(nPix));
59     while mod(nPix,nRows)
60         nRows = nRows + 1;
61     end
62     nCols = nPix/nRows;
63     img = reshape(img,nRows,nCols);
64
65     % Save the image
66     imwrite(img,fullfile(fPath,fName,fName + num2str(i) + ".jp2"),"Mode","lossless",...
67     ↪ Define height and width of the original images for future decoding.
68         "Comment",[num2str(nPixV) num2str(nPixH) "First value is height and second
69         ↪ value is the width of the original images."]);
70
71     end
72
73     % Reload the images to check if the files were saved correctly
74     img = readImgsJp2(folderPath);
75
76     % Delete bin file if the data read from jp2 files is same as the binary data
77     if all(imgs == img,"all")
78         delete(filePath);
79     end
80 end
81 end

```

---

### I.2.3 bin2mj2.m

```

1  function bin2mj2(filePath)
2  arguments
3      filePath {mustBeFile}
4  end
5
6  % Load the images from binary file
7  imgs = readImgsBin(filePath);
8
9  if ~isempty(imgs)
10     % Create a file with mj2 extension
11     [fPath,fName,~] = fileparts(filePath);
12     file = fullfile(fPath,fName) + ".mj2";
13     v = VideoWriter(file,"Motion JPEG 2000");
14     v.LosslessCompression = true;

```

```

15
16     % Write frames to the file
17     imgs = reshape(imgs,size(imgs,1),size(imgs,2),1,size(imgs,3));
18     open(v);
19     writeVideo(v,imgs);
20     close(v);
21
22     % Load the video frames back
23     v = VideoReader(file);
24     imgsComp = read(v);
25
26     % Delete bin file if the data read from mj2 file is same as the binary data
27     if all(imgs == imgsComp,"all")
28         delete(filePath);
29     else
30         warning("Data from the compressed file doesn't seem to be the same as the bin
31         ↪ file.\n");
32     end
33 end
end

```

---

#### I.2.4 jp2ToAvi.m

---

```

1  function jp2ToAvi(jp2FolderPath,slowness,videoFilePath)
2  % This function assumes that the camera is capturing in the center of the
3  % sensor. If this is not the case then the calculation of the exposure time
4  % will be wrong.
5  arguments
6      jp2FolderPath {mustBeFolder}
7      slowness (1,1) double = 1
8      videoFilePath (1,1) string = "video"
9  end
10
11 % Read jp2 files
12 imgs = readImgsJp2(jp2FolderPath);
13
14 % Adjust the contrast
15 imgs = imadjustn(imgs,stretchlim(imgs(:),0));
16
17 % Create an output file
18 [fPath,fName,~] = fileparts(videoFilePath);
19 vOut = VideoWriter(fullfile(fPath,fName),"Grayscale AVI");
20 vOut.FrameRate = 60;
21 open(vOut);
22
23 expTime = size(imgs,1)/2*9.74e-6; % Taken from camera datasheet
24 for i = 1:max(ceil(1/expTime/60/slowness),1):size(imgs,3)
25     writeVideo(vOut,im2uint8(imgs(:,:,i)));
26 end

```

```

27
28 close(vOut);
29
30 end

```

---

### I.2.5 mj2ToAvi.m

---

```

1 function mj2ToAvi(videoFilePath,expTime,slowness)
2 % This function assumes that the camera is capturing in the center of the
3 % sensor. If this is not the case then the calculation of the exposure time
4 % will be wrong.
5 arguments
6     videoFilePath {mustBeFile}
7     expTime (1,1) double
8     slowness (1,1) double = 1
9 end
10
11 % Read mj2 file
12 imgs = readImgsMj2(videoFilePath);
13
14 % Adjust the contrast
15 imgs = imadjustn(imgs,stretchlim(imgs(:),0));
16
17 % Create an output file
18 [fPath,fName,~] = fileparts(videoFilePath);
19 vOut = VideoWriter(fullfile(fPath,fName),"Grayscale AVI");
20 vOut.FrameRate = 60;
21 open(vOut);
22
23 for i = 1:max(ceil(1/expTime/60/slowness),1):size(imgs,3)
24     % imagesc(imgs(:,:,i));
25     % colormap gray; axis equal; axis([-inf inf -inf inf])
26     % writeVideo(vOut,im2uint8(rgb2gray(getframe(gcf).cdata)));
27     writeVideo(vOut,im2uint8(imgs(:,:,i)));
28 end
29
30 close(vOut);
31
32 end

```

---

### I.2.6 readImgsBin.m

---

```

1 function imgs = readImgsBin(filePath)
2
3 arguments
4     filePath {mustBeFile}
5 end

```

```

6
7 % Load the binary file containing images
8 imgsFile = fopen(filePath,"r");
9 if imgsFile > 2
10     % Read img size and number of frames
11     imgWidth = fread(imgsFile,1,"uint32"); % This was 'uint16' previously
12     imgHeight = fread(imgsFile,1,"uint32"); % This was 'uint16' previously
13     nImgs = fread(imgsFile,1,"uint32"); % This was 'uint16' previously
14
15     % Check if number of frames is correct
16     s = dir(filePath);
17     filesize = s.bytes;
18     nImgsComp = (filesize - 12)/double(imgWidth*imgHeight*2);
19
20     if mod(filesize,1) ~= 0
21         warning("Invalid image file.");
22         imgs = [];
23     else
24         try
25             % Make sure we have correct number of images
26             if nImgsComp ~= nImgs
27                 disp("Mismatch between the number of frames stated in the file, "...
28                     + num2str(nImgs)...
29                     + ", vs what is available in the file, "...
30                     + num2str(nImgsComp) + ".");
31                 nImgs = nImgsComp;
32                 % nImgs = input("Please enter the correct number of frames: ");
33             end
34
35             % Allocate memory to store images
36             imgs = zeros(imgHeight,imgWidth,nImgs,"uint16");
37
38             % Read images
39             for i = 1:nImgs
40                 imgs(:,:,i) = fread(imgsFile,[imgWidth imgHeight],"uint16");
41             end
42
43             % Close the file
44             fclose(imgsFile);
45         catch
46             warning("Error reading the file.");
47             imgs = [];
48         end
49     end
50 end
51
52 end

```

---

## I.2.7 readImgsJp2.m

---

```
1 function imgs = readImgsJp2(folderPath)
2
3 arguments
4     folderPath {mustBeFolder}
5 end
6
7 % Get list of .jp2 files in the directory
8 files = dir(fullfile(folderPath,"*.jp2"));
9
10 % Check if there are any jp2 files
11 if isempty(files)
12     error("Folder " + folderPath + " doesn't contain any jp2 files.");
13 end
14
15 % Make sure all the files are correct
16 imInfo = imfinfo(fullfile(files(1).folder,files(1).name));
17 nPixV = str2double(imInfo.Comments(1));
18 nPixH = str2double(imInfo.Comments(2));
19 nImgs = zeros(numel(files),2);
20 if mod(imInfo.Height*imInfo.Width,nPixH*nPixV)
21     error("File " + fullfile(files(1).folder,files(1).name) + " seems to be corrupted.");
22 end
23 nImgs(1,1) = 1; nImgs(1,2) = imInfo.Height*imInfo.Width/nPixH/nPixV;
24 for i = 2:numel(files)
25     imInfo = imfinfo(fullfile(files(i).folder,files(i).name));
26     if str2double(imInfo.Comments(1)) ~= nPixV || str2double(imInfo.Comments(2)) ~= nPixH
27         error("Size of the images isn't consistent.");
28     elseif
29         ↪ mod(imInfo.Height*imInfo.Width,str2double(imInfo.Comments(1))*str2double(imInfo.Comments(2)))
30         error("File " + fullfile(files(1).folder,files(1).name) + " seems to be
31         ↪ corrupted.");
32     end
33
34     % Count the total number of images
35     nImgs(i,1) = 1 + nImgs(i-1,2);
36     nImgs(i,2) = nImgs(i-1,2) + imInfo.Height*imInfo.Width/nPixH/nPixV;
37 end
38
39 % Create a matrix to hold the images
40 imgs = zeros(nPixV,nPixH,nImgs(end,2),"uint16");
41
42 % Load all the images
43 for i = 1:numel(files)
44     imgs(:,:,nImgs(i,1):nImgs(i,2)) =
45     ↪ reshape(imread(fullfile(files(i).folder,files(i).name)),nPixV,nPixH,[]);
46 end
47 end
```

---

## I.2.8 readImgsMj2.m

---

```
1 function imgs = readImgsMj2(filePath)
2 arguments
3     filePath {mustBeFile}
4 end
5
6 % Load the video frames
7 v = VideoReader(filePath);
8 imgs = read(v);
9
10 % Reduce the one extra dimension
11 imgs = reshape(imgs,size(imgs,1),size(imgs,2),size(imgs,4));
12
13 end
```

---

## APPENDIX J

### Code Listings for Processing Experimental Data



## J.1 Directory tree

/	
├── imBinarize/	..... Stores results of imBinarize approach
├── kernelFit/	..... Stores results of kernelFit approach
├── private/	..... Acts as a local library of functions
│   ├── compPSD.m	..... Calculates PSD profile
│   ├── cropImgs.m	..... Used to extract kernel image
│   ├── filtPSD.m	..... Filters the PSD profile
│   ├── findBeadCentroids.m	..... Computes particle centroids from images
│   └── fitHydroFaxenPSD.m	..... Fits theoretical PSD to experimental data
├── brownian0.mj2	..... Compressed recording of Brownian motion experiment
├── brownian0.m	..... Main code to process Brownian motion data
├── traj0.mj2	..... Compressed recording of TRR experiment
├── traj0.m	..... Main code to process TRR data
└── README.md	..... Keeps the information regarding the experiments

## J.2 Code Listings

### J.2.1 compPSD.m

---

```
1 function [psd,frq] = compPSD(pos,dt)
2 arguments
3     pos (:,1) double
4     dt (1,1) double
5 end
6
7 % Compute common values
8 Fs = 1/dt;
9 L = length(pos);
10 frq = 0:Fs/L:Fs/2;
11
12 % Take the mean position out of the data
13 pos = pos - mean(pos);
14
15 % Calculate PSD
16 dft = fft(pos);
17 dft = dft(1:L/2+1);
18 psd = (1/(Fs*L)) * abs(dft).^2;
19 psd(2:end-1) = 2*psd(2:end-1);
20 end
```

---

## J.2.2 cropImgs.m

---

```
1 function imgs = cropImgs(imgs)
2 arguments
3     imgs (:,:,) uint16
4 end
5
6 imgsSz = size(imgs(:,:,1));
7
8 % Show the first image
9 imagesc(imgs(:,:,1));
10 colormap gray;
11 axis equal;
12 axis([-inf inf -inf inf]);
13
14 % Ask the user to enter the cropping region
15 cropRect = input("Enter xLow, xHigh, yLow and yHigh values of the crop region in array
16 ↪ format: ");
17 close(imgcf);
18
19 if isequal(size(cropRect(:)), [4,1])
20     cropRect(1) = max(cropRect(1),1);
21     cropRect(2) = min(cropRect(2),imgsSz(2));
22     cropRect(3) = max(cropRect(3),1);
23     cropRect(4) = min(cropRect(4),imgsSz(1));
24     cropRect = round(cropRect);
25 else
26     cropRect = [1 imgsSz(2) 1 imgsSz(1)];
27 end
28
29 imgs = imgs(cropRect(3):cropRect(4),cropRect(1):cropRect(2),:);
30 end
```

---

## J.2.3 filtPSD.m

---

```
1 function [psd,frq] = filtPSD(psd,frq,nValsPerBin)
2 arguments
3     psd (:,1) double
4     frq (:,1) double
5     nValsPerBin (1,1) double
6 end
7
8 if length(psd) ~= length(frq)
9     error("Length of psd and frq array don't match.");
10 end
11
12 [frqBins,frqEdges] = discretize(frq,ceil(length(frq)/nValsPerBin));
13 psd = accumarray(frqBins(:),psd(:))./accumarray(frqBins(:),1);
```

```

14 frq = (frqEdges(1:end-1)+frqEdges(2:end))'./2;
15 end

```

---

## J.2.4 findBeadCentroids.m

---

```

1  function [xc,yc] = findBeadCentroids(imgs,method,beadImg,threshold)
2
3  arguments
4      imgs (:,:,) uint16
5      method (1,:) char {mustBeMember(method,{'kernelFit','imBinarize'})}
6      beadImg (:,:) uint16 = []
7      threshold (1,1) double {mustBeInRange(threshold,0,1)} = 0.6
8  end
9
10 %% Get some dimensions
11 imgsSz = size(imgs(:,:,1));
12 nImgs = size(imgs,3);
13
14 %% Extract the bead image if not provided
15 if isempty(beadImg)
16     % Show the second image, sometimes the first image has issues
17     if nImgs > 1
18         img = imgs(:,:,2);
19     else
20         img = imgs(:,:,1);
21     end
22     imagesc(img);
23     colormap gray;
24     axis equal;
25     axis([-inf inf -inf inf]);
26
27     % Ask the user to enter the cropping region
28     cropRect = input("Enter xLow, xHigh, yLow and yHigh values of the bead region in array
29     ↪ format: ");
30     close(imgcf);
31     if isequal(size(cropRect(:)), [4,1])
32         cropRect(1) = max(cropRect(1),1);
33         cropRect(2) = min(cropRect(2),imgsSz(2));
34         cropRect(3) = max(cropRect(3),1);
35         cropRect(4) = min(cropRect(4),imgsSz(1));
36         cropRect = round(cropRect);
37     else
38         cropRect = [1 imgsSz(2) 1 imgsSz(1)];
39     end
40     beadImg = img(cropRect(3):cropRect(4),cropRect(1):cropRect(2));
41 end
42
43 %% Preallocate arrays

```

```

44 xc = zeros(nImgs,1);
45 yc = zeros(nImgs,1);
46
47 %% Find bead centroids
48 if isequal(method,"kernelFit")
49     % Scale the kernel
50     beadImg = double(beadImg);
51     beadImg = beadImg - mean(beadImg,"all");
52     beadSz = size(beadImg);
53
54     % Compute the cross-correlation value
55     % taken from: Tracking kinesin-driven movements with nanometre-scale precision
56     parfor i = 1:nImgs
57         img = im2double(imgs(:,:,i));
58         img = img - mean(img,"all");
59
60         C = xcorr2(img,beadImg);
61         C = C((1:imgsSz(1)) + (beadSz(1) - 1)/2, (1:imgsSz(2)) + (beadSz(2) - 1)/2);
62         C = C./max(C,[],"all");
63
64         % Compute the centroid
65         CminThr = C - threshold;
66         CminThr(CminThr < 0) = 0;
67         CminThrSum = sum(CminThr,"all");
68         r = 1:imgsSz(1); c = 1:imgsSz(2);
69         xc(i) = sum(CminThr.*c,"all")/CminThrSum; yc(i) =
        ↪ sum(CminThr.*r,"all")/CminThrSum;
70     end
71 elseif isequal(method,"imBinarize")
72     % Find area of the bead in beadImg
73     beadImg = wiener2(beadImg,[3 3]);
74     beadImg = imbinarize(beadImg);
75     [labeled,~] = bwlabel(beadImg,8);
76     graindata = regionprops(labeled,'basic');
77     beadArea = max([graindata.Area]);
78
79     % Use 60% of the beadArea to find the bead in all the images
80     parfor i = 1:nImgs
81         img = imgs(:,:,i);
82         img = wiener2(img,[3 3]);
83         bw = imbinarize(img);
84         [labeled,~] = bwlabel(bw,4);
85         graindata = regionprops(labeled,'basic');
86         graindata = graindata([graindata.Area] > threshold*beadArea);
87         maxArea = min([graindata.Area]);
88         biggestGrain = [graindata.Area]==maxArea;
89         xc(i) = graindata(biggestGrain).Centroid(1);
90         yc(i) = graindata(biggestGrain).Centroid(2);
91     end

```

```
92 end
93 end
```

---

## J.2.5 fitHydroFaxenPSD.m

---

```
1 function [D,k,convFact,fHndl] =
  ↳ fitHydroFaxenPSD(psd,frq,beadDia,wallDistance,beadDensity,dynViscosity,frqMin,frqMax)
2 arguments
3     psd (:,1) double
4     frq (:,1) double
5     beadDia (1,1) double
6     wallDistance (1,1) double
7     beadDensity (1,1) double
8     dynViscosity (1,1) double
9     frqMin (1,1) double
10    frqMax (1,1) double
11 end
12
13 %% All parameters values are assumed to be defined with unit system kgram, meter, second.
  ↳ %%
14 temp = 293.15; % System Temperature in kelvin
15 k_B = 1.38064852*1e-23; % Boltzmann Constant in meter^2*kgram/second^2/kelvin
16 rho_b = beadDensity; % Bead density in kg/m^3
17 rho_m = 1000; % Density of water in kg/m^3
18 r = beadDia/2; % Bead radius in meter
19 Vol = 4/3*pi*r*r*r; % Bead volume in m^3
20 m = rho_b*Vol; % Bead mass in kg
21 m_star = m + 2*pi*r*r*r*rho_m; % Hydrodynamical mass
22 mu_m = dynViscosity; % Dynamic viscosity of fluid medium at 20d C in Ns/m^2
23 nu_m = mu_m/rho_m; % Kinematic viscosity of water
24 beta_v = 6*pi*mu_m*r; % Translational drag coefficient Ns/m
25
26 fv = nu_m/pi/r/r; % Frequency where delta = R
27 fm = beta_v/2/pi/m_star; % Frequency of inertial relaxation
28 rlRatio = r/wallDistance; % Used for Faxen's drag coefficient correction
29
30 %% Try to compute k and D using optimization %%
31 % Limit the frequency range
32 idx = frq >= frqMin & frq <= frqMax; frq = log10(frq(idx)); psd = log10(psd(idx)); % Use
  ↳ log scaled values
33
34 % Set strict convergence criteria
35 opt = optimoptions('lsqcurvefit','Algorithm','levenberg-marquardt','Display','none',...
36     'OptimalityTolerance',1e-100,'FunctionTolerance',1e-100,...
37     'StepTolerance',1e-300,'MaxFunctionEvaluations',10000);
38
39 % Fit the function
40 x0 = [1e-4 100]; % [D fc]
41 x = lsqcurvefit(@(x,f) psdFunc(x,f,fv,fm,rlRatio),x0,frq,psd,[0 frqMin],[inf frqMax],opt);
```

```

42
43 % Display the result
44 D = x(1); fc = x(2);
45 k = fc*2*pi*beta_v;
46 convFact = sqrt(k_B*temp/beta_v/D);
47 fHndl = @(f) D/2/pi^2./(fc^2 + (1 - 4*pi*fc*eps)*f.^2 + 4*pi^2*eps^2*f.^4);
48 disp("Conversion factor is " + num2str(sqrt(k_B*temp/beta_v/D)*1e6) + "um/px and stiffness
↳ is " + num2str(k*1e6) + "pN/um.");
49
50 end
51
52 function psd = psdFunc(x,f,fv,fm,rlRatio)
53 D = x(1); fc = x(2);
54 f = 10.^f; % Because the input is log scaled
55 reGmGm0 = 1 + sqrt(f./fv) - 3*rlRatio/16 +
↳ 3*rlRatio/4*exp(-2/rlRatio*sqrt(f./fv)).*cos(2/rlRatio*sqrt(f./fv));
56 imGmGm0 = - sqrt(f./fv) +
↳ 3*rlRatio/4*exp(-2/rlRatio*sqrt(f./fv)).*sin(2/rlRatio*sqrt(f./fv));
57 psd = log10(D.*reGmGm0 / (2*pi^2) ./ ( (fc + f.*imGmGm0 - (f.^2)./fm).^2 + (f.*reGmGm0).^2
↳ ) );
58 end

```

---

## J.2.6 brownian0.m

```

1 clear; close all; clc;
2
3 %% Define necessary variables
4 fileName = "brownian0";
5 imProcessingMethod = "kernelFit";
6
7 %% Load the images
8 imgs = readImgsMj2(fileName + ".mj2");
9 intensityLims = stretchlim(imgs(:),0);
10
11 % Adjust images for contrast
12 if isequal(imProcessingMethod,"kernelFit")
13     imgs = imadjustn(imgs,intensityLims);
14 elseif isequal(imProcessingMethod,"imBinarize")
15     imgs = imadjustn(imgs,intensityLims);
16 end
17
18 %% Crop the images
19 imgs = cropImgs(imgs);
20
21 %% Extract image centers
22 if isequal(imProcessingMethod,"kernelFit")
23     [xc,yc] = findBeadCentroids(imgs,imProcessingMethod); % kernelFit: [17 43 11 37]
24 elseif isequal(imProcessingMethod,"imBinarize")
25     [xc,yc] = findBeadCentroids(imgs,imProcessingMethod,[],0.4);

```

```

26 end
27
28 % Plot the image with the detected centroid
29 im = imagesc(imgs(:,:,1));
30 colormap gray; axis equal; axis([-inf inf -inf inf])
31 hold on;
32 s = scatter(xc(1,:),yc(1:,:), "r*");
33 hold off;
34 for i = 1:size(imgs,3)
35     im.CData = imgs(:,:,i);
36     s.XData = xc(i,:); s.YData = yc(i,:);
37     drawnow limitrate;
38 end
39 close(gcf);
40
41 %% Create time array and store the information
42 dt = 0.00025; % Taken from README.md
43 time = (0:size(imgs,3)-1)*dt;
44 mkdir(imProcessingMethod);
45 save(imProcessingMethod + "\ + fileName + ".mat", "time", "xc", "yc");
46
47 %% Compute power spectrum %%
48 xc = xc(1:125000); yc = yc(1:125000); time = time(1:125000);
49 % Make the number of datapoints even
50 if rem(length(time),2) ~= 0
51     xc(end) = []; yc(end) = []; time(end) = [];
52 end
53
54 [PSD_X,FRQ_X] = compPSD(xc,time(2));
55 [PSD_Y,FRQ_Y] = compPSD(yc,time(2));
56
57 %% Post-Process the PSD Data %%
58 % Cut the data to start from 1Hz frequency
59 PSD_X = PSD_X(FRQ_X>=1); FRQ_X = FRQ_X(FRQ_X>=1);
60 PSD_Y = PSD_Y(FRQ_Y>=1); FRQ_Y = FRQ_Y(FRQ_Y>=1);
61
62 % Put the data into bins and average out
63 [psdX,frqX] = filtPSD(PSD_X,FRQ_X,30);
64 [psdY,frqY] = filtPSD(PSD_Y,FRQ_Y,30);
65
66 %% Plot the power spectrum %%
67 % For x direction
68 figure();
69 loglog(frqX,psdX);
70 grid on
71 title("PSD for x-direction")
72
73 % For y direction
74 figure();
75 loglog(frqY,psdY);
76 grid on

```

```

77 title("PSD for y-direction")
78
79 %% Fit PSD data
80 beadDia = 0.5e-6;
81 beadDensity = 1060;
82 waterMu = 1.0016e-3;
83 [DX,kX,convFactX,fHndlX] =
    ↳ fitHydroFaxenPSD(psdX,frqX,beadDia,10e-6,beadDensity,waterMu,50,1000);
84 [DY,kY,convFactY,fHndlY] =
    ↳ fitHydroFaxenPSD(psdY,frqY,beadDia,10e-6,beadDensity,waterMu,50,1000);
85 save(imProcessingMethod + "\" + fileName +
    ↳ ".mat","DX","kX","convFactX","fHndlX","DY","kY","convFactY","fHndlY","-append");
86
87 %% Plot the curvefit and save the figures
88 figure(1);
89 hold on;
90 loglog(frqX,fHndlX(frqX),'r',"LineWidth",1);
91 hold off
92
93 figure(2);
94 hold on;
95 loglog(frqY,fHndlY(frqY),'r',"LineWidth",1);
96 hold off

```

---

## J.2.7 traj0.m

---

```

1 clear; close all; clc;
2
3 %% Define necessary variables
4 fileName = "traj0";
5 imProcessingMethod = "kernelFit";
6
7 %% Load the images
8 imgs = readImgsMj2(fileName + ".mj2");
9 intensityLims = stretchlim(imgs(:),0);
10
11 % Adjust images for contrast
12 if isequal(imProcessingMethod,"kernelFit")
13     imgs = imadjustn(imgs,intensityLims);
14 elseif isequal(imProcessingMethod,"imBinarize")
15     imgs = imadjustn(imgs,intensityLims);
16 end
17
18 %% Crop the images
19 imgs = cropImgs(imgs);
20
21 %% Extract image centers
22 if isequal(imProcessingMethod,"kernelFit")
23     [xc,yc] = findBeadCentroids(imgs,imProcessingMethod); % kernelFit: [23 43 14 34]

```



```

24 elseif isequal(imProcessingMethod,"imBinarize")
25     [xc,yc] = findBeadCentroids(imgs,imProcessingMethod,[],0.4);
26 end
27 % Plot the image with the detected centroid
28 im = imagesc(imgs(:,:,1));
29 colormap gray; axis equal; axis([-inf inf -inf inf])
30 hold on;
31 s = scatter(xc(1,:),yc(1:,:),"r*");
32 hold off;
33 for i = 1:size(imgs,3)
34     im.CData = imgs(:,:,i);
35     s.XData = xc(i,:); s.YData = yc(i,:);
36     drawnow limitrate;
37     pause(0.1);
38 end
39 close(gcf);
40
41 %% Create time array and store the information
42 dt = 0.00032; % Taken from README.md
43 time = (0:size(imgs,3)-1)*dt;
44 save(imProcessingMethod + "\ " + fileName + ".mat","time","xc","yc");
45
46 %% Generate plots and save them
47 mkdir(imProcessingMethod + "\figs\");
48 figure("WindowState","maximized");
49 t = tiledlayout(2,2,"TileSpacing","compact","Padding","compact");
50
51 xData = nexttile(1);
52 plot(time,xc*65);
53 grid on;
54 axis([-inf inf -inf inf]);
55 xlabel("Time (s)");
56 ylabel("x-Displacement (nm)");
57
58 yData = nexttile(3);
59 plot(time,yc*65);
60 grid on;
61 axis([-inf inf -inf inf]);
62 xlabel("Time (s)");
63 ylabel("y-Displacement (nm)");
64
65 xyData = nexttile(2,[2 1]);
66 plot(xc*65,yc*65);
67 grid on;
68 axis equal;
69 xlabel("x-Displacement (nm)");
70 ylabel("y-Displacement (nm)");
71
72 % Link time axes of the plots
73 linkaxes([xData yData],'x');
74

```

```

75 % Save the figure
76 savefig(imProcessingMethod + "\figs\" + fileName + ".fig");
77 exportgraphics(gcf,imProcessingMethod + "\figs\" + fileName + ".jpg", "Resolution", 600);

```

---

## J.2.8 README.md

---

```

1  ## Brownian motion and trapping motion data for 500nm bead
2  ### Setup Info
3  - Number of Samples: 1
4    - Sample 1:
5      - Bead Diameter: 500nm
6      - Objective Zoom: 100X
7      - Objective NA: 1.25
8      - Centrifuged for: 0 min
9      - Optical Table: 0n
10     - Number of ND filters: 1 thorlabs
11    - Sample 2:
12      - Bead Diameter: 500nm
13      - Objective Zoom: 100X
14      - Objective NA: 1.25
15      - Centrifuged for: 0 min
16      - Optical Table: 0n
17      - Number of ND filters: 1 thorlabs + ND4 from uScope
18
19 ### Trapping Set 0
20 Sample: 1
21 Particle: 1
22
23 ### Camera configuration
24 `camProp setByName "SUBARRAY MODE" 2`
25 `camProp setByName "SUBARRAY VSIZE" 40`
26 `camProp setByName "SUBARRAY VPOS" 1004`
27 `camProp setByName "SUBARRAY HSIZE" 64`
28 `camProp setByName "SUBARRAY HPOS" 796`
29 `camProp setByName "EXPOSURE TIME" 0.00032`
30 `shtrCtrl "led 30"`
31 `liveCap start`
32 `liveCap lut 100 200`
33 `liveCap stop`
34
35 ### Recording
36 `camProp setByName "SENSOR COOLER" 2`
37 `shtrCtrl "cycleTiming 30000 20000 100000"`
38 `shtrCtrl "led 100"`
39 `condExps start 1`
40
41 ### Brownian Set 0
42 Sample: 2
43 Particle: 2

```

```
44
45 #### Camera configuration
46 `camProp setByName "SUBARRAY MODE" 2`
47 `camProp setByName "SUBARRAY VSIZE" 48`
48 `camProp setByName "SUBARRAY VPOS" 1000`
49 `camProp setByName "SUBARRAY HSIZE" 64`
50 `camProp setByName "SUBARRAY HPOS" 796`
51 `camProp setByName "EXPOSURE TIME" 0.00025`
52 `shtrCtrl "led 30"`
53 `liveCap start`
54 `liveCap lut 100 200`
55 `liveCap stop`
56
57 #### Recording
58 `shtrCtrl "led 100"`
59 `camProp setByName "SENSOR COOLER" 2`
60 `camRec 250000 brownian0.bin`
61
62 #### Camera Reset Configuration (Let the system be in this state for camera and
↔ illumination laser to cool down)
63 `shtrCtrl "led 30"`
64 `camProp setByName "SUBARRAY MODE" 1`
65 `camProp setByName "EXPOSURE TIME" 0.01`
66 `camProp setByName "SENSOR COOLER" 1`
67 `liveCap start`
68 `liveCap lut 100 200`
69 `liveCap stop`
70
71 #### Shutter Commands
72 `shtrCtrl "shtrClose"`
73 `shtrCtrl "shtrOpen`
```

---

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